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**Direct Synthesis of Chemicals by Acceptorless
Dehydrogenation of Alcohols and Cyclic Amines with
supported Pt Catalysts**

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ABSTRACT

According to the concept of green chemistry, catalysis is an important key for ideal synthesis to prevent waste materials and increase atom economy. This concept urges chemist to develop new methodology to sustainable production of chemicals. Acceptorless catalytic dehydrogenation of alcohols and amines is regarded as one of the most important reactions for sustainable production of chemicals, because the reaction can give industrially important chemicals, carbonyl compounds and imines, without additional reagents. However, most of the reports used homogeneous catalysts, which have serious drawbacks of difficulties in product/catalyst separation and catalyst reuse and needs of additives including expensive ligands. Also, dehydrogenation of alcohols can be a key reaction in one-pot bond formation reaction from alcohols as an atom-efficient synthetic method, but most of the reports have used homogeneous catalysts. Development of heterogeneous catalysts for these reactions addresses the issues of homogeneous catalysts and consequently will provide practical methods for sustainable production of chemicals in industry. The objective of this thesis is to develop new heterogeneous catalysts for acceptorless dehydrogenation of amines and dehydrogenative one-pot bond formation reactions of alcohols. The thesis will show supported Pt nanoparticles are efficient and reusable heterogeneous catalysts for these reactions under additive-free conditions. Characterization of catalysts and model reactions are also studied to discuss catalyst design concept.

In chapter 2, the author investigated various metal loaded carbon catalysts and various supported Pt catalysts for acceptorless dehydrogenation of *N*-heterocycles under additive-free conditions under N₂. Pt metal nanoparticles-loaded carbon (Pt/C) was the best catalyst for the dehydrogenation of 6-methyl-1,2,3,4-tetrahydro-quinoline to 6-methyl-quinoline, and Pt/C was reusable. Various *N*-heterocycles, derivatives of tetrahydroquinoline and indoline, were converted to quinolines and indole with high yields. For the dehydrogenation of 1,2,3,4-tetrahydroquinoline, turnover number (TON) of Pt/C was more than one order of magnitude higher than those of the previous homogeneous and heterogeneous catalysts. Additionally, the same catalyst was effective

for the reverse reaction, hydrogenation of quinoline under 3 bar H₂. Thus, this catalytic method may be useful for an organic halide-based hydrogen storage system.

In chapter 3, a series of transition metal-loaded metal oxide catalysts were examined for the synthesis of indoles via acceptorless dehydrogenative cyclization of 2-(2-aminophenyl) ethanol. Pt/Nb₂O₅ and Pt/HBEA were found to be effective heterogeneous catalysts for the reaction. These catalysts showed higher TON than previously reported catalysts, and the Pt/Nb₂O₅ catalyst was reusable. The reaction consists of dehydrogenation and cyclodehydration steps with elimination of water as byproduct.

In chapter 4, the author examined various metal loaded-SnO₂ and supported Pt catalysts for acceptorless dehydrogenative coupling of primary alcohols to esters under additive-free and solvent-free conditions. Among screened catalysts, Pt/SnO₂ was most active. The method was effective for various primary alcohols under additive-free and solvent-free conditions, and the catalyst was reusable. IR study shows that activation of carbonyl groups in aldehydes is the primary important role of the SnO₂ support. Combined with other mechanistic study a reaction pathway is proposed; the reaction of alcohol with the aldehyde coordinated to Sn⁴⁺ Lewis acid site to give a hemiacetal intermediate followed by its dehydrogenation to the ester. This catalytic system is the first example of heterogeneous catalyst for this reaction, which provides one of the most atom-efficient and step-efficient catalytic routes to esters from readily available starting materials, alcohols.

Chapter 5 is the general conclusion. Chapter 2-4 show systematic examples of new heterogeneous catalysts for acceptorless dehydrogenation reactions of amines (*N*-heterocycles) and alcohols for the synthesis of chemicals under additive-free conditions with liberation of H₂ as a byproduct. The heterogeneous catalysts developed in this work have advantages over the previous homogeneous catalysts, including good catalyst reusability and high TON, and hence will provide practical methods for sustainable production of chemicals.

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Chapter 1

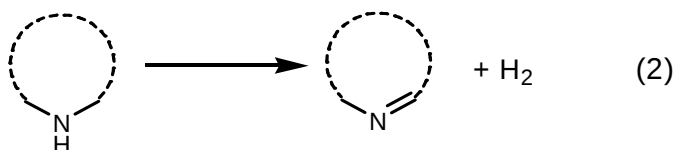
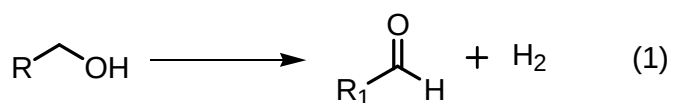
General Introduction

1. Introduction

Green chemistry is also known as sustainable chemistry, is an area of chemistry and chemical engineering focused on the design of products and processes that minimize the use and generation of hazardous substances.¹ The principle of green chemistry provide the concept of an ideal synthesis in terms of catalytic activity, selectivity, atom efficiency, step-efficiency and toxicity.^{2,3} Researchers get inspiration from this concept to develop new atom-economical, environmentally benign synthetic methodology.

1.1. Catalytic Acceptorless Dehydrogenation of alcohols and cyclic amines

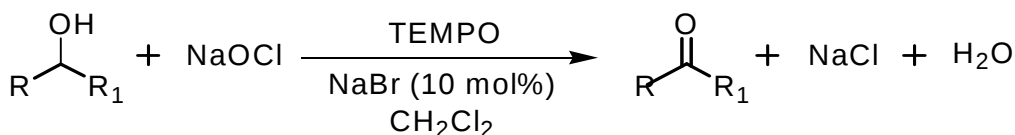
The dehydrogenation of alcohols (eqn.-1) and cyclic amines (eqn.-2) is of predominant importance in organic synthesis because of wide applications of carbonyl compounds and nitriles as important intermediates in medicines, agricultural chemicals and fragrances.⁴⁻⁷



Catalytic dehydrogenation (CDH) is a very important reaction in the manufacturing of vendible chemicals.⁸ Although CDH offers considerable benefits with respect to atom economy and environmental impact because of the avoidance of stoichiometric oxidants, it has been much less used in the synthesis of fine chemicals, pharmaceuticals, and agrochemicals. Inspite of sacrificial hydrogen acceptors and additives are frequently used, CDH of amines and alcohols has been realized with metal complexes in recent years.⁹ More recently, Fujita and Yamaguchi reported the first example of homogeneous

dehydrogenation of tetrahydroquinolines using a [Cp*Ir(2-hydroxypyridine)] catalyst.¹⁰ The limitation of this method is only a few examples of 1,2,3,4-tetrahydroquinolines were demonstrated and the reaction conditions were relatively forcing [2 mol% catalyst for 20 h in refluxing *p*-xylene (bp 138 °C) or 5 h in mesitylene (bp 165 °C)].

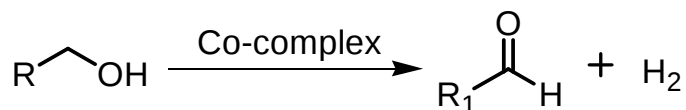
Acceptorless Dehydrogenation (AD) reactions can result not only in simple removal of hydrogen gas from various substrates but also, importantly, in surprisingly efficient and environmentally benign (“green”) synthetic methodologies when intermediates resulting from the initial dehydrogenation process undergo further reactions. Conventionally, metal-based oxidants such as dichromate,¹¹ permanganate ions¹² in the presence of strong mineral acids, silver oxide,¹³ and lead tetraacetate¹⁴ in stoichiometric amounts^{6,15} are used for dehydrogenations of alcohols and amines. On the contrary, in some cases precious metals have been used as efficient catalysts with molecular oxygen as main oxidant to effect this transformation.¹⁶ A few reports are found by using organic oxidants such as TEMPO (scheme 1), which is applied for the purpose of dehydrogenation reaction.¹⁷ For the above processes where acceptor¹⁸ is used, the reactions generate excessive amounts of toxic metal salts waste¹⁹ which is undesirable from both economical and environmental points of view or have difficulties to recover the expensive metal catalysts.



Scheme 1: Acceptorless dehydrogenation of alcohols using acceptor.¹⁷

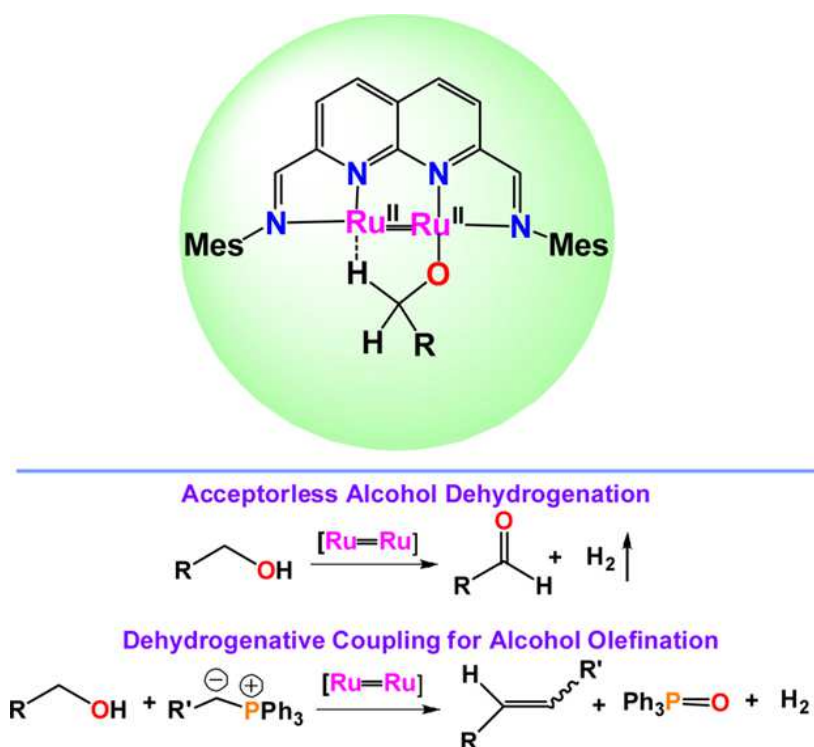
To overcome the drawbacks of the dehydrogenation method using acceptor, acceptorless method are also developed. The acceptorless dehydrogenation of alcohols is an efficient and atom-economical method for the conversion of alcohols into carbonyl compounds and other derivatives.^{20,21} Alcohol dehydrogenation are used in hydrogen

storage and production, as a selective and low-temperature route to generate hydrogen from biomass-derived alcohols and carbohydrates.²² G. Zhang et al. reported Co-complex²³ (scheme 2) catalyzed acceptorless dehydrogenation of alcohols, where no oxidant was used and no byproduct were produced. But the reported method is homogeneous, additives were used and showed lower turnover number.



Scheme 2: Co-complex catalyzed acceptorless dehydrogenation of alcohols.²³

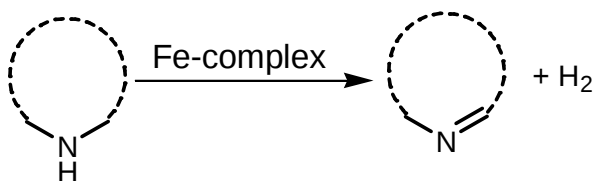
J. K. Bera et al. reported diruthenium-complex²⁴ catalyzed acceptorless dehydrogenation of alcohols (scheme 3). They have synthesized a diruthenium (II,II) complex incorporating a naphthyridine–diimine ligand. The ligand structure offers accessible sites trans to the naphthyridine unit. They showed that the title compound was an excellent catalyst for acceptorless dehydrogenation of alcohols to the corresponding carbonyl compounds. That diruthenium assembly was surprisingly effective for the clean conversion of primary alcohols to the corresponding aldehydes and no ester product was found as side products. They give a possible explanation for the above mechanism, that is, the generated aldehyde is rapidly extruded from the [Ru-Ru] core and hence the hemiacetalization is hindered. The same catalyst was also used for catalytic olefination of alcohols using ylides to react with the in situ produced aldehyde. They show kinetic experiments, isotope labeling studies, and DFT calculations point to a bimetallic cooperative mechanism that operates on the equatorial platform. A low-energy bimetallic β -hydride elimination makes dehydrogenation process the rate-limiting step.



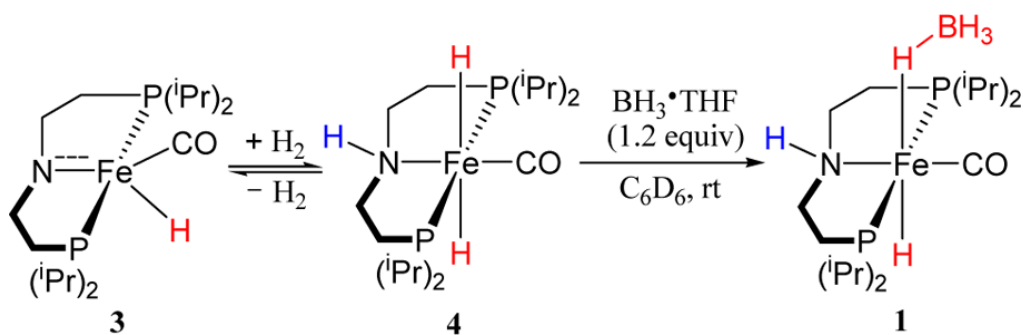
Scheme 3: [Ru=Ru] catalyzed acceptorless dehydrogenation of alcohols.²⁴

Recently William D. Jones et al. reported the acceptorless dehydrogenation and hydrogenation of *N*-heterocycles (scheme 4) with a single molecular iron catalyst.²⁵ They have demonstrated reversible dehydrogenation–hydrogenation of *N*-heterocycles with earth-abundant iron-based molecular catalysts. Products from both the dehydrogenation and hydrogenation reactions were isolated in good yields. They demonstrated that penta-coordinated iron hydride species 3 (Scheme 5) and the proposed dehydrogenation intermediate, was isolated by an independent route, which was directly involved in the catalysis. The initial amine dehydrogenation step was supported by substrate-driven mechanistic studies and provide evidence against direct alkane dehydrogenation from the partially oxidized *N*-heterocycles. They found that presence of the N atom in the

molecule was critical for a successful catalytic dehydrogenation. On the contrary, a trans-dihydride species **4** (Scheme 5) was acted as the active catalyst for the hydrogenation of *N*-heterocycles. The formation of such a catalyst species was supported by NMR and trapping experiments. These homogeneous catalytic methods suffer from drawbacks of difficulties in product/catalyst separation and no reusability of the catalyst, lower turnover number and in some cases use of additive.



