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1 Delta-aminolevulinic acid dehydratase (ALAD) and vitamin D receptor (VDR) genes  
2 polymorphisms in children residing in an abandoned lead-zinc mine area

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29 **Abstract**

30 Lead is a ubiquitous environmental pollutant that poses serious health problems to humans,  
31 especially to children. However, genetic variability in individuals varies their susceptibility to lead  
32 poisoning. One possible factor is genetic polymorphism. Thus, this study aimed to investigate the  
33 association between blood lead level (BLL), and polymorphisms in the delta-aminolevulinic acid  
34 dehydratase (ALAD) *MspI* (rs1800435) and vitamin D receptor (VDR) *FokI* (rs19735810), *BsmI*  
35 (rs15444410), *ApaI* (rs7975232) and *TaqI* (rs731236) genes in children exposed to lead. A total of  
36 140 children (aged 2 - 10 years) were recruited in areas living closer to and far away from an  
37 abandoned lead-zinc mine in Kabwe, Zambia. Blood samples were collected from each child for  
38 BLLs and polymorphisms analysis.

39 All children were homozygous for the ALAD 1 allele, indicating there might be bioavailable lead in  
40 the children's blood which can transfer to the soft tissues and the brain. The distribution of the VDR  
41 gene polymorphisms showed major alleles prevalence's of 81%, 80%, 68%, and 75% for *FokI*, *BsmI*,  
42 *ApaI*, and *TaqI* polymorphisms, respectively. The aa genotype of VDR *ApaI* showed significantly  
43 higher BLL compared to other genotypes of the VDRs polymorphism. The *TaqI* - TT genotype was  
44 associated with an increase of lead exposure risk in female children (OR = 2.06; 95% CI:1.04 – 4.06,  
45  $p = 0.03$ ). The haplotype analysis showed 10 haplotypes with a frequency above 1%, and the *FbAt*  
46 haplotype showed a protective role against lead toxicity. In conclusion, the children, especially  
47 female children, which exposed to lead mainly from the abandoned lead-zinc mine might be at a  
48 higher risk of developing lead poisoning. Further, larger scale sample sizes are needed to corroborate  
49 the role of ALAD and VDR genetic variants on the implications of lead toxicity in the general  
50 population, particularly in children.

51 **Keywords:** Lead; Gene polymorphism; ALAD; VDR; Children

## 52 **1. Introduction**

53 Lead is a ubiquitous environmental contaminant that caused adverse health problems and widespread  
54 environmental contamination. To date, no safe blood lead-exposure threshold has been identified.  
55 Children compared to adults are particularly susceptible, and at a greater risk to the neurotoxic  
56 effects of lead (Sanders et al., 2010). Lead can cause damage to children's cognitive functioning that  
57 persist into adulthood and in some cases irreversible neurological damage (Flora et al., 2012;  
58 Lanphear et al., 2005;). There is an inter-individual variation in susceptibility to the adverse health  
59 effects of lead and molecular evidence has indicated that genetic factors such as gene polymorphism  
60 can modify lead toxicity or might be protective from lead poisoning (Kim et al., 2014). The delta-  
61 aminolevulinic acid dehydratase ( $\delta$ -ALAD) and vitamin D receptor (VDR) have shown potential as  
62 candidate genes for lead susceptibility in humans (Onalaja and Claudio, 2000).

63 Aminolevulinic acid dehydratase, the second enzyme in the heme biosynthesis, is a polymorphic  
64 enzyme with two codominant alleles called ALAD 1 and ALAD 2 (Wetmur et al., 1991a). The  
65 difference between these two polypeptides is a single nucleotide polymorphism (SNP) results in the  
66 substitution of neutral asparagine for positively charged lysine at position 177 of the coding region  
67 (rs1800435). The biological explanation to this substitution makes the ALAD 2 enzyme more  
68 electronegative than the ALAD 1 and may have a greater affinity for lead ions (Battistuzzi et al.,  
69 1981; Wetmur et al., 1991a). Several studies reported higher blood lead concentrations in the ALAD  
70 2 allele carriers than the ALAD 1 allele carriers (Montenegro et al., 2006; Wetmur et al., 1991b),  
71 suggesting the individual variation in susceptibility to lead exposure.

72 Lead can substitute calcium in many biological systems since both are divalent cations. Thus, lead  
73 can exert toxic effects by mimicking the actions of calcium and interact with proteins (Tchounwou  
74 et al., 2012). The high binding capacity of lead to calcium-binding proteins suggested that at low

75 calcium intake lead absorption can increase in our body. [Bruening et al. \(1999\)](#) reported significantly  
76 lower dietary calcium intake in children with elevated blood lead levels. The vitamin D endocrine  
77 system and the VDR are vital parts for calcium homeostasis. And in humans, several VDR SNPs  
78 have been identified ([Uitterlinden et al., 2004a](#)). Among these several SNPs, the *Apal* - rs7975232,  
79 *BsmI* - rs1544410, *FokI* - rs2228570, and *TaqI* - rs731236 were investigated intensively for their  
80 association with altered calcium metabolism and human traits ([Uitterlinden et al., 2004b](#), [Valdivielso](#)  
81 [and Fernandez, 2006](#)).

