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Title	Molecular phylogeny and ultrastructure of two novel parasitic dinoflagellates, <i>Haplozoon gracile</i> sp. nov. and <i>H. pugnus</i> sp. nov.
Author(s)	Yamamoto, Mana; Wakeman, Kevin C.; Tomioka, Shinri et al.
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20 **ABSTRACT**

21 This study describes two novel parasitic dinoflagellates, *Haplozoon gracile* sp. nov.
22 isolated from a bamboo worm (Maldanidae), “Cf. *Petaloclymene* sp.” sensu
23 Kobayashi *et al.* 2018 and *H. pugnus* sp. nov. isolated from *Nicomache* sp. and
24 *Nicomache personata* (Maldanidae). Trophonts (feeding stages) were observed
25 with light, scanning, and transmission electron microscopy. Molecular phylogenetic
26 analyses were performed based on 18S rDNA. The COI sequences were obtained
27 for host organisms. Trophonts of *H. gracile* were linear (single longitudinal row)
28 and relatively slender with a mean length 190 μm , and consisted of a long and
29 narrow trophocyte, rectangular gonocytes (mean width = 10 μm), and slightly
30 rounded sporocytes. Trophonts of *H. pugnus* were pectinate (1–8 rows of
31 sporocytes in one plane), with a mean length of 179 μm , consisting of a bulbous
32 trophocyte, rectangular gonocytes (mean width = 25 μm) and rounded sporocytes.
33 The body of both species was covered with many depressions that overlaid the
34 amphiesmal vesicles. TEM observations of trophocytes in *H. gracile* revealed a
35 stylet with a central dense core and rich mitochondria subtending the amphiesma.
36 Furthermore, amphiesmal vesicles appeared to contain thecal plates in both species.
37 Phylogenetic analyses generally resolved a *Haplozoon* clade, and *H. gracile* and *H.*
38 *pugnus* were clearly distinguished from other species for which molecular data is
39 available. Based on the morphological and host comparisons with all described
40 species and their molecular phylogeny, we concluded that these two isolates are
41 new species of *Haplozoon*, *H. gracile* sp. nov. and *H. pugnus* sp. nov.

42

43 **KEYWORDS**

44 Alveolata; Bamboo worms; Dinoflagellates; Parasites; Taxonomy

45

46 **INTRODUCTION**

47 Dinoflagellates are a group of protists belonging to the Alveolata, together with ciliates
48 and apicomplexans (Adl *et al.* 2019). They exhibit an array of nutritional modes, such as
49 phototrophy, mixotrophy, and heterotrophy (Hoppenrath 2017). Most are free-living, but
50 some are parasitic. Their main hosts are marine invertebrates (e.g. cnidarians, shrimps,
51 crabs, and copepods), and they infect protists, including other dinoflagellates (Horiguchi
52 2015). The genus *Haplozoon* is a group of marine endoparasitic dinoflagellates that have
53 an unusual chain-like trophont (feeding stage; Rueckert & Leander 2008), superficially
54 resembling tapeworms, a gut parasite of vertebrates. Haplozoans mainly infect the
55 intestines of marine annelids (polychaetes), especially members of the Maldanidae
56 (bamboo worms). They have also been reported from other families of polychaetes, i.e.
57 Orbiniidae, Scalibregmatidae, Trichobranchidae, and infecting chordates, specifically
58 Appendicularia (Cachon 1964; Shumway 1924). Although their morphology is unusual,
59 haplozoans have a dinokaryon (condensed chromosomes throughout the cell cycle), a
60 common feature uniting dinoflagellates (Costas & Goyanes 2005; Saldarriaga *et al.* 2001).

61 Trophonts of *Haplozoon* usually consist of three fundamental parts: an anterior
62 trophocyte, a midregion comprised of gonocytes, and posterior sporocytes (Leander *et al.*

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63 2002; Shumway 1924). Cell number and their arrangement changes as the organism
64 grows. Some trophocytes have a retractable stylet, and some species have multiple
65 reserve stylets, as well as an adhesive apparatus (synonym, suction disc), and rhizoid
66 (Schiller 1937; Wakeman *et al.* 2018). The morphology of sporocytes is diverse, as there
67 are many arrangements: single row (linear), multiple rows in one plane (pectinate), and 3-
68 dimensional (pyramidal; Shumway 1924). According to Shumway (1924), dinospores
69 (tiny *Gymnodinium*-like free swimming forms) emerge from encysted mature sporocytes
70 that have detached from an original trophont.

71 Fourteen species of *Haplozoon* have been described; however, only a few species
72 have been studied by more contemporary methods such as electron microscopy and
73 molecular phylogenetics. Here, we describe two novel species, *Haplozoon gracile sp. nov.*
74 and *H. pugnus sp. nov.*, from hosts in the family Maldanidae (Annelida, Polychaeta)
75 based on light, scanning, and transmission electron microscopy (LM, SEM, and TEM,
76 respectively). The 18S ribosomal RNA gene (rDNA) from single-cell (individual)
77 isolates was used to reconstruct the molecular phylogenetic positions of these two novel
78 species.

79

80 MATERIAL AND METHODS

81 Collection of hosts and isolation of *Haplozoon gracile sp. nov.*

82 A maldanid polychaete lacking the posterior part of body, identified as “Cf.

83 *Petaloclymene sp.*” *sensu* Kobayashi *et al.* 2018 (see results of host identification) was

84 collected by SCUBA diving from roots of the seagrass *Zostera caespitosa* Miki

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85 (Zosteraceae), at 5–6 m depth in Kitsunozaki Bay in March 2018 from the western part of
86 Oshika Peninsula, Japan (38°21.36'N 141°25.42'E). The polychaete was separated from
87 the mud and roots of the seagrass. The isolated worm was placed in sterilised seawater in
88 a petri dish and dissected using forceps. Over 60 trophonts (feeding stages) were found
89 infecting its intestinal tissue. Trophonts of *H. gracile sp. nov.* were isolated using
90 microcapillary pipettes using an inverted microscope (CX40, Olympus, Tokyo), and
91 subsequently washed (until clean) in filtered, autoclaved seawater for further
92 morphological observation and molecular analysis.

93 *Collection of hosts and isolation of Haplozoon pugnus sp. nov.*

94 The maldanid worm, *Nicomache personata* Johnson was collected from roots of the
95 seagrass, *Phyllospadix iwatensis* Makino (Cymodoceaceae), at low tide on a sandy beach
96 near the Hokkaido University Muroran Marine Station (Muroran, Hokkaido, Japan;
97 42°18.83'N 140°58.67'E) in May 2018. *Nicomache sp.* was also collected from the roots
98 of *P. iwatensis* at low tide in August 2017 and June 2018 at a beach near Hokkaido
99 University Akkeshi Marine Station (Hokkaido, Japan; 43°01.29'N 144°50.25'E).
100 Trophonts of *Haplozoon pugnus sp. nov.* were isolated in the same way as *H. gracile sp.*
101 *nov.*; over 40 host animals were collected in each sample with up to 20 trophonts per host.

102

103 *Light microscopy and electron microscopy*

104 Differential interference contrast (DIC) images of the trophont stage of *H. gracile sp. nov.*
105 and *H. pugnus sp. nov.* were taken using a Zeiss Axioscop 2 Plus microscope (Karl Zeiss
106 Japan, Tokyo) connected to a Canon EOS Kiss X8i digital camera (Canon, Tokyo, Japan).

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107 *Haplozoon gracile* sp. nov. attached to the host tissue (intestinal lumen) were observed
108 with an Olympus CK40 inverted, phase contrast microscope; images were taken using a
109 Canon EOS 60D digital camera (Canon, Tokyo, Japan).

110 For SEM, trophonts were transferred to containers that were made by cutting the
111 proximal end of 1000- μ l pipette tips, with 10- μ m mesh glued at their bottom, and
112 submerged in 24-well culture plates filled with 2.5% glutaraldehyde in seawater on ice
113 for 30 min. After washing samples three times for 5 min in seawater, containers were
114 placed in 1% OsO₄ for 30 min, subsequently washed in distilled water, and dehydrated
115 through a graded ethanol series (30%, 50%, 70%, 80%, 90%, and 100%) for 5 min at
116 each step. Samples were freeze-dried with tert-butyl alcohol as a solvent using a freeze-
117 dryer (Jeol JFD-300, Tokyo, Japan): 100% ethanol was replaced with 100% tert-butyl
118 alcohol twice at 30–40 °C for 30 min. Samples were then placed on ice for 5 min. After
119 drying, the samples were sputter-coated with gold (Hitachi E-1045 sputter coater), and
120 viewed using a Hitachi N-3000 scanning electron microscope (Hitachi, Tokyo, Japan).

121 For TEM, trophonts attached to small pieces of host tissue were transferred to
122 hand-made containers that were made of proximal portions of 1000- μ l pipette tips, with
123 transparency film (overhead projector transparencies) glued to their bottom. The samples
124 were fixed in 2.5% glutaraldehyde in seawater on ice for 30 min, washed in seawater, and
125 post fixed with 1% OsO₄ on ice for 1.5 h, with both fixation steps performed in darkness.
126 Following fixation with OsO₄, samples were washed in seawater, and dehydrated through
127 a graded series of ethanol washes (50%, 70%, 80%, 90%, and 100%) at room temperature.
128 The ethanol was replaced with a 1:1 mixture of 100% ethanol and 100% acetone for 5
129 min, and 100% acetone twice for 3 min. Samples were then placed in a 1:1 mixture of

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130 resin (Agar Scientific, Essex, UK) and 100% acetone for 30 min, followed by 100% resin
131 overnight at room temperature. Resin was exchanged the following day, and samples
132 were polymerized at 68 °C for 32 h. After polymerization, the bottom transparency film
133 and plastic tube were removed prior to sectioning. Note that the specimens were
134 positioned near the resin surface in this method. Samples were cut with a diamond knife
135 and viewed with a Hitachi-7400 TEM (Hitachi, Tokyo, Japan).