Scheme 4: Fe-complex catalyzed acceptorless dehydrogenation of *N*-heterocycles.²⁵



Scheme 5: NMR and Trapping Experiments to Support the Formation of the trans-Dihydride Intermediate.²⁵

Now a days, several heterogeneous catalysts have been developed for dehydrogenation of amines and alcohols into their corresponding dehydrogenated products using various oxidizing agents.²⁶ However the previous reported methodologies were mostly involved in over oxidation of the dehydrogenated product and other functional groups on the substrate due to high reaction temperatures which inhibit its

utility for a wide substrate scope.²⁷ Moreover, the formation of byproduct water, produced during dehydrogenation could lead to catalyst deactivation and reusability problems. Alternatively, several precious metal-based heterogeneous catalysts have been developed to overcome these drawbacks and to achieve an efficient oxidant-free dehydrogenation of wide range of alcohols and amines.²⁸

1.2. Acceptorless dehydrogenation of alcohols by heterogeneous catalyst

Our group reported heterogeneous supported metal catalyzed dehydrogenation of alcohols (scheme 6).^{29,30,31} Pt nanocluster-loaded metal oxides, in situ pre-reduced under H₂ at 500 °C, were tested for the dehydrogenation of aliphatic secondary alcohols in the liquid phase. According to the report, activity for dehydrogenation of 2-octanol depended strongly on the acid–base character of the support oxide, and amphoteric oxides, especially γ -Al₂O₃,²⁹ which gave high activity for this reaction. Al₂O₃ supported Pt acted as reusable heterogeneous catalyst for dehydrogenation of aliphatic secondary alcohols to the corresponding ketones. Pt/Al₂O₃ showed higher activity than other M/Al₂O₃ (Co, Ni, Cu, Ru, Rh, Pd, Ag, Re, Ir, Au) catalysts and showed two orders of magnitude higher turnover number (TON) than previously reported Pt catalysts for dehydrogenation of alcohol to ketones.

Another report by our group showed that a series of transition metal(M)-loaded TiO₂ catalysts (M/TiO₂) and Co-loaded catalysts on various support materials were prepared by an impregnation method, followed by in situ H₂-reduction at 400 °C and tested for the acceptorless oxidation of cyclododecanol in the liquid phase. Among several supported metal catalysts including noble metal catalysts, Co/TiO₂³⁰ showed the highest activity for acceptorless dehydrogenation of cyclododecanol.

For the oxidation of secondary alcohols,³¹ acceptorless dehydrogenation were studied by alumina-supported Ni metal nano particles as a heterogeneous and reusable catalyst. nickel-nanoparticle-loaded θ -Al₂O₃ (Ni/ θ -Al₂O₃) was prepared by H₂-reduction of NiO/ θ -Al₂O₃, acts as an effective and reusable heterogeneous catalyst for acceptorless dehydrogenation of alcohols in a liquid phase. Among various supports, amphoteric supports (such as θ -Al₂O₃), having both acidic and basic sites, gave higher activity than

acidic or basic supports for this dehydrogenation reaction.

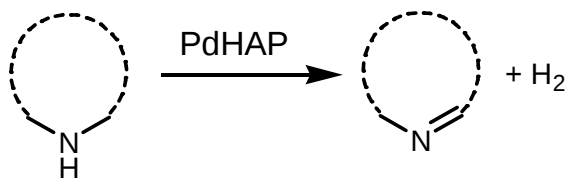


Scheme 6: Dehydrogenation of alcohols by heterogeneous catalyst.^{29,30,31}

1.3. Acceptorless dehydrogenation of cyclic amines by heterogeneous catalyst

Kaneda et al. showed that hydroxyapatite-bound palladium³² was found as an effective catalyst for the dehydrogenation of various types of indolines to give the corresponding indoles. The catalyst was easy recoverable from the reaction mixture, and was reusable without any loss of its catalytic activity. They showed that the dehydrogenation of various indolines in the presence of PdHAP (scheme 7) can also proceed efficiently. In addition to advantages such as simple work-up procedures and the ability to recycle the catalyst, the catalytic system described herein exhibits high catalytic activities as compared to other reported methods. However the method shows low turnover number and substrate scope is limited.

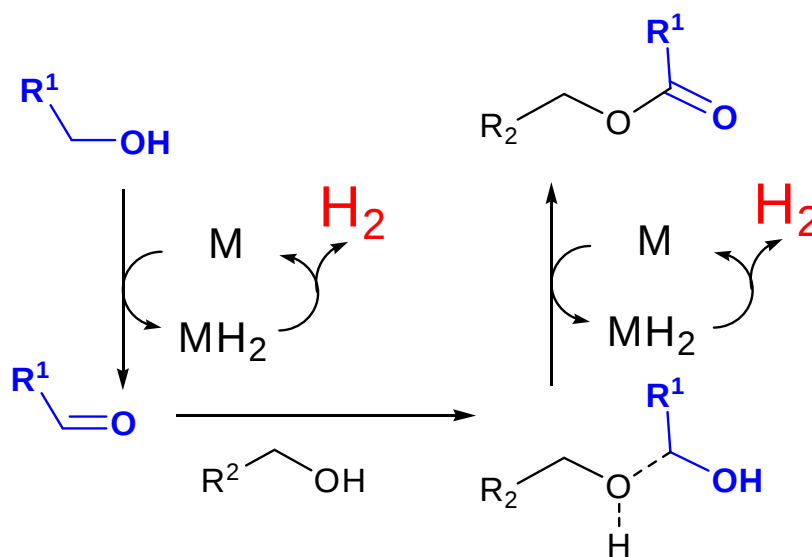
Pravin R. Likhari et al. developed a simple and convenient process for the synthesis of monodispersed and highly stable nanocopper(0)³³ on alumina from copper aluminum hydrotalcite by a chemical reduction method for the dehydrogenation of amines to imines/nitriles and alcohols to carbonyl compounds. The scope of the dehydrogenation reactions were available for several amines such as benzylamines, long-chain alkylamines, heterocyclic amines and alcohols using Cu(0)/Al₂O₃ as an efficient catalyst. The stability of Cu(0)/Al₂O₃ was demonstrated by studying its recovery and reuse properties in the dehydrogenation of amines and alcohols. Thus, Cu(0)/Al₂O₃ constitutes a unique catalytic system and opens up an attractive alternative to expensive noble metal-based catalysts for the acceptorless and oxidant-free dehydrogenation of amines and alcohols.



Scheme 7: Acceptorless dehydrogenation of cyclic amines.³²

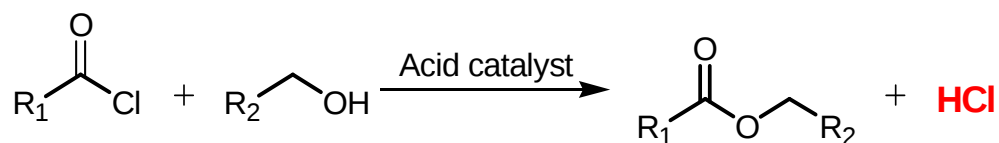
1.4. Acceptorless Dehydrogenative Coupling of Alcohols

Ester can be synthesized by acceptorless dehydrogenative coupling of alcohols. First, metal accept hydrogen from alcohols and convert it to aldehyde. Then another molecule of alcohol combine with aldehyde and a intermediate is formed. This intermediate convert to ester by losing another molecule of hydrogen (scheme 9).



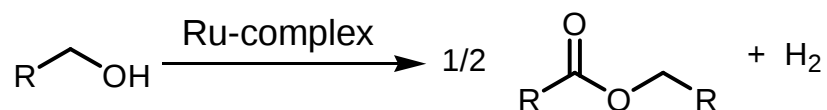
Scheme 9: Catalytic acceptorless dehydrogenative coupling of alcohols to esters.

Alcohols react with acyl chlorides and acid anhydrides to give esters (scheme 10).³⁴ The reactions are irreversible simplifying work-up. Since derivatives of carboxylic acids such as acyl chlorides and acid anhydrides also react with water, anhydrous conditions are preferable for this reaction. This traditional method suffers from drawbacks of stoichiometric amount of toxic reagents and/or promoters generation of waste, separation and purification of intermediates.



Scheme 10: Acid catalyzed ester synthesis from alcohols acid derivatives.³⁴

To overcome the drawbacks of traditional multisteps synthesis, several one-pot acceptorless synthetic methods are developed. Literature showed that the acceptorless catalytic dehydrogenation reactions are one-pot synthesis and most of the methods are homogeneous. Ru-complex³⁵ catalyzed acceptorless dehydrogenation of alcohols to esters are reported by Milstein group. Recently, D. G. Gusev et al. also reported Ru-complex³⁶ catalyzed acceptorless dehydrogenation of alcohols to esters (scheme 11). These one pot catalytic method have several advantages such as this method is environmentally green, no hazardous byproduct or waste is produced and the procedure is relatively safe. But still one-pot synthesis have some problems such as this homogeneous catalytic system suffers from difficulties in catalyst/product separation and no reusability of the catalyst. In some cases the method also shows low TON.



Scheme 11: Acceptorless dehydrogenation of alcohols to esters.³⁶

1.5. Concluding remarks

There is a need to develop heterogeneous catalysts for direct synthesis of chemicals from alcohols and cyclic amines under additive-free and acceptorless dehydrogenation methodology. The reusable heterogeneous catalyst will provide practical methods for sustainable production of chemicals with substrate scope and high TON.

1.6. Outlines of thesis

This thesis focuses on direct synthesis of chemicals from alcohols and cyclic amines under additive-free and acceptorless dehydrogenation methodology. The objective of this thesis is to develop new heterogeneous catalysts for acceptorless dehydrogenation of cyclic amines and dehydrogenative one-pot bond formation reactions of alcohols. The thesis will show supported Pt nanoparticles are efficient and reusable heterogeneous catalysts for these reactions under additive-free conditions. Characterization of catalysts and model reactions are also studied to discuss catalyst design concept.

In chapter 2, the author investigated various metal loaded carbon catalysts and various supported Pt catalysts for acceptorless dehydrogenation of *N*-heterocycles under additive-free conditions under N₂. Pt metal nanoparticles-loaded carbon (Pt/C) was the best catalyst for the dehydrogenation of 6-methyl-1,2,3,4-tetrahydro-quinoline to 6-methyl-quinoline, and Pt/C was reusable. Various *N*-heterocycles, derivatives of tetrahydroquinoline and indoline, were converted to quinolines and indole with high yields. For the dehydrogenation of 1,2,3,4-tetrahydroquinoline, turnover number (TON) of Pt/C was more than one order of magnitude higher than those of the previous

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In chapter 3, a series of transition metal-loaded metal oxide catalysts were examined for the synthesis of indoles via acceptorless dehydrogenative cyclization of 2-(2-aminophenyl) ethanol. Pt/Nb₂O₅ and Pt/HBEA were found to be effective heterogeneous catalysts for the reaction. These catalysts showed higher TON than previously reported catalysts, and the Pt/Nb₂O₅ catalyst was reusable. The reaction consists of dehydrogenation and cyclodehydration steps with elimination of water as byproduct.

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Chapter 5 is the general conclusion. Chapter 2-4 show systematic examples of new heterogeneous catalysts for acceptorless dehydrogenation reactions of amines (*N*-heterocycles) and alcohols by for the synthesis of chemicals under additive-free conditions with liberation of H₂ as a byproduct. The heterogeneous catalysts developed in this work have advantages over the previous homogeneous catalysts, including good catalyst reusability and high TON, and hence will provide practical methods for sustainable production of chemicals.

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Chapter 2

Acceptorless Dehydrogenation of *N*-heterocycles by supported Pt catalysts

2.1. Introduction

Catalytic dehydrogenation¹⁻⁹ and oxidation¹⁰⁻²¹ of saturated *N*-heterocycles are of fundamental importance in the synthesis of nitrogen-containing aromatics. Previous methods¹⁰⁻²¹ are based on the catalytic oxidation of *N*-heterocycles using an external oxidant such as O₂ and stoichiometric oxidants, but use of the oxidant potentially limits selectivity and functional group tolerance. An alternative method is the catalytic dehydrogenation of *N*-heterocycles in the absence of oxidants.¹⁻⁹ Recently reported homogeneous catalytic methods with Ir,^{4,5,7} Ru,⁸ Co⁶ and Fe⁹ catalysts were effective for the reaction, but most of these methods have drawbacks such as low turnover number (TON) and difficulties in catalyst/product separation and reuse of the homogeneous catalyst.⁵⁻⁹ A few reports showed acceptorless dehydrogenation of *N*-heterocycles with heterogeneous catalysts.¹⁻³ For example, Kaneda et al. developed Pd¹ and Cu catalysts² for dehydrogenation of indolines and tetrahydroquinoline, respectively. For a model dehydrogenation of 1,2,3,4-tetrahydroquinoline, the previous homogeneous^{4,7,8,6,9} and heterogeneous^{2,3} catalysts showed limited turnover number (TON) in a range of 3.3-87. Among these examples, a few studies have succeeded in the reversible dehydrogenation-hydrogenation reactions of *N*-heterocycles with a single catalyst.^{2,4-6,9} This reversible transformation is of particular importance from a viewpoint of organic hydrides for hydrogen storage system. As a part of our continuous studies in heterogeneous catalysis for oxidant-free dehydrogenation reactions,²² we report herein dehydrogenation of saturated *N*-heterocycles by a Pt/C catalyst, which shows higher TON for dehydrogenation of tetrahydroquinoline than previous catalytic systems. Additionally, Pt/C is effective for the reverse reaction, that is hydrogenation of quinoline into tetrahydroquinoline under 3 bar H₂.

