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1 **Running head:** Mediators between Socioeconomic Status and Small for Gestational

2 Age

3

4 **Mediating Factors between Parental Socioeconomic Status and Small for**
5 **Gestational Age in Infants: Results from the Hokkaido Study on Environment**
6 **and Children's Health**

7

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25 Abstract

26 *Objectives:* Previous studies indicated a significant association between small for
27 gestational age (SGA) in infants and their parents' socioeconomic status (SES). Thus,
28 this study aimed to examine if parental factors, such as maternal smoking, and the pre-
29 pregnancy body mass index (BMI) could mediate the associations between parental
30 SES and SGA.

31 *Methods:* The participants of this study were pregnant women who enrolled in an
32 ongoing birth cohort study, the Hokkaido study, during the first trimester of their
33 pregnancies. A total of 14,593 live singleton births were included in the statistical
34 analysis, of which 1,011 (6.9%) were SGA. Two structural equation models were
35 employed to evaluate the associations between parental SES, parental characteristics,
36 and SGA.

37 *Results:* The effect of low SES on SGA was directly mediated by maternal pre-
38 pregnancy BMI, smoking during the third trimester, and alcohol consumption during
39 the first trimester in the first model, which was based the assumption of independent
40 associations between mediating factors. In the second model, which additionally
41 considered the mediating factors from the first model, smoking during pregnancy
42 mediated decline in parental SES, consequently increased SGA.” Moreover, an

43 increase in pregnancy smoking status increased the prevalence of lower maternal pre-
44 pregnancy BMI and its effect on SGA.

45 *Conclusions for Practice:* In this study, we observed the independent mediating effect
46 of maternal pre-pregnancy BMI, smoking, and alcohol consumption during pregnancy
47 on low SES and, consequently, SGA, with the additional mediating pathway of SES to
48 smoking to low BMI on SGA.

49

50 **Significance**

51 *What is already known on this subject?*

52 Studies on the risk factors for small for gestational age (SGA) suggested that parental
53 socioeconomic status (SES) is indirectly associated with SGA. However, the
54 mediators and the gravity of their effect are unclear.

55 *What this study adds*

56 By using structural equation models, we observed that the maternal pre-pregnancy
57 BMI, smoking during the third trimester, and alcohol consumption during the first
58 trimester were significant mediators of the effect of parental SES on SGA. Parental
59 SES may affect SGA through the pathway of maternal smoking to that result in low
60 BMI.

61

62 **Keywords:** Birth cohort study, Parental tobacco smoking, Small for gestational age,
63 Socioeconomic status, Structure equation modeling

64

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Introduction

71

72 Small for gestational age (SGA) is defined as being smaller than the fetal
73 reference size at a particular gestational age (Itabashi et al. 2014). SGA is associated
74 with an increased risk of childhood death beyond the neonatal period (Ludvigsson et al.
75 2018). SGA has severe health impacts, including neurological, metabolic, and
76 cardiovascular morbidities, not only during the neonatal period and infancy but also
77 throughout life (Barker 1995; Bonamy et al. 2008). Hence, the need to examine the risk
78 factors of SGA based on the Developmental Origins of Health and Disease (Gluckman
79 and Hanson 2004). Despite being an advanced developed country, the low birth weight
80 rate of Japan increased from 5.2% in 1980 to 9.5% in 2014 and has remained at this
81 level since then (Mother's & Children's Health Welfare Association 2016).

82 Parental socioeconomic status (SES), such as parental educational level and
83 household income, was reported as a risk factor for SGA (Fujiwara, et al. 2013;
84 Mortensen 2013; Shah and Knowledge Synthesis Group on Determinants of LBW/PT
85 births 2010; Tsukamoto et al. 2007). In a previous study, we examined parental
86 characteristics as risk factors for preterm births, very low birth weight (VLBW), and
87 term-SGA. Parental age and using reproductive medicine were associated with preterm
88 births and VLBW. Maternal alcohol consumption during the first trimester of pregnancy

89 and parental educational levels have been identified as risk factors for term-SGA
90 (Tamura et al. 2018). Moreover, Tamura et al. (2018) reported that among mothers with
91 a low educational level, a low BMI was a risk factor of term-SGA. Therefore, in
92 contrast to Preterm births and VLBW, term-SGA would have been more affected by
93 parental SES and behaviors.