82 Lead exposure represents a significant contributor to adverse health effects in developing countries.  
83 Children's deaths due to lead poisoning were reported from the recycling of used lead-acid battery  
84 in Senegal ([Haefliger et al., 2008](#)) and artisanal gold mining in Nigeria ([Dooyema et al., 2011](#)).  
85 Because of the abandoned lead-zinc mine in the town which operated for almost a century, Kabwe -  
86 Zambia present in a list of the top ten world's most polluted places ([Blacksmith Institute, 2013](#)).  
87 Yabe et al. ([2015](#)) has reported blood lead levels up to 427.8 µg/dL in children residing close to the  
88 closed mine in Kabwe. Besides, previous studies reported very high lead concentrations in different  
89 biological and environmental matrices ([Nakayama et al., 2011](#); [Toyomaki et al., 2020](#); [Yabe et al.,](#)  
90 [2011, 2013, 2020](#);). However, regardless of the high burden of lead exposure in Kabwe town, gaps  
91 still exist in the knowledge of lead exposure and its impact on children. To our knowledge, there is  
92 only one study that investigated the methylation status of ALAD and p16 genes in children exposed  
93 to environmental lead from Kabwe, Zambia ([Yohannes et al., 2020](#)). Nevertheless, no data exist  
94 concerning the impacts of lead on genetic variants among the people from Kabwe.

95 In this study, we aimed to investigate the associations of ALAD *MspI* (rs1800435), VDR – *Apal*  
96 (rs7975232), *BsmI* (rs1544410), *FokI* (rs2228570), and *TaqI* (rs731236) polymorphisms with blood  
97 lead concentration in children living closer to or/and distance away from an abandoned lead-zinc

98 mine in Kabwe, Zambia. As there is no information about gene polymorphism in association with  
99 the lead exposure in Kabwe, the present study is one of the first efforts and the result of this study  
100 will serve as baseline information for future researches.

101

102

## 103 **2. Materials and Methods**

### 104 *2.1. Study subjects and sampling*

105 The sampling area information and demographic data of the recruited children have been published  
106 in our previous study ([Yohannes et al., 2020](#)). Participation in this study was voluntary. The study  
107 recruited a total of 140 randomly chosen children from five townships called Bwacha, Chowa,  
108 Kasanda, Makululu, and Nakoli in Kabwe town, Zambia. The children's parents or guardians signed  
109 written informed consent forms before the enrollment.

110

111 The study was conducted in July 2016. From each child, 3 mL of the venous blood sample was  
112 collected into vacuum tubes containing heparin. The BLLs were measured using a portable LeadCare  
113 II analyzer after blood collection that same day as described by [Yohannes et al. \(2020\)](#). For genetic  
114 analysis, the blood samples were immediately stored at  $-20\text{ }^{\circ}\text{C}$  after lead analysis. After obtaining a  
115 material transfer agreement (MTA, Approval No. E00417) from the Ministry of Health, Zambia, the  
116 frozen samples were transported to Japan. All the genetic analyses were carried out in the Laboratory  
117 of Toxicology, Faculty of Veterinary Medicine, Hokkaido University, Japan.

118

119 2.2. Genetic analyses

120 Genomic DNA was isolated from whole blood using the NucleoSpin Blood Kit (Macherey-Nagel,  
121 Duren, Germany) according to the manufacturer's instructions. The concentration and quality of  
122 genomic DNA were assessed using a NanoDrop 1000 UV/Vis Spectrophotometer (Thermo Fisher  
123 Scientific Inc., USA). The purified DNA was kept at -20 °C until genotyping was conducted.

124 Polymerase chain reaction-restriction fragment length polymorphism (PCR-RFLP) was performed  
125 for the genotyping of ALAD - *MspI* (G/C), VDR - *BsmI* (G/A), *FokI* (T/C), *ApaI* (G/T), and *TaqI*  
126 (T/C). The ALAD polymorphism (917 bp) was performed using primers: 5'-  
127 AGACAGACATTAGCTCAGTAGAGG-3' and 5'-GGCAAAGACCACGTCCATTAC-3'.  
128 Genomics for the *BsmI* polymorphism (831 bp) was determined using primers: 5'-  
129 GACCTGTGGCAACCAAGACT-3' and 5'-AACCAGCGGAAGAGGTCAAG-3'; *FokI*  
130 polymorphism (267 bp) using primers: 5'-AGCTGGCCCTGGCACTGACTCTGGCT-3' and 5'-  
131 ATGGAAACACCTTGCTTCTTCTCCCTC-3'; and *ApaI* and *TaqI* polymorphisms (832 bp) using  
132 primers: 5'-CAGAGCATGGACAGGGAGCAA-3' and 5'-AGGCAGCGGTGGAGGCATCTCT-3'.  
133 PCR reaction was performed in a 20 µL reaction mixture containing 0.3 µmol/L of each primer, 500  
134 ng of genomic DNA and 10 µL EmeraldAmp PCR Master Mix (2x premix, TaKaRa Bio, Japan).  
135 PCR conditions consisted of an initial denaturation at 94 °C for 2 min, followed by 35 cycles of  
136 denaturation at 94 °C for 30 s, annealing at 62 °C for ALAD and *BsmI*, and 68 °C for *FokI*, *ApaI*  
137 and *TaqI* for 30 s and extension at 72 °C for 1 min, and a final extension at 72 °C for 7 min. The  
138 DNA bands were visualized under a Blue-LED transilluminator system and photographed.