2.2. Experimental

2.2.1. Catalyst Preparation

Commercially available organic and inorganic compounds (Tokyo Chemical Industry, Kanto Chemical) were used without further purification. The standard carbon support, Vulcan XC72 (210 m² g⁻¹), was commercially supplied. γ -Al₂O₃ (124 m² g⁻¹) was

prepared by calcination of γ -AlOOH (Catapal B Alumina from Sasol) at 900 °C for 3 h. SiO₂ (Q-10, 300 m² g⁻¹) was supplied from Fuji Silysia Chemical Ltd. TiO₂ (JRC-TIO-4, 50 m² g⁻¹), MgO (JRC-MGO-3, 19 m² g⁻¹), SiO₂-Al₂O₃ (JRC-SAL-2, Al₂O₃ = 13.75 wt%, 560 m² g⁻¹) and HBEA zeolite (SiO₂/Al₂O₃ = 25±5, JRC-Z-HB25) were supplied from Catalysis Society of Japan. Nb₂O₅ (54 m² g⁻¹), ZrO₂ and SnO₂ were prepared by calcination of Nb₂O₅.*n*H₂O (CBMM), ZrO₂.*n*H₂O and H₂SnO₃ (Kojundo Chemical Laboratory Co., Ltd.), respectively, at 500 °C for 3 h.

A precursor of the 5 wt% Pt/C catalyst was prepared by impregnation method; a mixture of carbon (Vulcan-XC72) and aqueous HNO₃ solution of Pt(NH₃)₂(NO₃)₂ (Furuya Metal Co., Ltd.) was evaporated at 50 °C, followed by drying at 90 °C for 12 h. The reduced Pt/C catalyst, designated as Pt/C, was prepared by reduction of the precursor (Pt(NH₃)₂(NO₃)₂-loaded carbon) in a pyrex tube under H₂ flow (20 cm³ min⁻¹) at 300 °C for 0.5 h. The other Pt catalysts were prepared by the same method as Pt/C. Carbon-supported metal catalysts, designated as M/C (M = Rh, Pd, Ir, Ru, Ni, Cu, Co, Ag), with metal loading of 5 wt% were prepared by impregnation method by the similar manner as Pt/C using aqueous solution of metal nitrates (for Ni, Cu, Co, Ag) or IrCl₃.*n*H₂O or aqueous HNO₃ solution of Rh(NO₃)₃ (Furuya Metal Co., Ltd.) or Pd(NH₃)₂(NO₃)₂ (Kojima Chemicals Co., Ltd.). These catalysts were reduced under H₂ flow at 300 °C for 0.5 h. Platinum oxides-loaded carbon (PtOx/C) was prepared by calcination of the Pt(NH₃)₂(NO₃)₂-loaded C in air at 300 °C for 0.5 h.

2.2.2. Catalyst Characterization

Temperature programmed reduction by H₂ (H₂-TPR) was carried out by BELCAT (MicrotracBEL). PtOx/C (20 mg) in a quartz tube was heated with a temperature ramp-rate of 10 °C min⁻¹ in a flow of 5% H₂/Ar (20 cm³ min⁻¹). The effluent gas was passed through a trap containing MS4Å to remove water, then through the thermal conductivity detector, which detected the amount of H₂ consumed during the experiment. The number of surface Pt⁰ atoms on Pt/C, pre-reduced in H₂ at 300 °C for 0.5 h, was estimated from the CO uptake of the samples at room temperature using the pulse-adsorption of CO in a flow of He by BELCAT (MicrotracBEL). The average Pt

particle size was calculated from the CO uptake assuming that CO was adsorbed on the surface of spherical Pt particles at a stoichiometry of CO/(surface Pt atom) = 1/1. Transmission electron microscopy (TEM) observation of Pt/C was carried out by a JEOL JEM-2100F TEM operated at 200 kV.

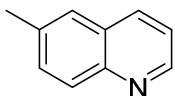
2.2.3. Catalytic tests

Typically, 5 wt% Pt/C was used as the standard catalyst. After the H₂-reduction of the catalyst at 300 °C, catalytic tests were carried out using a batch-type reactor without exposing the catalyst to air as follows. A mixture of 6-methyl 1,2,3,4-tetrahydroquinoline (1.0 mmol) and *n*-dodecane (0.29 mmol) in *o*-xylene (1.5 mL) was injected to the pre-reduced catalyst inside the reactor (cylindrical glass tube) through a septum inlet, followed by filling N₂. Then, the resulting mixture in a 15 mL of closed reflux system under 1 atm N₂ was magnetically stirred and was heated to reflux temperature; the bath temperature was 160 °C and reaction temperature was *ca.* 144 °C. The yield of 6-methyl-quinoline was determined by GC (Shimadzu GC-14B with Ultra ALLOY capillary column UA⁺-1 of Frontier Laboratories Ltd., N₂) using *n*-dodecane as an internal standard. Typically, the error in the yield determined by GC was ±1.5%. To determine the isolated yield of 6-methyl-quinoline, 6-methyl-quinoline was isolated by column chromatography using silica gel 60 (spherical, 63-210 µm, Kanto Chemical Co. Ltd.) with hexane/ethylacetate (90/10) as the eluting solvent, followed by analyses by GCMS and ¹H and ¹³C NMR. The hydrogenation of quinoline was carried out by a stainless autoclave (28 cm³) at 160 °C under 3 bar H₂.

2.2.4. NMR and GCMS analysis

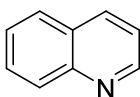
¹H and ¹³C NMR spectra were recorded at ambient temperature by JEOL-ECX 600 operating at 600.17 and 150.92 MHz, respectively with tetramethylsilane as an internal standard. All chemical shifts (δ) are reported in ppm and coupling constants (*J*) in Hz. All chemical shifts are reported relative to tetramethylsilane and *d*-solvent peaks (77.00 ppm, chloroform), respectively. Abbreviations used in the NMR experiments: s, singlet; d, doublet; t, triplet; m, multiplet. GC-MS spectra were recorded by SHIMADZU QP2010.

2.2.4.1. 6-Methyl-quinoline (Table 2.3, entry 1)¹⁸



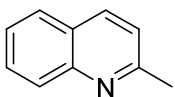
¹H NMR (600.17 MHz, CDCl₃), TMS: δ 8.51 (d, *J* = 2.70 Hz, 1H), 7.73 (d, *J* = 8.94 Hz, 1H), 7.54 (d, *J* = 7.56 Hz, 1H), 7.12 (d, *J* = 8.22 Hz, 1H), 7.05 (s, 1H), 6.98-6.87 (m, 1H), 2.09 (s, 3H); ¹³C NMR (150.91 MHz, CDCl₃) δ 148.63, 146.11, 135.36, 134.39, 130.82, 128.27, 127.44, 125.79, 120.17, 20.66; MS m/e 143.07.

2.2.4.2. Quinoline (Table 2.3, entry 2)¹⁶



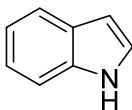
¹H NMR (600.17 MHz, CDCl₃), TMS: δ 8.89 (d, *J* = 2.76 Hz, 1H), 8.12-8.07 (m, 2H), 7.76 (d, *J* = 8.22 Hz, 1H), 7.68 (t, *J* = 7.56 Hz, 1H), 7.50 (t, *J* = 7.56 Hz, 1H), 7.33-7.32 (m, 1H); ¹³C NMR (150.91 MHz, CDCl₃) δ 150.15, 148.02, 135.81, 129.22, 129.19, 128.03, 127.57, 126.30, 120.83; MS m/e 129.04.

2.2.4.3. 2-Methyl-quinoline (Table 2.3, entry 3)²³



¹H NMR (600.17 MHz, CDCl₃), TMS: δ 8.03 (d, *J* = 8.28 Hz, 1H), 7.80 (d, *J* = 8.28 Hz, 1H), 7.59 (d, *J* = 7.56 Hz, 2H), 7.34 (t, *J* = 6.90 Hz, 1H), 7.05 (t, *J* = 6.18 Hz, 1H), 2.64 (s, 3H); ¹³C NMR (150.91 MHz, CDCl₃) δ 158.13, 147.18, 135.35, 128.68, 127.95, 126.82, 125.77, 124.93, 121.22, 24.65; MS m/e 143.07.

2.2.4.4 Indole (Table 2.3, entry 4)¹⁴



¹H NMR (600.17 MHz, CDCl₃), TMS: δ 8.09 (s, NH, 1H), 7.65 (d, *J* = 8.28 Hz, 1H), 7.38 (d, *J* = 8.28 Hz, 1H), 7.19 (m, 2H), 7.12 (t, *J* = 7.20 Hz, 1H), 6.55 (s, 1H); ¹³C NMR

(150.91 MHz, CDCl₃) δ 135.74, 127.82, 124.10, 121.96, 120.71, 119.79, 111.00, 102.60; MS m/e 117.10.

2.3. Result and discussion

2.3.1. Catalyst characterization

Fig. 2.1 shows temperature programmed H₂-reduction (H₂-TPR) profile of Pt(NH₃)₂(NO₃)₂-loaded carbon as the precursor of Pt/C. The H₂-TPR profile shows H₂ consumption peaks below 250 °C assignable to the reduction of Pt(II) to metallic Pt. This indicates that the standard Pt/C catalyst pre-reduced at 300 °C contains metallic Pt. Fig. 2.2 shows a representative TEM image and Pt size distribution of Pt/C. The average diameter of Pt particles for 98 particles was 2.9 $\pm$ 0.8 nm, and the volume-area mean diameter of Pt particles was 3.5 $\pm$ 0.8 nm. The volume-area mean diameter (TEM analysis) was consistent with the mean diameter estimated by the CO adsorption experiment (3.2 nm) within the experimental error of TEM analysis, which supported the TEM results. Summarizing the above characterization results, we conclude that Pt species in the standard Pt/C catalyst are present as 3.5 nm sized Pt metal nanoparticles.

2.3.2. Catalytic tests

In order to optimize catalyst compositions and reaction conditions, we carried out dehydrogenation of 6-methyl-1,2,3,4-tetrahydroquinoline (**1a**) into 6-methyl-quinoline (**1b**) under refluxing of *o*-xylene in 1 atm N₂ for 6 h in the presence of 1 mol% of Pt. Table 2.1 shows the results of the Pt catalysts on various supports pre-reduced at 300 °C. Among the catalysts tested, Pt/C (entry 1) showed the highest yield (81%). Pt/SiO₂ and Pt/HBEA (entries 4,5) showed 25% yields, and Pt/Al₂O₃, Pt/MgO, Pt/TiO₂, Pt/Nb₂O₅, Pt/ZrO₂ and Pt/SnO₂ gave low yields of 6-19%. The platinum oxides-loaded carbon (PtOx/C) catalyst showed no activity (entry 3). When the pre-reduced Pt/C was exposed to air at room temperature for 0.5 h, the air-exposed catalyst (Pt/C-air in entry 2) showed lower yield (58%) than the as-reduced Pt/C catalyst (81%) possibly due to the oxidation of some of the surface Pt⁰ species. These results indicate that metallic Pt⁰ is the active species in this catalytic system.

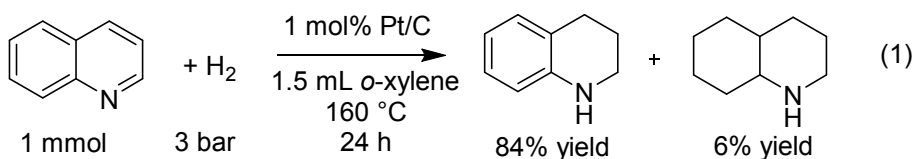
Adopting carbon as the most effective support material, we next screened various metal-loaded carbon (M/C) catalysts for the model dehydrogenation of **1a** (1 mmol) using only 0.1 mol% (0.001 mmol) of active metals (Pt, Ir, Ag, Pd, Rh, Ru, Cu, Ni, Co). Table 2.2 lists the yield of **1b** for the catalysts in the initial period of the reaction (6 h). The yields changed in the order of Co/C < Ag/C < Cu/C < Ni/C < Ru/C < Ir/C < Pd/C < Rh/C < Pt/C. Fig. 2.3 (left) compares the time course of the reaction for representative catalysts. Among the catalysts, Pt/C catalyst showed the highest activity in terms of the initial rate and the final yield (96%) after 50 h. The final yields for Ir/C (83 %), Pd/C (72%) and Ru/C (70%) were moderately high, while the other catalysts showed low yields (< 40%) after 50 h. For the standard Pt/C catalyst, the time course profile in Fig. 2.3 (right) shows that the conversions of **1a** and the yields of **1b** were nearly close to each other during the reaction, which indicates that **1a** is selectively transformed to **1b**.

Fig. 2.4 shows the results of catalyst reusability of Pt/C for the dehydrogenation of **1a**. After the first cycle, 2-propanol (1 mL) was added to the reaction mixture and the catalyst was separated by centrifugation. Then, 6-methyl-quinoline (**1b**) in the solution was isolated by column chromatography. The yield of the isolated 6-methyl-quinoline (94%) was close to the yield determined by GC (98%). The separated Pt/C catalyst was washed with acetone three times, followed by centrifugation and drying in oven (under air) at 90 °C for 12 h and by reduction in H₂ at 300 °C for 0.5 h. After the treatment, the recovered Pt/C catalyst showed 96-98% GC yields of **1b** during the next four recycle tests. In a separate experiment, ICP-AES analysis of the filtrate after the first reaction with Pt/C showed that the content of Pt in the solution was 0.35 ppm, corresponding to 0.024% of Pt in the catalyst used.