136

137 DNA extraction, PCR amplification, and sequencing of 18S rDNA

138 After taking light micrographs, individual isolates of *H. gracile sp. nov.* and *H. pugnus sp.*
139 *nov.* were transferred to 0.2-ml PCR tubes. For DNA extractions of *H. pugnus sp. nov.*,
140 we chose individuals with different morphology, i.e. individuals with single or multiple
141 rows of sporocytes. Total genomic DNA was extracted following the manufacturer's
142 protocol using an Epicentre FFPE extraction kit (Epicentre, Madison, Wisconsin, USA).
143 The 18S rDNA sequences of *Haplozoon gracile sp. nov.* were amplified following
144 Nakayama *et al.* (1996). Primers SR1 and SR12 were used for the first round of PCR,
145 using AmpliTaq Gold 360 DNA polymerase (Applied Biosystems, Massachusetts, USA).
146 PCR used the following program on a thermocycler (SimpliAmp, Applied Biosystems,
147 Massachusetts, USA): initial denaturation 95 °C 10 min; 35 cycles of 95 °C for 30 s,
148 50 °C for 30 s, 72 °C for 2 min; final extension 72 °C for 7 min. Three pairs of primers
149 SR1-SR5, SR4-SR9, and SR8-SR12 were used for the second round of PCR, using the
150 first PCR products as DNA template, with AmpliTaq Gold 360 DNA polymerase and the
151 following program on a thermal cycler: initial denaturation 95 °C for 10 min; 25 cycles of

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152 95 °C for 30 s, 50 °C for 30 s, 72 °C for 100 s and a final extension at 72 °C for 7 min. In
153 addition, to determine uncertain parts between each read, two pairs of primers SR1-R1 5'
154 - ATTACCTCGGTCCCTGAAAC - 3' and F1 5' - CGATCAGATACCGTCCTAGTC -
155 3'-SR12 were used for the third round PCR, using the first PCR products as DNA
156 template, with Q5 High-Fidelity DNA Polymerase (New England Biolabs, Massachusetts,
157 USA) and the following program on a thermal cycler: initial denaturation at 98 °C for 3
158 min; 20 cycles of 98 °C for 5 s, 65 °C for 10 s, 72 °C for 30 s, and a final extension of
159 72 °C for 2 min. To amplify the 18S rDNA sequences of *Haplozoon pugnus sp. nov.*, the
160 following primers were used for each round of PCR: SR1-SR12 or PF1-SSUR4 for the
161 first; SR1-SR5TAK, SR4-SR9, and SR8/SR8TAK-SR12 or PF1-18SRF and SR4-SSUR4
162 for the second (Iritani *et al.* 2018; Nakayama *et al.* 1996; Takano & Horiguchi 2004); for
163 the third, two pairs of primers, SR1-R2 5' - CCAACAAAGTAGAACCGAGG - 3' and
164 F2 5' - CTTGGCATGTATGTCGTG - 3'-SR12, were used to determine uncertainty parts
165 between each read, using the first PCR products as DNA template. The DNA
166 polymerases and PCR conditions were the same for each round of PCR as for *H. gracile*
167 *sp. nov.* All purified PCR products were used in a sequencing reaction with ABI BigDye
168 Terminator v1.1 (Applied Biosystems, Massachusetts, USA) and subsequently purified
169 with ethanol, before being eluted in 18- μ l Hi-Di Formamide (Applied Biosystems,
170 Massachusetts, USA), and sequenced on a 3130 Genetic Analyzer (Applied Biosystems,
171 Massachusetts, USA). The two novel 18S rDNA sequences from *H. gracile sp. nov.* and
172 four novel 18S rDNA sequences from *H. pugnus sp. nov.* were deposited in GenBank
173 with accession numbers LC529366 and LC529367, and LC529368, LC529369,

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174 LC529370, and LC529371, respectively.

175 Phylogenetic analyses

176 The newly obtained 18S rDNA sequences from *H. gracile sp. nov.* and *H. pugnus sp. nov.*
177 were initially identified using the Basic Local Alignment and Search Tool (BLAST). The
178 18S rDNA sequences were aligned with 57 additional sequences, as well as
179 apicomplexans and early alveolates as outgroups using “MUSCLE” (Edgar 2004), with
180 the default settings. The alignments were modified manually using MEGA 7 (Kumar *et al.*
181 2016).

182 The maximum-likelihood (ML) tree and ML bootstrap values were calculated
183 using RAxML v8.2.12 (Stamatakis 2014) through the Cipres Science Gateway v3.3
184 (Miller *et al.* 2010). The program was set to operate with a GTR substitution model. ML
185 bootstrap analyses were performed on 1000 pseudoreplicates. Bayesian analyses were
186 performed using MrBayes 3.2.6 (Ronquist *et al.* 2012). The program was set to operate
187 with GTR + I + G, and four Monte Carlo Markov Chains (MCMC) starting from a
188 random tree. A total of 5,000,000 runs were set to be completed for 18S rDNA datasets.
189 Generations were calculated with trees sampled every 100 generations, and the first
190 12,500 trees in each run were discarded as burn-in. When the standard deviation of split
191 frequencies fell below 0.01, the program was set to terminate (3,415,000 generations
192 were attained). Posterior probabilities correspond to the frequency at which a given node
193 was found in the post-burn-in trees.

194 Host identification

195 The anterior portion and posterior ends (including cephalic and anal plate, respectively)

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196 of maldanid hosts infected by haplozoan trophonts were fixed with and preserved in 70%
197 or 99% ethanol, following the isolation of the haplozoan parasites. In the case of the
198 maldanid host from Kitsunozaki Bay, however, the posterior part of the body was already
199 missing when the specimen was collected. At a later date, these specimens were used for
200 morphological observation to identify the bamboo worms. At the same time as fixation, a
201 part of the tissue from each specimen was used for mitochondrial cytochrome *c* oxidase
202 subunit I (COI) gene sequencing. Total genomic DNA was extracted following the
203 manufacturer's protocol using an Epicentre FFPE extraction kit. The primers LCO1490
204 and HCO2198 (Folmer *et al.* 1994) were used to amplify sequences using the following
205 program on a thermocycler: initial denaturation at 95 °C for 10 min; 35 cycles of 95 °C
206 for 30 s, 50 °C for 90 s, 72 °C for 1 min, and a final extension at 72 °C for 7 min.
207 Purified PCR products were used in a sequencing reaction with ABI BigDye Terminator
208 v1.1 and subsequently purified with ethanol, before being eluted in 18- μ l Hi-Di
209 Formamide and sequenced on a 3130 Genetic Analyzer. Hosts were identified using
210 BLAST and specimen observations.

211

212 **RESULTS**213 *Morphology of H. gracile sp. nov.*

214 **LIGHT MICROSCOPY:** Individuals were comprised of three distinct parts: a slender contractile
215 trophocyte (anterior), rectangular gonocytes (middle), and slightly rounded sporocytes
216 (posterior). Trophocytes attached to the bamboo worm tissue were observed (Fig. 1). Because

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217 sporocytes can be seen at the distal end of each individual, it was apparent that the organisms
218 were attached at the trophocyte-end (Fig. 1). All individuals observed consisted of a single linear
219 row, and all the junctions between cells were perpendicular to the anteroposterior axis (Figs 2–5).
220 The mean length of individuals was 190 μm ($n = 13$), but lengths varied (115–260 μm) (Figs 2–
221 4); mean lengths (anteroposterior axis) of the trophocytes, gonocytes, and sporocytes were 35 μm
222 ($n = 14$), 5 μm ($n = 19$) and 6 μm ($n = 14$), respectively. Mean widths of the trophocytes and
223 gonocytes, sporocytes were 9 μm ($n = 12$), 10 μm ($n = 20$) and 10 μm ($n = 15$), respectively. On
224 average, individuals consisted of a single trophocyte, 29 gonocytes ($n = 19$) and 3 sporocytes (n
225 = 16) but these numbers ranged from 10 to 50 and 0 to 8, respectively. The middle part of
226 trophocyte was wavy and mainly elongated and contracted like a spring, with a single stylet that
227 protracted and retracted at the anterior end (Figs 2, 4, Supplementary Video 1). Some trophonts
228 were observed with only gonocytes with or without sporocytes (Fig. 3), as the trophonts
229 sometimes fragmented accidentally. Each cell had an oval nucleus in the central area (Figs 2–4).

230
231 SCANNING ELECTRON MICROSCOPY: The surface of *H. gracile sp. nov.* was covered with
232 small depressions (Figs 6–10). Each depression was relatively large (approximately 1.2 μm in
233 diameter), mostly four or five-sided, and bordered by a raised ridge. This gave an appearance
234 that the entire body was covered with fine mesh (Figs 7–9). The trophocyte was elongated and
235 consisted of a slender tip and slightly widened proximal part of almost the same length (Fig. 7).
236 The stylet was seen protruding from some fixed cells (Fig. 6). The mesh-like surface was also
237 observed at the junction where the sporocytes appeared to be detached (Figs 9, 10).

238 TRANSMISSION ELECTRON MICROSCOPY: Each cell had a large central nucleus with a
239 nucleolus, which occupied a substantial area within the cell (Figs 11, 12). The outline of each

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240 nucleus was rather irregular, and chromosomes were relatively thin (Figs 12, 13). Starch granules
241 and lipid droplets were scattered throughout the cell (Figs 11, 12, 14). The amphiesmal vesicles
242 surrounded entire cell body. Each amphiesmal vesicle contained a thecal plate (Figs 15, 16). The
243 thecal plates were 100–300 nm thick and their surfaces were mostly concave (Figs 12, 15). In
244 dividing cells, extranuclear spindles were observed in the tubular cytoplasmic tunnel (Fig. 14).
245 The cell boundaries appeared to consist of compressed amphiesma (two layers) that did not seem
246 to contain thecal plates (Fig. 15). In the trophocyte, large numbers of mitochondria were
247 observed in the peripheral region of the cell (Figs 16, 17). The TEM sections of a possible stylet
248 had an electron dense core located in the trophocyte anterior (Figs 18, 19).