139

140

141 The resulting amplified products were subjected to restriction digestion using their respective  
142 enzymes obtained from New England Biolabs (NEB). The samples were incubated for 4 h at 65 °C  
143 for *BsmI* and *TaqI*, and heat inhibited for 20 min at 80 °C; incubated for 4 h at 37 °C for *ApaI* and  
144 *FokI*, and heat inhibited for 20 min at 65 °C; incubated for 4 h at 37 °C for *MspI* (ALAD) with no  
145 heat inactivation step. Digestion of the ALAD amplified product by *MspI* produces fragments of 584  
146 bp and 158 bp for the ALAD 1-1, 584 bp, 513 bp and 158 bp for ALAD 1-2, and 513 and 158 bp for  
147 the ALAD 2-2 genotypes. The VDR Alleles were designated by capital letters A, B, F, and T in the  
148 absence of restriction site and by small letters a, b, f, and t for the presence of restriction site for  
149 *ApaI*, *BsmI*, *FokI*, and *TaqI* polymorphisms, respectively. The genotypes and fragment lengths were  
150 832 bp for AA, 832 bp, 615 bp and 217 bp for Aa, and 615 bp and 217 bp for aa genotypes; 831 bp  
151 for BB, 831 bp, 655 bp and 176 bp for Bb, and 655 bp and 176 bp for bb genotypes; 267 bp for FF,  
152 267 bp, 197 bp and 70 bp for Ff, and 197 bp and 70 bp for ff genotypes; 494 bp and 338 bp for TT,  
153 498 bp, 338 bp, 293 bp and 201 bp for tt, and 338 bp, 293 bp and 201 bp for tt genotypes. The details  
154 of nucleotide sequence, primer sets, and genotypes with fragment sizes after RFLP are presented as  
155 **Supplementary Material**. Digested products were visualized after agarose gel electrophoresis  
156 under a Blue-LED transilluminator system. Gel electrophoresis showed the identification of  
157 genotypes of each VDR SNPs has been presented in Figure 1.

158

159

160 **Fig. 1.**

161

162

163 2.3. Statistical analysis

164 The JMP Pro 14 (SAS Institute, Cary, NC, USA) software was used for statistical analysis, and a  $p$ -  
165 value  $< 0.05$  was considered significant. The distribution of genotypes and allele frequencies among  
166 the studied children was analyzed for Hardy-Weinberg equilibrium (HWE) by the chi-square test  
167 ( $\chi^2$ ), and the genotype and allele frequencies were compared in relative to BLL quartiles. The  
168 comparison of allele frequencies between the studied subjects and other studies with different  
169 populations was performed by pairwise chi-square test. The odds ratios (ORs) with 95% confidence  
170 interval (CI) were calculated to compare the risk of lead toxicity in low and high BLL level based  
171 on the first quartile BLL, and between females and males. Due to low frequencies of aa, BB, ff, and  
172 tt genotypes, we grouped *Apal* genotype as AA and (Aa + aa) groups; *BsmI* genotype as (BB + Bb)  
173 and bb groups; *FokI* genotype as FF and (Ff + ff) groups, and *TaqI* genotype as TT and (Tt + tt)  
174 groups. SNPStats software (available online at <http://bioinfo.iconcologia.net/SNPstats>).was used to  
175 perform haplotype analysis and analyze the association with BLL.

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183

184 **3. Results**

185 A total of 140 children, 75 males and 65 females aged between 2 and 10 years, were engaged in the  
186 present study (Yohannes et al., 2020). The mean ages of children were  $5.7 \pm 1.78$  years for females  
187 and  $5.6 \pm 1.88$  years for males. The average BLL was  $19.4 \pm 10.6$   $\mu\text{g/dL}$  and ranged from 1.65 to  
188  $60.8$   $\mu\text{g/dL}$  among the children. There was no significant difference in BLL between sexes ( $p =$   
189  $0.236$ ) with mean values of  $20.5 \pm 12.4$   $\mu\text{g/dL}$  and  $18.4 \pm 8.82$   $\mu\text{g/dL}$  in female and male children,  
190 respectively.

191

192 **3.1. Prevalence of ALAD and VDR SNPs, and haplotype frequencies of VDR genes**

193 The alleles and genotypes frequencies (%) of ALAD and VDR genes SNPs among the studied  
194 children from Kabwe, Zambia are summarized in Table 1. All loci follow the Hardy-Weinberg  
195 equilibrium ( $p > 0.05$ ). Molecular analysis revealed that all the children tested for the ALAD  
196 genotype were 100% ALAD 1-1. The overall prevalence of the VDR SNPs allele frequencies of A/a,  
197 B/b, F/f, and T/t for the *ApaI*, *BsmI*, *FokI*, and *TaqI* polymorphisms were 0.68/0.32, 0.20/0.80,  
198 0.81/0.19, and 0.75/0.25, respectively. For VDR-*ApaI*, a significantly higher percentage of allele a  
199 (39%) was observed at the Q4 group with BLL  $> 25$   $\mu\text{g/dL}$  (Table 1).

200

201

202 **Table 1**

203

204 3.2.Evaluation of VDR SNPs genotypes distribution between this study children and other studied  
205 populations

206 The distribution of the four VDR SNPs data was available from other studies and the International  
207 HapMap project populations (<http://hapmap.ncbi.nlm.nih.gov/>). A pairwise chi-square test was  
208 conducted to compare the alleles frequencies of the present study with different populations. The  
209 distribution of VDR SNPs alleles showed no significant difference between the present studied  
210 subjects and the black race population. On the other hand, the white race population and Chinese  
211 people showed significant differences in the allele frequencies of the VDR SNPs compared to the  
212 present study as shown in Table 2.

213

## 214 **Table 2**

215

216 3.3. BLLs among the four VDR SNPs

217 Figure 1 shows the association between VDR SNPs and BLLs among the studied children. The *Apal*  
218 polymorphism exhibited a higher mean BLL (25.9 µg/dL) in aa genotype and a lower BLL (16.9  
219 µg/dL) in the Aa genotype ( $\chi^2 = 11.3$ , DF = 2,  $p = 0.003$ ). No variations were observed in BLLs in  
220 *TaqI*, *BsmI*, and *FokI* SNP genotypes (Fig. 2). Further, we examined the different VDR SNPs  
221 genotype combinations and revealed the highest mean BLL ( $37.8 \pm 14.9$  µg/dL) in AA/TT/bb/Ff  
222 combination (Table S1). The highest BLL (60.8 µg/dL) was also observed in this group (Fig. 2).

