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# 学 位 論 文

Studies on biological properties and immunosuppressive  
function of myeloid-derived suppressor cells  
in tumor microenvironment

(がん微小環境におけるミエロイド由来抑制性細胞群の性状と  
その免疫抑制機能に関する研究)

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## INTRODUCTION

Th1-dependent immunity is critical for induction of tumor-specific cytotoxic T lymphocytes (CTLs) and memory T cells<sup>1-4</sup>. However, it is difficult to induce tumor-specific T cell responses in tumor-bearing hosts because of the strong immunosuppressive and tumor-evading mechanisms. Activation of antitumor effectors such as tumor-specific CD4<sup>+</sup> T and CD8<sup>+</sup> T cells are necessary for suppression of tumor growth but are inhibited by various mechanism in tumor-bearing state. It is well known that immunosuppressive factors such as interleukin (IL)-6, IL-10, and transforming growth factor (TGF)- $\beta$  impair the functions of T cells and dendritic cells (DCs) in the tumor microenvironment<sup>5-8</sup>. Regulatory T cells (Tregs) are a major immunosuppressor of T cell activation in tumor-bearing hosts. Indeed, several strategies that control of Treg-mediated immune suppression have been developed to enhance CTL-mediated antitumor activity in tumor-bearing mice<sup>9-11</sup>.

In Chapter 1, we have revealed that the splenic CD11b<sup>+</sup>Gr-1<sup>+</sup> cells, which were called immature myeloid cell cells (ImC) or myeloid-derived suppressor cell (MDSCs) and abnormally increased in tumor-bearing mice, were classified into three different subsets according to their phenotypic and morphological characteristics; Gr-1<sup>low</sup> F4/80<sup>+</sup> Macrophage (M $\Phi$ -ImC), Gr-1<sup>mid</sup> stab cells (Neut<sub>stab</sub>-ImC) and Gr-1<sup>high</sup> segmented cells (Neut<sub>seg</sub>-ImC). The splenic M $\Phi$ -ImC but not Neut<sub>stab</sub>-ImC and Neut<sub>seg</sub>-ImC exhibited a significant immunosuppressive activity in MLR. In contrast, tumor-infiltrating Neut<sub>seg</sub>-ImC markedly inhibited MLR. Interestingly, we first demonstrated that administration of anti-IL-6R mAb or Gemcitabine (GEM) selectively reduced the M $\Phi$ -ImC and Neut<sub>stab</sub>-ImC populations. The elimination of immunosuppressive ImC enhanced CD8<sup>+</sup> T cell responses and inhibited the tumor growth. Moreover, co-administration of anti-IL-6R mAb and GEM exhibited higher antitumor effect in comparison to treatment with single agent.

In Chapter 2, we induced CD11b<sup>+</sup> CD14<sup>+</sup> monocytic MDSCs from peripheral blood mononuclear cells (PBMCs) of healthy donors under the addition of

IL-11. The PBMCs treated with IL-11 were highly expressed various immunosuppressive molecules including IL-10 and Arg-1. T-cell proliferation after the CD3/CD28-stimulation was remarkably reduced when CD11b<sup>+</sup> CD14<sup>+</sup> cells generated in the presence of IL-11 were co-cultured with CD4<sup>+</sup> T or CD8<sup>+</sup> T cells, matched autologous healthy donor. Here, we found that IL-11 stimulation of normal PBMCs led to the STAT3 phosphorylation. In addition, we confirmed that neutralization of the IL-11-mediated STAT3 phosphorylation strongly inhibited differentiation of MDSCs. These findings suggest that IL-11 produced under tumor microenvironments may induce monocytic human MDSCs in cancer patients.

These results strongly suggested that regulate the immunosuppressive MDSCs populations in tumor-bearing host and would be possible agents for combination therapy with cancer immunotherapy.

## ABBREVIATIONS

anti-IL-6R mAb: anti-IL-6 receptor monoclonal antibody

CTL: cytotoxic lymphocyte

DC: dendritic cell

ELISA: enzyme-linked immunosorbent assay

FACS: fluorescence activated cell sorting

GEM: gemcitabine

i.d.: intradermally

IFN: interferon

IL: interleukin

ImC: immature myeloid cell

iNOS: inducible nitric oxide synthase

i.v.: intravenously

MDSC: myeloid-derived suppressor cell

MLR: mixed leukocyte reaction

MΦ: macrophages

NK: natural killer

PBMC: peripheral blood mononuclear cell

TDF: tumor-derived factor

ROS: reactive oxygen species

STAT: signal transducer and activator of transcription

TGF: transforming growth factor

Th: T helper

TIL: tumor-infiltrating leukocytes

TNF: tumor necrosis factor

Treg: regulatory T cells

VEGF: vascular endothelial growth factor

WT: wild type

## CHAPTER 1

Blockade of IL-6 signaling eliminates CD11b<sup>+</sup>Gr-1<sup>+</sup> MDSCs and inhibits the tumor growth by activation of antitumor immunity

### INTRODUCTION

Myeloid-derived suppressor cells (MDSCs) are also an important negative immunoregulator in tumor-bearing hosts. In mice, heterogeneous populations of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells are classified into different subsets according to their phenotypic and morphological characteristics<sup>12-23</sup>. In human cancer patients, MDSCs are generally defined as monocytic (CD11b<sup>+</sup>, CD14<sup>+</sup>, and HLA-DR<sup>-/low</sup>) or granulocytic (CD11b<sup>+</sup> and CD15<sup>+</sup>) myeloid cells with immunosuppressive activities. These cells have been detected in the blood of patients with glioblastoma, lung cancer, breast cancer, colon cancer, and melanoma<sup>24-27</sup>. MDSCs strongly inhibit antitumor T cell responses by numerous factors such as arginase-1, S100A8, S100A9, NADPH oxidase, reactive oxygen species, hydrogen peroxide, and peroxynitrite<sup>28-33</sup>. In addition, MDSCs have been shown to suppress natural killer cell function<sup>34</sup>. Although MDSCs are considered to be a potential target for tumor immunotherapy, it remains unclear the characteristics of morphological and functional heterogeneity and responsible factors regulating each subpopulations. Therefore, it is important to elucidate the precise immunosuppressive mechanisms of MDSCs in tumor-bearing hosts.

In this study, we revealed that splenic CD11b<sup>+</sup>Gr-1<sup>+</sup> cells were classified into three different subsets: Gr-1<sup>high</sup>; segmented neutrophil (Neut<sub>seg</sub>-ImC), Gr-1<sup>mid</sup>; stab neutrophil (Neut<sub>stab</sub>-ImC), Gr-1<sup>low</sup>; macrophage (MΦ-ImC), in which Neut<sub>seg</sub>-ImC and Neut<sub>stab</sub>-ImC did not suppress T cell responses. In contrast to spleen, tumor-infiltrating CD11b<sup>+</sup>Gr-1<sup>+</sup> cells were consisted in Gr-1<sup>high</sup> Neut<sub>seg</sub>-ImC and Gr-1<sup>low</sup> MΦ-ImC, which had marked immunosuppressive potency. In patients with various types of cancers, systemic levels of inflammatory cytokines, such as IL-1β, TNF-α, and IL-6 are elevated<sup>35-39</sup>. Recently, Kishimoto's group has been demonstrated the

therapeutic impact of humanized anti-IL-6R mAb on rheumatoid arthritis, Castleman's disease and multiple myeloma<sup>40-42</sup>. However, antitumor effects on solid tumors and precise mechanisms of antitumor effects were remained unknown. In this study, we first demonstrated that anti-IL-6R mAb treatment decreased the MDSC populations and augment CD8<sup>+</sup> T cell-mediated antitumor immunity in tumor-bearing mice.

## **MATERIAL AND METHODS**

### **Animals**

Female BALB/c mice were purchased from Charles River Japan. The mice were maintained in specific pathogen-free conditions and used at 6-8 weeks of age according to the guidelines of animal department at Hokkaido University.

### **Tumor immunotherapy**

The carcinoma cells, CMC-1 were generated by intradermal injection of methylcholanthrene (MCA) into BALB/c mice<sup>43</sup>. BALB/c mice were intradermally (i.d.) inoculated with  $2 \times 10^6$  CMC-1 cells, and the tumor size was measured by micrometer calipers. The size of the tumor was calculated as following formula; Volume (mm<sup>3</sup>) =  $0.2 \times (\text{length (mm)} \times \text{width (mm)}) \times (\text{high (mm)})^2$ . In the antibody treatment experiments, CMC-1 cells were inoculated i.d. into BALB/c mice, and when the tumor mass reached palpable (7-8 mm), anti-IL-6R mAb (MR16-1) (200  $\mu$ g) and/or anti-CD8 mAb (53.6.7) (200  $\mu$ g), control rat IgG was intravenously (i.v.) injected every 3 days. In the chemotherapy experiments, when the tumor mass reached 7-8 mm, CMC-1-bearing mice received i.p. treatment with Gemcitabine (GEM) (120 mg/Kg) weekly, and i.v. treatment with anti-IL-6R mAb and/or anti-CD8 mAb every 3 days. Anti-IL-6R mAb (MR16-1) and control rat IgG was a kind gift from Chugai Pharmaceutical Co. Ltd. Gemcitabine (Gemzar®) was purchased from Eli Lilly.

### **Lymphocytes preparation**

CMC-1 cells were i.d. inoculated into BALB/c mice, and 30 days after the injection, spleen cells were prepared. The tumor tissues were dissected from the mice and minced. Single-cell suspensions were obtained by digestion with 1 mg/ml collagenase (Sigma) for 30 min at 37 °C.

For isolation of CD11b<sup>+</sup>Gr-1<sup>high</sup>, CD11b<sup>+</sup>Gr-1<sup>mid</sup> and CD11b<sup>+</sup>Gr-1<sup>low</sup> cells, the cells prepared from spleens and tumor tissues were stained with PE-conjugated anti-CD11b mAb (M1/70, BD BioScience) and

FITC-conjugated anti-Gr-1 mAb (RB6-8C5, BD BioScience) after treatment with purified anti-CD16/CD32 mAb (2.4G2, BD BioScience). The percentages of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells were determined using FACSCanto II (BD Biosciences) and analyzed with FlowJo software (Treestar). Each subpopulation of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells was isolated by FACS Aria (BD BioSciences). The purity of the isolated cells was consistently more than 95 %.

### **In vitro differentiation of spleen ImC**

CMC-1 cells ( $5 \times 10^4$ ) were incubated for 72 h with 6-well plate (NUNC). The supernatants were collected and used as conditioned medium containing TDFs. Indicated spleen CD11b<sup>+</sup>Gr-1<sup>+</sup> subpopulations were isolated from CMC-1-bearing mice and cultured in the presence of conditioned medium (50 %), GM-CSF (0.3 ng/ml) or GM-CSF+IL-6 (50 ng/ml)+TGF- $\beta$  (2 ng/ml) for 2.5 days.

### **Morphological analysis**

Indicated CD11b<sup>+</sup>Gr-1<sup>+</sup> subpopulations were isolated from spleen and tumor tissues of CMC-1-bearing mice. Cytospins of the isolated cells were prepared with Shandon Cytospin 3 (Thermo Electron) and stained with May-Giemsa stain. Morphological differences were observed using a light microscopy (BX50, OLYMPUS OPTICAL Co, Ltd.,).

### **Generation of CTL in MLR**

Spleen cells ( $5 \times 10^6$ ) from BALB/c mice were cocultured with C57BL/6 spleen cells ( $1 \times 10^6$ ), which were pretreated with mitomycin C (60 mg/mL; Kyowa Hakko). Isolated CD11b<sup>+</sup>Gr-1<sup>high</sup>, CD11b<sup>+</sup>Gr-1<sup>mid</sup> or CD11b<sup>+</sup>Gr-1<sup>low</sup> cells ( $1 \times 10^5$ ) were added into the culture and these cells were incubated for 4 days in the 5 ml round-bottom tubes (Falcon). After culture, cells were collected and used for analysis.