94 Although the association between parental socioeconomic characteristics and
95 SGA in infants has been elucidated (Blumenshine et al. 2010), SES is likely to affect
96 SGA through mediating factors. Sheehan (1998) reported psychosocial stressors in a full
97 structural equation model to show their influence on addictive behavior and low birth
98 weight. Therefore, we assumed that explanatory variables treated as independent in this
99 previous analysis might have mutually linked associations.

100 This study aimed to examine if parental risk factors such as parental age, maternal
101 pre-pregnancy BMI, smoking, and alcohol consumption mediate the association
102 between parental socioeconomic characteristics and SGA.

103 Methods

104 *Participants*

105 The Hokkaido Study on Environment and Children's Health is an ongoing birth
106 cohort that was initiated in 2002. Details of the study have been described previously

107 (Kishi et al. 2011, 2013, 2017). Briefly, between February 2003 and March 2012, the
108 Hokkaido cohort enrolled women during the first trimester of pregnancy (13 weeks of
109 gestational age) who visited one of the delivery units of the 37 participating hospitals
110 and clinics covering the entire Hokkaido prefecture for prenatal health.
111 The original cohort included 20,926 pregnant women; however, 1,347 were lost to
112 follow-up before giving birth (Figure 1). Participants with no information to determine
113 SGA (birth weight, gestational age, infant sex, maternal parity), parental education, or
114 annual household income were excluded (n = 4,084). We further excluded women who
115 experienced miscarriages, stillbirths, or multiple births and those with pregnancy-
116 induced hypertension or gestational diabetes (n = 902). Thus, we eliminated the
117 pathological causes of SGA, which could have masked and underestimated the risk
118 factors of parental characteristics. A total of 14,593 participants were included in the
119 final analysis.

120

121 [Insert Figure 1 here]

122 *Baseline Questionnaire and Biochemical Analysis*

123 Upon entry into the study, the participants completed a self-administered
124 questionnaire including questions on the following parental characteristics: maternal age

125 at study entry (<35, ≥35 years) (Heffner. 2004), paternal age at study entry (<40, ≥40
126 years) (Isabelle Bray, et al. 2006). In addition,, maternal BMI before pregnancy (<18.5,
127 ≥18.5 kg/m²) (WHO Expert Consultation. 2004); parental medical history; maternal
128 regular use of any medications; maternal regular use of any supplement(s); paternal
129 smoking during the first trimester; parental alcohol consumption during the first
130 trimester; and use of any assisted reproductive technologies (ART).

131 Paternal and maternal educational levels (≤9, 10-12, 13-15, >16 years) and annual
132 household income (<3.0, 3.0-4.9, 5.0-7.9, ≥8.0 million yen) were also obtained from the
133 questionnaire as socioeconomic indicators, it was shown that both are important in
134 young adulthood (Galobardes et al. 2006). In Japan, compulsory education ranges from
135 Grade 1 to 9, then, most children go on to high school for 3 years, and after that to
136 universities and graduate school. Therefore, we classified the cutoffs for parental
137 educational level as ≤9, 10-12, 13-15, >16 years, with ≤9 years defined as the highest-
138 risk group. There was no official definition of a low annual household income in Japan.
139 In this study, we defined a couple with one child and an annual household income of
140 <3.0 million yen as a low annual household income (Kobayashi and Nishikawa 2010).
141 Annual household income was classified as <3.0, 3.0-4.9, 5.0-7.9, ≥8.0 million yen,
142 with the <3.0 million yen group defined as the highest-risk group.