223

224 **Fig. 2.**

225 3.4. Association between VDR SNPs and susceptibility to lead toxicity

226 To examine the impact of BLLs on the VDR genotyping frequency and their association with risk  
227 of lead toxicity, we categorized the subjects based on the lowest quartile of blood lead (Q1) into two  
228 groups as low BLL children (BLL  $\leq 10$   $\mu\text{g/dL}$ ) and high BLL children (BLL  $> 10$   $\mu\text{g/dL}$ ). Table 3  
229 shows the associations of VDR SNPs genotype distributions with the risk of lead toxicity in low and  
230 high BLL groups.

231

232 **Table 3**

233

234

235 Results showed that the only *Apal* genotype distributions were different in the two studied groups  
236 ( $\chi^2 = 6.68$ ,  $p = 0.03$ ). Significantly higher aa genotype among high BLL children was found  
237 compared to low BLL children. The frequencies of *Apal* genotypes determined from low BLL  
238 children were 100% for (AA + Aa) and 0% for aa genotype while in high BLL children the frequency  
239 of (AA + Aa) and aa was present in a percentage of 87% and 13%, respectively. No statistically  
240 significant correlation was observed between the VDR genotypes and susceptibility to lead toxicity.  
241 However, the BB+Bb (OR = 1.36), FF (OR = 1.07), AA (OR = 1.44) and TT (OR = 1.54) genotypes  
242 showed an OR value of greater than 1 (Table 3). This result suggests the possibility of a higher risk  
243 of lead toxicity to children with high frequencies of TT, AA, BB, and FF genotypes than the tt, aa,  
244 bb, and ff genotypes carrier children, respectively.

245

246 Table 4 shows VDR genotype distributions and the risk of lead toxicity in association with gender.  
247 Genotypic frequencies of 63% and 29% in females and 45% and 55% in males were observed for  
248 TT and Tt genotypes, respectively. On the contrary, the tt genotype was found only in females with  
249 a frequency of 8%. The *TaqI* genotype distribution between female and male subjects was  
250 statistically different ( $\chi^2 = 13.0, p = 0.001$ ). We found that the TT groups for *TaqI* SNP showed an  
251 increased risk of lead toxicity in female children (OR = 2.06, 95% CI:1.04 – 4.06,  $p = 0.03$ ). For the  
252 other VDR SNPs, we did not observe a significant association but, an OR > 1 in the presence of one  
253 or mixed alleles was observed.

254

#### 255 **Table 4**

#### 256 3.5. haplotype analysis of VDR genes

257 Haplotype analysis performed in the four-marker haplotype alleles (VDR-*FokI*, *BsmI*, *ApaI*, and  
258 *TaqI*) was presented in Table 5. It was observed 10 haplotypes with a frequency above 1% in our  
259 analysis. The three most represented haplotype were *FbaT* (28.7%), followed by *FbAT* (25.7%) and  
260 *FbAt* (12.1%). Compared between the children, the frequency of *FbAt* was significantly higher in  
261 low BLL children (21.9%) compared to children with high BLL (9.9%). The association analysis  
262 between the haplotype and susceptibility to lead toxicity showed that the *FbAt* haplotype was  
263 associated with a statistically decrease risk of lead toxicity (OR = 0.33, 95% CI:0.11 – 1.00,  $p =$   
264 0.04), indicating a protective role against lead toxicity.

265

#### 266 **Table 5**

#### 267 4. Discussion

268 Lead exposure can cause adverse health effects, particularly in children. To our knowledge, this is  
269 the first study to investigate the ALAD and VDR genes SNPs in association with lead toxicity in  
270 children living closer to or/and far away from an abandoned lead-zinc mine in Kabwe, Zambia.  
271 Considering 5 µg/dL, the current CDC blood reference value, 92% of the children's blood samples  
272 exceeded this value in the present study. Thus, the findings from this study may have some  
273 implications for lead toxicity and support the ongoing efforts to reduce childhood lead exposure in  
274 a region where only levels of lead in human and biota samples were investigated without any further  
275 genetic analysis. Thus, in the current study, we aimed to assess the impact of lead exposure in  
276 children through the biomarkers of susceptibility genes such as ALAD and VDR genes  
277 polymorphisms ([Sakai, 2000a](#)).

278 In the current study, we found all children were ALAD 1-1 genotypes. This finding was in  
279 accordance with other African population studies from Liberian populations ([Benkmann et al., 1983](#)),  
280 Ghanaian, Ovambos (Bantusin of Namibia), and Xhosas (Cape Town of South Africa) ([Fujihara et  
281 al., 2009](#)) that showed 100% ALAD 1-1 genotype, with neither ALAD 1-2 nor ALAD 2-2 genotypes.  
282 Although the more electronegative ALAD 2 allele could bind more lead easily and maintain higher  
283 BLLs than the ALAD 1 allele, the latter allele could be more prone to the detrimental effects of lead  
284 because of the easy transfer of the bioavailable lead from blood to the soft and hard tissues ([Kelada  
285 et al., 2001](#)). Previous studies reported that individuals with ALAD 1-1 genotypes had higher bone  
286 lead levels, which suggested higher body burdens of lead and could be at greater risk of the long-  
287 term health effects of lead ([Wan et al., 2014](#); [Yang et al., 2012](#)). [Kim et al. \(2004\)](#) also reported  
288 higher hematological effects of lead for ALAD 1 homozygote subjects and they are more likely to  
289 be anemic. Another study on male Japanese lead workers by [Sakai et al. \(2000b\)](#) showed high

290 aminolevulinic acid (ALA), which is neurotoxic to the brain, in plasma for ALAD 1-1 genotype.  
291 These results suggest that the ALAD 1 homozygotes may be at greater risk due to the bioavailable  
292 lead in the blood that can transfer and accumulate in the tissues and brain. Also, we reported  
293 significant hypermethylation of the ALAD gene in these lead-exposed children with an odd ratio  
294 of greater than one for anemia (Yohannes et al., 2020). Thus, the people, particularly infants and  
295 children in Kabwe might be at high risk of lead poisoning.