### **<sup>3</sup>H-thymidine incorporation assay**

Cell proliferation was evaluated as described in the previous paper<sup>1</sup>. Briefly, <sup>3</sup>H-thymidine was added to culture medium for 6 hrs and the radioactivity of

the incorporated cell were detected and indicated as proliferation T cells.

### **Cytotoxicity assay**

Cytotoxicity of cells generated by MLR was measured by 4 hr-<sup>51</sup>Cr-release assays as described previously<sup>44</sup>. Antigen-specific cytotoxicity was determined using MBL-2 T-lymphoma cells (H-2<sup>b</sup>) as target cells. As a negative control, P815 mastocytoma cells (H-2<sup>d</sup>) were used in the same experiments. The percentage of cytotoxicity was calculated and indicated in the figures as described previously<sup>44</sup>. In Fig. 6, cytotoxicity was indicated as lytic units (L.U.)/10<sup>6</sup> effector cells. A L.U. was defined as the numbers of effector cells required for causing 25 % lysis of 5x10<sup>3</sup> target cells.

### **Intracellular cytokine staining**

For detection of cytoplasmic cytokine expression of T cells, spleen cells prepared from CMC-1-bearing mice were stimulated with inactivated-allogeneic spleen cells for 4 days or 2 mg/ml soluble anti-CD3ε mAb (145-2C11, BD Bioscience) for 4 hrs. After the stimulation, cells were restimulated with plate-bound anti-CD3ε mAb in 96-well flat-bottomed plates for 6 hrs. Brefeldin A was added at 4 hrs. Then, the cells were harvested and stained with anti-CD4 and anti-CD8 mAbs (BD Bioscience), and fixed with 4 % paraformaldehyde. After permeabilization, the fixed cells were stained with anti-IFN-γ and anti-IL-4 mAbs (BD bioscience). Data were acquired by FACSCanto II and analyzed with FlowJo software.

### **PCR analysis**

Total RNA was extracted from sorted spleen or tumor-infiltrating CD11b<sup>+</sup>Gr-1<sup>high</sup>, CD11b<sup>+</sup>Gr-1<sup>mid</sup> and CD11b<sup>+</sup>Gr-1<sup>low</sup> cells using Isogen RNA extraction kit (Nippongene) according to manufacture's instructions. cDNA was prepared using Superscript III RT (Invitrogen). The indicated gene cDNAs were specifically amplified using a GeneAmp 9700 thermalcycler (PE Applied Biosystems) and corresponding primer pairs. The sequences were as follows: mouse β-actin, (sense) 5'-GTGATGGTGGAATGGGTCAG-3', (antisense) 5'-TTTGATGTCACGCACGATTTCC-3', mouse COX-2, (sense)

|                                  |       |                |              |
|----------------------------------|-------|----------------|--------------|
| 5'-ACACACTCTATCACTGGCACC-3',     |       |                | (antisense)  |
| 5'-TTCAGGGAGAAGCGTTTGC-3',       | mouse | Arg-1,         | (sense)      |
| 5'-GCAGAGGTCCAGAAGAATGGAA-3',    |       |                | (antisense), |
| 5'-CTTTTCTTCCTTCCCAGCAGGT-3',    | mouse | iNOS,          | (sense)      |
| 5'-GGGCTGTACGGAGATCA-3',         |       |                | (antisense)  |
| 5'-CCATGATGGTCACATTCTGC -3',     | mouse | IL-6,          | (sense)      |
| 5'-GAGGATACCACTCCCAACAGACC-3',   |       |                | (antisense)  |
| 5'-AAGTGCATCATCGTTGTTTCATACA-3', | mouse | TGF- $\beta$ , | (sense)      |
| 5'-TACGTCAGACATTTCGGGAAGCA-3',   |       |                | (antisense)  |
| 5'-GAAGTTGGCATGGTAGCCCTTG-3'     |       |                |              |

**Immunoblotting.** Antibodies were purchased from the following sources: Monoclonal Anti-Arginase I antibody and Monoclonal Anti-iNOS/NOS Type II antibody from BD Transduction Laboratories, and polyclonal Anti-COX-2 antibody from cayman, and monoclonal anti- $\alpha$ -tubulin (DM1A) from SIGMA. Cells were lysed in a buffer consisting of 20 mM HEPES, pH 7.5, 100 mM NaCl, 1.5 mM MgCl<sub>2</sub>, 1 mM EGTA, 10 mM Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>, 1 % Nonidet P-40, 2 mM dithiothreitol, 1 mM Vanadate, 1 mM phenylmethylsulfonyl fluoride, 2 mg/mL aprotinin and 10 % glycerol. Cell lysates were subjected to immunoblotting with the indicated antibodies.

## ELISA

Whole spleen cells of CMC-1-bearing mice treated with control rat IgG or anti-IL-6R mAb were stimulated with soluble anti-CD3 $\epsilon$  mAb (1 mg/ml). After 48 hrs, IFN- $\gamma$  levels in the culture supernatants were determined by OptEIA™ mouse IFN- $\gamma$ .

## Statistical analyses

Significant differences in the results were determined by using the two-sided Student's *t* test. The *p*<0.05 was considered significant in the present experiments.

## RESULTS

### Splenic CD11b<sup>+</sup> Gr-1<sup>+</sup> cells are classified in distinct three subsets.

We previously demonstrated that when MCA-induced skin squamous cell carcinoma, CMC-1, were intradermally (i.d.) inoculated into BALB/c mice, CD11b<sup>+</sup>Gr-1<sup>+</sup> myeloid cells, including immunosuppressive macrophages, were dramatically increased in the spleen, in parallel with the tumor growth<sup>37</sup>. To reveal the precise role of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells in tumor-bearing host, we first classified three different subsets of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells based on the expression levels of Gr-1 molecules; Gr-1<sup>high</sup> (20±1 %), Gr-1<sup>mid</sup> (11.4±2.2 %) and Gr-1<sup>low</sup> (7.3±1.2 %) (Fig. 1A). To characterize each subset of splenic CD11b<sup>+</sup>Gr-1<sup>+</sup> cells, Gr-1<sup>high</sup>, Gr-1<sup>mid</sup> and Gr-1<sup>low</sup> cells were sorted and cytopspin preparations were stained with May-Giemsa stain. In the result, these subsets were Gr-1<sup>low</sup> ; neutrophil-segmented cell (Neut<sub>seg</sub>-ImC), Gr-1<sup>mid</sup> ; neutrophil-stab cell (Neut<sub>stab</sub>-ImC), and Gr-1<sup>high</sup> ; monocyte-like cell (MΦ-ImC), respectively (Fig. 1).

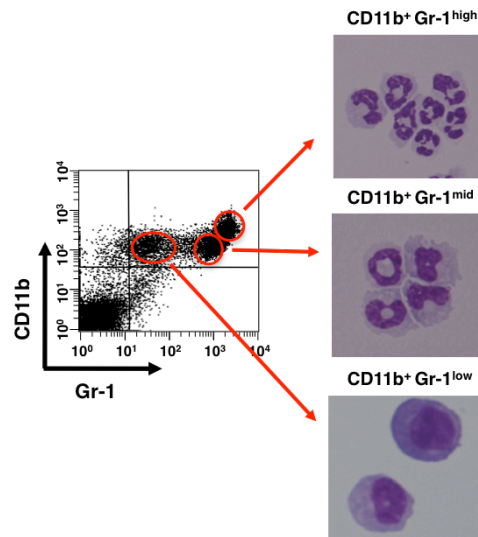


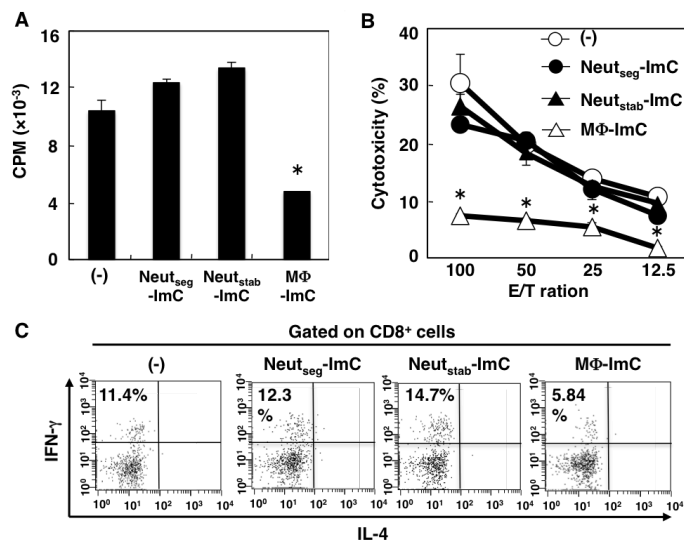
**Figure 1**

Spleen cells prepared from CMC-1-bearing mice were evaluated for CD11b and Gr-1 expression by flow cytometry (left panel). CD11b<sup>+</sup>Gr-1<sup>high</sup> (Neut<sub>seg</sub>-ImC), CD11b<sup>+</sup>Gr-1<sup>mid</sup> (Neut<sub>stab</sub>-ImC) and CD11b<sup>+</sup>Gr-1<sup>low</sup> (MΦ-ImC)

cells were isolated and stained with May-Grunwald Giemsa (right panels). Three independent experiments were carried out. Means  $\pm$  SDs or representative FACS profiles were indicated. Significance of results in the figures is represented by: \*  $p < 0.05$ .

### Splenic CD11b<sup>+</sup> Gr-1<sup>low</sup> (M $\Phi$ -ImC) cells strongly suppress immune responses.

To evaluate the immunoregulatory function of Neut<sub>seg</sub>-ImC, Neut<sub>stab</sub>-ImC, and M $\Phi$ -ImC isolated from spleen of tumor-bearing mice, we examined whether each subset of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells inhibited induction of antigen-specific CD8<sup>+</sup> T cells in MLR. As a result, the M $\Phi$ -ImC strongly suppressed the proliferation, IFN- $\gamma$  production and cytotoxicity of CD8<sup>+</sup> T cells proliferation and CD8<sup>+</sup> T cells when added the M $\Phi$ -ImC to MLR (Fig. 2A, B). On the contrary, T cell responses against H-2K<sup>d</sup> allogeneic antigen were not affected by the addition of splenic Neut<sub>seg</sub>-ImC and Neut<sub>stab</sub>-ImC into MLR. Therefore, in the spleen of tumor-bearing host, only CD11b<sup>+</sup>Gr-1<sup>low</sup> (M $\Phi$ -ImC) but not Neut<sub>seg</sub>-ImC and Neut<sub>stab</sub>-ImC population was MDSCs, which possessed immunosuppressive activity.



## Figure 2

To evaluate immunosuppressive function of the different subsets in MDSCs, each subset of MDSCs was added into MLR. Cell proliferation (A), cytotoxicity (B) and IFN- $\gamma$  producibility (C) were determined by  $^3\text{H}$ -thymidine-incorporation assay,  $^{51}\text{Cr}$ -release assay and intracellular cytokine staining assay, respectively. Three independent experiments were carried out. Means  $\pm$  SDs or representative FACS profiles were indicated. Significance of results in the figures is represented by: \*  $p < 0.05$ .

### Tumor-infiltrating CD11b<sup>+</sup> Gr-1<sup>+</sup> cells are classified according to immunosuppressive function.

Consistent with previous reports<sup>12-23</sup>, we confirmed that large amount of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells were infiltrating into the tumor tissue. Here, we first identified two subsets of CD11b<sup>+</sup>G-1<sup>high</sup> (54.5 $\pm$ 9.8 %) and CD11b<sup>+</sup>G-1<sup>low</sup> (6.6 $\pm$ 0.5 %) cells among tumor-infiltrating lymphocytes. Morphological analysis by May-Giemsa staining revealed that CD11b<sup>+</sup>G-1<sup>high</sup> cells and CD11b<sup>+</sup>G-1<sup>low</sup> were composed by segmented neutrophil (Neut<sub>seg</sub>-ImC) and macrophage (M $\Phi$ -ImC), respectively (Fig. 3). In contrast to spleen, CD11b<sup>+</sup>Gr-1<sup>mid</sup> (Neut<sub>stab</sub>-ImC) cells were not detected in the tumor tissue. Moreover, tumor-infiltrating Neut<sub>seg</sub>-ImC and M $\Phi$ -ImC showed hyper segmented nuclear and foamy morphology, which obviously different from splenic CD11b<sup>+</sup> Gr-1<sup>+</sup> cells subsets.