Table 2.3 shows the substrate scope for dehydrogenation of various *N*-heterocycles (1 mmol) in the presence of 0.1 mol% (0.001 mmol) of Pt/C. Derivatives of tetrahydroquinoline, including 6-methyl-1,2,3,4-tetrahydroquinoline (entry 1), 1,2,3,4-tetrahydroquinoline (entry 2) and 2-methyl-1,2,3,4-tetrahydroquinoline (entry 3) were transformed to 6-methyl-quinoline, quinoline and 2-methyl-quinoline, respectively, with high yields (91-96%). Indoline (entry 4) was also converted to indole with high yield

(97%). For the dehydrogenation of 1,2,3,4-tetrahydroquinoline (entry 2), 91% yield of quinoline corresponds to TON of 910, which is higher than those of the previous homogeneous^{4,7,8,6,9} and heterogeneous^{2,3} catalysts (TON = 3.3-87). These results show that the method is effective for the oxidant-free dehydrogenation of various *N*-heterocycles.

Under 3 bar H₂, the same catalytic system was effective for hydrogenation of quinoline. As shown in eqn. (1), the hydrogenation reaction of quinoline by 1 mol% of the Pt/C catalyst under 3 bar H₂ in an autoclave reactor at 160 °C gave 1,2,3,4-tetrahydroquinoline in 84% yield together with 6% yield of *trans*-decahydroquinoline as an undesirable side product.



Finally, we study the relationship between the electronic properties of various metals and the catalytic activity of various metals loaded on carbon for the dehydrogenation of **1a** and discuss a possible reason why Pt/C gave higher activity than the other metals (Table 2.2). Fig. 2.5 plots the initial rate of the dehydrogenation (from Table 2.2²⁴) as a function of the d-band center (ϵ_d) relative to the Fermi energy (E_F), $\epsilon_d - E_F$, for the clean metal surface calculated by Hammer and Nørskov using DFT method.²⁵ The d-band center has been used as a descriptor of activity trends in various transition metal surfaces.²⁵⁻²⁷ The result in Fig. 2.5 shows a typical volcano-type dependence of the catalytic activity on the d-band center; the platinum-group-metals (Pt, Ir, Pd, Rh, Ru) having intermediate d-band center show higher catalytic activity than the metals with a deep ϵ_d levels (Ag, Cu) and the metals with d-band centers close to E_F (Ni, Co). Taking into account a general tendency that the bond strength between a metal surface (M) and a hydrogen atom (H) is weaker for a metal with deeper ϵ_d level²⁶ and that the catalytic dehydrogenation of *N*-heterocycles can include the formation and dissociation of M-H bonds, the result suggests that a moderate M-H bond strength is favorable for this

catalytic system. The metals with a deep ε_d levels (Ag, Cu) may show low activity for the C-H dissociation step than platinum-group-metals, while strong M-H bonds on the metals with d-band centers close to E_F (Ni, Co) may show low activity for the M-H dissociation step (H_2 desorption step). Similar volcano-type dependence has been observed for several catalytic systems.^{26,27}

2.4. Conclusions

We found that Pt metal nanoparticles-loaded carbon (Pt/C) was effective and reusable heterogeneous catalyst for oxidant-free dehydrogenation of *N*-heterocycles. Derivatives of tetrahydroquinoline and indoline were converted to quinolines and indole with high yields. For the dehydrogenation of 1,2,3,4-tetrahydroquinoline, TON of Pt/C was more than one order of magnitude higher than those of the previous homogeneous and heterogeneous catalysts. Additionally, the same catalyst was effective for the reverse reaction, hydrogenation of quinoline under 3 bar H_2 . Thus, this catalytic method may be useful for a organic halide-based hydrogen storage system.

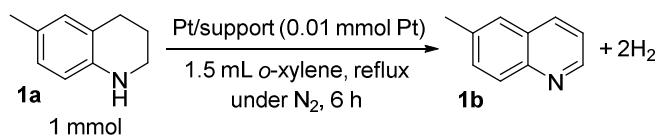
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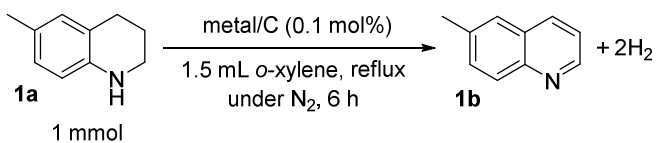
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24. H_2 -TPR showed that the precursors of Ni/C and Co/C catalysts were reduced to metallic states by reduction at 500 °C. The catalytic tests of Ni/C and Co/C catalysts after reduction at 500 °C are shown in parentheses of Table 2, and the results are converted to the reaction rates in Fig. 5.
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Table 2.1. Dehydrogenation of **1a** by supported Pt catalysts reduced at 300 °C.



Entry	Catalyst	Yield (%)
1	Pt/C	81
2	Pt/C-air	58
3	PtOx/C	0
4	Pt/SiO ₂	25
5	Pt/HBEA	25
6	Pt/SiO ₂ -Al ₂ O ₃	19
7	Pt/Al ₂ O ₃	19
8	Pt/MgO	18
9	Pt/TiO ₂	12
10	Pt/Nb ₂ O ₅	16
11	Pt/ZrO ₂	9
12	Pt/SnO ₂	6

a PtOx/C was not reduced before the reaction.

Table 2.2. Dehydrogenation of **1a** by carbon-supported metal catalysts reduced at 300 °C.

Entry	Catalyst	Yield (%)
1	Pt/C	36
2	Rh/C	28
3	Pd/C	26
4	Ir/C	22
5	Ru/C	17
6	Ni/C	11 (7) ^a
7	Cu/C	10
8	Ag/C	6
9	Co/C	5 (7) ^a

^a Catalyst reduction temperature was 500 °C.

Table 2.3. Dehydrogenation of saturated *N*-heterocycles by Pt/C.^a

Entry	Substrate	Product	<i>t</i> (h)	Conv. (%)	Yield (%)
1	<chem>CC1=CC=C2C(=C1)CCN2</chem>	<chem>CC1=CC=C2C(=C1)C=CC=N2</chem>	50	100	96
2	<chem>C1=CC=C2C(=C1)CCN2</chem>	<chem>C1=CC=C2C(=C1)C=CC=N2</chem>	65	100	91
3	<chem>CC1=CC=C2C(=C1)CCN(C)C2</chem>	<chem>CC1=CC=C2C(=C1)C=CC=N(C)C2</chem>	60	100	93
4	<chem>C1=CC=C2C(=C1)CCN(C)C2</chem>	<chem>C1=CC=C2C(=C1)C=CC=N(C)C2</chem>	45	100	97

^b Conditions: 1 mmol *N*-heterocycles in 1.5 mL *o*-xylene, reflux, 0.1 mol% Pt/C

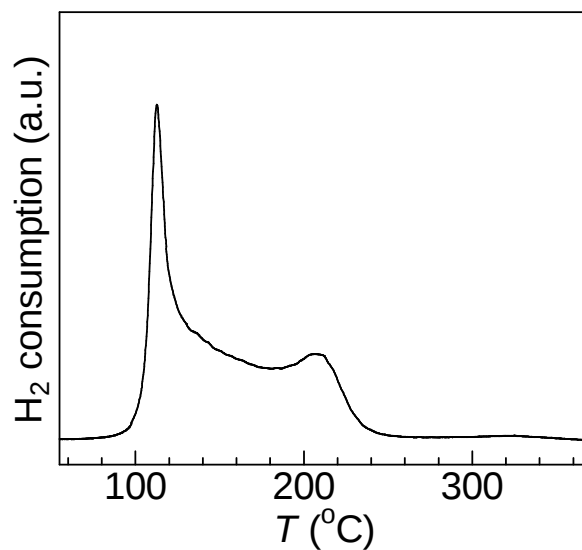


Fig. 2.1 H₂-TPR profile of Pt(NH₃)₂(NO₃)₂-loaded carbon.

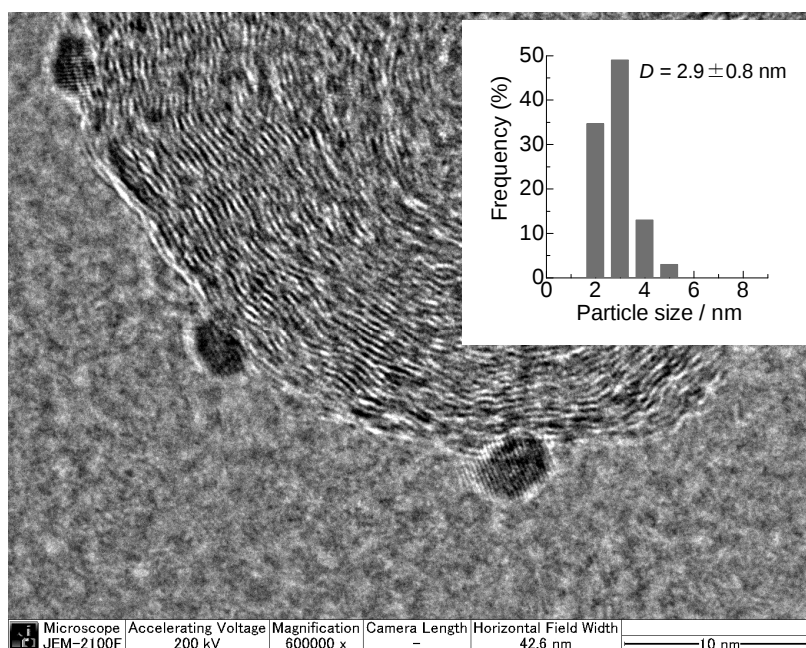


Fig. 2.2 A representative TEM image and Pt particle size distribution of Pt/C (pre-reduced at 300 °C). The mean diameter of Pt particle was 2.9 ± 0.8 nm, and the volume-area mean diameter was 3.5 ± 0.8 nm.

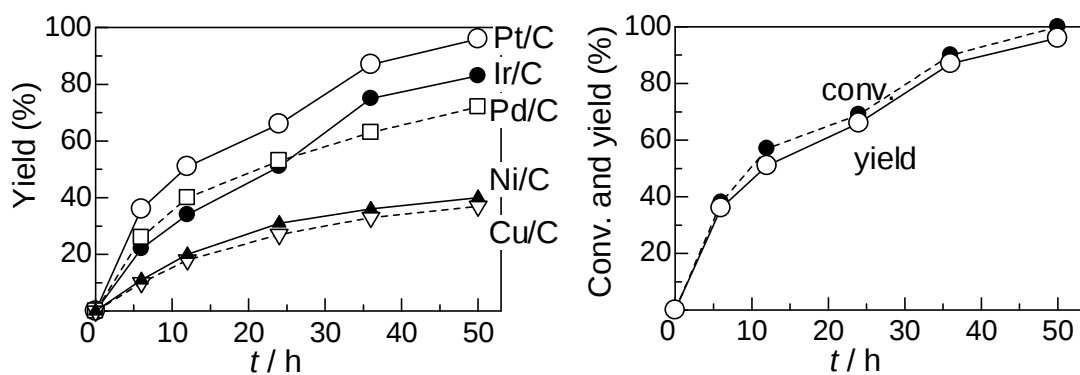


Fig. 2.3 Time-yield profiles for various metal-loaded carbon catalysts (left) and time course of the reaction for the standard Pt/C catalyst (right). Conditions are shown in Table 2.2.

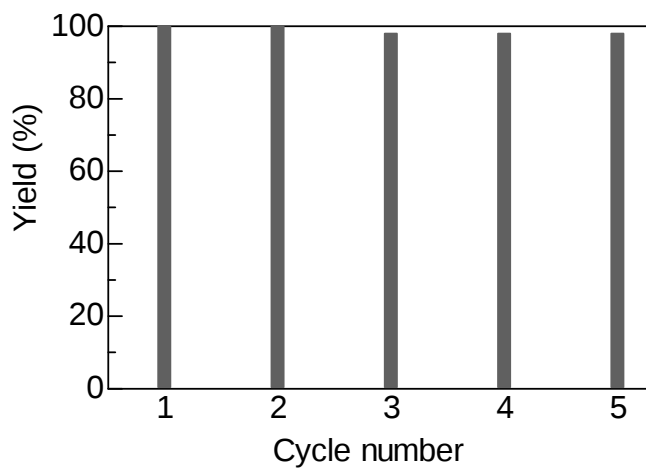


Fig. 2.4. Catalyst reuse for dehydrogenation of **1a** (1 mmol) under N₂ in refluxing *o*-xylene (1.5 mL) for 11 h using Pt/C (1 mol% Pt with respect to **1a**).

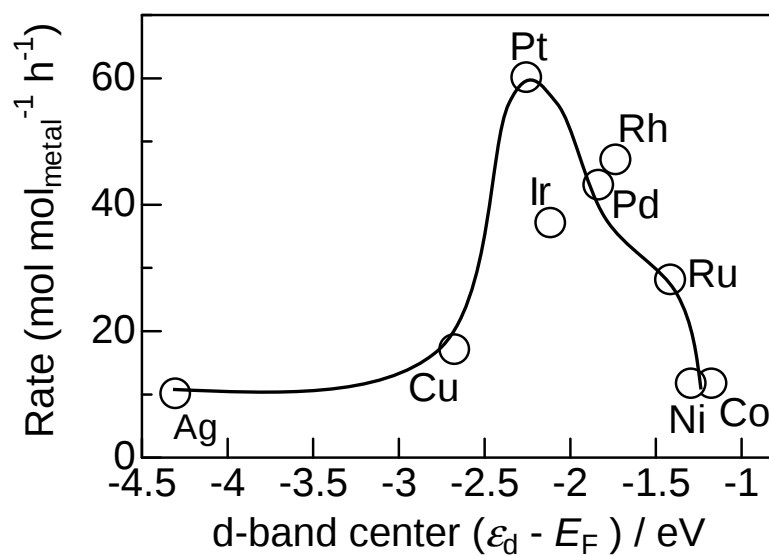


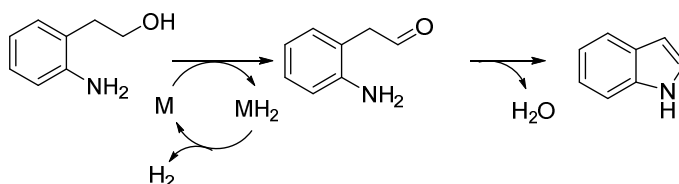
Fig. 2.5. Effect of the d-band center of the metals relative to the Fermi energy²⁵ on the initial rate of **1b** formation for dehydrogenation of **1a** by carbon-supported metal catalysts (from Table 2.2).