143 Maternal smoking status was classified into 3 categories based on plasma cotinine
144 levels measured in maternal blood samples that were collected during the third
145 trimester, as follows: never smoked (≤ 0.21 ng/mL), passive smoking (0.22–11.47
146 ng/mL), and active smoking (≥ 11.48 ng/mL) (Sasaki et al. 2011).

147

148 *Definition of Small for Gestational Age*

149 SGA was defined as a birth weight below the 10th percentile of the reference birth
150 weight according to gestational age, sex, and parity in live birth infants. We used the
151 database for birth weight published by the Japan Pediatric Society as a reference
152 (Itabashi et al. 2014).

153

154 *Ethics Statement*

155 All participating women provided written informed consent before participation in
156 the Hokkaido study. The ethics review board for epidemiological studies of the
157 Hokkaido University Graduate School of Medicine (March 31, 2003) and the Hokkaido
158 University Center for Environmental and Health Sciences (reference no.14, March 22,
159 2012) approved the study protocol. The study was conducted following the principles of
160 the Declaration of Helsinki.

161

162

Data Analysis

163

Parental socioeconomic characteristics are presented as frequencies and

164

percentages. The relative risks (RRs) of SGA by parental characteristics were estimated

165

using multiple generalized linear models (GLM: distribution; binominal, link function,

166

logarithm).

167

To identify the relative contribution of potential mediators, the total effect of the

168

parental SES on infant SGA was classified into the natural direct effect (effect

169

unexplained by mediators). Whereas, the indirect effects via maternal and paternal age

170

at study entry, maternal BMI before pregnancy, parental medical history, regular use of

171

any medications and supplement(s), maternal smoking status based on plasma cotinine

172

levels at third trimester, paternal smoking during the first trimester, parental alcohol

173

consumption during the first trimester, and use of any ART.

174

The SES assumed a non-observed variable based on parental educational levels

175

and annual household income. To investigate the factors mediating the effect of SES on

176

SGA, we used structure equation modeling (SEM) (Oshio 2011; Toyoda 1998, 2007).

177

We included the parental characteristics that showed an association with SGA ($p < 0.10$)

178

as mediating factors in the GLM analysis. In the SEM analysis, we employed two

179 models. Model 1 was based on the assumption of independent associations between
180 mediating factors, whereas Model 2 assumed dependent associations between mediating
181 factors ($p < 0.05$). In Model 1, the indirect effect of SES on SGA is multiplied by the
182 mediating factors of the low SES, and the factor of the arrow extending from the
183 mediating factor to SGA was calculated. In Model 2, arrows were added when
184 associations between intermediary factors of the Directed Acyclic Graph were assumed
185 (Tamura et al. 2018). The observed variables were computed with the residual term but
186 were omitted from the Figures. The model fitness was evaluated with the Chi-square
187 test value, goodness of fit index (GFI), adjusted goodness of fit index (AGFI),
188 comparative fit index (CFI), and root mean square error of approximation (RMSEA).
189 We then analyzed the fitness indices of Model 1 and 2. Generally, the predicted and
190 measured Chi-square test values have a $p > 0.05$; the p-value is closer to 1 when the GFI,
191 AGFI, and CFI are ≥ 0.90 ; the smaller the RMSEA value, the better the model conforms.

192 We used the JMP Clinical 5 statistical software (SAS Institute Inc., NC, USA) and
193 Amos 22 (IBM. SPSS, NC, USA) for the statistical analyses.

194

195

Results

196 Table 1 shows maternal, paternal, and parental socioeconomic characteristics,
197 while Table 2 depicts the infants' birth outcomes. The mean gestational age of the
198 neonates was 274.2 days (standard deviation [SD]: 10.5 days), and their mean birth
199 weight was 3,039.9 g (SD: 413.1 g). Male infants comprised 50.3% of the total sample
200 (n = 7,340). The proportion of infants who were SGA was 6.9% (n = 1,011). The mean
201 birth weight and gestational age observed in this study were comparable to SGA data
202 obtained from recent vital statistics in Japan (Mother's & Children's Health Welfare
203 Association 2016).