296 The genetic variants of VDR have been identified as potential factors to influence the lead  
297 toxicokinetic in the human body, and the effects of VDR SNPs such as *FokI*, *BsmI*, *ApaI*, and *TaqI*  
298 have been reported (Onalaja and Claudio, 2000; Rezende et al., 2008). In the present study, the  
299 observed genotype frequencies of the four VDR SNPs are conformed to the Hardy-Weinberg  
300 equilibrium. The A, b, F, and T alleles were the dominant alleles with incidences of 68%, 80%, 81%,  
301 and 75% in *ApaI*, *BsmI*, *FokI*, and *TaqI* polymorphisms, respectively. The most common genotype  
302 for each polymorphism was bb (62.1%) for *BsmI*, FF (65.7%) for *FokI*, both AA and Aa (45%) for  
303 *ApaI*, and TT (53.6%) for *TaqI*. The general distributions of these VDR SNPs were consistent with  
304 other population studies of black South Africans (Meyer et al., 2017), African Americans  
305 (Sarkissyan et al., 2014), and African ancestry studies (Lins et al., 2011). On the contrary, there were  
306 significant differences in allele distribution between this study subjects and white South Africans  
307 (Meyer et al., 2017), European ancestry, Asian ancestry and Brazilians (Lins et al., 2011), and  
308 Chinese population (Yu et al., 2017). So, our results offered additional evidence to the distribution  
309 specificity of VDR gene polymorphisms based on ethnicity.

310 In the present study, we found an association of high BLLs in *ApaI* polymorphism variants. The  
311 prevalence of aa genotype increases from 0% (Q1) to 22% (Q4), and the aa genotype of *ApaI* variants  
312 had significantly increased mean blood lead levels than those of the other genotypes (aa vs AA,  $p =$

313 0.02; aa vs Aa  $p = 0.001$ ). This finding corroborates with previous studies reported that *ApaI* aa  
314 genotype had higher BLLs than the Aa and AA genotypes in workers exposed to lead due to their  
315 workplace (Chuang et al., 2004; Himani et al., 2020). Further analysis among the SNPs showed that  
316 the aa genotype had significantly higher BLL than FF, Ff, Bb, bb, and Tt genotypes ( $p < 0.05$ ). No  
317 significant associations of BLLs within *BsmI*, *FokI*, and *TaqI* variants were observed.

318 The influences of VDR SNPs to different traits have been investigated in adults with occupational  
319 lead exposure (Chuang et al., 2004; Himani et al., 2020; Shaik et al., 2019; Wananukul et al., 2012)  
320 or patients with different types of diseases such as cancer (Köstner et al., 2009), diabetes (Ahmed et  
321 al., 2019) and autism (Cieślińska et al., 2017). In the current study, we investigated the correlation  
322 between the VDR SNPs and environmental lead exposure in children. The results showed no  
323 statistical associations between the genotypes at the VDR polymorphic sites and susceptibility to  
324 lead toxicity. Nevertheless, the BB+Bb, FF, AA, and TT genotypes showed OR values of greater  
325 than one, but not statistically significant. Previous studies showed the association of these VDR  
326 genotypes with bone mineral density. Haynes et al. (2003) showed increased bone density in the  
327 VDR-*FokI* FF genotype while the AA genotype in *ApaI* and BB genotype in *BsmI* were associated  
328 with lower bone mineral density (Li et al., 2012). In another study by Pawlas et al. (2012), the *BsmI*  
329 B allele showed an inverse correlation against cognitive function with a steeper slope ( $\beta = -0.08$ ),  
330 suggesting that lead has a negative influence on children's performance IQ. In the present study, the  
331 highest BLL (60.8  $\mu\text{g/dL}$ ) was detected in these genotypes. Thus, children with high frequencies of  
332 those genotypes might be at higher risk of calcium absorption and IQ problem. We also found an  
333 association between gender and *TaqI* polymorphism. In the present study, female children with TT  
334 genotypes showed higher susceptibility to lead toxicity (OR = 2.06,  $p = 0.03$ ) than males.  
335 Chakraborty and his coworkers (2008) examined the effect of lead on the neuromotor response in

336 association with *TaqI* genotypes in 82 children and observed adverse effects of lead exposure on  
337 postural balance response in association with genetic polymorphisms of VDR at the *TaqI* site. A  
338 study from the National Health and Nutrition Examination Survey also investigated the relationship  
339 between the children's cognitive function and blood lead concentration in association with VDR  
340 SNPs and suggested that the *TaqI* variants can alter the children's central nervous system toxicity of  
341 lead (Krieg Jr et al., 2010). These results suggest that the children in Kabwe might be at higher risk  
342 for the biological effects of lead. In the current study, haplotype structure revealed 10 haplotypes  
343 with >1% frequency. There was a trend of increased frequency of *FbAt* haplotype in low BLL  
344 children compared to High BLL children, suggesting that this haplotype could be protective against  
345 lead toxicity.

346 There are limitations to our study. First, this study is a cross-sectional study that collected samples  
347 at a single point in time, and thus it is difficult to determine incidence. Second, the small sample size  
348 may weaken the statistical power. Third, the current study depends on lead levels using blood  
349 samples at which the half-life of lead is about 35 days in blood, which may inevitably affect the  
350 result to some degree. Reliable bone lead measurements are needed. Thus, our findings need to be  
351 replicated in other long-term follow-up studies with large scale samples among the population  
352 exposed to both environmental and occupational lead, and bone lead measurement with the  
353 prevalence of disease as VDR SNPs have been linked to human traits.