Chapter 3

Synthesis of indoles *via* dehydrogenative *N*-heterocyclization by supported Pt catalysts

3.1. Introduction

Indoles are important organic compounds, which are widely used for the syntheses of pharmaceuticals and agrochemicals.¹⁻⁴ Various methods to prepare indoles were reported.¹⁻⁶ Oxidative or dehydrogenative *N*-heterocyclization of 2-(2-aminophenyl) ethanol alcohols is one of the most promising protocols,⁷⁻¹⁷ since the starting alcohol is easily prepared by the condensation of 2-nitrotoluene with formaldehyde in the presence of bases, followed by the reduction of a nitro functionality to an amino one.⁸ Heterogeneous copper catalysts were reported to catalyze the reaction at high temperatures (> 200 °C).⁷ Homogeneous transition metal catalysts, such as ruthenium⁸⁻¹⁰ and iridium¹¹⁻¹⁴ complexes and a copper/TEMPO system¹⁵ catalyzed the reaction at lower temperatures but have problems such as difficulties in catalyst/product separation and catalyst reuse and needs of additives such as base or acceptor. A supported Au catalyst also required basic additives (200 mol% of NaOtBu) and oxidant (O₂).¹⁶ From a viewpoint of sustainable chemistry, acceptorless dehydrogenative coupling methodology^{5,6,18-20} under neutral conditions using a reusable catalyst is most attractive. Recently, Wada and co-workers¹⁷ developed a new heterogeneous catalyst, Ru/CeO₂, that was effective for acceptorless dehydrogenative synthesis of indole from 2-(2-aminophenyl)ethanol in the absence of any additives. As discussed in the previous studies on this reaction, the reaction can proceed via the following two step pathway :



where dehydrogenation of 2-(2-aminophenyl)ethanol by a metal (M) catalyst is followed by condensation of the amino aldehyde intermediate to give indole. As a part of our continuing interest in heterogeneous Pt catalysts for acceptorless dehydrogenation of alcohols²¹ and acceptorless dehydrogenative coupling reactions of alcohols,^{22,23} we report herein the synthesis of indoles via acceptorless dehydrogenative *N*-heterocyclization 2-(2-aminophenyl)ethanol under additive-free conditions by heterogeneous Pt catalysts, which show higher TON than previous catalytic systems.

3.2. Experimental

General

Commercially available organic compounds (from Tokyo Chemical Industry) were used without further purification. The GC (Shimadzu GC-14B) and GCMS (Shimadzu GCMS-QP2010) analyses were carried out with Ultra ALLOY capillary column UA⁺-1 (Frontier Laboratories Ltd.) using nitrogen and helium as the carrier gas.

Catalyst preparation

H⁺-type BEA zeolite (HBEA, SiO₂/Al₂O₃ = 25±5, JRC-Z-HB25), CeO₂ (JRC-CEO-3), MgO (JRC-MGO-3), TiO₂ (JRC-TIO-4) and SiO₂-Al₂O₃ (JRC-SAL-2, Al₂O₃ = 13.75 wt%) were supplied from Catalysis Society of Japan. Nb₂O₅ was prepared by calcination of niobic acid (CBMMI) at 500 °C for 3 h. SnO₂ was prepared by calcination of H₂SnO₃ (Kojundo Chemical Laboratory Co., Ltd.) at 500 °C for 3 h. ZrO₂ was prepared by calcination of a hydroxide of Zr at 500 °C for 3 h.²³ γ-Al₂O₃ was prepared by calcination of γ-AlOOH (Catapal B Alumina purchased from Sasol) at 900 °C for 3 h. Precursor of 5 wt% Pt/Nb₂O₅ was prepared by an impregnation method; a mixture of Nb₂O₅ and an aqueous HNO₃ solution of Pt(NH₃)₂(NO₃)₂ was evaporated at 50 °C, followed by drying at 90 °C for 12 h. A pre-reduced catalyst, named Pt/Nb₂O₅, was prepared by pre-reduction of the precursor in a pyrex tube under a flow of H₂ (20 cm³ min⁻¹) at 300 °C for 0.5 h. Platinum oxides-loaded Nb₂O₅ (PtO_x/Nb₂O₅), as a comparative catalyst, was prepared by calcination of the precursor in air at 300 °C for 3 h. By using various supports, several pre-reduced Pt catalysts were prepared by the same method as Pt/Nb₂O₅. Nb₂O₅-supported metal catalysts, M/Nb₂O₅ (M = Co, Cu, Ru, Pd, Ag, Re, Ir) with metal loading of 5 wt% were prepared by impregnation method in a similar manner as Pt/Nb₂O₅ using an aqueous solution of metal nitrates (for Co, Cu, Ag), RuCl₃, IrCl₃, NH₄ReO₄ or an aqueous HNO₃ solution of Pd(NO₃)₂.

Catalytic tests

Typically, 5 wt% Pt/Nb₂O₅ (39 mg, 0.01 mmol of Pt) was used as a standard catalyst. After the pre-reduction at 300 °C, we carried out catalytic tests using a batch-type reactor

without exposing the catalyst to air as follows. A mixture of 2-(2-aminophenyl)ethanol (1.0 mmol) and *n*-dodecane (0.2 mmol) in *o*-xylene (1 mL) was injected to the pre-reduced catalyst inside the reactor (cylindrical glass tube) through a septum inlet, followed by filling N₂. Then, the resulting mixture was magnetically stirred for 5 h under reflux condition; the bath temperature was 155 °C and reaction temperature was *ca.* 144 °C. The yield of indole was determined by GC using *n*-dodecane as an internal standard. The analysis of the gas phase product (H₂) was carried out by the mass spectrometer (BELMASS). To determine the isolated yield of indole, indole was isolated by column chromatography using silica gel 60 (spherical, 63-210 µm, Kanto Chemical Co. Ltd.) with hexane/ethylacetate (95/5) as the eluting solvent, followed by analyses by GCMS and ¹H and ¹³C NMR (JEOL-ECX 600 operating at 600.17 and 150.92 MHz, respectively) with tetramethylsilane as an internal standard.

NMR and GC/MS analysis

¹H and ¹³C NMR spectra for synthesized compounds were assigned and reproduced to the corresponding literature. ¹H and ¹³C NMR spectra were recorded using at ambient temperature on JEOL-ECX 600 operating at 600.17 and 150.92 MHz, respectively with tetramethylsilane as an internal standard. All chemical shifts (δ) are reported in ppm and coupling constants (*J*) in Hz. All chemical shifts are reported relative to tetramethylsilane and *d*-solvent (CDCl₃) peaks respectively. Abbreviations used in the NMR experiments: s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet. GC-MS spectra was taken by SHIMADZU QP2010.

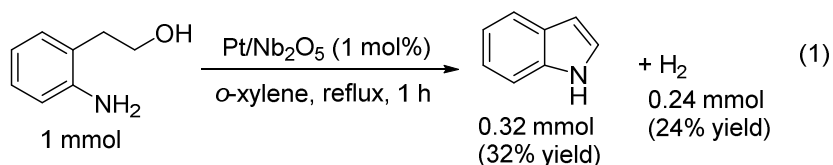
3.3. Results and discussion

Catalyst screening

We started the *N*-heterocyclization of 2-(2-aminophenyl)ethanol under *o*-xylene reflux conditions in N₂ as a model system in order to optimize catalytic conditions. Table 3.1 (entries 1–8) summarizes the results of the initial catalyst screening test under the same reaction conditions using various transition metal (Pt, Ir, Ru, Re, Pd, Co, Cu, Ag)

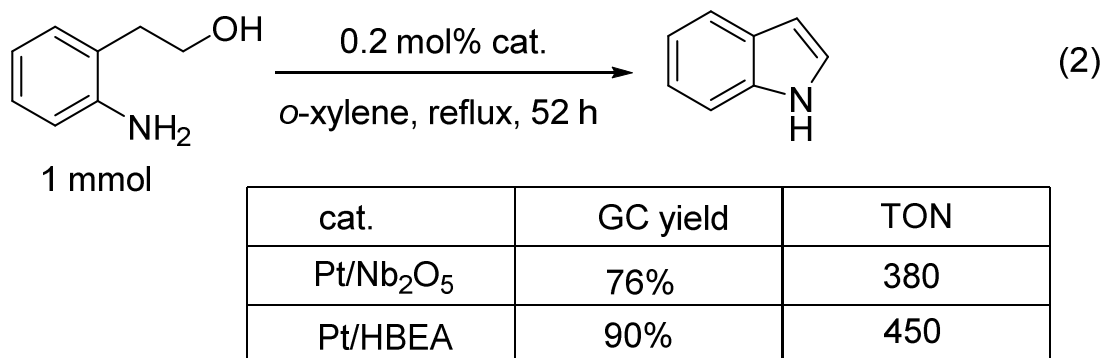
catalysts supported on Nb₂O₅ pre-reduced in H₂ at 300 °C. Cu and Ag catalysts (entries 7,8) showed no activity and Ru, Re, Pd and Co catalysts (entries 3–6) showed low yields. Ir/Nb₂O₅ (entry 2) gave a good yield of 68%. Among the catalysts tested, Pt/Nb₂O₅ (entry 1) showed the highest yield (82%) of indole. Entries 10–19 show the results of Pt catalysts loaded on the other supports (HBEA zeolite, CeO₂, MgO, TiO₂, ZrO₂, Al₂O₃, SnO₂, carbon, SiO₂). Pt/Nb₂O₅ (entry 1) and Pt/HBEA (entry 10) showed higher yields (82–83%) than the other supported Pt catalysts. Pt/CeO₂, Pt/MgO, Pt/TiO₂, Pt/ZrO₂, Pt/Al₂O₃, Pt/SiO₂-Al₂O₃, Pt/C and Pt/SiO₂ (entries 11–19) gave low to moderate yields (9–69%). To study the effect of the oxidation state of Pt species on the activity, we tested the activity of a pre-oxidized catalyst named PtO_x/Nb₂O₅, that is, a platinum oxides-loaded Nb₂O₅ catalyst. PtO_x/Nb₂O₅ (entry 20) showed a lower yield (10%) than with the pre-reduced catalyst, Pt/Nb₂O₅ (entry 1). Taking into account the result that the activity of the metal-unloaded Nb₂O₅ was negligible (entry 9) and the result that the activity depends strongly on the support, it is suggested that the co-presence of metallic Pt species and specific support materials (Nb₂O₅ or HBEA) is important factor of highly active catalysts. Note that indole was not produced in the absence of a catalyst (entry 21).

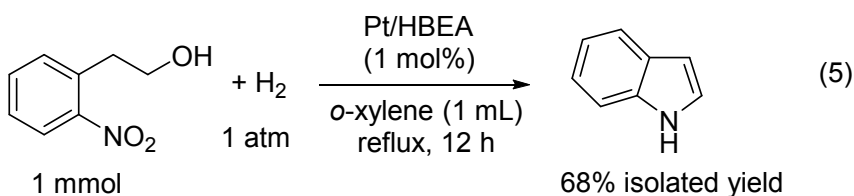
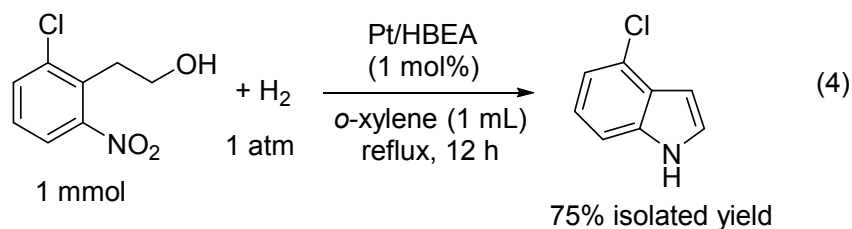
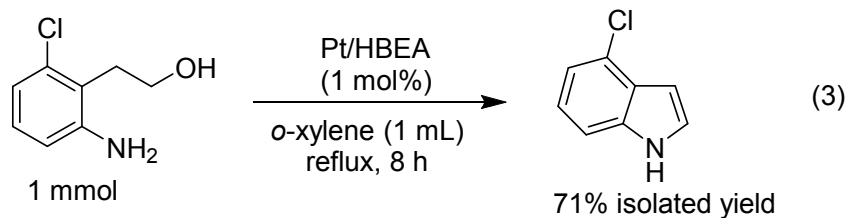
Table 3.2 shows the effect of reaction conditions on the yield of indole for the reaction of 2-(2-aminophenyl)ethanol by Pt/Nb₂O₅ under reflux conditions for 7 h. The reactions in toluene and hexane gave low yield (entries 3,4), and the reaction in mesitylene gave 78% yield (entry 2). The reaction in reflux of *o*-xylene gave the highest yield of 93% (entry 1). After the reaction, the catalyst was removed from the mixture and indole was isolated by column chromatography, resulting in high isolated yield of indoles (88%). The reaction in *o*-xylene at lower temperature (130 °C) gave low yield (29%) of indole (entry 5). The reaction under 1atm O₂ in the gas phase (entry 6) gave lower yield (82%) than that under N₂ (93%), indicating that O₂ as a hydrogen acceptor does not accelerate the catalytic reaction. For the reaction under N₂ with Pt/Nb₂O₅, we carried out mass spectrometry analysis of the gas phase products after 1 h. As shown in eqn. (1), the yields of gas phase H₂ (24%) was close to the yield of indole (32%).