204

205 [Insert Table 1 here]

206

207 [Insert Table 2 here]

208

209 When we analyzed the association between SGA and parental characteristics, we
210 found that the maternal pre-pregnancy BMI, smoking status during the third trimester,
211 alcohol consumption during the first trimester, supplement intake during the first
212 trimester, and regular medication intake during the first trimester were significantly
213 associated with increasing RRs of SGA ($p < 0.10$; Table 3). The result of analysis for the

214 association between SGA and parental socioeconomic status shows that the RR of being
215 born SGA was significantly lower in infants whose mothers had >16 years (vs. ≤9
216 years) of education (RR = 0.69; 95% CI: 0.50–0.96).

217

218 [Insert Table 3 here]

219

220 The results of the SEM analysis (Model 1) are shown in Figure 2. The numbers on
221 the arrows show the standardized regression weights, the β values. Solid line arrows
222 indicate a $p < 0.05$, whereas dotted line arrows indicate a $p \geq 0.05$. The analysis of SEM
223 of independent mediators identified the maternal pre-pregnancy BMI, maternal smoking
224 status during the third trimester, alcohol consumption during the first trimester, and
225 supplement intake during the first trimester as mediating factors. In the analysis of
226 covariance using Model 1, we found a significant association on these arrows for both
227 the SES and mediating factor and the effect on SGA from the mediating factor. The
228 maximum factor showing a direct effect on SGA in this model was the maternal pre-
229 pregnancy BMI, with a normalized β of 0.071. The indirect effects of the mediators in
230 Model 1 were maternal smoking status during the third trimester, pre-pregnancy BMI,
231 alcohol consumption during the first trimester, and supplement intake during pregnancy.

232 Among these, maternal smoking status during the third trimester suggested the largest
233 indirect effect on SGA, with a standardized β of 0.018 (0.428*0.042); the second largest
234 indirect effect was maternal pre-pregnancy BMI, with a standardized β of 0.002
235 (0.028*0.071). For Model 1, the goodness of fit testing revealed a Chi-square test value
236 of 158.509 (<0.01), GFI: 0.997, AGFI: 0.994, CFI: 0.976, and RMSEA: 0.024. Except
237 for the Chi-squared test value, all values were within the applicable ranges.

238

239 [Insert Figure 2 here]

240

241 We then added arrows of associations between the mediating factors to Model 1;
242 the results of the analysis of Model 2 are shown in Figure 3. Referring to the DAG
243 model in Tamura et al. (2018), the arrows between the mediating factors were derived
244 from the pregnancy cotinine values to the maternal pre-pregnancy BMI and from
245 alcohol consumption during the first trimester of pregnancy to maternal pre-pregnancy
246 BMI; these three additional arrows were added in Model 2 from Model 1. For a decline
247 in the socioeconomic status, no significant association of the maternal pre-pregnancy
248 BMI was seen. However, a decline in parental socioeconomic status increased never to
249 passive smoking during pregnancy; moreover, an increase in pregnancy smoking status

250 increased prevalence of lower maternal pre-pregnancy BMI; and the effect of a decrease
251 of maternal pre-pregnancy BMI on the increase in SGA. The mediating effects of
252 maternal alcohol consumption from SES to SGA were independent of the path to
253 maternal BMI. The goodness of fit indices for Model 2 included a Chi-square test value
254 of 116.181 (<0.01), GFI: 0.998, AGFI: 0.994, CFI: 0.983 and RMSEA: 0.022; except
255 for the Chi-square test value that showed good fitness.

256

257 [Insert Figure 3 here]

258

259 The fitness indices of the two models were evaluated using the Chi-square test
260 value, GFI, AGFI, CFI, and RMSEA (Amorim et al. 2010). Among these values, only
261 the Chi-square test values were outside the applicable range. The low Chi-square test
262 value was related to the explanatory power of the model. We speculated that the SES
263 was out of the range of conformity due to the high Chi-square test value of determining
264 SGA. The sample size of this study was too large for performing a covariance structure
265 analysis (Wolf et al. 2013). In such an analysis, the fitness of the model must be
266 comprehensively evaluated based on several indicators (Oshio 2011).