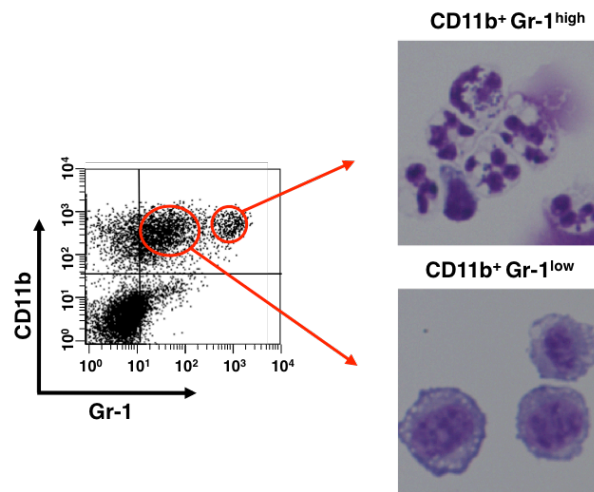
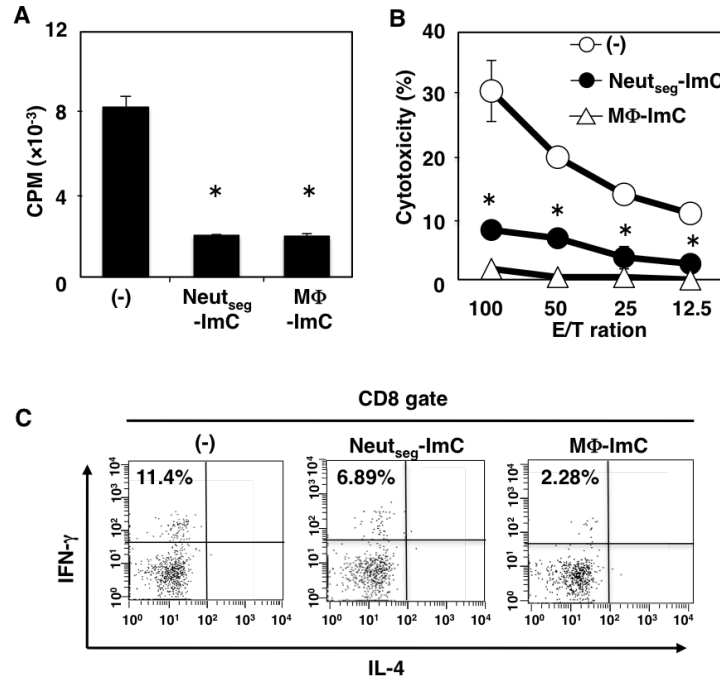


**Figure 3**

Tumor-infiltrating lymphocytes (TILs) were prepared from CMC-1-bearing mice. CD11b and Gr-1 expression on the TILs were determined by flow cytometry (left panel). CD11b<sup>+</sup>Gr-1<sup>high</sup> (Neut<sub>seg</sub>-ImC) and CD11b<sup>+</sup>Gr-1<sup>low</sup> (MΦ-ImC) cells of TILs were isolated and stained with May-Grunwald Giemsa (right panels). Three independent experiments were carried out. Means ± SDs or representative FACS profiles were indicated. Significance of results in the figures is represented by: \*  $p < 0.05$ .

**Tumor-infiltrating CD11b<sup>+</sup> Gr-1<sup>low</sup> cells strongly suppress T cell-immune responses.**

To analyze the immune suppressive capacity of Neut<sub>seg</sub>-ImC and MΦ-ImC isolated from TILs of tumor-bearing mice, we added the each subset to MLR. As shown in Fig. 4, both Neut<sub>seg</sub>-ImC and MΦ-ImC of TILs significantly suppressed the T cell proliferation and generation of CTLs and IFN-γ-producing CD8<sup>+</sup> T cells, indicating that tumor-infiltrating CD11b<sup>+</sup>Gr-1<sup>+</sup> cells acted as MDSCs.



#### **Figure 4**

Each subset of MDSCs in tumor local site were isolated and added into allogeneic MLR. Cell proliferation (A), cytotoxicity (B) and IFN- $\gamma$  producibility (C) were determined by  $^3\text{H}$ -thymidine-incorporation assay,  $^{51}\text{Cr}$ -release assay and intracellular cytokine staining assay, respectively. Three independent experiments were carried out. Means  $\pm$  SDs or representative FACS profiles were indicated. Significance of results in the figures is represented by: \*  $p < 0.05$ .

#### **Splenic CD11b $^+$ Gr-1 $^+$ cells differentiate into immunosuppressor by tumor-derived factors.**

Since Neut<sub>seg</sub>-ImC isolated from tumor tissues but not that isolated from spleen inhibited immune responses in MLR (Fig. 4), it is possible that tumor microenvironment would influence immune suppressive potency of CD11b $^+$ Gr-1 $^+$  cells. To evaluate the differentiation potential of the splenic CD11b $^+$ Gr-1 $^+$  cells, we isolated the three subsets of ImC from spleen cells of CMC-1 tumor-bearing mice and cultured with the conditioned medium containing tumor-derived factors (TDFs) of CMC-1 cells. The morphological analysis by May-Giemsa staining showed that splenic Neut<sub>seg</sub>-ImC and Neut<sub>stab</sub>-ImC become hyper segmented cells like the Neut<sub>seg</sub>-ImC of TILs after incubation with TDFs. In addition the splenic MF-ImC also differentiated to foamy macrophages, similar to M $\Phi$ -ImC of TIL (Fig. 5A). The TDF-treated ImC were added in MLR to assess the immunosuppressive activity. Whereas freshly isolated splenic Neut<sub>seg</sub>-ImC and Neut<sub>stab</sub>-ImC did not inhibit the immune responses in MLR (Fig. 2), those cells cultured with TDFs significantly suppressed cell proliferation, cytotoxicity and IFN- $\gamma$  production of CTL in MLR. Splenic M $\Phi$ -ImC also obtained marked suppressive potency by exposing to TDFs (Fig. 5A-C). Therefore, these data clearly indicate that CMC-1-released factor(s) is crucial to provide the immunosuppressive function to the splenic ImC to play a role as MDSCs in the tumor microenvironment.

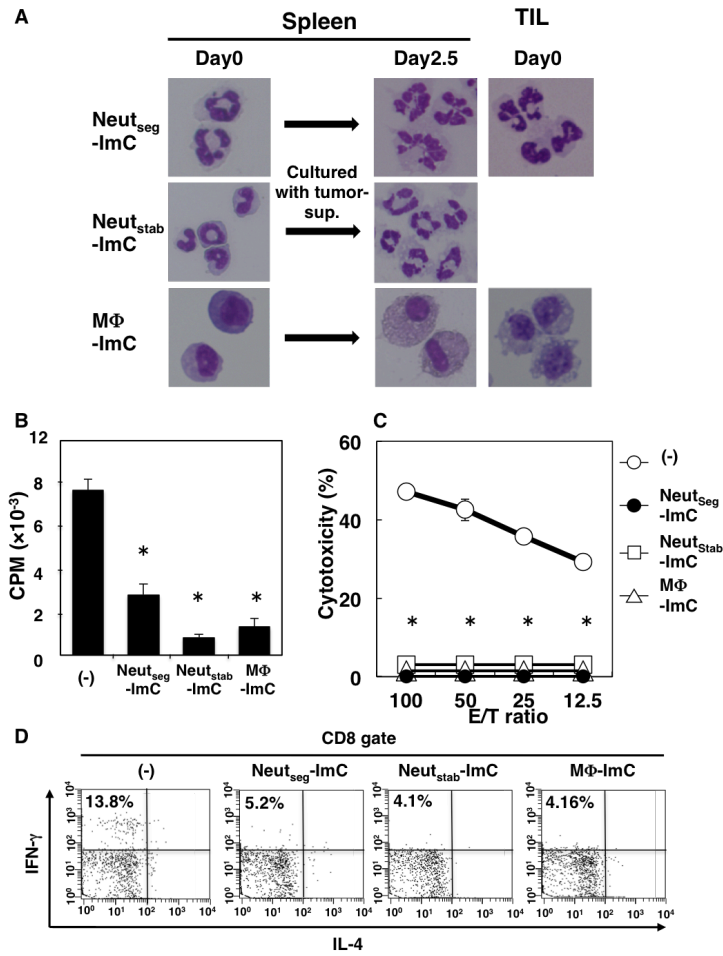


**Figure 5**

(A) Culture supernatants of CMC-1 tumor cells (TDFs) were prepared. The different MDSC subsets were isolated from spleen and TILs of CMC-1-bearing mice and the cells were stained with May-Grunwald Giemsa (Day 0). The isolated cells from spleen were cultured in the presence of TDFs for 60 hrs and stained with May-Grunwald Giemsa (Day 2.5). (B-D) After culture in the TDFs, the immunosuppressive activity of spleen MDSCs subsets was examined. Cell proliferation (B), cytotoxicity (C) and IFN- $\gamma$  producibility (D) were determined by  $^3\text{H}$ -thymidine-incorporation assay,  $^{51}\text{Cr}$ -release assay and intracellular cytokine staining assay, respectively. Three independent experiments were carried out. Means  $\pm$  SDs or representative FACS profiles were indicated. Significance of results in the

figures is represented by: \*  $p < 0.05$ .

### Both TGF- $\beta$ and IL-6-treated spleen ImC strongly inhibit generation of allo-antigen specific CTLs.

We focused on the effect of tumor-derived factors (TDFs) on immunosuppressive activity. As shown in Fig. 6, culture of spleen ImC subsets with IL-6 and TGF- $\beta$  caused the induction of cells with a strong immunosuppressive activity. These results suggested that TIL-ImC might acquire stronger immunosuppressive activity by tumor-derived IL-6 and TGF- $\beta$ . Thus, we concluded that IL-6 and TGF- $\beta$  induced functional maturation of MDSCs in tumor microenvironments.

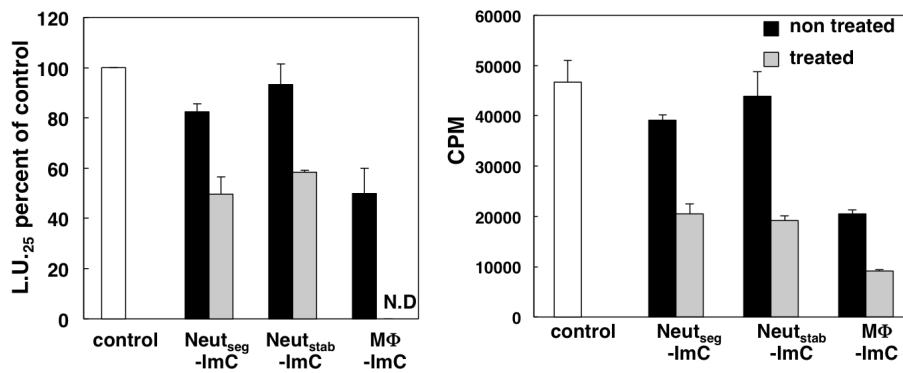


**Figure 6**

The isolated spleen ImC subsets were incubated with GM-CSF or GM-CSF+IL-6+TGF- $\beta$  for 2.5 days. The inhibitory activity of the cultured cells was determined by adding them into MLR. Cytotoxicity was measured by  $^3\text{H}$ -thymidine-incorporation assay,  $^{51}\text{Cr}$ -release assay and indicated as Lytic unit (LU)/ $10^6$  effector cells. \* $p < 0.05$ : difference from control (-), two-sided Student's  $t$  test.