Using Pt/Nb₂O₅ and Pt/HBEA as two of the effective catalysts for this reaction, we carried out detailed catalytic studies. We checked the time course of the reaction under the standard conditions (Fig. 3.1). For Pt/Nb₂O₅, the yield of indole increased with time and reached 93% after 7 h. For Pt/HBEA, the yield of indole reached 95% after 12 h. Fig. 3.2 shows the results of catalyst reusability of Pt/Nb₂O₅ and Pt/HBEA. After completion of the reaction, 2-propanol (1 mL) was added to the reaction mixture and the catalyst was separated by centrifugation. The recovered catalyst was washed with acetone three times, followed by centrifugation and drying in an oven (under air) at 90 °C for 12 h and then H₂ reduction at 300 °C for 0.5 h. The recovered Pt/Nb₂O₅ catalyst showed high yield for the second and the third cycle. In contrast, the recovered Pt/HBEA catalyst showed low yields in the second and third cycles. Thus, Pt/Nb₂O₅ was found to be a better catalyst in terms of reusability.

In order to evaluate TON of the catalytic system, next we carried out the reaction with small amount of the catalysts. As shown in eqn. (2), the reactions with 0.2 mol% of Pt/Nb₂O₅ and Pt/HBEA for 52 h resulted in 76% and 90% yields, corresponding to TONs of 380 and 450, respectively. The TON by Pt/HBEA is 3~25 times larger than those by homogeneous⁸⁻¹⁵ and heterogeneous¹⁷ catalysts in the literature for the same reaction. ICP-AES analysis of the filtrate after the reaction with Pt/HBEA showed that the content of Pt in the solution was quite low (3.4 ppm).





The present method was also effective for the synthesis of a functionalized indole. As shown in eq. (3), the 2-aminophenethyl alcohol with a Cl- group on the aromatic ring was converted to the corresponding indole in 71% isolated yield by 1 mol% of Pt/HBEA.

Since supported Pt catalysts can exhibit a catalytic activity for the reduction of the nitro group to an amino group with H₂, we examined the synthesis of indoles from 2-nitrophenethyl alcohols. As shown in equations (4) and (5), Cl-functionalized 2-nitrophenethyl alcohol and 2-nitrophenethyl alcohol were selectively converted to the corresponding indole derivatives in moderate isolated yields under balloon H₂ pressure for 12 h in the presence of 1 mol% of Pt/HBEA.

3.4. Conclusion

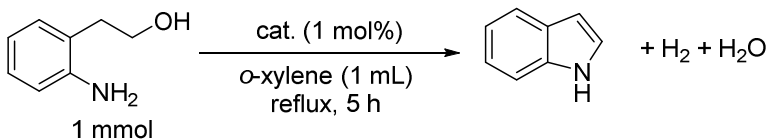
We reported that Pt/Nb₂O₅ and Pt/HBEA acted as effective heterogeneous catalysts for the synthesis of indoles via acceptorless dehydrogenative cyclization of 2-(2-aminophenyl)ethanol. These catalysts showed higher TON than previously reported catalysts, and the Pt/Nb₂O₅ catalyst was reusable.

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Table 3.1. Catalyst screening for indole synthesis from 2-(2-amino-phenyl)-ethanol.^a



Entry	Catalyst	Yield ^a (%)
1	Pt/Nb ₂ O ₅	82
2	Ir/Nb ₂ O ₅	68
3	Ru/Nb ₂ O ₅	9
4	Re/Nb ₂ O ₅	2
5	Pd/Nb ₂ O ₅	1
6	Co/Nb ₂ O ₅	1
7	Cu/Nb ₂ O ₅	0
8	Ag/Nb ₂ O ₅	0
9 ^b	Nb ₂ O ₅	1
10	Pt/HBEA	77
11	Pt/CeO ₂	69
12	Pt/MgO	69
13	Pt/TiO ₂	47
14	Pt/ZrO ₂	42
15	Pt/Al ₂ O ₃	22
16	Pt/SiO ₂ -Al ₂ O ₃	15
17	Pt/SnO ₂	9
18	Pt/C	15
19	Pt/SiO ₂	10
20	PtO _x /Nb ₂ O ₅	10
21	blank	0

^a Yield was determined by GC.

^b Catalyst amount was 39 mg.

Table 3.2. Indole synthesis from 2-(2-amino-phenyl)-ethanol by Pt/Nb₂O₅.^a

Entry	Solvent	Conv.(%)	Yield (%)
1	<i>o</i> -xylene	100	93
2	mesitylene	100	78
3	hexane	74	5
4	toluene	65	23
5 ^b	<i>o</i> -xylene	44	29
6 ^c	<i>o</i> -xylene	99	82

^a Conditions: 1 mol% Pt/Nb₂O₅, 1.0 mmol 2-(2-amino-phenyl)-ethanol, 1 mL solvent, reflux, in N₂, 7 h. Yield was determined by GC.

^b *T* = 130 °C.

^c in 1 atm O₂.

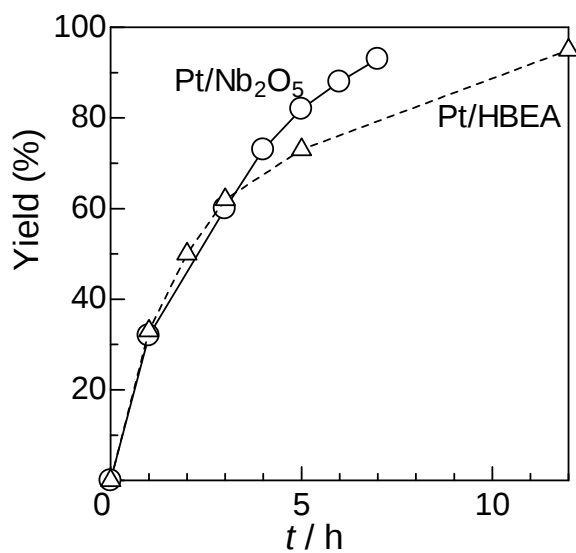


Fig. 3.1 Yields of indole vs time for *N*-heterocyclization of 2-(2-aminophenyl)ethanol under *o*-xylene reflux conditions in N₂ by Pt/Nb₂O₅ (○) or Pt/HBEA (△).

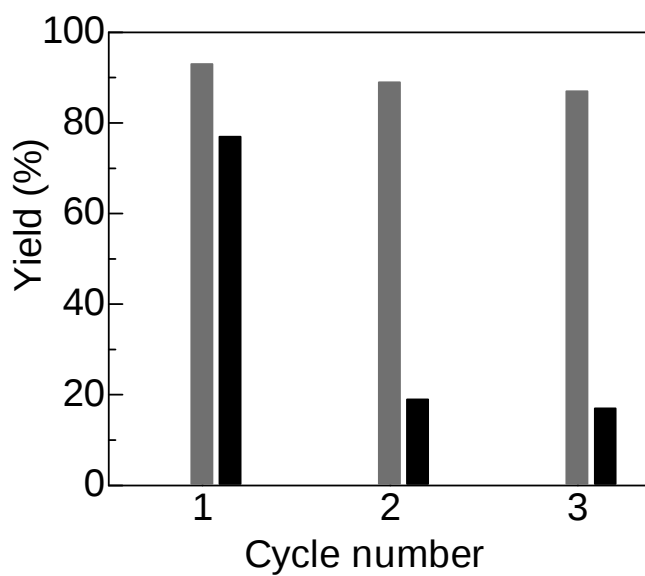
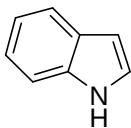


Fig. 3.2. Reuse of Pt/Nb₂O₅ (gray bars) or Pt/HBEA (black bars) for *N*-heterocyclization of 2-(2-aminophenyl)ethanol under *o*-xylene reflux conditions in N₂ for 7 h.

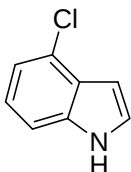
NMR and GC-MS analysis

Indole:¹



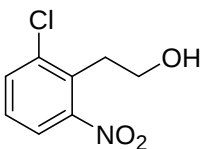
¹H NMR (600.17 MHz, CDCl₃, TMS): δ 7.91 (brs, 1H), 7.64 (d, J = 8.28 Hz, 1H), 7.31 (d, J = 8.22 Hz, 1H), 7.19-7.16 (m, 1H), 7.13-7.09 (m, 2H), 6.53 (d, J = 2.04 Hz, 1H); ¹³C NMR (150.92 MHz, CDCl₃) δ 135.65, 127.73, 124.12, 121.90, 120.66, 119.74, 111.01, 102.45; GC-MS m/e 117.055.

4-Chloro-1H-indole:¹



¹H NMR (600.17 MHz, CDCl₃, TMS): δ 8.25 (brs, 1H), 7.29 (d, J = 7.56 Hz, 1H), 7.25-7.22 (m, 1H), 7.13-7.09 (m, 2H), 7.13-7.09 (m, 2H), 6.66 (t like d, J = 3.42 Hz, 1H); ¹³C NMR (150.92 MHz, CDCl₃) δ 136.42, 126.73, 126.12, 124.63, 122.57, 119.57, 109.62, 101.35; GC-MS m/e 151.020.

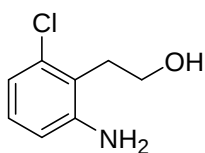
2-(2-Chloro-6-nitro-phenyl)-ethanol:²



A typical reaction procedure is described for the synthesis of 2-(2-Chloro-6-nitro-phenyl)-ethanol. 2-chloro-6 nitro toluene (6.3 g, 36.5 mmol), paraformaldehyde (91 mmol), DMSO (20 mL), and Triton B (Benzenetrimethylammonium hydroxide, 40% solution of MeOH, 1.0 mL), were placed in a 100-mL three necked round bottomed flask equipped with a reflux condenser. The reaction mixture was stirred for 8 h at 90 °C. Then the reaction mixture was quenched with saturated NH₄Cl solution, the organic compounds were extracted with ethylacetate.

The combined organic layers were washed with brine and dried over Na₂SO₄. After removal of the solvent, the residue was subjected to column chromatography (*n*-hexane:AcOEt = 4:1) to give 2-(2-Chloro-6-nitro-phenyl)-ethanol (88%). Mp 60-61 °C (*n*-hexane/AcOEt), light yellowish solid; ¹H NMR δ 7.70 (dd, 1H, *J* = 7.2, 1.2 Hz, 1H), 7.62 (dd, 1H, *J* = 7.2, 1.0 Hz, 1H), 7.33 (t, *J* = 8.10 Hz, 1H), 3.94 (t, *J* = 6.8 Hz, 2H), 3.27 (t, *J* = 6.8 Hz, 2H), 2.01 (brs, 1H); ¹³C NMR δ 151.97, 136.64, 133.74, 130.99, 127.90, 122.90, 61.07, 32.62; GC-MS *m/e* 201.02.

2-(2-Amino-6-chloro-phenyl)-ethanol:²



A typical reaction procedure is described for the synthesis of 2-(2-amino-6-chloro-phenyl)-ethanol. 2-(2-Chloro-6-nitro-phenyl)-ethanol (2.016 g, 10 mmol), Pd/C (5 wt %, 1 mol%), ethylacetate (5.0 mL) were placed in a 100-mL round bottomed flask equipped with a Hydrogen balloon . The reaction mixture was stirred for 6 h at room temperature. Then the catalyst was filtered off and after removal of the solvent, the residue was purified by column chromatography (*n*-hexane:AcOEt = 3:1) to give 2-(2-amino-6-chloro-phenyl)-ethanol (90%).

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Chapter 4

Acceptorless Dehydrogenative Coupling of Primary Alcohols to Esters by Heterogeneous Pt Catalysts

4.1. Introduction

The synthesis of esters is one of the most fundamental and important transformations in organic synthesis.¹ Both in industry and in laboratories, esters are conventionally produced by condensation of a carboxylic acid or activated acid derivatives (acid chlorides or anhydrides) with excess amounts of an alcohol in the presence of an acid catalyst or a dehydrating agent, which results in a significant amount of waste. Recently, green catalytic methods such as condensation of an equimolar amount of carboxylic acid and alcohol² and esterification of aldehydes with alcohols³⁻⁵ have been developed. However, the development of a novel catalytic system for the direct esters synthesis from stable and readily available substrates still remains a major challenge. Considering that alcohols are less corrosive and more accessible than acids or aldehydes, the direct aerobic oxidation of alcohols to esters is an important alternative, which has been accomplished with several homogeneous⁶⁻⁹ and heterogeneous¹⁰⁻¹⁷ catalytic systems. From a viewpoint of safety, anaerobic transformation of alcohols to esters in the presence of a stoichiometric amount of acceptor¹⁸⁻²⁰ (ketone or olefin) is more preferable. The most attractive method is the esterification of primary alcohols in the absence of an oxidant (acceptor) via acceptorless dehydrogenative coupling.²¹ The most attractive methodology is the esterification of primary alcohols in the absence of an oxidant (acceptor). Homogeneous Ru,²²⁻³³ Os,³⁴ Re³⁵ and Ir³⁶ catalysts were reported to be effective for this atom-efficient reaction. However, these systems suffer from difficulties in catalyst/product separation and catalyst reuse. Many of them also suffer from necessities of basic co-catalyst in the solution.^{23-28,33-35} Although heterogeneous Cu catalysts are effective for gas-phase dehydrogenation of ethanol to ethyl acetate,³⁷⁻⁴¹ they suffer from low yield and need of high temperature. Cu-based heterogeneous catalyst were reported to be effective for acceptorless lactonization of diols.^{42,43} Sánchez²⁶ first reported silica-immobilized pincer-type Ru complex as a reusable catalyst for dehydrogenation of a primary alcohol to ester, but the system required basic additive (KOH) and the substrate scope was limited only to hexanol. Herein we report the first example of reusable heterogeneous catalyst for the acceptorless dehydrogenative coupling of various primary alcohols to esters under additive-free and solvent-free conditions using SnO₂-supported Pt catalyst (Pt/SnO₂).