249 *Morphology of H. pugnus sp. nov.*

250 LIGHT MICROSCOPY: Individuals were comprised of a bulbous trophocyte (anterior),
251 rectangular gonocytes (middle), and rounded sporocytes (posterior). Sporocytes were arranged in
252 a single row or up to 8 rows depending on the individual. The anteroposterior junctions between
253 sporocytes with multiple rows were obliquely angled to each other (Figs 20, 21). The average
254 length of individuals observed was 179 μm ($n = 53$), but lengths varied (71–292 μm ; Figs 20,
255 21); average lengths of the trophocytes, gonocytes, and sporocytes were 29 μm ($n = 55$), 11 μm
256 ($n = 59$), and 10 μm ($n = 34$), respectively. Average widths of the trophocyte and gonocytes were
257 25 μm ($n = 55$) and 25 μm ($n = 59$), respectively. The width of the sporocyte depended on the
258 number of rows; with mean widths of the 2-rowed and 4-rowed individuals being 16 μm ($n = 14$)
259 and 11 μm ($n = 13$), respectively. On average, individuals consisted of 11 gonocytes ($n = 59$) and
260 11 sporocytes ($n = 48$) but their number ranged from 3 to 25, and 0 to 60, respectively. Each cell
261 had a central nucleus (Figs 20, 21), and in the sporocyte, the nucleus occupied most of the cell.

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262 Probable pusules were located near the membrane in some gonocytes (Figs 20, 22). The
263 trophocyte moved and changed the shape of the apex. The direction of a stylet that protracted
264 and retracted changed depending on movement of the trophocyte apex (Figs 23, 24,
265 Supplementary Video 2).

266 SCANNING ELECTRON MICROSCOPY: The surface of *H. pugnus sp. nov.* was covered with
267 short hair-like projections of the amphiesmal vesicles around the anterior end of the trophocyte,
268 while the rest of the body was covered with numerous depressions (Figs 26, 27). Each depression
269 was relatively small (*c.* 800 nm diameter), mostly four or five sided and bordered by raised ridge
270 (Fig. 27). A single stylet protruded from some fixed cells (Figs 25, 26). Multiple rows of
271 sporocyte divided by subtransverse junctions (Fig. 27).

272 TRANSMISSION ELECTRON MICROSCOPY: Each cell contained a large nucleus with a
273 nucleolus and distinct, relatively broad chromosomes (Figs 29, 30). Each concave amphiesmal
274 vesicle contained a thecal plate (Fig. 33). The thecal plates were 200–300 nm thick (Fig. 33).
275 Many starch granules and several lipid droplets were observed through the cells (Figs 28, 29, 32).
276 Transverse junctions between gonocytes (Figs 29, 31) and subtransverse (oblique) junctions
277 between sporocytes (Fig. 32) were observed. Some gonocytes contained what was interpreted to
278 be relict plastids, surrounded by a triple membrane (Fig. 34). Spherical vesicular structures 1.2–
279 2.0 μm in diameter seemed to be associated with tubular pusules with invaginations (Fig. 35). In
280 some cases, intracellular bacteria were observed suspended in cytoplasm (Fig. 36).

281

282 Molecular phylogenetic analyses

283 A maximum-likelihood phylogenetic tree is shown in Fig. 37. No substantial differences were
284 detected between ML and Bayesian trees. Our analyses showed that *H. gracile sp. nov.* and *H.*
285 *pugnus sp. nov.* were included in a clade along with other *Haplozoon* (100% BT/1.0 PP) (Fig.
286 37). Two isolates, *Haplozoon gracile sp. nov.* isolate 1 (Fig. 2; Supplementary Video 1) and
287 isolate 2 (Fig. 3) from Kitsunezaki were identical in comparable regions of 18S rDNA, and their
288 clade branched as a sister to *H. axiothellae*, although statistical support was not high. The
289 inclusion of these two isolates in the clade consisting of *H. ezoense*, *H. paraxillellae* and *H.*
290 *axiothellae* was highly supported. We have included four isolates of *H. pugnus sp. nov.* which
291 are different from each other in the number of rows of sporocytes in the alignment, i.e. isolate 1
292 (Fig. 20; multiple rows of sporocytes, from Muroran), isolate 2 (2 rows of sporocytes, from
293 Muroran), isolate 3 (single row of sporocytes, from Akkeshi in 2017), and isolate 4 (Fig. 21;
294 single row of sporocytes, from Akkeshi in 2018). All these four isolates were also identical in
295 comparable regions of 18S rDNA, even though there are differences in the number of rows of
296 sporocytes, sampling locality, or host species (*Nicomache sp.* from Akkeshi and *Nicomache*
297 *personata* from Muroran were over 10% different based on COI sequences). Deeper phylogenic
298 relationships of *Haplozoon* to other dinoflagellate groups, however, were uncertain.

299

300 Host identification

301 HOST BAMBOO WORM OF *H. GRACILE SP. NOV.*: Morphological characters of this
302 specimen were consistent with the main diagnostic features uniting Maldanidae: (1) head without

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303 any appendages, (2) head with a pair of nuchal slits and a median cephalic keel, (3) parapodia
304 reduced to low ridges on each chaetiger, (4) notopodia with capillary chaetae, and (5) neuropodia
305 with rostrate hooks (Fauchald 1977; Rouse & Pleijel 2001). Some characters from the host worm
306 were not observable (e.g. number of chaetiger, shape of the pygidium, presence or absence of the
307 collar on chaetiger) because the worm lacked the posterior part of body. Nevertheless, the result
308 of blasting COI sequence showed that it was 99% identical to “Cf. *Petaloclymene* sp.” (GenBank
309 LC342658) and thus we identified our host species as “Cf. *Petaloclymene* sp.” *sensu* Kobayashi
310 *et al.* (2018; Fig. 38). The COI sequence was deposited in GenBank (accession number
311 LC529375).

312

313 HOST BAMBOO WORMS OF *H. PUGNUS SP. NOV.* FROM MURORAN: The morphological
314 features of anterior and posterior ends (e.g. head shape and colour, acicular spines on first three
315 chaetigers, one preanal achaetigerous segment, anal funnel shape) matched the description of
316 *Nicomache personata* Johnson (Johnson 1901; Imajima & Shiraki 1982; Imajima 1996; De Assis
317 *et al.* 2007). In addition, the COI sequence (GenBank LC529374) was identical to a reference
318 sequence of *Nicomache personata* (GenBank LC006052.1). Accordingly, we identified the
319 bamboo worms from Muroran as *Nicomache personata* (Fig. 39).

320

321 HOST BAMBOO WORMS OF *H. PUGNUS SP. NOV.* FROM AKKESHI: The morphological
322 characters of anterior and posterior ends (e.g. head shape and colour, acicular spines on first
323 three chaetigers, one preanal achaetigerous segment, anal funnel shape) of this specimen agree
324 with the morphological account given in some descriptions of *Nicomache personata* Johnson,
325 (Johnson 1901; Imajima & Shiraki 1982; Imajima 1996; De Assis *et al.* 2007). However, COI

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326 sequences (GenBank LC529372, LC529373) were approximately 10% different from *N.*
327 *personata*. Accordingly, we identified the bamboo worms from Akkeshi as *Nicomache* sp. (Fig.
328 40).

329

330 Taxonomic summary

331 ***Haplozoon gracile* M.Yamamoto, K.C.Wakeman, S.Tomioka & T.Horiguchi sp. nov.**

332 Figs 1–19

333 DESCRIPTION: Linear trophonts average 190 µm long, comprising a slender trophocyte (means
334 of 35 µm long and 9 µm wide), rectangular gonocytes (means of 5 µm long and 10 µm wide),
335 and slightly rounded sporocytes (means of 6 µm long and 10 µm wide); trophocytes with wavy
336 middle regions elongate and contract like a spring, each with a single protractible stylet at
337 anterior end; all cell-to-cell junctions perpendicular to the anteroposterior axis; surface of
338 trophonts covered with small depressions; nuclear-encoded 18S rDNA sequence (GenBank
339 LC529366, LC529367).

340

341 HOLOTYPE: SAP No. 115485, trophonts on SEM stubs with a gold sputter coat have been
342 deposited in the herbarium of the Faculty of Science, Hokkaido University. Figure 2 (GenBank
343 LC529366) was selected to fulfil the requirement of Art. 44.2 (Turland *et al.* 2018).

344

345 TYPE LOCALITY: Kitsunzaki, Miyagi prefecture, Japan (38°21.36'N 141°25.42'E). Host
346 common among roots of *Zostera caespitosa* Miki (Zosteraceae), at 5–6 m depth. Collection date
347 26 March 2018.

348

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349 TYPE HABITAT: Marine

350

351 TYPE HOST: Family Maldanidae Malmgren, 1867 (Annelida, Polychaeta); mitochondrial COI
352 sequence (GenBank LC529375).

353

354 LOCATION IN HOST: Intestinal lumen.

355

356 ETYMOLOGY: From the Latin adjective *gracilis*, *-e*, in reference to the slender shape of the
357 trophocyte.

358

359

360 ***Haplozoon pugnus* M.Yamamoto, K.C.Wakeman, S.Tomioka & T.Horiguchi *sp. nov.***

361

Figs 20–36.

362

363 DESCRIPTION: Trophont mean length 179 μm ; consisting of a bulbous trophocyte (means of
364 29 μm long, 25 μm wide), rectangular gonocytes (means of 11 μm long, 25 μm wide), and 1–8
365 rows of rounded sporocytes. The trophocyte with protractable stylet in anterior end. Trophocyte
366 occasionally changes shape, causing directional change of protruded stylet; the anteroposterior
367 junctions between multiple rows of sporocytes obliquely angled to each other, and the other parts
368 of cells attached perpendicular to the anteroposterior axis; trophont surface covered with small
369 depressions, except for around the anterior end of trophocyte with short hair-like projections of
370 amphiesmal vesicles; nuclear-encoded 18S rRNA sequence (GenBank LC529368, LC529369,
371 LC529370, LC529371).