### Tumor-derived factors induce immunosuppressive gene expression in spleen ImC

TIL-Neut<sub>seg</sub>-ImC and MΦ-ImC exhibited higher expression levels of immunosuppressive molecules compared with the corresponding subsets of spleen ImC (Fig. 7A). We also performed immunoblotting analysis to

evaluate the protein levels of those immunosuppressive molecules. As a result, higher levels of Cox-2, Arginase-1 and iNOS were detected in TIL-Neut<sub>seg</sub><sup>-</sup> and MΦ-ImC than those in spleen ImC populations (Fig. 7B). Although we evaluated the protein levels of IL-6 and TGF-β by ELISA, we could not detect these cytokines in the present experiments. This might be because that low levels of these cytokines functioned in tumor microenvironment.

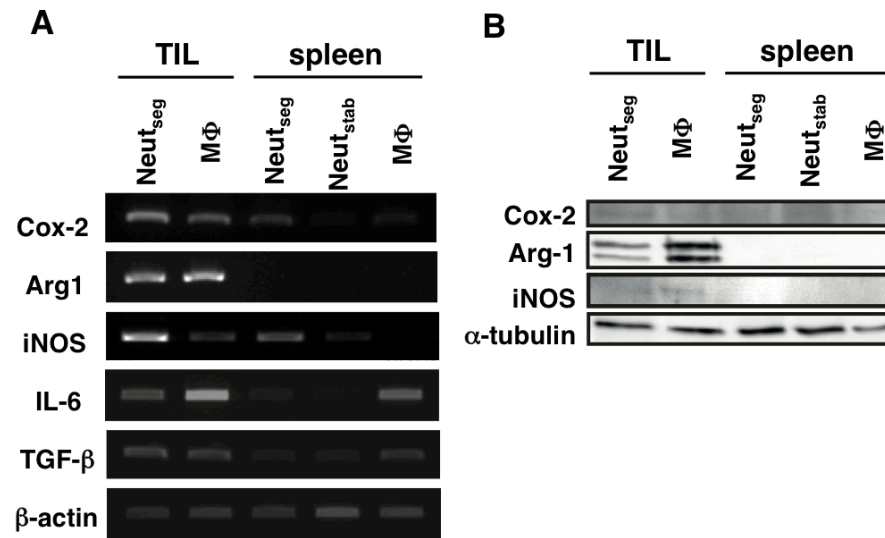


**Figure 7**

(A) mRNA or (B) protein expression in the indicated ImC populations was determined by RT-PCR and immunoblotting, respectively. β-actin and α-tubulin were used as control. Data is representative of three independent experiments.

### MDSCs express CD73 in tumor-bearing mice.

Recently, CD73 has been reported as a new mechanism of anti-tumor immunosuppression in tumor microenvironments<sup>45-47</sup>. To determine the role of CD73 for the function of MDSCs, we first examined CD73 expression on MDSCs in spleen and tumor-infiltrating cells by flow cytometry. Three subsets of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells have been characterized in several tumor models in mice. Fig. 8 shows that three population of spleen ImC, which

M $\Phi$ -ImC, Neut<sub>stab</sub>-ImC and Neut<sub>seg</sub>-ImC from the spleen of CMC-1 bearing mice, also expressed low levels of CD73. In contrast, tumor-infiltrating M $\Phi$ -ImC and Neut<sub>seg</sub>-ImC expressed significantly higher levels of CD73. We supposed that high levels of CD73 expression on tumor-infiltrating MDSCs are induced in the local tumor microenvironment.

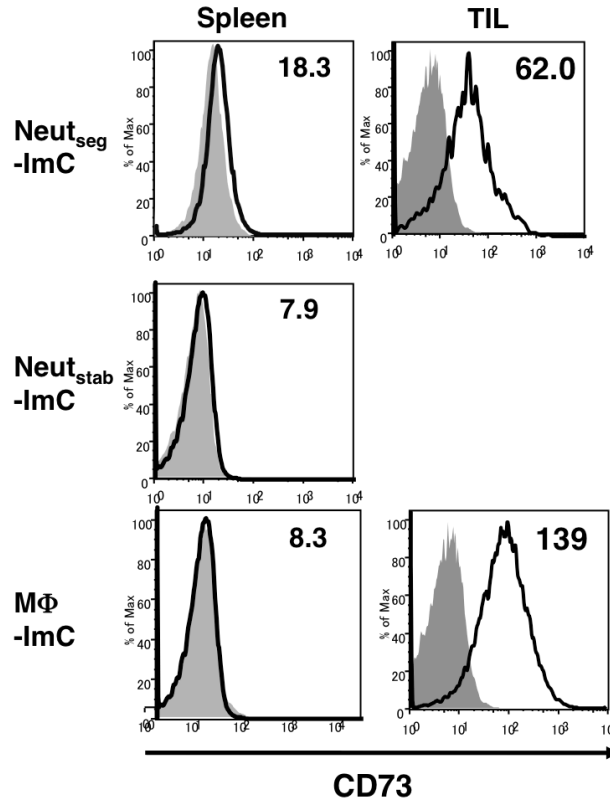


**Figure 8**

CD11b<sup>+</sup> Gr-1<sup>+</sup> cells were stained with anti-CD11b, anti-Gr-1 mAb and anti-CD73 mAb and analyzed by flow cytometry. This histogram shows the expression of CD73 on MDSCs, which were gated CD11b<sup>+</sup>Gr-1<sup>high</sup>, Gr-1<sup>mid</sup> or Gr-1<sup>low</sup> from spleen and solid tumor of the same mice. All solid tumors were subjected to the same enzymatic digestions. Each experiment included five mice.

### **IL-6 signaling is required for generation of MDSC subsets *in vivo*.**

Since high amount of IL-6, a key cytokine of immunosuppression and decreased quality of life, was detected in serum of the CMC-1-bearing mice

and cancer patients, we investigated the *in vivo* role of IL-6 on MDSCs accumulation. To blocking the IL-6 signaling *in vivo*, tumor-bearing mice were administrated with anti-IL-6R mAb. Humanized anti-IL-6R mAb has been already applied in a clinical therapy for autoimmune diseases but not yet for cancer. We observed that injection of anti-IL-6R mAb into tumor-bearing mice significantly decreased the number of each subset of ImC in both spleen and tumor tissue (Fig. 9A, B).

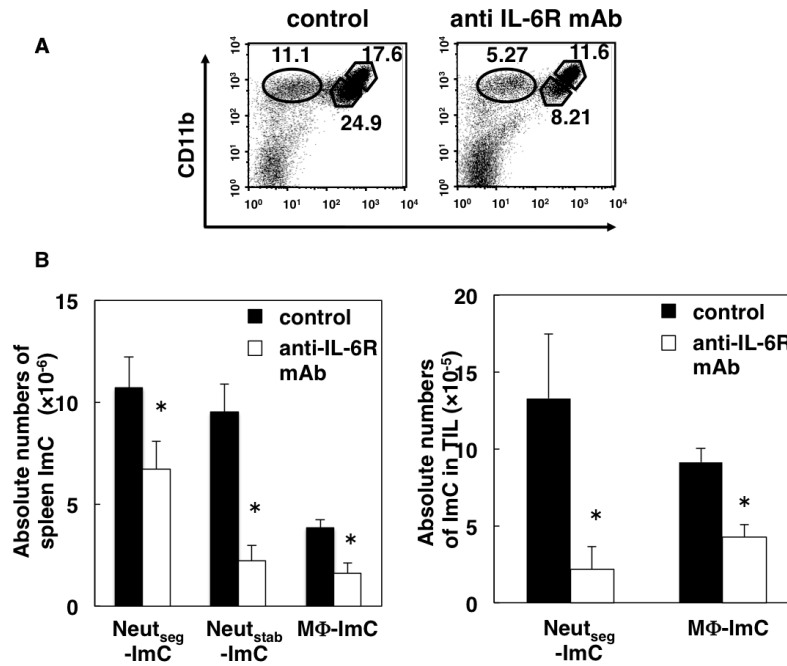


**Figure 9**

(A) CMC-1-bearing mice were *i.v.* treated with anti-IL-6R mAb weekly. To evaluate the proportion of three different MDSCs subsets, after 2 days from final administration, the expression of CD11b and Gr-1 were determined by flow cytometry. Representative FACS profiles from three independent experiments (n=3) were indicated. (B) Spleen cells and TILs were prepared from the CMC-1-bearing mice treated with control IgG or anti-IL-6R mAb. Percentages of indicated MDSCs population were evaluated by flow cytometry and the absolute numbers were calculated. Three independent experiments were carried out and Means  $\pm$  SDs were indicated.

### Blockade of IL-6 signaling pathway inhibits the tumor growth in tumor-bearing mice.

To blocking the IL-6 signaling in vivo, tumor-bearing mice were administrated with anti-IL-6R mAb. We also revealed that administration of anti-IL-6R mAb significantly suppressed growth of tumor (Fig. 10). These finding suggested that IL-6 regulates MDSCs accumulation, which promote tumor progression in tumor-bearing mice.

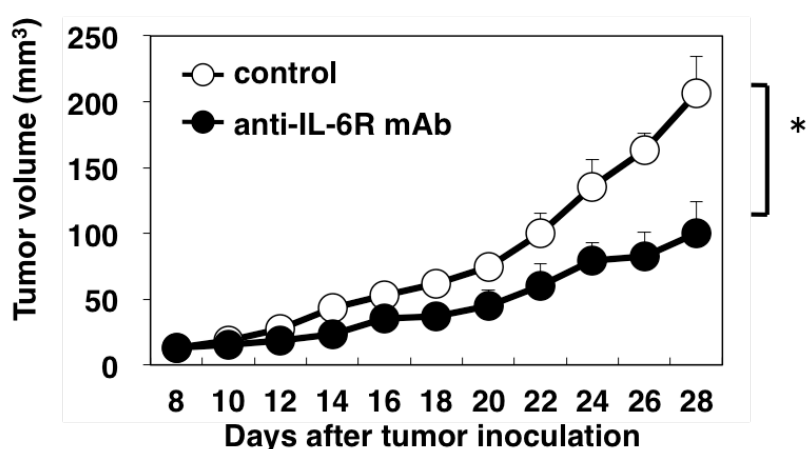


**Figure 10**

Control IgG or anti-IL-6R mAb were i.v. Injected into CMC-1-bearing mice at every week. The tumor growth was evaluated by measuring the volume at every two days. Similar results were obtained from two independent experiments (n=5) and Means  $\pm$  SDs were indicated.

### *In vivo* administration of anti-IL-6R mAb significantly enhances anti-tumor immunity.

Based on the results of MDSCs depletion by treatment with anti-IL-6R mAb, we tested whether anti-IL-6R mAb could augment T cell responses in tumor-bearing mice. We stimulated spleen cells isolated from the tumor-bearing mice treated with or without anti-IL-6R mAb and evaluated IFN- $\gamma$  production. As shown in Figure 10A, administration of anti-IL-6R

mAb enhanced IFN- $\gamma$  production. Intracellular cytokine staining analysis also demonstrated that IFN- $\gamma$ -producing cells were increased in both CD4<sup>+</sup> T and CD8<sup>+</sup> T cells by anti-IL-6R mAb treatment (Fig. 11 B, C).