267

Discussion

268

269 In this study, we described the mediating factors between parental SES and SGA.

270 We observed that the maternal pre-pregnancy BMI, smoking during the third trimester,

271 and alcohol consumption during the first trimester were mediators of the effect of low

272 SES on SGA in SEM analysis, which assumed independent associations between the

273 mediating factors (Model 1). In SEM analysis, which assumed dependent associations

274 between the mediating factors (Model 2), considering the associations between

275 mediating factors from Model 1, a decline in parental SES increased the effect of

276 smoking during pregnancy on increase in SGA. In addition, an increase in pregnancy

277 smoking status increased the effect of the decrease in the maternal pre-pregnancy BMI

278 on the increase in SGA

279 We identified the association between SES and SGA was mediated by the

280 maternal pre-pregnancy BMI and smoking status during the third trimester in SEM

281 analysis, which assumed independent associations between the mediating factors. Van

282 Den Berg and colleagues reported the factors, breastfeeding duration, maternal smoking

283 during pregnancy, and pre-pregnancy BMI mediated the association between maternal

284 education and weight gain during the first year of an infant's life (Van Den Berg et al.

285 2013); the same associations were seen in this study. The outcomes used by Van Den

286 Berg et al. (2013) were different from SGA, however, their results agreed with our
287 study.

288 When SEM analysis was assumed, dependent associations between mediating
289 factors (Figure 3), a low SES increased the effect of smoking during pregnancy on
290 increase in SGA. A previous review paper similarly reported that the prevalence of
291 smoking was higher among pregnant women with a low household income, education
292 below the university level, and those who have not recently had a job in Australia,
293 Iceland, USA, Scotland, and Finland (Boucher and Konkle 2016). An earlier study
294 using data from the same Hokkaido study cohort pointed out that smoking during
295 pregnancy may reduce the gestational age and birth weight of the fetus, which is
296 consistent with the results of this present study (Kobayashi et al. 2019). The maternal
297 smoking status during the third trimester of pregnancy could mediate the effect of the
298 parental SES on SGA, with a large indirect effect of the SES on SGA. In addition to the
299 direct path of smoking from low SES to SGA, we found an additional path of low SES
300 (which is to increase smoking during pregnancy and low maternal pre-pregnancy BMI)
301 on the increase in SGA. Travier et al. (2009) reported in a meta-analysis of European
302 cohorts that current female smokers had a significantly lower BMI than never smokers
303 (Travier et al., 2009). Thus, finding of this additional path is reasonable.

304 On the other hand, the significant effect of the SES on the maternal pre-pregnancy
305 BMI, as seen in Model 1, which assumed independent associations between mediating
306 factors, disappeared in Model 2 that assumed dependent associations between mediating
307 factors. A low BMI could have been widespread among young Japanese women,
308 irrespective of their SES. A previous paper reported that Japanese women are obsessed
309 with a slender figure, which may harm unborn children and create long-term health
310 problems in the Japanese population (Itoh et al. 2018, Normile 2018). A survey of 1,681
311 pregnant women showed that 54% reported that their ideal gestational weight gain was
312 below the recommendations 12.0kg (Ogawa et al. 2018). Further studies are needed to
313 uncover the associations between SES, maternal BMI, and SGA among infants in Japan.

314 In Japan, the relative child poverty rate defined as the proportion of household
315 with child(ren) with a net income below a defined threshold was 16.3% in 2012, and
316 13.9% in 2015 (Ministry of Health, Labor and Welfare 2016). Although there has been a
317 reduction in the child poverty rate in Japan, it remains lower than the Organization for
318 Economic Co-operation and Development average (Thévenon et al. 2018). The
319 Japanese government started implementing a free education system in public senior
320 high schools from 2010. However, the participants of this study were not supported by
321 the free education system in public senior high schools, because they were recruited

322 from 2003 to 2012. Therefore, some high school students cannot continue school
323 because of high tuition fees. In this study, it has been shown that parental SES may
324 indirectly affect children's health. Tamura et al. (2018) reported the RR of being born
325 term-SGA was significantly lower in infants whose mothers and fathers had >16 years
326 vs. 10–12 years of education (RR = 0.76; 95% CI, 0.61–0.94) and (RR = 0.86; 95% CI,
327 0.75–1.00) respectively. Further policy interventions are needed to open up access to
328 higher education so that children can receive equal education to reduce gaps.
329 Furthermore, interventions such as school education for health and disease, and
330 improving food intake for younger generations would be required.