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372

373 HOLOTYPE: SAP No. 115486, trophonts on SEM stubs with a gold sputter coat deposited in the
374 herbarium of the Faculty of Science, Hokkaido University. Figure 20 (GenBank LC529368) was
375 selected to fulfill the requirement of Art. 44.2 (Turland *et al.* 2018).

376

377 TYPE LOCALITY: Muroran, Hokkaido, Japan (42°18.83'N 140°58.67'E). Host commonly
378 found among roots of *Phyllospadix iwatensis* Makino (Cymodoceaceae), at low tide near
379 Hokkaido University Muroran Marine Station. Collection date: 17 May 2018.

380

381 TYPE HABITAT: Marine.

382

383 TYPE HOST: *Nicomache personata* Johnson (Annelida, Polychaeta, Maldanidae);

384 Mitochondrial COI sequence (GenBank LC529374).

385

386 LOCATION IN HOST: Intestinal lumen.

387

388 ETYMOLOGY: From the Latin noun *pugnus*, a fist, alluding to the shape of a contracted
389 trophocyte, which looks like a clenched fist.

390

391 **DISCUSSION**

392 *Haplozoon gracile* sp. nov. and *H. pugnus* sp. nov. can be clearly distinguished by morphological
393 comparison from the previously described 14 species of *Haplozoon*. *Haplozoon gracile* sp. nov.

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394 is similar to *H. lineare*, *H. clymenidis* and *H. ezoense* in that they all have a body consisting of a
395 single row of cells (Chatton 1920; Schiller 1937; Wakeman *et al.* 2018). However, *H. gracile sp.*
396 *nov.* can be differentiated from these species: *Haplozoon lineare* is wider than *H. gracile sp. nov.*,
397 and the rounded rectangle trophocyte of *H. lineare* has multiple stylets (a stylet plus reserve
398 stylets; Chatton 1920; Schiller 1937). *Haplozoon clymenidis* and *H. ezoense* have round or
399 elongate trophocytes (Schiller 1937; Wakeman *et al.* 2018), in addition, the surface of *H. ezoense*
400 is covered with hair-like projections of the amphiesmal vesicles. Despite similarities with
401 *Haplozoon inerme, nomen nudum* (Siebert 1973), this species was described as a parasite of
402 *Appendicularia sicula* (Tunicata), while the other species of *Haplozoon* infect polychaetes
403 (Annelida). In addition, it also appears wider than *H. gracile* (Cachon 1964). The trophocyte
404 form of *H. gracile sp. nov.* is unique in that it is narrow and long, its proximal part narrows, and
405 the middle region is wavy. This type of trophocyte has not been described previously species.

406 *Haplozoon pugnus sp. nov.* shares the feature of angled, multiple rows of
407 sporocytes with *H. ariciae*, *H. armatum*, *H. macrostylum*, *H. obscurum* and *H. villosum*
408 (Dogiel 1906, 1910; Chatton 1920; Schiller 1937). However, *H. pugnus sp. nov.* has
409 perpendicular junctions to the anteroposterior axis in part of the body, while the others
410 have consistently angled junctions, even between trophocyte and gonocyte. Therefore, *H.*
411 *pugnus sp. nov.* can be distinguished from all other species of *Haplozoon*.

412 Previous studies indicated that all known species of *Haplozoon* infect a single host
413 species (Rueckert & Leander 2008; Shumway 1924; Siebert 1973); however, our data shows two
414 *Haplozoon* species (i.e. *H. ezoense* and *H. parxillellae*) can infect the same species of worm,
415 *Praxillella pacifica* (Wakeman *et al.* 2018). In addition, our results indicated that the host-
416 parasite relationship in *H. pugnus sp. nov.* might not be a one-to-one relationship at the species-

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417 level. Trophonts of *H. pugnus* sp. nov. were isolated from two species of host bamboo worm in
418 the genus *Nicomache*. These hosts were collected from different sites of Hokkaido, but from
419 similar habitats, i.e. the roots of seagrass in the muddy intertidal zone. The COI sequences of
420 *Nicomache personata* from Muroran and *Nicomache* sp. from Akkeshi were 10% different.
421 Because both species are morphologically similar, the species identity of *Nicomache* sp. should
422 be considered. In the case of the polychaete genus *Hydroides* (Serppulidae), for example, the
423 species difference of COI sequences is 10.4% to 36.9% (mean 26.2%), while intraspecific
424 sequence divergence was much smaller, ranging from 0% to 0.9% (mean: 0.43%) (Sun *et al.*
425 2012). Base pair differences between two species of *Nicomache* from different localities nearly
426 corresponds to that of interspecific difference in *Hydroides*, and is much higher than the
427 intraspecific divergence. Therefore, we believe that the hosts represent different species of
428 *Nicomache*. Further molecular studies on other genes and biological studies of these two ‘cryptic’
429 species are needed to understand their relationships. This study is the first to obtain sequences
430 from both parasite and host in haplozoan studies. Considering that maldanids have 280 species in
431 40 genera (Kobayashi *et al.* 2018), many more *Haplozoon* species are likely to be found in these
432 different maldanid worms. Further studies are needed in order to recognize the host-parasite
433 relationships.

434 Thin-sections viewed using TEM confirmed the presence of thecal plates in both
435 new species presented here. Previous studies also observed thecal plates in *H. axiothellae*
436 (Siebert & West 1974) and *H. ezoense* (Wakeman *et al.* 2018, Fig. 4D). Based on our
437 phylogeny, thecal plates are likely present throughout the *Haplozoon* clade. In addition,
438 even if there are differences in the form of thecal plates or surface structure (e.g.
439 depressions, hair-like projections, spines; Rueckert & Leander 2008; Siebert & West

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440 1974; Wakeman *et al.* 2018), we suggest that these features are the result of selective
441 pressure to increase surface area for nutrient absorption. Junctions between cells of
442 *Haplozoon* are considered to be made by invaginated amphiesma. Because little
443 invaginated amphiesmal vesicles from both sides of the cell were observed where the cell
444 was undergoing cytokinesis and the mature junctions consist of two layers of more
445 compressed amphiesmal vesicles (Figs 15, 31). The amphiesmal vesicles near cell-
446 junctions does not contain thecal plates; thus, it appears that thecal plates can be formed
447 only in amphiesmal vesicles located at the surface of cell body.

448 Siebert & West (1974) also mentioned mitochondrial localisation in trophocytes
449 of *H. axiothellae*. Numerous mitochondria with tubular cristae were located under the
450 amphiesma of the trophocyte in *H. gracile sp. nov.* (Figs 16, 17). This superficial layer of
451 mitochondria under the anterior membrane are also known in some gregarines, and this
452 feature may be related to cell motility (Leander 2006). We also found putative relict
453 plastids with three membranes in *H. gracile sp. nov.* that were previously reported in *H.*
454 *ezoense* (Wakeman *et al.* 2018).

455 In *Haplozoon pugnus sp. nov.*, we show the first TEM image of probable
456 haplozoan pusules which consist of a number of vesicle structures. In *H. praxillelae*,
457 spherical vesicles that were reminiscent of pusules were also seen in light micrographs
458 (Rueckert & Leander 2008); thus pusules are not exclusive to free-living dinoflagellates.
459 Some parasitic species, e.g. *Oodinium*, are known to have pusules (Dodge 1972).

460 We also observed the intracellular bacterial symbionts in *H. pugnus sp. nov.* (Fig.
461 36). The presence of intracellular bacteria in dinoflagellates is not uncommon (e.g.
462 Horiguchi 1995). Leander *et al.* (2002) reported unusual episymbionts on the surface of

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463 *Haplozoon* that may be some a form of bacteria, picoeukaryote, or symbiotic archaea, but
464 we did not observe any episymbionts.

465 Individuals of both new species have various numbers of cells, but motile
466 dinospores were not observed. We incubated both trophonts from the new species with or
467 without a part of host tissue at 15 °C and 50 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ at a 16:8 h light:dark
468 cycle in sterilized seawater or IMK medium, but dinospores and cell division were not
469 observed in all cases before they died. The complete life cycle of *Haplozoon* is still
470 unknown.

471 In summary, through describing two new species of *Haplozoon*, we added
472 additional data to this poorly understood group. This included details of their unique
473 surface morphology and internal structures, in particular additional morphological
474 evidence for the presence of putative relic plastids. In addition, we showed the
475 phylogenetic positions of the new species within *Haplozoon*, and provided some of the
476 first molecular evidence that the same species of *Haplozoon* can be found in different
477 host species. We also demonstrated that haplozoans are indeed a member of the core
478 dinoflagellates (i.e. dinoflagellates with dinokaryon). However, the exact phylogenetic
479 position of this group in the context of dinoflagellates is still uncertain. Thus, how and
480 when this unusual dinoflagellate evolved from an ‘ordinary’ dinoflagellate is one of the
481 most intriguing questions in the evolution of dinoflagellates. This is because they provide
482 a key to understanding the origin of multi-cellularity, as well as an the independent origin
483 of parasitism among dinoflagellates (and alveolates). These questions should be
484 addressed by applying multi-gene phylogenetic analyses using transcriptome and genomic.
485 Future work should focus on unravelling the morphology of the motile stage of

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486 *Haplozoon*. The detailed study of motile cells, including the flagellar apparatus, might
487 give us clue to understand the phylogenetic affinities of this peculiar dinoflagellate.

488

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589

590

591 **FIGURES**

592 Figs 1–5. Light micrographs and a diagram of *Haplozoon gracile sp. nov.* G, gonocyte; N,
593 nucleus; S, stylet; Sp, sporocyte; T, trophocyte.

594 **Fig. 1.** Trophonts (arrows) infecting the host worm tissue. Scale bar = 50 μm .

595 **Fig. 2.** A linear (single row of cells) trophont consisting of a trophocyte, gonocytes and
596 sporocytes, each with a nucleus. A protracted stylet can be seen. Scale bar = 20 μm .

597 Fig. 3. A linear trophont consisting of more cells than those of the individual shown in Fig. 2.

598 Scale bar = 50 μm .

599 Fig. 4. A linear trophont, showing elongated trophocyte with a distinct nucleus. Stylet is retracted
600 at this moment. Scale bar = 50 μm .