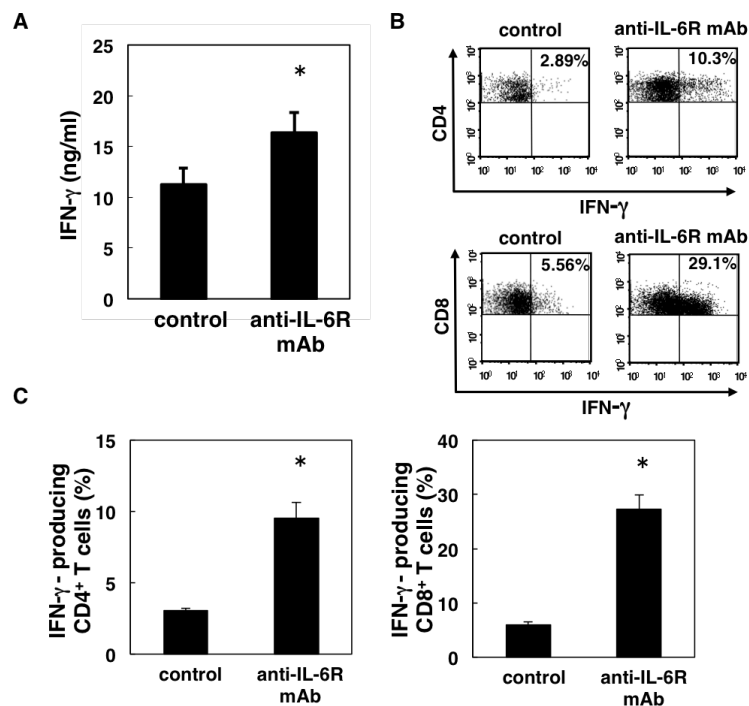


**Figure 11**

(A) CMC-1 ( $2 \times 10^6$  cells) were i.d. inoculated into BALB/c mice. Control IgG or anti-IL-6R mAb were i.v. injected into CMC-1-bearing mice at every week. After 2 days from final administration, spleen cells were stimulated with anti-CD3 $\epsilon$  mAb for 48 hrs. IFN- $\gamma$  levels in the supernatants were determined by ELISA. (B) After in vitro stimulation with anti-CD3 $\epsilon$  mAb, IFN- $\gamma$ -producing CD4<sup>+</sup> T or CD8<sup>+</sup> T cells were detected by intracellular staining. (C) The mean percentages of IFN- $\gamma$ -producing CD4<sup>+</sup> T or CD8<sup>+</sup> T cells were calculated. Results were obtained from two independent experiments (n=5) and Means  $\pm$  SDs were indicated.

### Administration of anti-IL-6R mAb inhibits tumor growth through activation of CD8<sup>+</sup> T cells.

To investigate whether inhibition of tumor growth by treatment with

anti-IL-6R mAb is depending on the increase of T cell responses, we injected anti-CD8 mAb into the anti-IL-6R mAb-treated mice. Depletion of CD8<sup>+</sup> T cells by treatment with anti-CD8 mAb resulted in a marked decrease of anti-IL-6R mAb-induced tumor growth inhibition, indicating that depletion of MDSCs by anti-IL-6R mAb rescue CD8<sup>+</sup> T cell activation which inhibit tumor progression (Fig. 12).

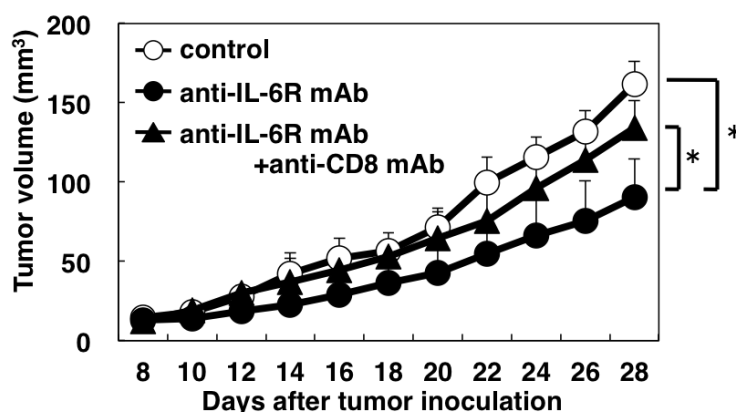


**Figure 12**

CMC-1 ( $2 \times 10^6$  cells) were i.d. inoculated into BALB/c mice (Day 0). Control IgG or anti-IL-6R mAb were i.v. injected into CMC-1-bearing mice at every week. Some mice were injected with anti-CD8 mAb on Day-1, 0 and weekly thereafter. Tumor size was measured as indicated. Results were obtained from two independent experiments ( $n=5$ ) and Means  $\pm$  SDs were indicated.

### **Co-injection of anti-IL-6R mAb and GEM effectively eliminates MDSCs *in vivo*.**

It has been reported that treatment with gemcitabine (GEM), an anticancer drug, significantly depleted MDSCs in tumor-bearing mice<sup>47-49</sup>. We confirmed that GEM treatment into tumor-bearing mice led to a reduction of the numbers of all three different MDSCs subsets, here we identified, in both spleen and tumor local site. In addition, administration with both anti-IL-6R mAb and GEM slightly depleted MDSCs compared with treatment with anti-IL-6R mAb or GEM.

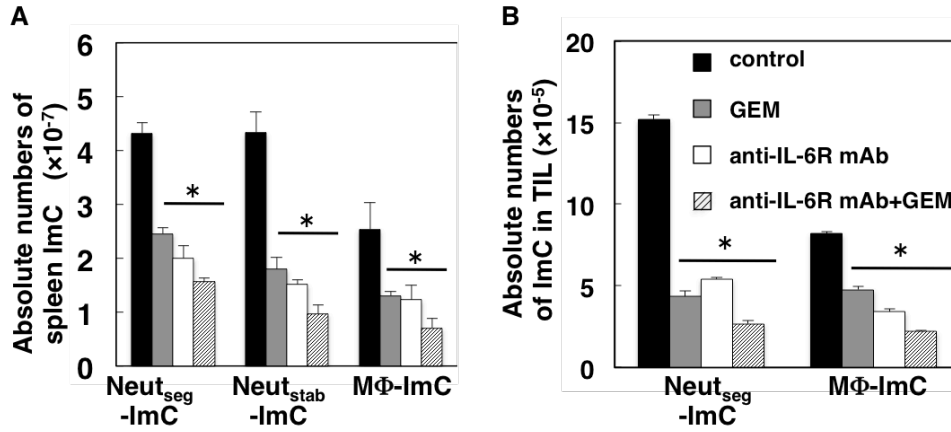


**Figure 13**

(A, B) CMC-1 ( $2 \times 10^6$  cells) were i.d. inoculated into BALB/c mice. Anti-IL-6R mAb and/or GEM were i.v. injected into CMC-1-bearing mice weekly. After 2 days from final administration, the percentages of indicated MDSCs subsets in spleen (A) and tumor tissue (B) were determined by flow cytometry and the absolute numbers were calculated. Similar results were obtained from three independent experiments ( $n=3$ ) and Means  $\pm$  SDs were indicated.

### Combination of anti-IL-6R mAb and GEM induces marked $\text{CD8}^+$ T cell-mediated antitumor immunity.

We investigated the antitumor effect of combination therapy of anti-IL-6R mAb and GEM. As shown in Fig. 14, combination therapy exhibited marked inhibition of tumor growth comparison with monotherapy. Moreover, the antitumor activity of combination therapy was significantly reduced by depletion of  $\text{CD8}^+$  T cells, indicating that elimination of MDSCs population by administration with both anti-IL-6R mAb and GEM effectively suppressed growth of tumor in a  $\text{CD8}^+$  T cell-dependent manner.

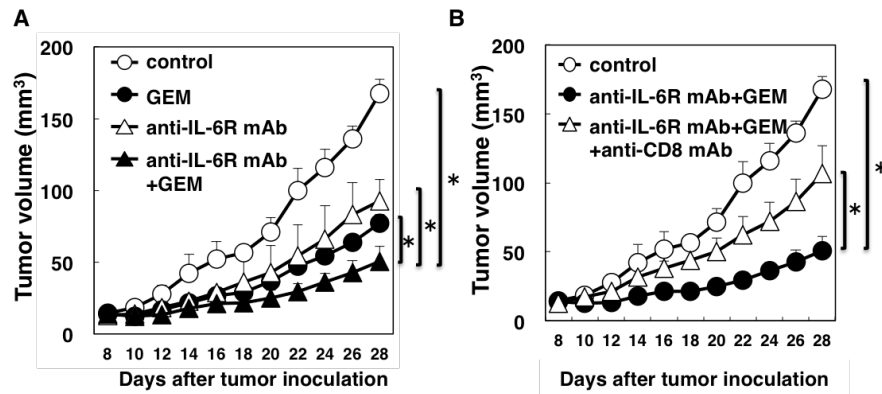


**Figure 14**

(A, B) CMC-1 ( $2 \times 10^6$  cells) were i.d. inoculated into BALB/c mice. Anti-IL-6R mAb and/or GEM were i.v. injected into CMC-1-bearing mice weekly. Some mice were injected with anti-CD8 mAb on Day-1, 0, and weekly thereafter (B). Similar results were obtained from two independent experiments ( $n=5$ ) and Means  $\pm$  SDs were indicated.

## DISCUSSION

We showed in the present study that CD11b<sup>+</sup>Gr-1<sup>+</sup> cells, accumulating in the tumor tissues and spleen, contain morphologically-distinct three subsets, which also exhibited distinct immunoregulatory function respectively. Importantly, we demonstrated that two granulocytic cell subsets (Neut<sub>seg</sub><sup>-</sup> and Neut<sub>stab</sub>-ImC) in the spleen did not inhibit T cell responses, whereas tumor-infiltrating Neut<sub>seg</sub>-ImC had marked suppressive potency, indicating that all CD11b<sup>+</sup>Gr-1<sup>+</sup> cells, commonly-termed MDSCs, were not immunosuppressive myeloid cells and tumor microenvironment was critical condition to obtain inhibitory function.

Many investigators have evaluated the phenotype and function of spleen MDSCs and revealed several suppressive mechanisms. However, precise nature of tumor-infiltrating CD11b<sup>+</sup>Gr-1<sup>+</sup> cells was poorly understood. Here we demonstrated the augment inhibitory function of tumor-infiltrating CD11b<sup>+</sup>Gr-1<sup>+</sup> cells, compared with corresponding population in spleen. These data were supported by recent reports that exposure to tumor microenvironment or inflammatory site enhanced suppressive function of MDSCs<sup>14, 21, 22</sup>. Our findings extended these reports by firstly showing that CD11b<sup>+</sup>Gr-1<sup>+</sup> cells in tumor tissues were classified into Gr-1<sup>high</sup> Neut<sub>seg</sub>-ImC and Gr-1<sup>low</sup> MΦ-ImC, but, in contrast with spleen, Gr-1<sup>mid</sup> Neut<sub>stab</sub>-ImC did not exist in the tumor tissue. In addition, importantly, both of Gr-1<sup>high</sup> Neut<sub>seg</sub>-ImC and Gr-1<sup>low</sup> MΦ-ImC exhibited different morphology to spleen CD11b<sup>+</sup>Gr-1<sup>+</sup> cells; tumor-infiltrating Neut<sub>seg</sub>-ImC have hyper segmented nucleus, tumor-infiltrating MΦ-ImC were foamy macrophages. Spleen Neut<sub>stab</sub>-ImC immediately differentiated into hyper segmented neutrophils and acquired immunosuppressive potency when cultured in the presence of tumor-derived factors, strongly indicating that less immunosuppressive immature MDSCs in the spleen recruited to tumor tissues and become matured phenotype and potent suppressive MDSCs. In the future, we must identify the factors, which contributed to acquire immunosuppressive function of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells. In our recent observation, IL-6 and TGF-β would be possible regents to activate MDSCs. We considered that underlying

mechanisms of IL-6 and/or TGF- $\beta$ -mediated immunosuppression were potent targets for cancer immunotherapy to augment tumor-specific T cells responses by removing suppressive populations in tumor-bearing host.

We also revealed here that anti-IL-6R mAb administration reduced CD11b<sup>+</sup>Gr-1<sup>+</sup> cells in both spleen and tumor tissue and delayed tumor development in CD8<sup>+</sup> T cell dependent manner, indicating that removing immunosuppressive population would be a potent target for cancer immunotherapy to augment tumor-specific T cells responses. Since treatment with anti-IL-6R mAb showed no significant effect on Treg, combination therapy by anti-IL-6R mAb and reagents depleting Treg might be effective strategy for cancer immunotherapy.