331 We excluded women who had pregnancy-induced hypertension and gestational
332 diabetes; thus, target participants of this study are those in pregnancies uncomplicated
333 by these conditions. Pregnancy-induced hypertension and gestational diabetes have
334 already been reported to have a decreased or increased effect on gestational age and
335 birth weight (Tairaku et al. 2012, Heude et al. 2012). These pathological factors could
336 mask and underestimate the effect of parental socioeconomic status associated with
337 SGA. By excluding mothers with hypertension and gestational diabetes, we could
338 determine the impact of parental characteristics as a risk factor for SGA without
339 pathological basis. On the other hand, there was a report about association of

340 socioeconomic status and maternal hypertension with the gestational age (Brink et al.
341 2020). We think more studies focusing on the effect of parental socioeconomic status to
342 SGA through pregnancy-induced hypertension and gestational diabetes are needed.

343 *Strength and Limitations*

344 The main strength of this research is that we conducted a prospective birth cohort
345 analysis of the general population of Japanese women participating in a Hokkaido
346 prefectural-wide prospective cohort study (Hanaoka et al. 2017). In this birth cohort
347 study, only 6.4% of participants were lost to follow-up. Therefore, the effect of bias due
348 to dropout was likely reduced.

349 This study has three main limitations. First, we excluded women with missing
350 data on parental educational levels and annual household income, both of which were
351 used to assess the participants' SES. Tamura et al. (2018) reported that in their study,
352 4.4% of data on maternal education level, 5.8% of data on paternal education level, and
353 17.9% of data on annual household income were missing. Moreover, because the
354 questionnaire was self-administered, the participants might have avoided some specific
355 answers due to privacy concerns (Hulley et al. 2014; Streiner et al. 2016). The group
356 analyzed could potentially be based on biased sampling as participants with a low SES
357 were excluded from the population. The effect of a low SES on SGA may have been

358 underestimated, and our results must be interpreted accordingly. Second, the arrow from
359 maternal smoking status during the third trimester to the pre-pregnancy BMI in Model 2
360 did not make chronological sense. However, we assumed that pregnant women who
361 never smoked would not start smoking after pregnancy. Therefore, we assumed that
362 maternal smoking status during the third trimester indicates maternal passive or active
363 smoking before pregnancy, making it possible to test if the maternal smoking status
364 during the third trimester affected the maternal pre-pregnancy BMI. Finally, we may not
365 have analyzed all potential confounders. In this study, the parental characteristics that
366 were analyzed were limited to the information collected in the questionnaire. Therefore,
367 the effects of other mediators that were not included in the questionnaire cannot be
368 excluded.

369

370

Conclusions

371 In this study, we observed that the maternal pre-pregnancy BMI, maternal
372 smoking during the third trimester, and maternal alcohol consumption during the first
373 trimester were significant mediators of the effect of a low SES on SGA. We also
374 identified significant pathways showing that 1) a low parental SES increased the effect
375 of smoking during pregnancy; 2) an increase in the smoking status during pregnancy

376 increased the effect of a low maternal pre-pregnancy BMI, and 3) the effect of a
377 decrease in the maternal BMI on the increase in SGA. Further studies are needed to
378 uncover the associations between SES, maternal BMI, and SGA in infants in Japan. This
379 study showed to require that school education for the health effects of tobacco smoking
380 and improving food intake from lower grades.