601 **Fig. 5.** Schematic drawing of *Haplozoon gracile sp. nov.* showing body structure as aid in
602 visualisation for each junction of Fig. 4. Scale bar = 50 μm .

603

604 Figs 6–10. SEM of *Haplozoon gracile sp. nov.* G, gonocyte; J, junction; N, nucleus; S, stylet;
605 Sp, sporocyte; T, trophocyte.

606 **Fig. 6.** Trophont consisting trophocyte with protracted stylet, gonocytes and sporocyte. Scale bar
607 = 20 μm .

608 **Fig. 7.** Detail of anterior part of trophont, showing trophocyte, connected to gonocytes; junctions
609 indicate boundaries between cells. Scale bar = 5 μm .

610 **Fig. 8.** Small depressions cover cell surface. Scale bar = 2 μm .

611 **Fig. 9.** Detached sporocytes. Scale bar = 5 μm .

612 **Fig. 10.** Possible junction (arrow) between gonocytes and sporocytes after detachment of
613 sporocytes. Scale bar = 2 μm .

614

615 Figs 11–15. TEM of longitudinal sections of *Haplozoon gracile sp. nov.* Am, amphiesmal
616 vesicle; D, developing gonocytes; J, junction; L, lipid; N, nucleus; Ns; nucleus with extranuclear
617 spindle, Nu, nucleolus; St, starch granule; T, trophocyte; Tp, thecal plate.

618 **Fig. 11.** View of trophont, showing trophocyte and chain of gonocytes. Mature junction (arrows)
619 and developing gonocytes (cells undergoing cytokinesis) visible. Scale bar = 5 μm .

620 **Fig. 12.** Section of gonocytes showing nuclei and cell junctions. Scale bar = 2 μm .

621 **Fig. 13.** Nucleus of gonocyte showing nucleolus and chromosomes (arrowheads). Scale bar = 1
622 μm .

623 **Fig. 14.** Dividing nucleus with extranuclear spindles. Scale bar = 2 μm .

624 **Fig. 15.** High-magnification TEM of junction between two gonocytes; thecal plate included in
625 each amphiesmal vesicle covering cell surface. Scale bar = 500 nm.

626

627 Figs 16–19. TEM of trophocyte of *Haplozoon gracile sp. nov.* Am, amphiesmal vesicle, C,
628 electron-dense core; M, mitochondria; S, starch granule; St, stylet; Tp, thecal plate.

629 **Fig. 16.** Longitudinal section showing starch granules and amphiesmal vesicles with thecal
630 plates; mitochondria with tubular cristae locate under the amphiesma. Scale bar = 1 μm .

631 **Fig. 17.** Cross section showing layer of mitochondria with tubular cristae. Scale bar = 500 nm.

632 **Figs 18, 19.** Longitudinal section of anterior end of the trophocyte showing a stylet with possible

633 central, electron-dense core. Scale bars = 500 nm.

634

635 Figs 20–24. Light micrographs of *Haplozoon pugnus sp. nov.* G, gonocyte; N, nucleus; S, stylet;
636 Sp, sporocyte; T, trophocyte.

637 **Fig. 20.** A trophont consisting of a trophocyte, gonocytes and multiple rows of sprocytes (Sp),
638 each with a nucleus; A possible pusule (arrowhead) is visible. Scale bar = 50 μ m

639 **Fig. 21.** A linear trophont consisting of a trophocyte and gonocytes, each with a nucleus; A stylet
640 is visible. Scale bar = 20 μ m.

641 **Fig. 22.** Possible pusules (arrowheads) in gonocytes. Scale bar = 10 μ m.

642 **Figs 23, 24.** Sequence of photographs showing stylet movement. Scale bars = 20 μ m.

643

644 Figs 25–27. SEM of *Haplozoon pugnus sp. nov.* G, gonocyte; J, junction; S, stylet; Sp,
645 sporocyte; T, trophocyte.

646 **Fig. 25.** Trophont consisting of trophocyte with protracted stylet, gonocytes and sporocytes.
647 Scale bar = 50 μ m.

648 **Fig. 26.** High-magnification SEM of trophocyte anterior covered with hair-like projections of
649 amphiesmal vesicles with a stylet. Scale bar = 5 μ m.

650 **Fig. 27.** High-magnification SEM of junction between sporocytes; small depressions cover cell
651 surface. Scale bar = 3 μ m.

652

653 Figs 28–32. *Haplozoon pugnus sp. nov.* TEM of longitudinal sections. Am, amphiesmal vesicle;
654 G, gonocyte; J, junction; L, lipid; N, nucleus; Nu, nucleolus; St, starch granule; Sp, sporocyte; T,
655 trophocyte.

656 **Fig. 28.** Near-complete view of trophont, showing trophocyte, chain of gonocytes and sporocytes.

657 Scale bar = 20 μm .

658 **Fig. 29.** Section of gonocytes, showing nuclei and cell junctions. Scale bar = 5 μm .

659 **Fig. 30.** Nucleus of gonocyte showing nucleolus and chromosomes (arrowheads). Scale bar = 2

660 μm .

661 **Fig. 31.** High-magnification TEM of mature junction between two gonocytes. Scale bar = 500

662 nm.

663 **Fig. 32.** High-magnification TEM of mature junction among sporocytes. Scale bar = 2 μm .

664

665 Figs 33–36. *Haplozoon pugnus sp. nov.* High-magnification TEM of gonocytes in longitudinal

666 section.

667 **Fig. 33.** Amphiesmal vesicles (Am) and thecal plates (Tp). Scale bar = 500 nm.

668 **Fig. 34.** Putative relict plastid (P) with three membrane (arrows). Scale bar = 100 nm.

669 **Fig. 35.** Possible pusule (Ps). Scale bar = 500 nm.

670 **Fig. 36.** Possible intracellular bacteria symbionts (B). Scale bar = 500 nm.

671

672 **Fig. 37.** Maximum-Likelihood tree inferred from 18S rDNA sequences. Bootstrap values over

673 50% and Bayesian posterior probabilities (PP) over 0.50 are shown at the nodes (ML/PP). Thick

674 branches indicate maximal support (100/1.00). Branches leading to fast-evolving taxa indicated

675 by dashed and shortened line by one half. Scale bar represents inferred evolutionary distance in

676 changes per site. Novel sequences of *Haplozoon gracile sp. nov.* and *H. pugnus sp. nov.*

677 highlighted in bold; *Haplozoon* highlighted with gray box.

678

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679 Figs 38–40. Photos of specimens of host maldanid worms. Scale bars = 1 mm.

680 **Fig. 38.** Lateral view of anterior part of species in family Maldanidae, the host of *H. gracile sp.*

681 *nov.*

682 **Fig. 39.** Lateral view of anterior part (right), and dorsal view of posterior part (left) of

683 *Nicomache personata* from Muroran, the host of *H. pugnus sp. nov.*

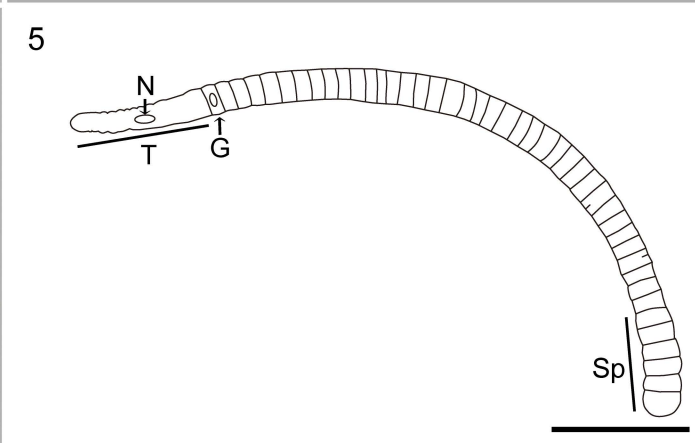
684 **Fig. 40.** Lateral view of anterior part (right) and dorsal view of posterior part (left) in *Nicomache*