It is important how blockade of IL-6 signaling decrease MDSCs population. We confirmed that when anti-IL-6R mAb was administrated tumor-bearing mice, the numbers of MDSCs were immediately decreased, suggesting that IL-6 may directly maintain MDSCs survival and/or proliferation. Thus, treatment with anti-IL-6R mAb not only eliminates MDSCs, also modulates MDSCs function in the tumor-bearing host. IL-6 is a multi-functional cytokine. In the tumor-bearing condition, IL-6, produced by tumor cells, macrophages and stromal cells, is involved in immunosuppression through reducing DC function<sup>50</sup>. Moreover, IL-6 directly influences promotion of tumor cell survival and proliferation in a STAT3 dependent manner. These functions of IL-6, coupled with elimination of MDSCs, would also affect antitumor effect of anti-IL-6R mAb treatment.

## CHAPTER 2

IL-11-mediated STAT3 activation is required for functional differentiation of myeloid-derived suppressor cells in human

### INTRODUCTION

Activation of ant-tumor effectors, which tumor-specific CD4<sup>+</sup> T and CD8<sup>+</sup> T cells are necessary for the suppression of tumor growth. However, it is also difficult to induce tumor-specific T cell responses in tumor-bearing hosts, because they are suffering from strong immunosuppressive tumor-escape mechanisms.

MDSCs are a heterogeneous population of immature myeloid cells that mobilize from the bone marrow and become activated to inhibit tumor-specific immune responses<sup>51</sup>. Recently, two distinct subpopulations of MDSCs, CD11b<sup>+</sup>Gr-1<sup>high/mid</sup> (Ly-6G<sup>+</sup>Ly-6C<sup>low</sup>) granulocytic MDSCs and CD11b<sup>+</sup>Gr-1<sup>low</sup>Ly-6G<sup>-/low</sup> Ly-6C<sup>high</sup> monocytic MDSCs, have been described<sup>52-58</sup>. MDSCs exhibit the CD11b<sup>+</sup>Gr-1<sup>+</sup> phenotype, and their human counterpart is identified as being CD11b<sup>+</sup>CD14<sup>+</sup>HLA-DR<sup>-</sup> or CD11b<sup>+</sup>CD15<sup>+</sup><sup>59-61</sup>. The MDSCs suppress T cell and NK cell responses. MDSCs have been shown to suppress immune responses through a variety of direct mechanisms, including arginase-1, S100A8, S100A9, inducible nitric oxide synthase (iNOS), and production of reactive oxygen species (ROS)<sup>62-70</sup>. We reported that the strong immunosuppressive functions of MDSCs, strategies aimed at the elimination or inhibition of MDSCs by administration of anti-IL-6 mAb and/or Gemcitabine might significantly improve anti-tumor responses and the efficacy of cancer immunotherapy<sup>58</sup>.

The IL-11, member of IL-6 family, is defined by the shared use of the gp130 receptor  $\beta$ -subunit. Included within this family is IL-6, recognized for its role as a systemic inflammation, and promotes platelet production<sup>71, 72</sup>. More recently, IL-11 was reported to be involved in a mechanism of inflammation, angiogenesis and metastasis in tumor microenvironments<sup>73-75</sup>. IL-11 was produced by cancer-associated fibroblasts and myeloid cells in

many types of tumor cells such as stomach, liver, pancreas, colon, ovary and breast cancer <sup>76-85</sup>. However, there is no report that the relationship between the anti-tumor immune response and IL-11 mediate activation of STAT3.

In this study, we found that IL-11-STAT3-mediated regulation of functional differentiation of CD11b<sup>+</sup>CD14<sup>+</sup>MDSCs. Indeed, a specific inhibitor of STAT3 significantly blocked the differentiation of induced-MDSCs by IL-11. Moreover, PBMCs from healthy donor cultured with IL-11 acquired immunosuppressive activity. In this paper, we report about a crucial role of IL-11 for inhibitory mechanism of MDSCs, which may be a possible target for cancer immunotherapy.

## **MATERIAL AND METHODS**

### **Informed consent**

Research protocols involving human subjects were approved by the Institutional Review Boards of Hokkaido University Graduate School of Medicine, and the Institute for Genetic Medicine. Written informed consent was obtained from each patient and healthy donor.

### **Isolation of peripheral blood mononuclear cells (PBMCs) and generation of MDSCs**

PBMCs were isolated from healthy donors by Ficoll-Paque (GE Healthcare, Uppsala, Sweden). PBMCs from healthy donors were cultured in 10% FBS, and 100 mg/mL penicillin/ streptomycin in RPMI 1640 (Wako). To generate functional MDSCs, PBMCs were cultured with of IL-11 (10 ng/ml) and GM-CSF (50 ng/ml) for 7 days. Cultured cells were stained with PE-conjugated anti-CD11b (Beckman, Bear1), FITC-conjugated anti-CD14 (BD Biosciences, M5E2) monoclonal antibodies (mAbs). Dead cells were removed by 7-AAD (Beckman) staining. The percentages of CD11b<sup>+</sup>CD14<sup>+</sup> cells were analyzed by a FACS Canto II (BD Biosciences) and FlowJo software (Treestar). CD11b<sup>+</sup>CD14<sup>+</sup> cells were isolated by FACS Aria (BD Biosciences). The purity of the isolated cells was consistently more than 95%.

### **T-cell suppression assay**

CD3<sup>+</sup> T cells were sorted from PBMCs by FACS Aria (BD Biosciences). T cells were labeled with CFSE (Invitrogen) and cultured with CD3/CD28 beads (Invitrogen) for 3 days. Cells were collected, stained for CD4<sup>+</sup> T or CD8<sup>+</sup> T cell markers and assay for flow cytometric analysis on a FACS Canto II (BD Biosciences). Cells were gated on CD4<sup>+</sup> T or CD8<sup>+</sup> T cells and the proliferation was determined on the basis of CFSE dilution.

### **ELISA**

IFN- $\gamma$  levels in culture supernatants were measured by OptEIA™human IFN- $\gamma$ , ELISA kits (BD Bioscience), according to the manufacturer's

instructions.

## **RT-PCR**

Total RNA was extracted from cells using an Isogen RNA extraction kit (Qiagen). cDNA was prepared using Superscript III RT (Invitrogen). The indicated cDNAs were specifically amplified using a LightCycler system (Roche Applied Science) and the corresponding primer pairs and probes. The sequences were as follows: IL-11, (sense) 5'-ctgtggggacatgaactgtg-3', (antisense) 5'-agggtctggggaaactcg-3', and probe #49, Arg-1, (sense) 5'-tggcagaagtcaagaagaacg-3', (antisense) 5'-atgcttccaattgccaaact-3', and probe #64, VEGF, (sense) 5'-ccttgctgctctacctccac-3', (antisense) 5'-ccacttcgtgatgattctgc-3', and probe #29, TGF- $\beta$ , (sense) 5'-actactacgccaaggaggtcac-3', (antisense) 5'-tgcttgaacttgatcatagatttcg-3', and probe #21, IL-10, (sense) 5'-gatgccttcagcagagtga-3', (antisense) 5'-gcaaccaggttaacccttaa-3', and probe #67, GAPDH, (sense) 5'-agccacatcgctcagacac-3', (antisense) 5'-gcccaatacgaccaaattcc-3', and probe #60. Samples were normalized to the housekeeping gene  $\beta$ -actin according to the  $\Delta\Delta C_t$  method:  $\Delta C_t = \Delta C_{t_{\text{sample}}} - \Delta C_{t_{\text{reference}}}$ .

## **Immunoblotting**

Antibodies were purchased from the following sources: anti-STAT3 (79D7) and anti-p-STAT3 (Tyr705) from Cell Signaling Technology. Cells were lysed in a buffer consisting of 20 mM HEPES, pH 7.5, 100 mM NaCl, 1.5 mM MgCl<sub>2</sub>, 1 mM EGTA, 10 mM Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>, 1% Nonidet P-40, 2 mM dithiothreitol, 1 mM vanadate, 1 mM phenylmethylsulfonyl fluoride, 2 mg/ml aprotinin, and 10% glycerol. Cell lysates were subjected to immunoblotting with the indicated antibodies.

## **Immunohistochemistry**

Cancer tissues were obtained from colorectal surgical specimens (n = 5). Colon specimens were fixed in formalin and embedded in paraffin. After deparaffinization, antigen retrieval was conducted at 95 °C for 20-30 min in EDTA buffer (pH 9.0). Endogenous peroxidase activity was blocked with

0.3 % H<sub>2</sub>O<sub>2</sub> at room temperature for 10 min. After protein blocking at room temperature for 10 min, slides were incubated with a polyclonal rabbit anti-human IL-11 (RPA057Hu01, Usen Life Science), or a polyclonal rabbit anti-human phospho-STAT3 (Tyr705) antibody (9145, Cell Signaling Technology) overnight at 4°C. Sections were then incubated at room temperature for 15 min with a horseradish peroxidase (HrP)-labeled anti-rabbit Igs. Positive signals were amplified with CSA II Biotin-free Tyramide Signal Amplification System (Dako Japan) and visualized using 3-3'-diaminobezidine-4HCL (DAB). Hematoxylin-Eosin staining was further performed for the sections.

## RESULTS

### IL-11 is expressed in tumor microenvironment of colorectal cancer patients.

It is unknown about the effect of IL-11 on induction of MDSCs in tumor microenvironments. Therefore, we compared the expression of IL-11 in 7 primary human colon cancer samples. We found that gene expression of IL-11 was enhanced in tumor tissues compared to normal tissues from the same patients (Fig. 1A). Furthermore, tumor tissues of human colorectal cancer patients were positive for IL-11 by Immunohistochemistry (Fig. 1B).

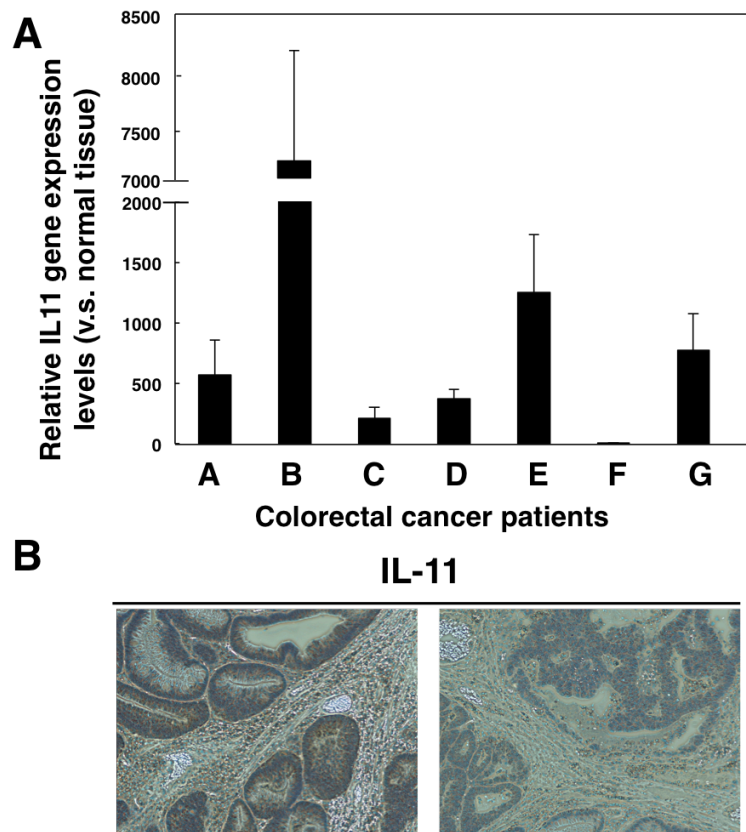


**Figure 1**

IL-11 expression in tumor microenvironments of colorectal cancer patients. Normal and tumor tissues were collected from the specimens of 7 colorectal cancer patients. Gene expression levels of IL-11 and GAPDH in normal and tumor tissues were determined by quantitative PCR. IL-11 gene expression

in each sample was normalized to levels of GAPDH. Relative IL-11 gene expression levels of tumor tissues against normal tissues were calculated. The means and SDs of the data from three independent experiments are shown.  $*p < 0.05$ , compared with control, two-sided Student's  $t$  test. B, IL-11 protein expression in tumor tissues of colorectal cancer patients was detected by immunohistochemistry. Representative photos of patient 43 and 55 are shown.