381

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386

387 **Conflict of Interest**

388 The authors declare that they have no conflict of interest.

389 **References**

390

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Tables

Table 1. Parental characteristics selected from the Hokkaido Study on Environment and Children's Health (n = 14,593)

| | N (%) or Mean ± SD | | N (%) or Mean ± SD |
|---|--------------------|---------------------------------|--------------------|
| Maternal characteristics | | Paternal characteristics | |
| Age at study entry, years | | Age at entry (years old) | |
| <25 | 1,512 (10.4) | <25 | 970 (6.6) |
| 25-34 | 9,998 (68.5) | 25-39 | 12083 (82.8) |
| ≥35 | 3,081 (21.1) | ≥40 | 1406 (9.6) |
| Missing | 2 (0.0) | missing | 134 (0.9) |
| Body mass index (kg/m ²) before pregnancy | | Smoking | |
| <18.5 | 2,467 (16.9) | No | 4,303 (29.5) |

| | | | |
|--|-----------------|--|-----------------|
| 18.5-25.0 | 10,390 (71.2) | Yes | 8,544 (58.5) |
| 25.0-29.9 | 1,162 (8.0) | Missing | 1,746 (12.0) |
| ≥30.0 | 325 (2.2) | Alcohol consumption during first trimester | |
| Missing | 249 (1.7) | No | 3,983 (27.3) |
| Cotinine level during third trimester | | Yes | 10,497 (71.9) |
| Never (<0.22 ng/mL) | 5,028 (34.5) | Missing | 113 (0.8) |
| Passive smoking (0.22–11.49 ng/mL) | 5,546 (38.0) | Medical history | |
| Active smoking(>11.49 ng/mL) | 1,821 (12.5) | No | 9,610 (65.9) |
| Missing | 2,198 (15.1) | Yes | 4,963 (34.0) |
| Alcohol consumption during first trimester | | Missing | 20 (0.1) |
| No | 12,789 (87.6) | Socioeconomic characteristics | |

| | | | |
|-------------------------------|-----------------|--------------------------------------|----------------|
| Yes | 1,730 (11.9) | Maternal education level, years | |
| Missing | 74 (0.5) | ≤9 | 713 (4.9) |
| Medical history | | 10-12 | 6,236 (42.7) |
| No | 8,182 (56.1) | 13-15 | 6,014 (41.2) |
| Yes | 6,351 (43.5) | ≥16 | 1,630 (11.2) |
| Missing | 60 (0.4) | Paternal educational level, years | |
| Regular use of any medication | | ≤9 | 1,108 (7.6) |
| No | 12,952 (88.8) | 10-12 | 6,064 (41.6) |
| Yes | 1,566 (10.7) | 13-15 | 3,446 (23.6) |
| Missing | 75 (0.5) | ≥16 | 3,975 (27.2) |
| Regular use of any supplement | | Annual household income, million yen | |
| No | 9,805 (67.2) | ≤3.0 | 3,312 (22.7) |

| | | | |
|------------|-----------------|---------|----------------|
| Yes | 4,731 (32.4) | 3.0-4.9 | 6,509 (44.6) |
| Missing | 57 (0.4) | 5.0-7.9 | 3,698 (25.3) |
| Use of ART | | ≥8.0 | 1,074 (7.4) |
| No | 13,918 (95.4) | | |
| Yes | 633 (4.3) | | |
| Missing | 42 (0.3) | | |

ART: Assisted Reproductive Technology; SD: standard deviation

Table 2. Characteristics of the infants born into the participate parents

| | N (%) or Mean \pm SD |
|---------------------------|--|
| Birth weight, g | 3,039.9 \pm 413.1 |
| Gestational age, days | 274.2 \pm 10.5 |
| Sex | |
| Male | 7,340 (50.3) |
| Female | 7,253 (49.7) |
| Number of siblings | |
| 0 | 5,417 (37.1) |
| ≥ 1 | 7,961 (54.6) |
| Missing | 1,215 (8.3) |
| Small for gestational age | |
| No | 13,582 (93.1) |
| Yes | 1,011 (6.9) |