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357

358 **5. Conclusions**

359 This study provides insight into the association of ALAD and VDR polymorphic variants in  
360 association with BLL in children exposed to environmental lead. Our finding suggests that all  
361 subjects were ALAD 1 homozygotes at which they might be at risk due to the bioavailable lead in  
362 the blood that can transit to soft tissues and the brain. Regarding the VDR SNPs, the *Apal*  
363 homozygous mutant genotype showed association with BLLs in environmentally lead-exposed  
364 children. The TT-genotype in *TaqI* (rs731236) site was allied with lead toxicity in female children.  
365 In haplotype analysis, the haplotype *FbAt* showed a protective role against lead toxicity compared  
366 to the most frequent haplotype (*FbaT*). Overall, further larger-scale samples alongside bone lead  
367 measurements are warranted to assess the ALAD and VDR SNPs in association with their  
368 implications on lead toxicity manifestations in the general population.

369

370

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375

376 **Declaration of Competing Interest:**

377 None.

378

379 **Authors Contribution**

380 **Yared B. Yohannes:** Conceptualization, Data curation, Formal analysis, Methodology, Writing -  
381 original draft, Writing - review & editing; **Shouta M.M. Nakayama** and **Yoshinori Ikenaka:**  
382 Funding acquisition, Investigation, Writing - review & editing; **John Yabe, Hokuto Nakata,**  
383 **Haruya Toyomaki, Andrew Kataba** and **Kaampwe Muzandu:** Investigation, Writing - review  
384 & editing; **Kennedy Choongo:** Supervision; **Mayumi Ishizuka:** Funding acquisition, Project  
385 administration, Supervision

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397

398 **Ethical approval**

399 The study protocol was approved by the University of Zambia Research Ethics Committee  
400 (UNZAREC; REF. No. 012-04-16), and permission was granted by the Ministry of Health through  
401 the Zambia National Health Research Ethics Board and the Kabwe District Medical Office.

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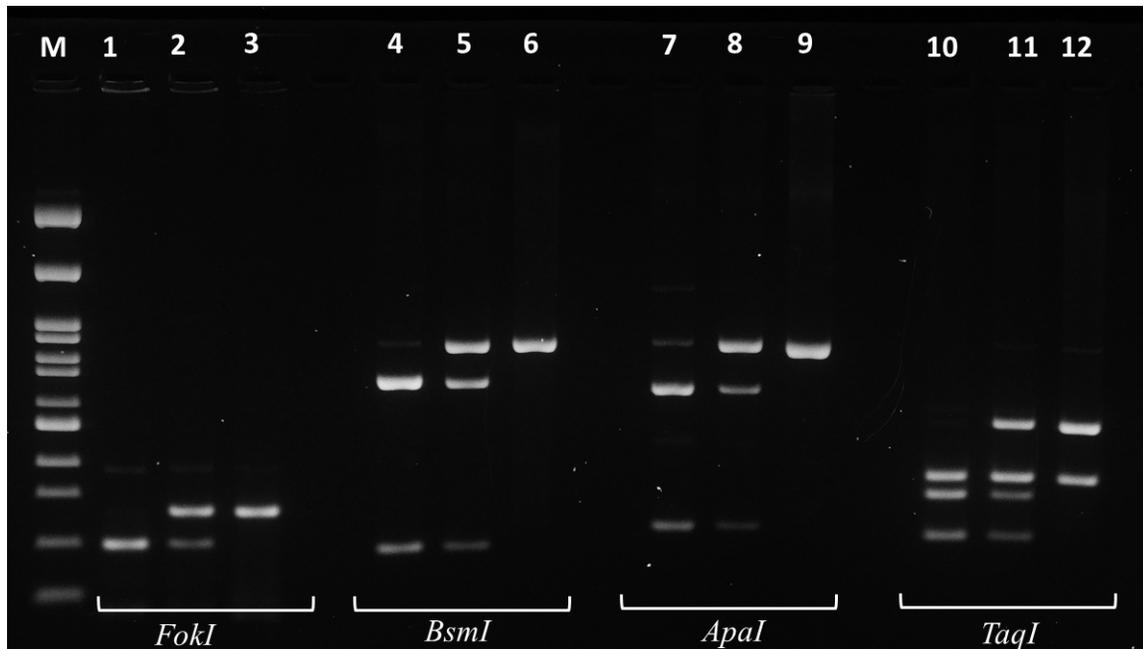
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## Tables and Figures