### **STAT3 axis is involving in tumor microenvironment in colorectal cancer patients.**

Elevated STAT3 activation associated with MDSCs and poor survival in cancer patients<sup>83</sup>. We assessed pSTAT3 staining as a marker of activated STAT3 in primary human colon cancer samples. As a result, we found strong pSTAT3 staining in the same tumor tissues (Fig. 2). These observations suggest that IL-11-mediated STAT3 activation may be associated with differentiation of MDSCs .

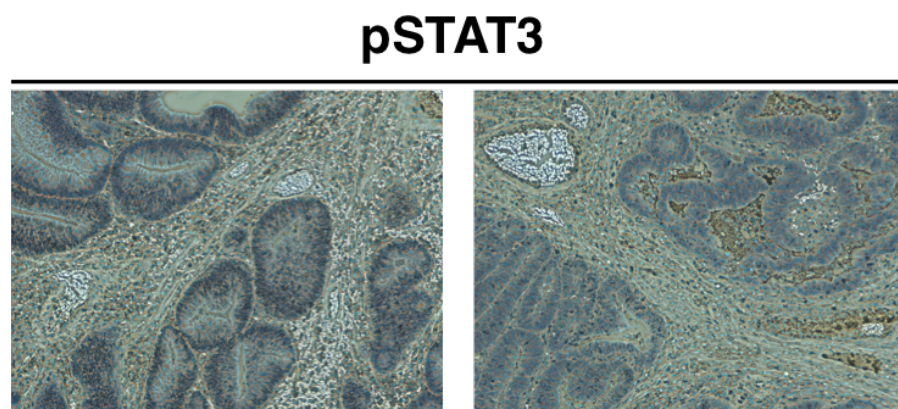


**Figure 2**

pSTAT3 protein expressions in tumor tissues of colorectal cancer patients were detected by immunohistochemistry. Representative photos of patient 43 and 55 are shown.

### IL-11 induces CD11b<sup>+</sup>CD14<sup>+</sup> monocytic cells from PBMCs.

Next, we investigated whether recombinant IL-11 affected the differentiation of MDSCs from PBMCs of healthy donors. PBMCs were cultured in the absence or presences of recombinant IL-11. As shown in Fig. 2, the percentages of CD11b<sup>+</sup> CD14<sup>+</sup> monocytic cells were significantly increased by stimulation with IL-11. Furthermore, expression of HLA-DR was decreased at IL-11 condition. These data suggest that IL-11 may induce differentiation of monocytic MDSCs to suppress antitumor immunity in tumor microenvironments.

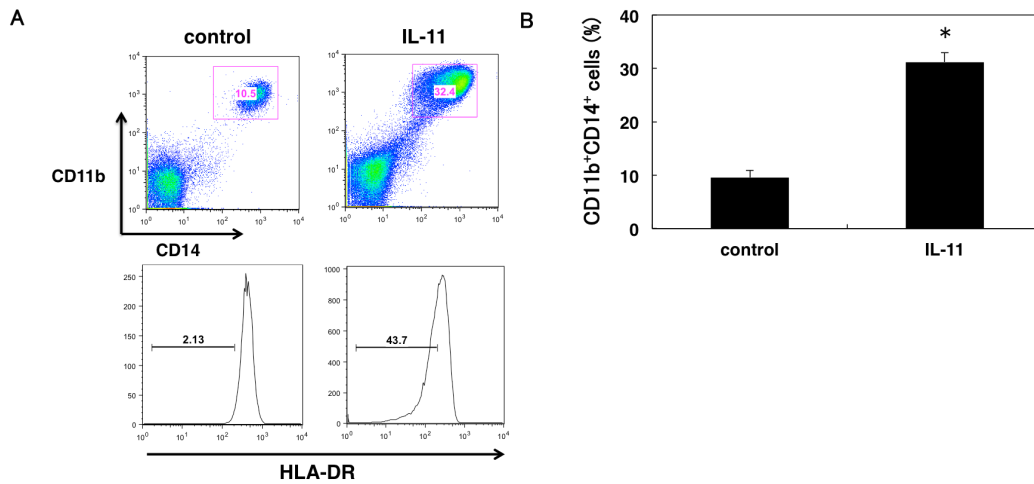


**Figure 3**

IL-11 differentiate PBMCs into CD11b<sup>+</sup>CD14<sup>+</sup> monocytic cells. PBMCs collected from healthy donors were cultured in the presence of IL-11 (10 ng/ml) and GM-CSF (50 ng/ml) or GM-CSF alone for 7 days, and surface markers were analyzed by flow cytometry. (A) Representative dot plots of CD11b<sup>+</sup> and CD14<sup>+</sup> staining after 7 days of culture with IL-11. Surface expression levels of HLA-DR on the CD11b<sup>+</sup>CD14<sup>+</sup> MDSCs were evaluated by flow cytometry. The representative of three independent experiments is shown. (B) Means and SDs for the data from three independent experiments are shown. \* $p < 0.05$ , compared with control, two-sided Student's  $t$  test.

### IL-11-induced MDSCs suppress T cell proliferation in vitro.

To confirm the CD11<sup>+</sup>CD14<sup>+</sup> MDSCs generated via stimulation with IL-11 were functionally suppressive, PBMCs were cultured with IL-11 for 7 days. CD11<sup>+</sup>CD14<sup>+</sup> cells were then isolated via cell sorter and cultured with donor matched, autologous CFSE-labeled CD3<sup>+</sup> T cells and stimulated with CD3/CD28 beads for 72 h. T cell proliferation was assayed by flow cytometry. Reductions of T cell proliferation and IFN- $\gamma$  production were confirmed when CD11<sup>+</sup>CD14<sup>+</sup> cells generated in the presence of IL-11 were co-cultured with CD3/CD28-stimulated CD4<sup>+</sup> T and CD8<sup>+</sup> T cells from matched healthy donors (Fig. 4).

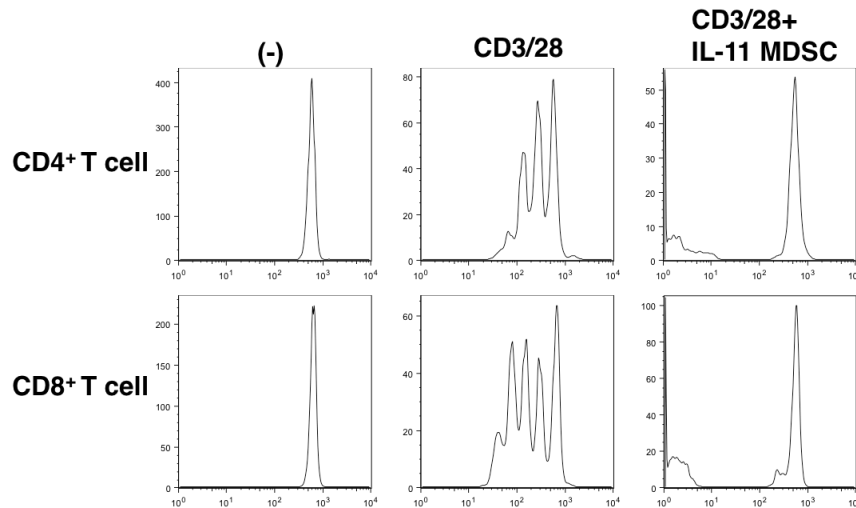


**Figure 4**

IL-11 generated CD11b<sup>+</sup>CD14<sup>+</sup> MDSCs suppress T-cell activation and proliferation. MDSCs were generated by culture of PBMCs with IL-11/GM-CSF for 7 days. CD11b<sup>+</sup>CD14<sup>+</sup> MDSCs cells were then isolated by FACS Aria and co-cultured with CFSE-labeled, donor-matched autologous T-cells  $\pm$  CD3/CD28 activation beads. At 3 days after stimulation, T cell proliferation was determined by flow cytometric analysis of CFSE dilution. Representative histograms of the fluorescence intensity for CD4<sup>+</sup> and CD8<sup>+</sup> T cells without stimulation (control) or TCR-stimulated T cells cultured with or without CD11b<sup>+</sup>CD14<sup>+</sup> cells

In addition, we confirmed that IFN- $\gamma$  production by T cells significantly reduced by the IL-11-induced MDSCs (Fig. 5). These data suggest that IL-11-induced MDSCs is related with the induction of immunosuppression that impairs the killing of cancer cells at tumor sites.

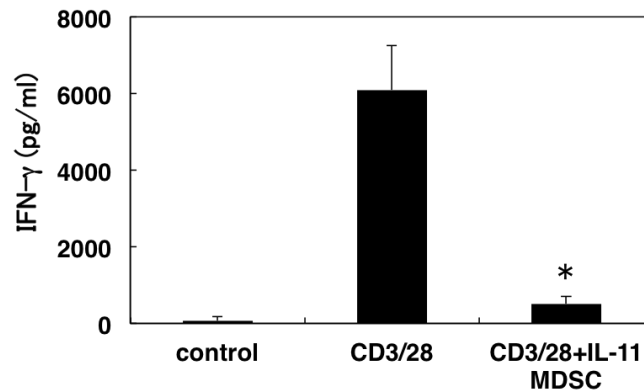


**Figure 5**

IL-11-induced MDSCs suppress IFN- $\gamma$  production by activated T cells. MDSCs were generated by culture of PBMCs with IL-11/GM-CSF for 7 days. CD11b<sup>+</sup>CD14<sup>+</sup> MDSCs cells were then isolated by FACS Aria and co-cultured with donor-matched autologous T-cells  $\pm$  CD3/CD28 activation beads. IFN- $\gamma$  production by T cells at 72 h after CD3/CD28 stimulation was measured by ELISA. The means and SDs of the data from three independent experiments are shown. \* $p < 0.05$ , compared with control, two-sided Student's  $t$  test.

#### **IL-11-induced MDSCs enhanced arginase-1 gene expression.**

In parallel with the inhibitory activity, we demonstrated that the expression level of Arg-1 was up-regulated in culture of PBMCs with IL-11, whereas VEGF, TGF- $\beta$ , and IL-10 were slightly enhanced (Fig. 6). As shown in Fig. 4, 5, and IL-11 caused the induction of cells with an immunosuppressive activity. Thus, these data clearly indicated that IL-11 influenced on the differentiation and activation of MDSCs in tumor microenvironment.

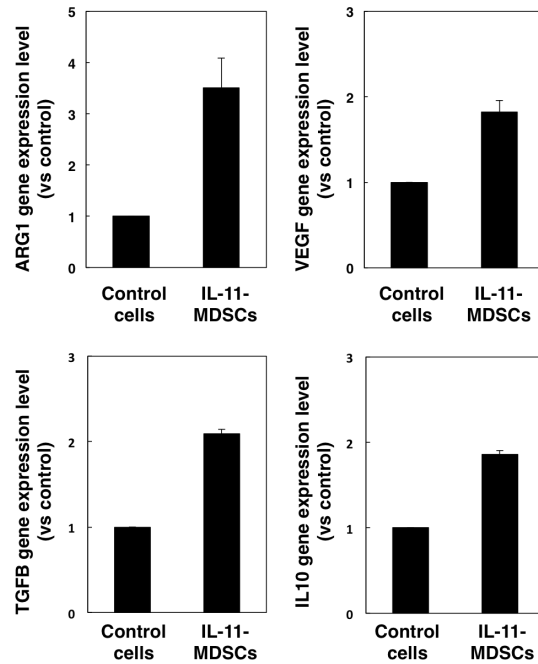


**Figure 6**

Gene expression levels of immunosuppressive molecules in IL-11-induced MDSCs. CD11b<sup>+</sup>CD14<sup>+</sup> cells were induced in the presence or absence of IL-11. Gene expression levels of ARG1, VEGF, TGF- $\beta$ , and IL-10 in control CD11b<sup>+</sup>CD14<sup>+</sup> cells and IL-11-induced CD11b<sup>+</sup>CD14<sup>+</sup> MDSCs were evaluated by quantitative PCR. The means and SDs of the data from three independent experiments are shown.