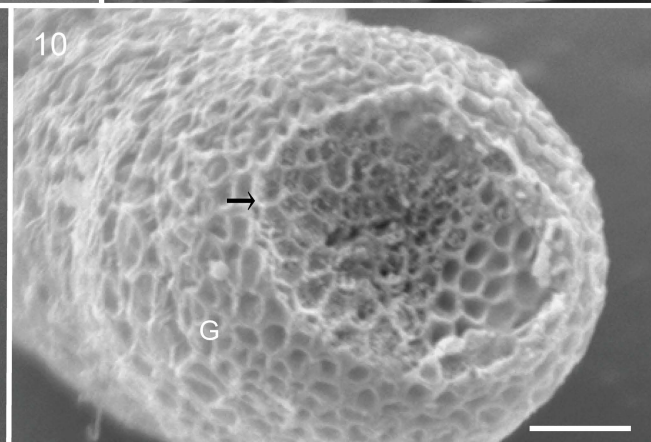
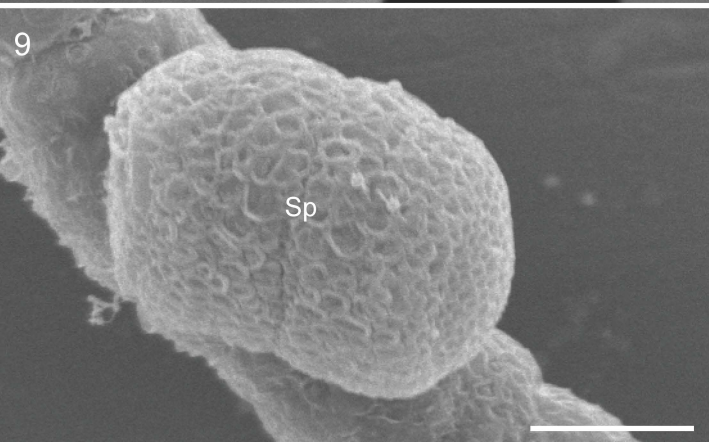
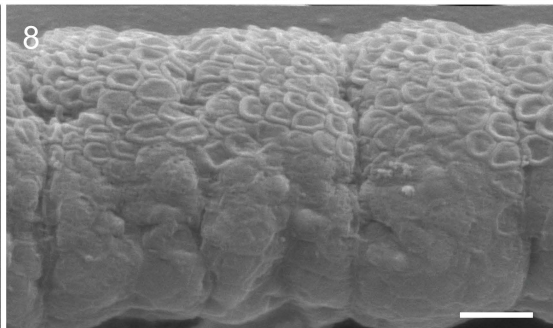
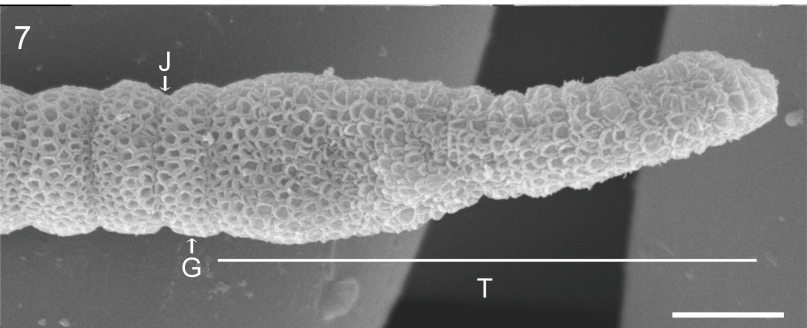
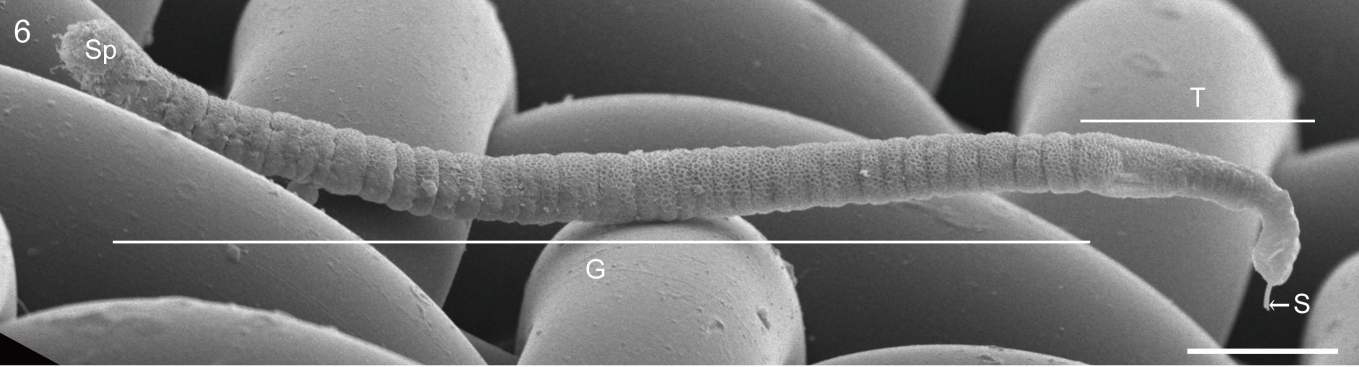
685 *sp.* from Akkeshi, the host of *H. pugnus sp. nov.*

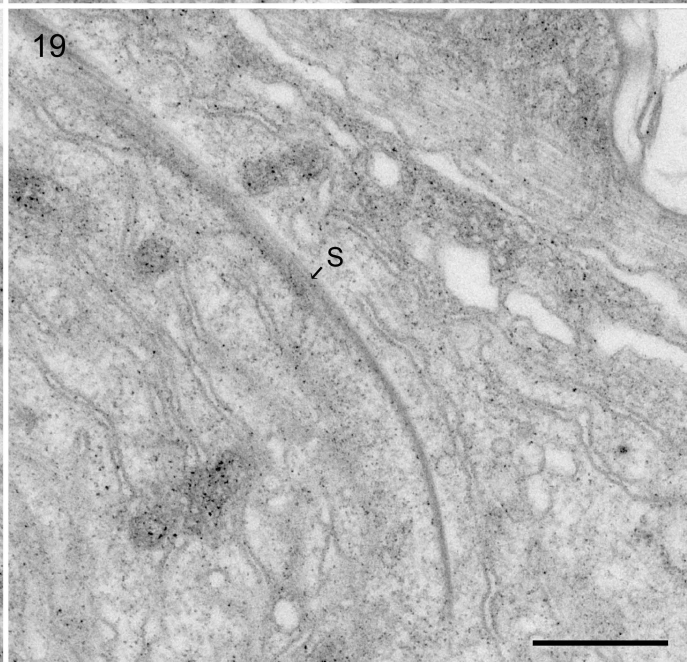
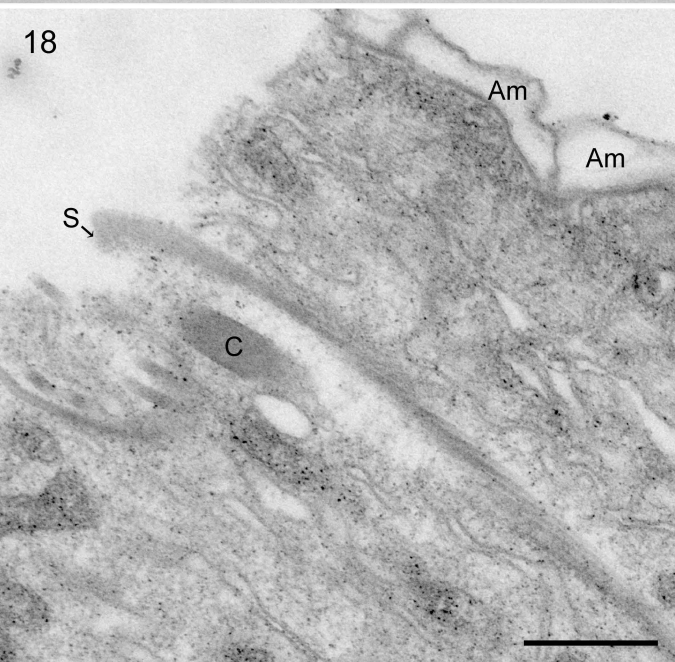
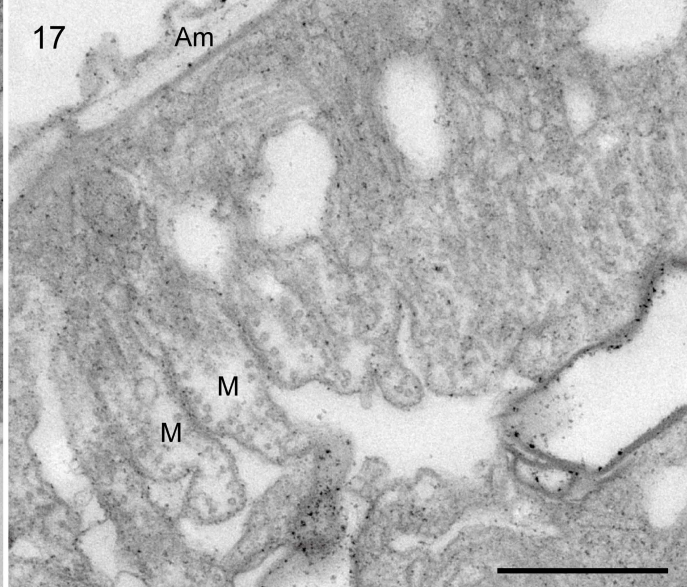
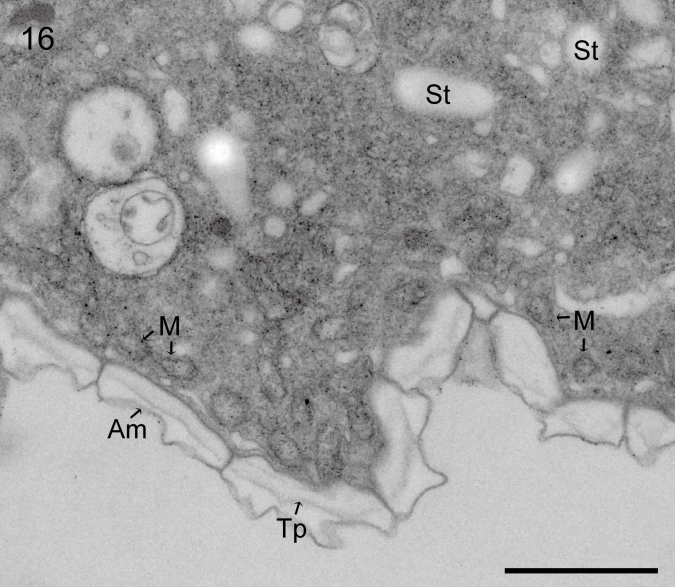
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687 **Supplementary Video 1.** Video recording of a trophocyte of *Haplozoon gracile sp. nov.*

688 **Supplementary Video 2.** Video recording of a trophocyte of *Haplozoon pugnus sp. nov.*