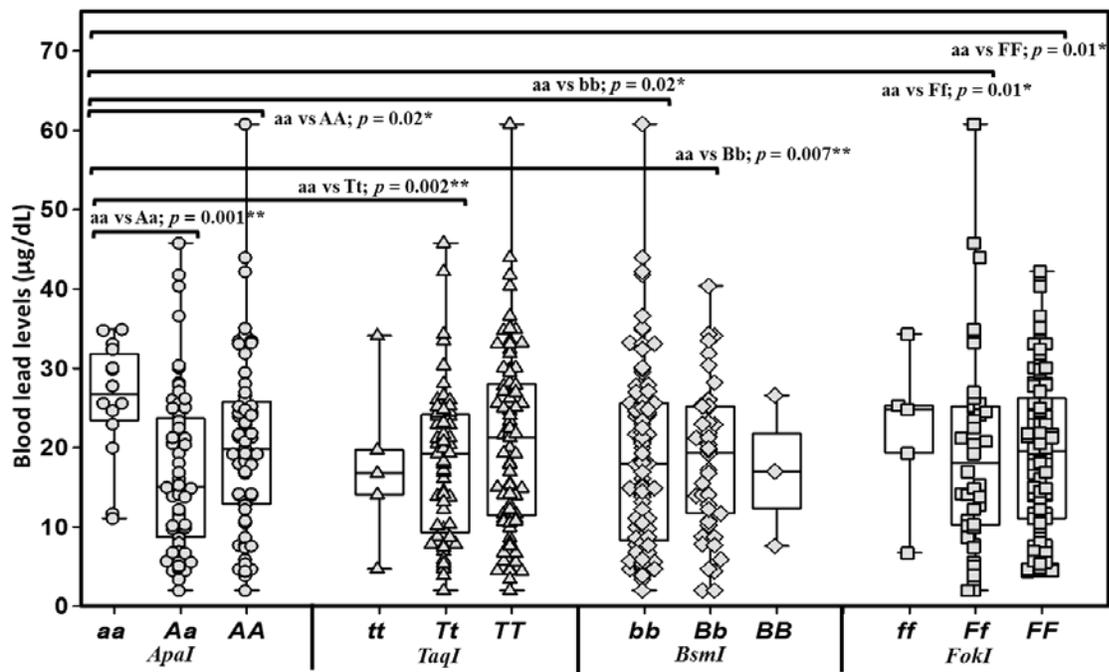
### Figure captions

**Fig 1.** PCR-RFLP analysis for VDR gene- FokI, BsmI, ApaI and TaqI polymorphisms. M: 100 bp DNA marker; Lane 1: ff homozygote, Lane 2: Ff heterozygote, Lane 3: FF homozygote; Lane 4: bb homozygote, Lane 5: Bb heterozygote, Lane 6: BB homozygote; Lane 7: aa homozygote, Lane 8: Aa heterozygote, Lane 9: AA homozygote; Lane 10: tt homozygote, Lane 11: Tt heterozygote, Lane 12: TT homozygote.

**Fig 2.** Blood lead levels for all genotypes of VDR gene polymorphic variants (*BsmI*, *FokI*, *ApaI* and *TaqI*) in environmental lead exposed children (\* =  $p < 0.05$ ; \*\* =  $p < 0.01$ ; nonparametric Wilcoxon / Kruskal-Wallis tests between aa genotype and other genotypes)



**Fig 1.**



**Fig 2.**

**Table 1:** Genotype and allele frequency of ALAD and VDR polymorphisms (*BsmI*, *FokI*, *ApaI* and *TaqI*) in children from Kabwe, Zambia in comparison with quartiles of BLLs

Gene	Genotype	N (%)	HWE	Quartiles of BLL <sup>b</sup>				p-Value *
			equation <sup>a</sup>	Q1, N (%)	Q2, N (%)	Q3, N (%)	Q4, N (%)	
ALAD	ALAD 1-1	140 (100)		31 (22.1)	36 (25.7)	32 (22.9)	41 (29.3)	
	ALAD 1-2	0						
	ALAD 2-2	0						
	G	1						
	C	0						
<i>FokI</i> T > C	FF (CC)	92 (65.7)	0.001, 0.99	20 (64.5)	24 (66.7)	21 (65.6)	27 (65.8)	NS
	Ff (CT)	43 (30.7)		10 (32.3)	12 (33.3)	9 (28.1)	12 (29.3)	
	ff (TT)	5 (3.6)		1 (3.2)	0	2 (6.3)	2 (4.9)	
	F (C)	81						
	f (T)	19						
<i>BsmI</i> G > A	BB (AA)	3 (2.1)	1.88, 0.17	1 (3.2)	1 (2.8)	0	1 (2.4)	NS
	Bb (AG)	50 (35.7)		9 (29.1)	14 (38.9)	13 (40.6)	14 (34.1)	
	bb (GG)	87 (62.1)		21 (67.7)	21 (58.3)	19 (59.4)	26 (63.4)	
	B (A)	20						
	b (G)	80						
<i>ApaI</i> G > T	AA (TT)	63 (45.0)	0.09, 0.76	12 (38.7)	16 (44.4)	17 (53.1)	18 (43.9)	0.01*
	Aa (TG)	63 (45.0)		19 (61.3)	18 (50.0)	12 (37.5)	14 (34.1)	
	aa (GG)	14 (10.0)		0	2 (5.6)	3 (9.4)	9 (22.0)	
	A (T)	68		69	69	72	61	
	a (G)	32		31	31	28	39	
<i>TaqI</i> T > C	TT (TT)	75 (53.6)	2.85, 0.09	14 (45.2)	20 (55.6)	13 (40.6)	28 (68.3)	NS
	Tt (TC)	60 (42.8)		16 (51.6)	14 (38.9)	18 (56.3)	12 (29.3)	
	tt (CC)	5 (3.6)		1 (3.2)	2 (5.5)	1 (3.1)	1 (2.4)	
	T (T)	75						
	t (C)	25						

N: number; HWE: Hardy-Weinberg equilibrium

\* Chi-square test

<sup>a</sup> HWE equation:  $\chi^2$  (chi-squared test) < 3.841 and/or  $p$ -value > 0.05 indicates no deviation from HWE

<sup>b</sup> BLL quartiles (Q1:  $x \leq 10 \mu\text{g/dL}$ ; Q2:  $10 < x \leq 19 \mu\text{g/dL}$ ; Q3:  $19 < x \leq 25 \mu\text{g/dL}$ ; Q4:  $x > 25 \mu\text{g/dL}$ )

**Table 2:** Comparison of VDR SNPs allele frequencies between Zambian children and different populations

Population	<i>FokI</i> (rs2228570)	<i>BsmI</i> (rs1544410)	<i>Apal</i> (rs7975232)	<i>TaqI</i> (rs731236)	Reference
	C/T	G/A	T/G	T/C	
Zambian children	0.81/0.19	0.80/0.20	0.68/0.32	0.75/0.25	This study
African ancestry	0.83/0.17	0.71/0.29	0.62/0.38	0.75/0.25	Lins et al., 2011
European ancestry	0.52/0.48***	0.52/0.48***	0.57/0.43	0.52/0.48**	"
Asian ancestry	0.64/0.36**	0.92/0.08**	0.35/0.65***	0.93/0.07***	"
Brazil	0.67/0.33*	0.60/0.40**	0.54/0.46*	0.62/0.38	"
Black South Africans	0.84/0.16	0.74/0.26	0.76/0.24	0.66/0.34	Meyer et al., 2017
White South Africans	0.54/0.46***	0.62/0.38**	0.54/0.46*	0.61/0.39*	"
African American	0.77/0.23	0.63/0.37**	0.70/0.30	0.73/0.27	Sarkissyan et al., 2014
China	0.60/0.40**	0.91/0.08**	0.35/0.65***	0.90/0.10***	Yu et al., 2017
* = p < 0.05; ** = p < 0.01; *** = p < 0.001; Two-tailed Fisher's exact test and chi square test was done using Zambian children as reference value					