#### **IL-11 induces MDSCs through the STAT3 activation of PBMCs.**

Transcription factors such as IL-6 play a role in regulating the differentiation of immature myeloid cells into MDSCs<sup>80</sup>. We focus on the role of the STAT3 signal transduction pathway in promoting IL-11-mediated differentiation. We examined phosphorylation of STAT3 in MDSCs induced from PBMCs by IL-11. As a result, STAT3 was activated in IL-11-induced MDSCs compared with control (Fig. 5A, B).

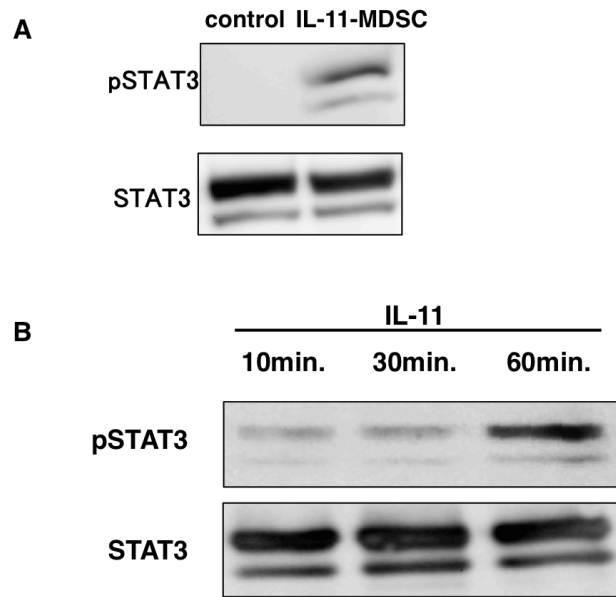


**Figure 7**

MDSCs differentiate via IL-11 and STAT3 signal transduction. (A) PBMCs were cultured in the presence or absence of IL-11 for 7 days and CD11b<sup>+</sup>CD14<sup>+</sup> cells were isolated by cell sorting. Total STAT3 and pSTAT3 were analyzed by immunoblotting. The representative data from three independent experiments are indicated. (B) PBMCs from blood of healthy donors were stimulated with IL-11 (10 ng/mL) for 10, 30, and 60 min. Total STAT3 and phosphorylated STAT3 (pSTAT3) proteins were evaluated by immunoblotting using specific antibodies. The representative data from three independent experiments are indicated.

Next, we investigated the effect of STAT3 activation on differentiation of normal PBMCs into MDSCs by IL-11. We confirmed the significant reduction in the percentage of MDSCs generated from PBMCs in the presence of the STAT3 inhibitor as compared with the control (Fig. 7). These data support a role for IL-11 as a soluble factor supports MDSCs differentiation via activation of STAT3-signlaing pathway.

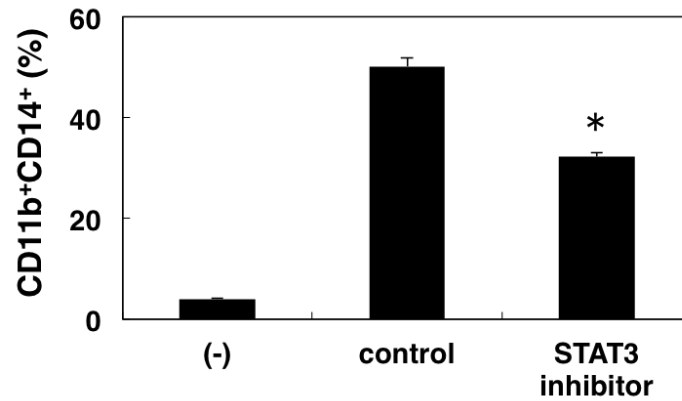


**Figure 8**

IL-11 and STAT3 signal transduction are related with differentiation of MDSCs. PBMCs from healthy donors were cultured with the STAT3 (6-Nitrobenzo[ $\beta$ ]thiophene-1,1-dioxide) inhibitor or DMSO in the presence of IL-11 and GM-CSF for 7 days. Percentages of the induced CD11b<sup>+</sup>CD14<sup>+</sup> cells were determined by flow cytometry. The means and SDs of the data from three independent experiments are shown. \* $p < 0.05$ , compared with control, two-sided Student's  $t$  test.

## DISCUSSION

It has been considered that overcoming of immunosuppressive tumor escape mechanisms is essential to induce tumor-specific CTLs for establishing more efficient tumor immunotherapy. A recent report indicated that dietary iron, enhanced colonic IL-6/IL-11-STAT3 signaling pathway, promoted the inflammation and subsequent tumor development in a mouse model of inflammation-associated colorectal tumorigenesis using DSS<sup>86</sup>. In addition, IL-6 directly promotes survival, proliferation, and differentiation of tumor cells and MDSCs in an IL-6-STAT3-dependent manner. It has also been demonstrated that IL-6 is a key cytokine that induces MDSCs under a tumor-bearing condition<sup>83</sup>. However, the precise mechanism of the effect of the MDSCs by IL-11 was still unknown. In this study, we first demonstrated that IL-11, produced as a soluble factor in tumor microenvironment of patients with colon cancer, promoted the differentiation of immune cells into functional MDSCs. We considered that MDSCs was induced by IL-11 from the local tumor microenvironment, which was required for generation of differentiation and immunosuppression that impairs cancer cell killing by CTLs at tumor sites (Fig. 1-5). These data are consistent with recent reports demonstrating that exposure of MDSCs to either tumor microenvironment or inflammatory site enhances the suppressive function<sup>87, 88</sup>.

STAT3 regulates various target genes involved in growth, proliferation, survival and differentiation of MDSCs as well as numerous types of cells<sup>51, 89, 90</sup>. In this study, we found that STAT3 was activated in IL-11-induced MDSCs (Fig. 6, 7). Moreover, we confirmed that the IL-11-mediated differentiation of MDSCs was blocked by the inhibition of STAT3 activation (Fig. 8). Intratumoral IL-11 expression in tumor sites of colorectal cancer patients strongly suggests that the induced MDSCs may be mediated by IL-11-STAT3 signaling in tumor microenvironment. Our data may supports a previous report indicating that IL-11 directly activates the neoplastic epithelium rather than promoting tumorigenesis indirectly by engaging hematopoietic cells such as MDSCs<sup>73</sup>.

Recent reported has provided evidence that beyond their direct cytotoxic or

cytostatic effects on cancer cells, several conventional chemotherapeutic drugs and agents used in targeted therapies can promote the elimination or inactivation of suppressive Tregs or MDSCs, resulting in enhanced antitumor immunity<sup>91</sup>. Ernst. M et al. reviewed that some recent insights derived from genetic and therapeutic inhibition of the IL-6/IL-11 - gp130 - STAT3 signaling cascade in the context of preclinical mouse models of cancer<sup>92</sup>.

Our present data suggests that IL-11-induced MDSCs might play a role in immunosuppression of tumor microenvironments. Therefore, regulation of the functional differentiation via IL-11-induced MDSCs may be a possible target for cancer immunotherapy. Given the critical roles served by MDSCs in immunosuppression, our elucidation for the effector functions in IL-11-induced MDSCs has implications in the rational design of strategies for modulation of immune responses and development of new efficient treatments for cancer patients.

## CONCLUDING REMARKS

### **New discoveries from this research**

- Three distinct splenic CD11b<sup>+</sup> Gr-1<sup>+</sup> cells (Myeloid-derived suppressor cells; MDSCs) subsets and two distinct tumor-infiltrating CD11b<sup>+</sup> Gr-1<sup>+</sup> cells exist in tumor-bearing mouse.
- Splenic CD11b<sup>+</sup>Gr-1<sup>+</sup> cells differentiate into immunosuppressor by tumor-derived factors.
- Administration of anti IL-6R mAb eliminates CD11b<sup>+</sup> Gr-1<sup>+</sup> cell subsets and inhibits tumor growth.
- Administration of anti IL-6R mAb enhances anti-tumor immunity such as CD8<sup>+</sup> T cell response.
- IL-11 induces differentiation of CD11b<sup>+</sup> CD14<sup>+</sup> monocytic MDSCs
- IL-11 may be related with suppression of anti-tumor immunity by induction of MDSCs at tumor sites of colorectal cancer patients.
- Activation of STAT3-signlaing pathway is required for differentiation of MDSCs by IL-11
- Control of IL-11-STAT3 signaling pathway in MDSCs may be useful target for improving cancer immunotherapy.

### **Immunotherapeutic significance of the discovery and future perspectives**

In Capter1, the therapeutic efficacy of cancer immunotherapy has been limited. Overcoming strong immunosuppression and tumor escape mechanisms in tumor microenvironment is necessary for development of an

effective immunotherapy. So, it is important to evaluate the immunosuppressive mechanisms of tumor-bearing hosts for successful treatment of tumors. Myeloid-derived suppressor cells (MDSCs) are one of the major immunosuppressive cell types in tumor microenvironments.

In this study, we first found that the splenic CD11b<sup>+</sup>Gr-1<sup>+</sup> cells (MDSCs) were classified into three different subsets according to their phenotypic and morphological characteristics. In contrast to spleen, CD11b<sup>+</sup>Gr-1<sup>+</sup> cells infiltrated into tumor tissues inhibited T cell activation, indicating that CD11b<sup>+</sup>Gr-1<sup>+</sup> cells acquired an immunosuppressive activity by the influence of tumor microenvironments.

Moreover, we found that administration of Gemcitabine (GEM) and/or anti-IL-6 mAb, both of which revealed regulatory effect on MDSCs differentiation, into tumor-bearing mice caused the blocking of suppressive MDSCs accumulation at tumor site and inhibited the growth of tumor cells.

It is considered that in the future, our results intended to suggest that the potential for combination of chemotherapy with immunotherapy effectively lead to a more developed application of effective treatments. Recently, reported that the combined treatment of immunotherapy with radiation therapy and tumor-specific Th1 cells are very efficiently to be capable of inducing anti-tumor immunity. Therefore, by the combined administration of anti-IL-6R mAb, chemotherapy, and cancer vaccine therapy, it would be possible to enhance the anti-tumor effects. We believe that anti-IL-6R mAb leads to decrease in number of MDSCs in cancer patients, and IL-6 signaling pathway is a good target for regulation of MDSCs function during cancer immunotherapy.

### **Significance of the discovery and future perspectives in the regulation of MDSCs**

In Chapter 2, productions of inflammatory cytokines (IL-1 $\beta$ , IL-6, and IL-11) and inductions of MDSCs in the tumor microenvironment are crucial factors limiting the efficacy of immune-based therapy.

In this study, we first found that CD11b<sup>+</sup> CD14<sup>+</sup> monocytic MDSCs were

generated from PBMCs of healthy donors in the presence of IL-11. The IL-11-induced MDSCs upregulated immunosuppressive molecules such as arginase-1. T-cell proliferation was significantly reduced when CD11b<sup>+</sup>CD14<sup>+</sup> cells generated in the presence of IL-11 were co-cultured with CD3/CD28-stimulated CD4<sup>+</sup> T and CD8<sup>+</sup> T cells of autologous healthy donors. IL-11 stimulation induced STAT3 phosphorylation in normal PBMCs. Neutralization of IL-11 inhibited the STAT3 phosphorylation and differentiation into MDSCs. Blockade of STAT3 activation suppressed induction of MDSCs by IL-11. Immunohistochemical analysis indicated that both IL-11 and pSTAT3 was observed in stromal portion of tumor tissues from colon cancer patients. These finding suggest that IL-11-STAT3 signaling pathway is a potential target for induction of MDSCs in the tumor microenvironment. Thus, IL-11-STAT3 mediated regulation in functional differentiation of MDSCs will be a promising strategy for improving cancer immunotherapy.

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