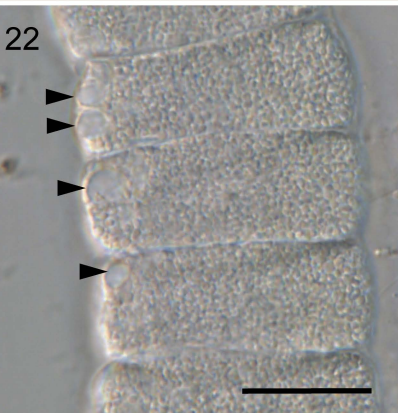
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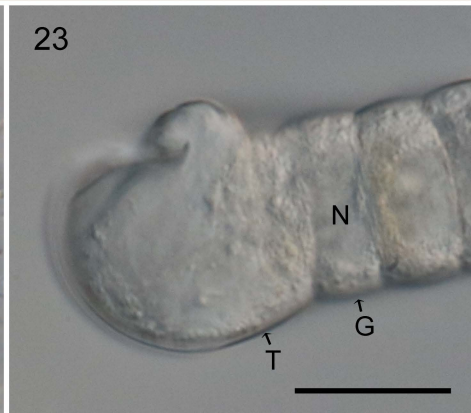
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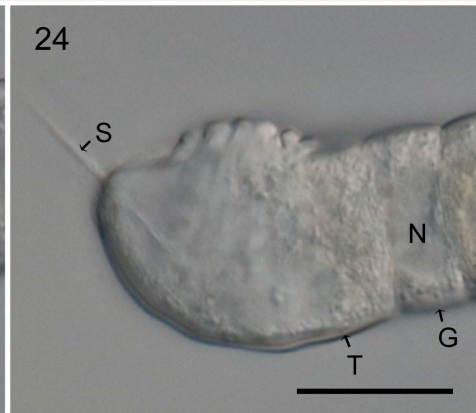
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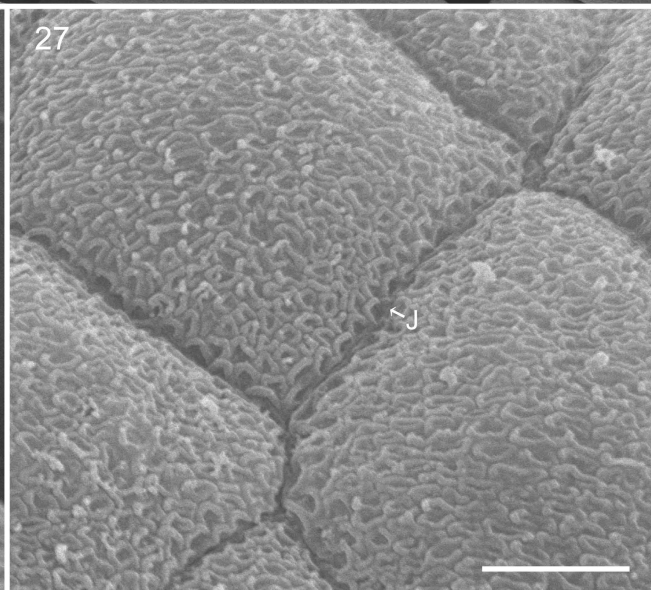
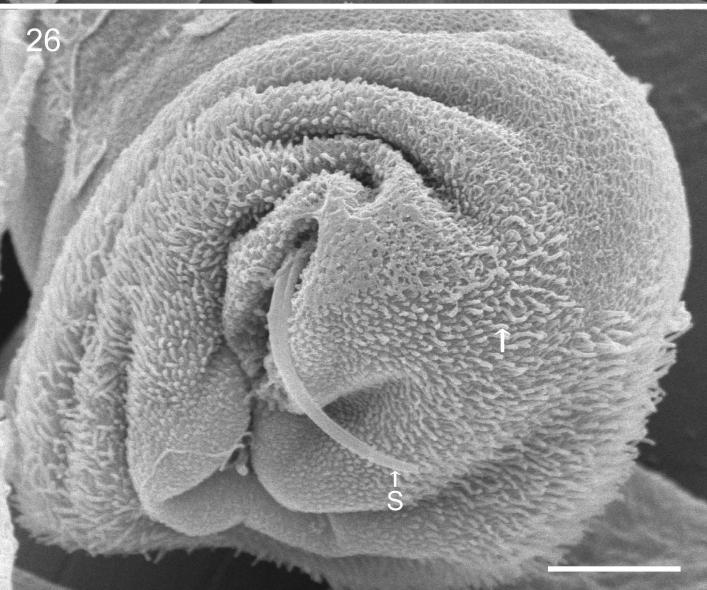
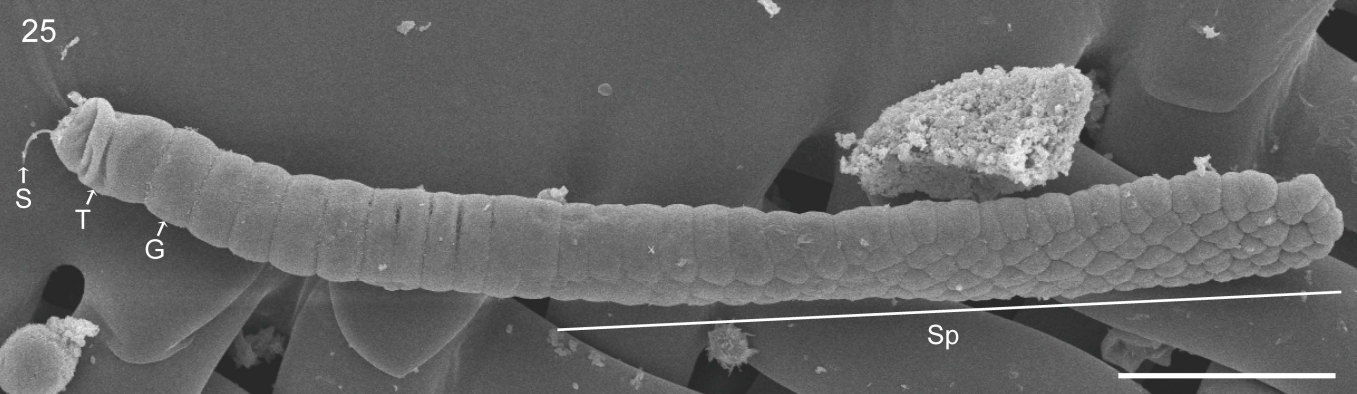


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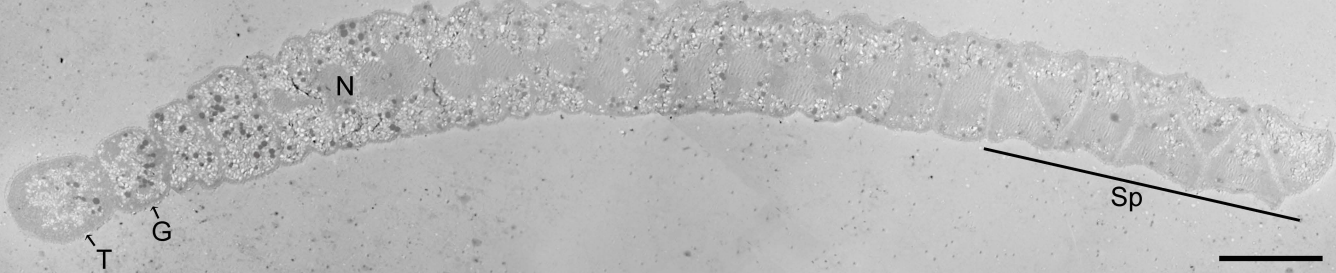


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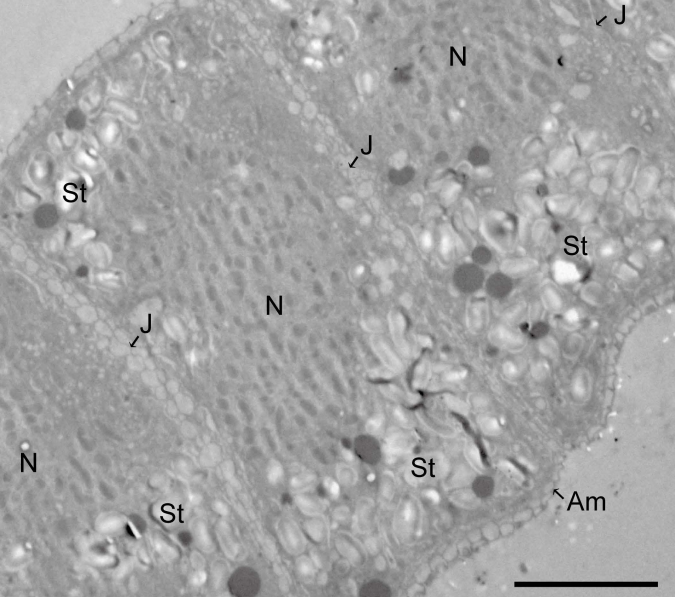