4.2. Experimental

General

Commercially available organic compounds (from Tokyo Chemical Industry or Kanto Chemical) were used without further purification. The GC (Shimadzu GC-14B) and GCMS (Shimadzu GCMS-QP2010) analyses were carried out with Ultra ALLOY capillary column UA⁺-5 (Frontier Laboratories Ltd.) using nitrogen and He as the carrier gas.

Catalyst preparation

SnO₂ was prepared by calcination of H₂SnO₃ (Kojundo Chemical Laboratory Co., Ltd.) at 500 °C for 3 h. Nb₂O₅ was prepared by calcination of niobic acid (CBMMI) at 500 °C for 3 h. SiO₂ (Q-10, 300 m² g⁻¹) was supplied from Fuji Silysia Chemical Ltd. HBEA zeolite (JRC-Z-HB25, SiO₂/Al₂O₃ = 25±5), MgO (JRC-MGO-3), TiO₂ (JRC-TIO-4), CeO₂ (JRC-CEO-3) was supplied from Catalysis Society of Japan. γ-Al₂O₃ was prepared by calcination of γ-AlOOH (Catapal B Alumina purchased from Sasol) for 3 h at 900 °C. ZrO₂ was prepared by calcination (500 °C for 3 h) of ZrO₂.nH₂O prepared by hydrolysis of zirconium oxynitrate 2-hydrate in water by aqueous NH₄OH solution, followed by filtration of precipitate, washing with water three times, and drying at 100 °C for 12 h.

Precursor of Pt/SnO₂ was prepared by an impregnation method; a mixture of SnO₂ and an aqueous HNO₃ solution of Pt(NH₃)₂(NO₃)₂ was evaporated at 50 °C, followed by drying at 90 °C for 12 h. Before each catalytic experiment, the Pt/SnO₂ catalyst (with Pt loading of 5 wt%) was prepared by in situ pre-reduction of the precursor in a pyrex tube under a flow of H₂ (20 cm³ min⁻¹) at 150 °C for 0.5 h. Other supported Pt catalysts (Pt = 5 wt%) were prepared by the same method. SnO₂-supported metal catalysts, M/SnO₂ (M = Ni, Cu, Co, Ag, Pd, Ru, Rh, Re, Ir) with metal loading of 5 wt% were prepared by the impregnation method in the similar manner as Pt/SnO₂ using aqueous solution of metal nitrates (for Ni, Cu, Co, Ag), RuCl₃, IrCl₃.nH₂O or NH₄ReO₄ or aqueous HNO₃ solution of Pd(NO₃)₂. A commercial Pt-loaded carbon catalyst (Pt/C, Pt = 5 wt%) was purchased from N.E. Chemcat, Corporation.

Catalytic reactions

Pt/SnO₂ was used as a standard catalyst. After the pre-reduction at 150 °C, we carried out catalytic tests using a batch-type reactor without exposing the catalyst to air as follows. The mixture of alcohol (1 mmol) and n-dodecane (0.2 mmol) was injected to the pre-reduced catalyst inside the reactor (cylindrical glass tube) through a septum inlet, followed by filling 1 atm N₂. Then, the resulting mixture was stirred and heated at 180 °C. Conversion and yields of products were determined by GC using n-dodecane as an internal standard adopting the GC-sensitivity estimated using the isolated product. The products were identified by GC-MS equipped with the same column as GC. GC analysis of the gas phase product (H₂) was carried out by the mass spectrometer (BELMASS). Another sets of catalytic experiments were carried out to determine isolated yields of ester as follows. After the reaction, the catalyst was removed by filtration and the reaction mixture was concentrated under vacuum evaporator to remove the volatile compounds. Then, the esters in Table 4.3 were isolated by column chromatography using silica gel 60 (spherical, 63-210 μm, Kanto Chemical Co. Ltd.) with hexane/ethylacetate (2/98 or 5/95) as the eluting solvent, followed by analyses by ¹H NMR, ¹³C NMR and GCMS.

In situ IR

In situ IR spectra were recorded at 40 °C using a JASCO FT/IR-4200 with an MCT detector. The sample was pressed into a 30 mg of self-supporting wafer ($\phi = 2$ cm) and mounted into the quartz IR cell (CaF₂ windows) connected to a conventional flow reaction system. Spectra were measured accumulating 15 scans at a resolution of 4 cm⁻¹. A reference spectrum of the catalyst wafer in He taken at 40 °C was subtracted from each spectrum. Prior to the experiment the disk of SnO₂ (or SiO₂) was heated in H₂ flow (20 cm³ min⁻¹) at 300 °C for 0.5 h, followed by cooling to 40 °C and purging with He. Then, 1 μL of benzaldehyde was injected to He flow preheated at 200 °C, which was fed to the IR cell. Then, the IR disk was purged with He for 1000 s, and IR measurement was carried out.

NMR and GCMS analysis

¹H and ¹³C NMR spectra were recorded using at ambient temperature on JEOL-ECX 600 operating at 600.17 and 150.91 MHz, respectively with tetramethylsilane as an internal standard. All chemical shifts (δ) are reported in ppm and coupling constants (J) in Hz. All chemical shifts are reported relative to tetramethylsilane and *d*-solvent peaks (77.00 ppm, chloroform), respectively. Abbreviations used in the NMR experiments: s, singlet; d, doublet; t, triplet; m, multiplet. GC-MS spectra were recorded by SHIMADZU QP2010.

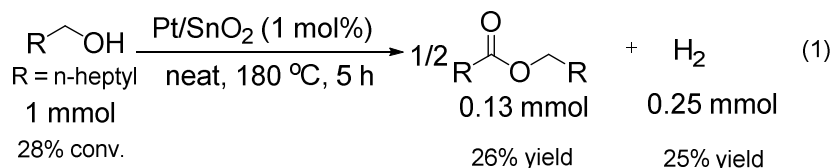
4.3. Results and discussion

Catalyst screening

We chose the esterification of 1-octanol under solvent-free conditions in N₂ at 180 °C as a model system in order to optimize catalytic conditions. Table 4.1 (entries 1-10) summarizes the results of the initial catalyst screening test under the same reaction conditions using various transition metal (Pt, Pd, Ir, Re, Ni, Rh, Cu, Ag, Co, Ru,) catalysts supported on SnO₂ pre-reduced in H₂ at 150 °C. Among the catalysts tested, Pt/SnO₂ showed the highest yield (26%) of the corresponding ester. Entries 12-21 show the results of Pt catalysts loaded on the other supports (ZrO₂, CeO₂, Nb₂O₅, TiO₂, C, Al₂O₃, SiO₂, HBEA zeolite, MgO). Pt/SnO₂ (entry 1) showed higher yield of the ester (98%) than these Pt catalysts. Pt/Nb₂O₅, Pt/CeO₂, and Pt/TiO₂ (entries 12-15) gave low yields (8-14%), and the Pt loaded on C, Al₂O₃, SiO₂, HBEA, MgO were completely inactive (entries 16-20). The ester was not produced in the absence of any catalysts (entry 21).

Using Pt/SnO₂ as the most effective catalyst for this reaction, we carried out detailed catalytic studies. We checked time course of the reaction of 1-octanol under the standard conditions at 180 °C (result not shown). During the reaction, the conversion of 1-octanol and the yield of the ester were nearly the same, and no byproducts or possible intermediates such as n-octanal were observed by GC and GCMS analyses. We also carried out mass spectrometry analysis of gas phase products after 5 h. The yields of gas

phase H₂ (25%) and the ester (26%) were nearly identical to the conversion of 1-octanol (28%), indicating that H₂ was generated quantitatively during the dehydrogenative coupling of 1-octanol to the ester (eq. 1).



After 36 h, full conversion of 1-octanol and 98% yield of the ester were observed. ICP-AES analysis of the solution confirmed that the content of Pt in the solution was below the detection limit and that of Sn was quite low (0.62 ppm, 0.006% of Sn in the catalyst used). Then, we tested reusability of Pt/SnO₂. After completion of the reaction, 2-propanol(1 g)/acetone (1 g) was added to the reaction mixture and catalyst was separated by centrifugation. For each successive use, recovered catalyst was washed by acetone three times, followed by centrifugation and drying in oven (under air) at 90 °C for 3 h, followed by H₂-reduction at 150 °C for 0.5 h. The recovered catalyst was reused at least three times without a marked loss of its catalytic activity (Figure 4.1). These results indicate that Pt/SnO₂ acts as reusable heterogeneous catalysts for this reaction.

Table 4.2 shows the effect of solvent on the yield of the ester for the standard reaction of 1-octanol for 36 h. The reaction under the solvent-free conditions gave the highest yield (98%). Reactions in a polar solvent (diglyme) and in a non-polar solvent (dodecane) were also successful, but mesitylene gave lower yield. Under the solvent-free conditions, the reaction at lower temperature (150 °C) resulted in lower conversion (result not shown). Under the optimized conditions with the most effective catalyst (Pt/SnO₂), we studied general applicability of the present catalytic system (Table 4.3). Linear and cyclic aliphatic primary alcohols (entries 1-5) were effectively converted to the corresponding ester in high yield. Benzylalcohol (entry 7) and 4-fluoro benzyl alcohol (entry 6) were also converted to the corresponding ester with moderate yields. After the reactions in Table 4.3, the catalyst was removed from the mixture and the esters were

isolated by column chromatography, resulting in moderate to high isolated yields (53-91%) of the esters.

Finally, we discuss a possible mechanism of the present catalytic system. As discussed in the previous papers on homogeneous Ru catalysts for this reaction,²³⁻³³ dehydrogenative coupling of primary alcohols to esters by Pt/SnO₂ may, in principle, proceed through two possible pathways: (a) the dehydrogenation to aldehyde which reacts with alcohol to give hemiacetal followed by its dehydrogenation to the ester (Scheme 4.1) or (b) aldehyde disproportionation so called Tishchenko reaction. The hypothesis (a) is evidenced by the following results. The reaction of 1 mmol of *n*-octanal under the standard conditions in Table 4.3 resulted in no formation of the corresponding ester. This result rules out an aldehyde disproportionation pathway as the main route to ester. Instead, the reaction of a 1:1 mixture of *n*-octanal and 1-octanol under N₂ in the presence of Pt/SnO₂ at 150 °C gave the corresponding ester in 48% yield after 5 h.

SnO₂ is a well-known promoter on platinum-group-metal catalysts for selective hydrogenation of α,β -unsaturated aldehydes to unsaturated alcohols.⁴⁴⁻⁴⁶ Generally, the addition of SnO₂ significantly increase the selectivity of C=O bond reduction. On the basis of the IR result that co-loading of SnO₂ on a supported Rh catalyst led to a red shift in the C=O stretching band of the adsorbed propionaldehyde species via Lewis acid-base interaction between Sn cations and oxygen atoms of carbonyl groups, Nishiyama *et al.*⁴⁵ showed that the Sn cation acted as a Lewis acid site that activate the C=O groups. Recently, they studied selective hydrogenation of unsaturated aldehyde by Sn-modified silica-coated Pt catalysts and proposed that the high selectivity in C=O bond reduction than C=C bond reduction was caused by cooperation between Pt and SnO₂, in which H₂ is dissociated by Pt and C=O bond of aldehyde is activated by SnO₂.⁴⁶ For hydrogenation of esters with SnOx-promoted Rh catalysts, Ru is believed to activate H₂ and Sn species are believed to activate carbonyl groups.^{47,48} Lewis acid assisted C=O bond activation in heterogeneous metal catalysis has been also reported for various catalytic systems.⁴⁹⁻⁵¹ To evaluate the Lewis acid-base interaction between Sn cation and carbonyl oxygen of aldehyde, we carried out IR experiment of benzaldehyde adsorbed on SnO₂. The

spectrum in Figure 4.2 shows the C=O stretching band of the benzaldehyde at lower wavenumber (1686 cm^{-1}) than that for benzaldehyde adsorbed on non Lewis acidic oxide, SiO_2 (1693 cm^{-1}). This indicates charge transfer from the oxygen atom of carboxyl group (Lewis base) to the Sn^{4+} cation (Lewis acid site) of SnO_2 . On the basis of these results, combined with the facts that H_2 was quantitatively observed (eq. 1), we propose a possible mechanism of the present catalytic system in Scheme 4.1. Note that aldehydes are not observed by GC during the catalytic reaction, suggesting that adsorbed aldehyde species can be intermediates instead of free aldehydes. The reaction begins with the Pt-catalyzed dehydrogenation of primary alcohol to adsorbed aldehyde accompanied by the generation of H_2 . Then, nucleophilic attack of another alcohol to the aldehyde species coordinated to Sn^{4+} (Lewis acid site) gives hemiacetal adspecies, which undergoes Pt-catalyzed dehydrogenation to ester. Enhanced electrophilicity of the carbonyl groups in aldehydes via Lewis acid-base interaction of the Sn cation and carboxyl oxygen can be the primary important role of the SnO_2 support, which should promote the nucleophilic attack of alcohols to the adsorbed aldehyde species to Sn^{4+} .

4.4. Conclusion

We developed the first example of heterogeneous catalysts for the acceptor-free dehydrogenative coupling of various primary alcohols to esters under additive-free and solvent-free conditions using the SnO_2 -supported Pt catalyst. The method was effective for various primary alcohols under additive-free and solvent-free conditions, and the catalyst was reusable. Therefore, the method provides one of the most atom-efficient and step-efficient catalytic routes to esters from readily available starting materials, alcohols. The reaction pathway can consist of the reaction of adsorbed aldehyde on SnO_2 with alcohol to give hemiacetal intermediate followed by its dehydrogenation to the ester. On the basis of the IR evidence on Lewis acid-base interaction between the surface Sn cation and carboxyl oxygen of the adsorbed aldehyde, we propose that activation of carbonyl groups in aldehydes is the primary important role of the SnO_2 support.