**Table 3:** Distribution of VDR genotypes in low vs high BLL subjects and associations with lead toxicity

SNP	Genotype / Allele	High BLL children <sup>#</sup> (N = 109)		Low BLL children <sup>#</sup> (N = 31)		HWE equation $\chi^2, p$	OR [95% CI], <i>p</i> -value
		N	%	N	%		
BsmI	BB	2	1.8	1	3.2	0.91, 0.63	(BB + Bb) vs bb 1.36 [0.58 – 3.18], 0.46
	Bb	41	37.6	9	29		
	bb	66	60.6	21	67.7		
FokI	FF	72	66.0	20	64.5	0.05, 0.97	FF vs (Ff + ff) 1.07 [0.46 – 2.46], 0.87
	Ff	33	30.3	10	32.3		
	ff	4	3.7	1	3.2		
ApaI	AA	52	46.8	12	38.7	6.68, 0.03*	AA vs (Aa + aa) 1.44 [0.64 – 3.26], 0.37
	Aa	43	40.4	19	61.3		
	aa	14	12.8	0	0		
TaqI	TT	61	56.0	14	45.2	1.25, 0.54	TT vs (Tt + tt) 1.54 [0.69 – 3.44], 0.28
	Tt	44	40.4	16	51.6		
	tt	4	3.6	1	3.2		

N: Number of children; %: Frequency; BLL: Blood lead level; HWE: Hardy-Weinberg equilibrium  
OR: Odds ratios; 95% CI: 95% Confidence interval  
<sup>#</sup> Low BLL children: BLL ≤ 10 µg/dL; High BLL children: BLL > 10 µg/dL  
\* Result is significant at *p* < 0.05

**Table 4:** Genotype frequencies of VDR SNPs and association with gender

SNP/ Genotype	All children		HWE equation $\chi^2, p$	OR [95% CI], <i>p</i> -value Female vs Male	
	Female N (%)	Male N (%)			
<i>BsmI</i>	BB	1 (1.5)	2 (3)	0.26, 0.87	(BB + Bb) vs bb 1.04 [0.54 – 2.08], 0.89
	Bb	24 (37)	26 (34)		
	bb	40 (61.5)	47 (63)		
<i>FokI</i>	FF	44 (68)	48 (64)	4.51, 0.11	FF vs (Ff + ff) 1.17 [0.58 – 2.38], 0.64
	Ff	21 (32)	22 (29)		
	ff	0 (0)	5 (7)		
<i>ApaI</i>	AA	29 (45)	34 (45)	0.11, 0.94	AA vs (Aa + aa) 0.92 [0.47 – 1.79], 0.81
	Aa	30 (46)	33 (44)		
	aa	6 (9)	8 (11)		
<i>TaqI</i>	TT	41 (63)	34 (45)	13.0, 0.001**	TT vs (Tt + tt) 2.06 [1.04 – 4.06], 0.03*
	Tt	19 (29)	41 (55)		
	tt	5 (8)	0 (0)		

N: Number of children; %: Frequency; HWE: Hardy-Weinberg equilibrium  
OR: Odds ratios; 95% CI: 95% Confidence interval  
\* Result is significant at *p* < 0.05  
\*\* Result is significant at *p* < 0.01

**Table 5:** Haplotypes frequency of vitamin D receptor gene polymorphisms in high and low BLL children

<i>FokI</i>	<i>BsmI</i>	<i>ApaI</i>	<i>TaqI</i>	Total	High BLL children <sup>#</sup>	Low BLL children <sup>#</sup>	OR (95% CI)
<i>F</i>	<i>b</i>	<i>a</i>	<i>T</i>	0.287	0.295	0.258	1
<i>F</i>	<i>b</i>	<i>A</i>	<i>T</i>	0.257	0.274	0.188	1.58 (0.60 - 4.13)
<i>F</i>	<i>b</i>	<i>A</i>	<i>t</i>	0.121	0.099	0.219	0.33 (0.11 - 1.00) *
<i>F</i>	<i>B</i>	<i>A</i>	<i>T</i>	0.083	0.079	0.069	1.29 (0.29 - 5.68)
<i>f</i>	<i>b</i>	<i>A</i>	<i>T</i>	0.071	0.064	0.108	0.41 (0.10 - 1.61)
<i>F</i>	<i>B</i>	<i>A</i>	<i>t</i>	0.065	0.068	0.071	0.76 (0.17 - 3.46)
<i>f</i>	<i>b</i>	<i>a</i>	<i>T</i>	0.034	0.030	0.048	0.73 (0.10 - 5.37)
<i>f</i>	<i>B</i>	<i>A</i>	<i>T</i>	0.030	0.036	0.036	0.62 (0.06 - 6.19)
<i>f</i>	<i>b</i>	<i>A</i>	<i>t</i>	0.028	0.029	0	
<i>f</i>	<i>B</i>	<i>A</i>	<i>t</i>	0.020	0.022	0	

<sup>#</sup> Low BLL children: BLL ≤ 10 µg/dL; High BLL children: BLL > 10 µg/dL  
\* Result is significant at  $p < 0.05$