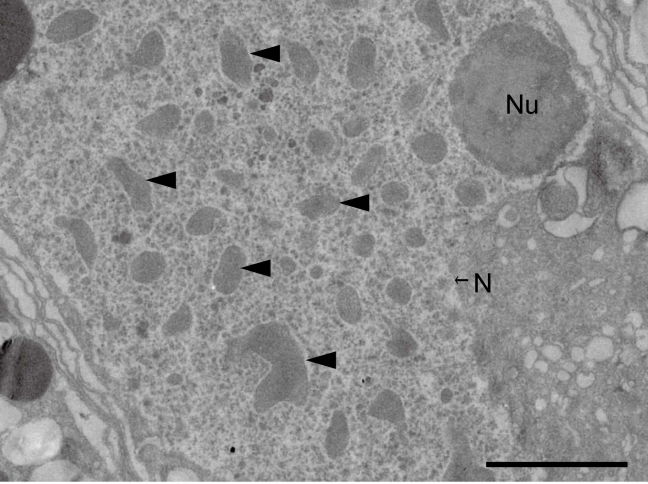
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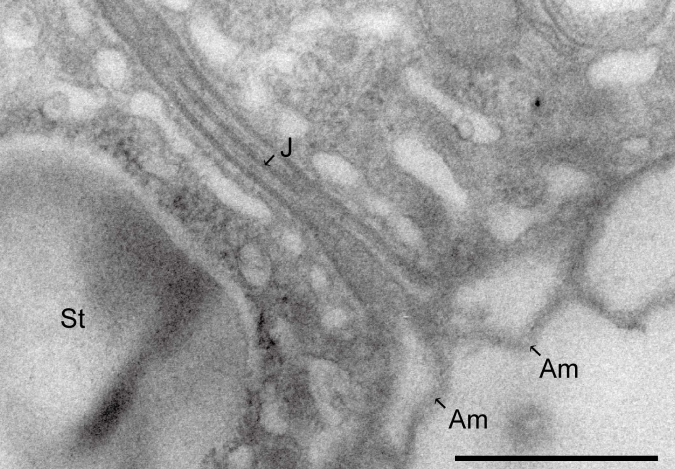
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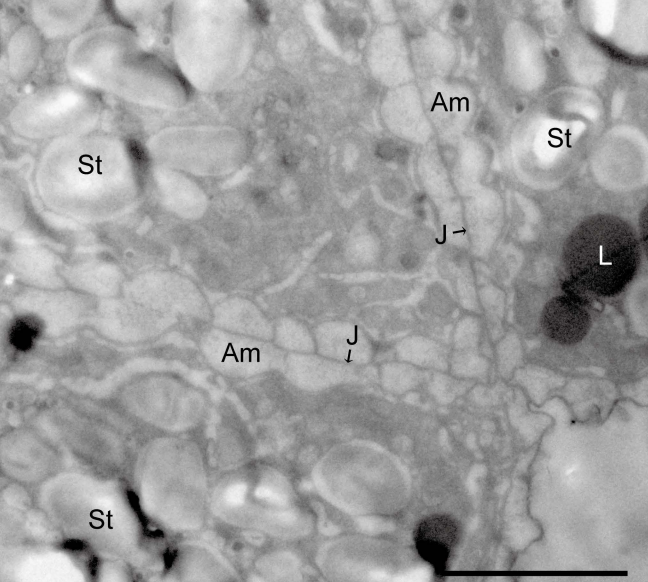
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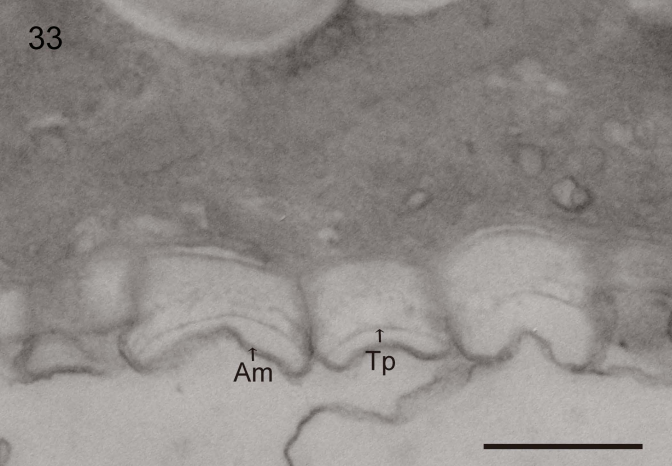
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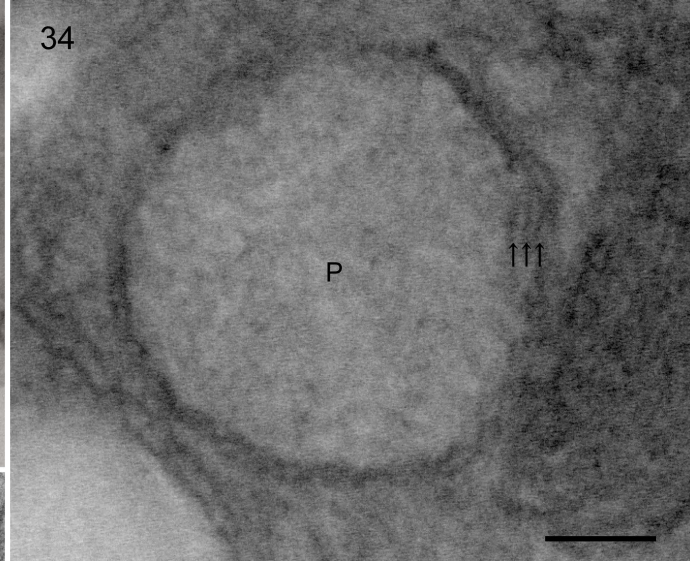
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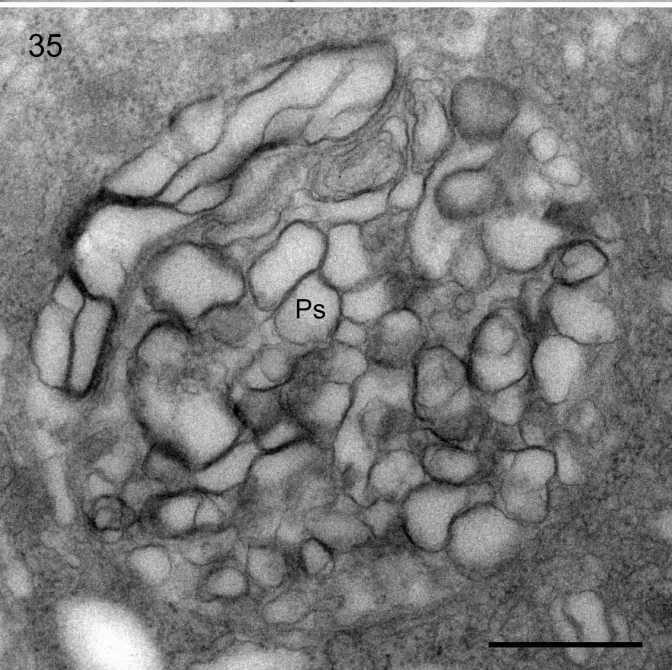
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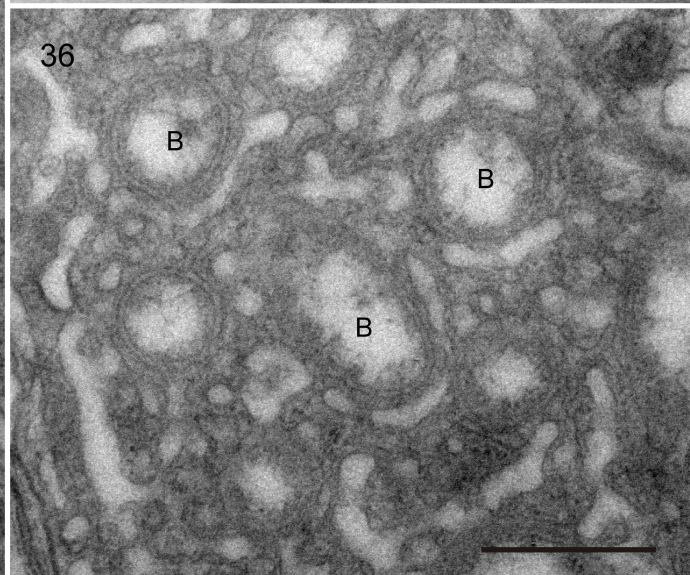
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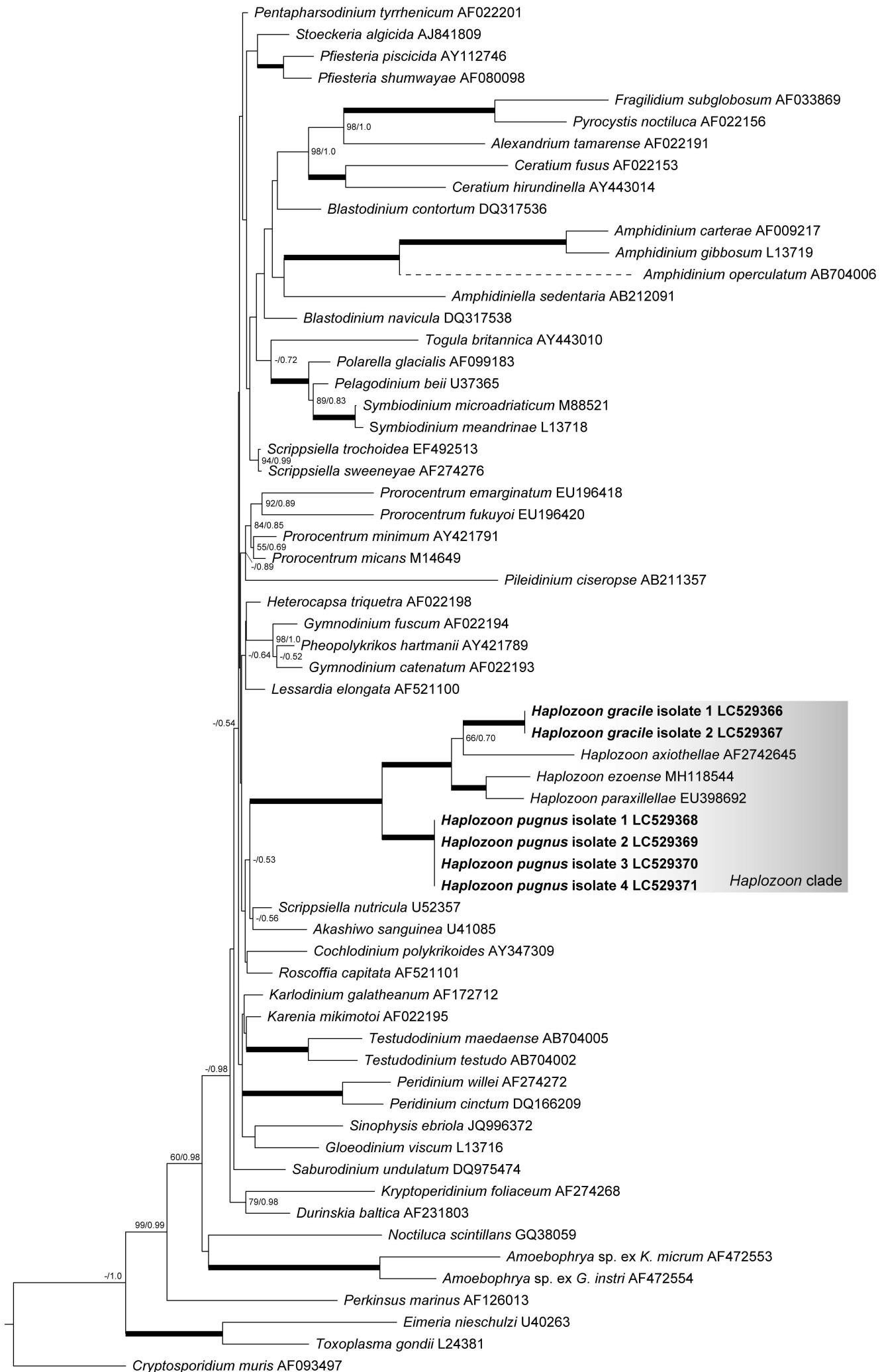


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