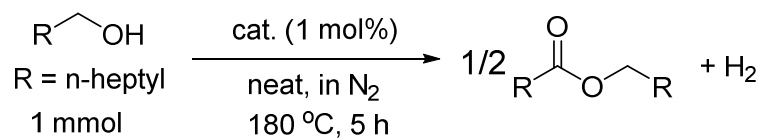
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Table 4.1. Catalyst screening for dehydrogenative esterification of 1-octanol.^a

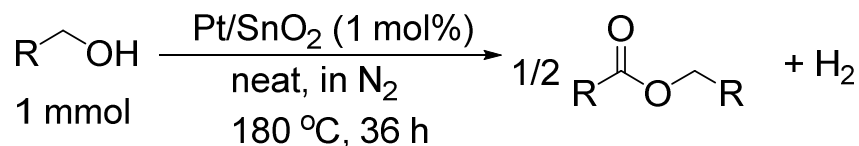
Entry	Catalyst	Yield (%) ^a
1	Pt/SnO ₂	26
2	Rh/SnO ₂	15
3	Ir/SnO ₂	10
4	Pd/SnO ₂	5
5	Re/SnO ₂	1
6	Ru/SnO ₂	<1
7	Ag/SnO ₂	0
8	Ni/SnO ₂	1
9	Co/SnO ₂	0
10	Cu/SnO ₂	0
11 ^b	SnO ₂	0
12	Pt/ZrO ₂	14
13	Pt/CeO ₂	8
14	Pt/Nb ₂ O ₅	8
15	Pt/TiO ₂	6
16	Pt/C	0
17	Pt/Al ₂ O ₃	0
18	Pt/SiO ₂	0
19	Pt/HBEA	0
20	Pt/MgO	0
21	blank	0

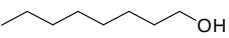
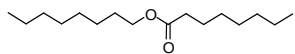
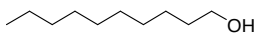
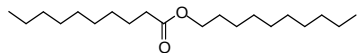
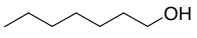
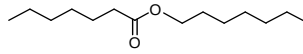
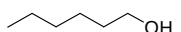
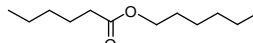
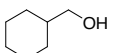
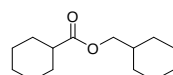
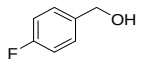
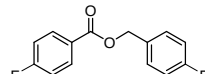
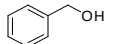
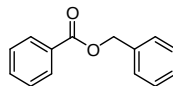
^a Yield was determined by GC.^b Catalyst amount was 50 mg.

Table 4.2. Solvent screening for Pt/SnO₂.^a

Solvent	Yield (%)
no solvent	98
diglyme	95
dodecane	90
mesitylene	70

^a Conditions: 1 mol% Pt/SnO₂ (0.01 mmol Pt), 1 mmol 1-octanol, 0 or 1 g solvent, 180 °C, 36 h. Yield was determined by GC.

Table 4.3. Synthesis of esters from various alcohols by Pt/SnO₂.

Entry	Alcohols	Products	Yield ^a (%)
1			98 (91)
2			90 (82)
3			92 (81)
4			84 (69)
5			89 (79)
6			62 (53)
7			68 (60)

^a GC yields. Isolated yields are in parentheses.

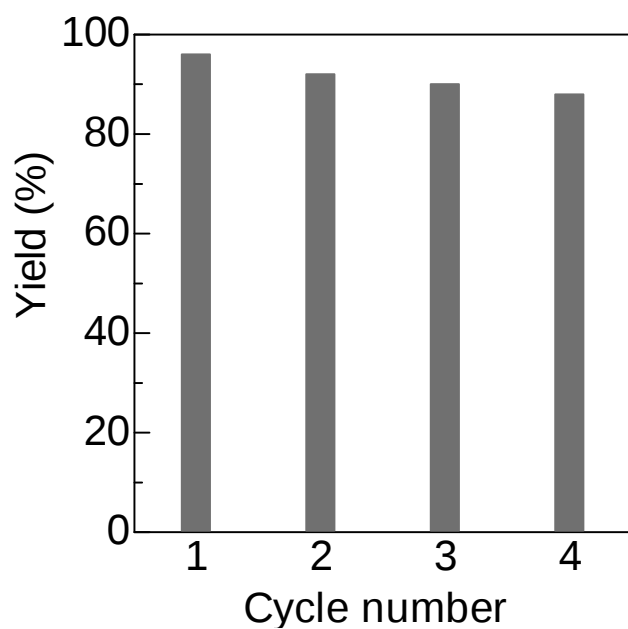


Figure 4.1. Catalyst reuse for esterification of 1-octanol by Pt/SnO₂. Conditions are shown in Table 4.3.

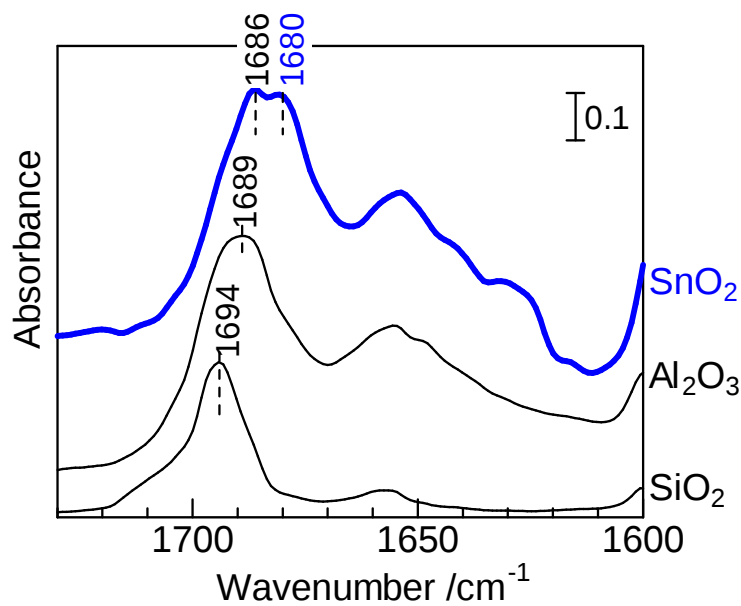
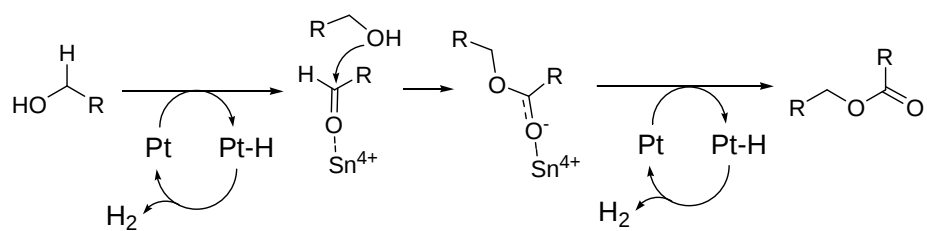


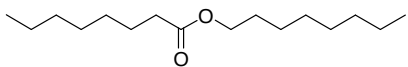
Figure 4.2. IR spectra of benzaldehyde adsorbed on SnO₂ and SiO₂ at 40 °C.



Scheme 4.1. Proposed pathway of dehydrogenative esterification of primary alcohols by Pt/SnO₂.

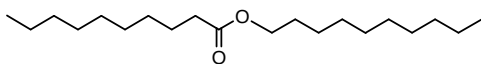
NMR and GCMS analysis

Octanoic acid octyl ester (C₁₆H₃₂O₂) :¹



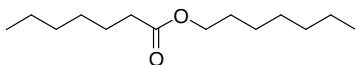
¹H NMR (600.17 MHz, CDCl₃), TMS: δ 4.04 (t, *J* = 6.84 Hz, 2H), 2.28 (t, *J* = 7.56 Hz, 2H), 1.62-1.58 (m, 4H), 1.33-1.24 (m, 18H), 0.86 (t, *J* = 6.90 Hz, 6H); ¹³C NMR (150.91 MHz, CDCl₃) δ 174.37, 64.53, 34.41, 31.90, 31.76, 31.64, 29.19, 29.16, 29.08, 28.90, 28.60, 25.91, 25.00, 22.61, 22.57, 14.01; GC-MS m/e 256.24.

Decanoic acid decyl ester (C₂₀H₄₀O₂) :²



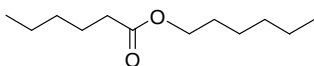
¹H NMR (600.17 MHz, CDCl₃), TMS: δ 4.05 (t, *J* = 6.84 Hz, 2H), 2.28 (t, *J* = 7.56 Hz, 2H), 1.63-1.60 (m, 4H), 1.32-1.19 (m, 26H), 0.88 (t, *J* = 6.90, 6H); ¹³C NMR (150.91 MHz, CDCl₃) δ 173.91, 64.36, 34.41, 31.97, 29.75, 29.58, 29.48 (C×2), 29.35, 29.32 (C×2), 29.31, 29.20 (C×2), 28.70, 25.98, 25.06, 25.86, 22.70 (C×2); GC-MS m/e 312.41.

Heptanoic acid heptyl ester (C₁₄H₂₈O₂) :³



¹H NMR (600.17 MHz, CDCl₃), TMS: δ 4.05 (t, *J* = 6.90 Hz, 2H), 2.27 (t, *J* = 7.56 Hz, 2H), 1.64-1.57 (m, 4H), 1.34-1.24 (m, 14H), 0.98-0.85 (m, 6H); ¹³C NMR (150.91 MHz, CDCl₃) δ 173.88, 64.30, 34.34, 31.69, 31.43, 28.88, 28.79, 28.63, 25.86, 24.94, 22.53, 22.45, 13.99, 13.94; GC-MS m/e 228.20.

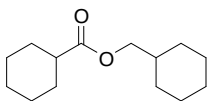
Hexanoic acid hexyl ester (C₁₂H₂₄O₂) :⁴



¹H NMR (600.17 MHz, CDCl₃), TMS: δ 4.05 (t, *J* = 6.90 Hz, 2H), 2.28 (t, *J* = 6.90 Hz, 2H), 1.66-1.56 (m, 4H), 1.38-1.19 (m, 10H), 0.88-0.83 (m, 6H); ¹³C NMR (150.91 MHz,

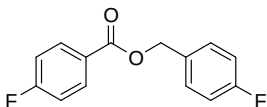
CDCl₃) δ 173.95, 64.33, 34.32, 31.39, 31.28, 28.57, 25.55, 24.67, 22.49, 22.28, 13.93, 13.86; GC-MS m/e 200.10.

Cyclohexane methanoic acid cyclohexane methyl ester (C₁₃H₂₂O₂) :⁴



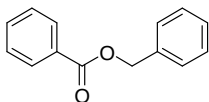
¹H NMR (600.17 MHz, CDCl₃); TMS: δ 3.86-3.84(m, 2H), 2.30-2.26 (m, 1H), 1.90-1.88 (m, 2H), 1.72-1.62 (m, 8H), 1.45-1.39 (m, 2H), 1.29-1.11 (m, 6H), 0.98-0.92 (m, 1H); ¹³C NMR (150.91 MHz, CDCl₃) δ 176.18, 69.23, 43.31, 37.15, 29.65 (C $\times$ 2), 29.06 (C $\times$ 2), 26.36, 25.76, 25.68 (C $\times$ 2), 25.46; GC-MS m/e 224.20.

4-Fluoro benzoic acid 4-fluoro benzyl ester (C₁₄H₁₀F₂O₂) :⁵



¹H NMR (600.17 MHz, CDCl₃); TMS: δ 8.11-8.06 (m, 2H), 7.42 (d, J = 5.52 Hz, 2H), 7.12-7.05 (m, 4H), 5.31 (s, 2H); ¹³C NMR (150.91 MHz, CDCl₃) δ 165.84 (d, J = 254.50 Hz), 165.39, 162.70 (d, J = 247.58 Hz), 161.88, 132.23 (d, J = 9.20 Hz), 131.70 (d, J = 3.1 Hz), 130.27 (d, J = 8.07), 126.24 (d, J = 3 Hz), 115.56 (d, J = 21.88 Hz), 66.12; GC-MS m/e 248.19.

Benzoic acid benzyl ester (C₁₄H₁₂O₂) :⁶



¹H NMR (600.17 MHz, CDCl₃); TMS: δ 8.10-8.09 (m, 2H), 7.56 (t, J = 6.90 Hz, 1H), 7.47-7.44 (m, 4H), 7.40 (t, J = 7.50 Hz, 2H), 7.37-7.34 (m, 1H), 5.36 (s, 2H); ¹³C NMR (150.91 MHz, CDCl₃) δ 166.38, 136.01, 132.98, 130.09, 129.66 (C $\times$ 2), 128.55(C $\times$ 2), 128.33(C $\times$ 2), 128.20, 128.12(C $\times$ 2), 66.64; GC-MS m/e 212.16.

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Chapter 5

General Conclusion

Heterogeneous Pt catalyzed direct synthesis of chemicals by acceptorless dehydrogenation of alcohols and cyclic amines give atom efficient routes under neutral conditions. Chapter 2-4 show systematic examples of new heterogeneous catalysts for acceptorless dehydrogenation reactions of amines (*N*-heterocycles) and alcohols under additive-free conditions with liberation of H₂ as a byproduct. Comparing with organometallic catalysis, heterogeneous Pt catalysts do not require additives (ligand, acid or base) which increases atom economy. The concept of catalyst design is multifunctionality of the metal loaded acid/neutral support in which acid sites of metal oxide selectively catalyze dehydrogenative coupling and neutral support was effective for the dehydrogenation of amines.

The heterogeneous catalysts developed in this work have advantages over the previous homogeneous catalysts, including good catalyst reusability and high TON, and hence will provide practical methods for sustainable production of chemicals. To achieve more sustainable chemical process, this work will help for the rational development of new heterogeneous catalysts for this type of multistep reactions without additives.

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