SD: Standard deviation

Table 3. The relative risk of infants being small for gestational age by parental characteristics (n = 14,593)

| | SGA | | Crude | | | p-value |
|--|-----|------|-------------|-------------|-------------|-----------------|
| | N | % | RR | 95% CI | | |
| Maternal characteristics | | | | | | |
| Age at study entry, years | | | | | | |
| <35 | 796 | 6.9 | | ref | | |
| ≥35 | 215 | 7.0 | 1.01 | 0.87 | 1.16 | 0.92 |
| Pre-pregnancy Body mass index (kg/m ²) | | | | | | |
| <18.5 | 269 | 10.9 | 1.79 | 1.57 | 2.05 | <0.01 |
| ≥18.5 | 724 | 6.1 | | ref | | |
| Cotinine level in third trimester | | | | | | |
| Never (<0.22 ng/mL) | 297 | 5.9 | | ref | | |
| Passive smoking (0.22–11.49 ng/mL) | 358 | 6.5 | 1.09 | 0.94 | 1.27 | 0.24 |
| Active smoking(>11.49 ng/mL) | 184 | 10.1 | 1.71 | 1.43 | 2.04 | <0.01 |
| Alcohol consumption during first trimester | | | | | | |
| No | 832 | 6.5 | | ref | | |
| Yes | 170 | 9.8 | 1.51 | 1.29 | 1.77 | <0.01 |

Medical history

| | | | | | | |
|-----|-----|-----|------|------|------|------|
| No | 567 | 6.9 | | | | ref |
| Yes | 440 | 6.9 | 1.00 | 0.89 | 1.13 | 1.00 |

Regular use of any medication

| | | | | | | |
|-----|-----|-----|-------------|-------------|-------------|-------------|
| No | 873 | 6.7 | | | | ref |
| Yes | 132 | 8.4 | 1.25 | 1.05 | 1.49 | 0.02 |

Regular use of any supplement

| | | | | | | |
|-----|-----|-----|-------------|-------------|-------------|-------------|
| No | 653 | 6.7 | | | | ref |
| Yes | 354 | 7.5 | 1.12 | 0.99 | 1.27 | 0.07 |

Use of ART

| | | | | | | |
|-----|-----|-----|------|------|------|------|
| No | 966 | 6.9 | | | | ref |
| Yes | 44 | 7.0 | 1.00 | 0.75 | 1.34 | 0.99 |

Paternal characteristics

Age at study entry, years

| | | | | | | |
|-----|-----|-----|------|------|------|------|
| <40 | 900 | 6.9 | | | | ref |
| ≥40 | 100 | 7.1 | 1.03 | 0.85 | 1.26 | 0.75 |

Active smoking during first trimester

| | | | | | | |
|-----|-----|-----|------|------|------|------|
| No | 288 | 6.7 | | | | ref |
| Yes | 615 | 7.2 | 1.08 | 0.94 | 1.23 | 0.28 |

Alcohol consumption

| | | | | | | | |
|--|-----|-----|-------------|-------------|-------------|-------------|--|
| Never | 288 | 7.2 | | | ref | | |
| Current drinker | 713 | 6.8 | 0.94 | 0.82 | 1.07 | 0.36 | |
| Medical history | | | | | | | |
| No | 661 | 6.9 | | | ref | | |
| Yes | 349 | 7.0 | 1.02 | 0.90 | 1.16 | 0.73 | |
| Socioeconomic characteristics | | | | | | | |
| Maternal education level, years | | | | | | | |
| ≤9 | 55 | 7.7 | | | ref | | |
| 10-12 | 429 | 6.9 | 0.89 | 0.68 | 1.17 | 0.41 | |
| 13-15 | 429 | 7.1 | 0.92 | 0.71 | 1.21 | 0.57 | |
| ≤16 | 87 | 5.3 | 0.69 | 0.50 | 0.96 | 0.03 | |
| Paternal education level, years | | | | | | | |
| ≤9 | 76 | 6.9 | | | ref | | |
| 10-12 | 426 | 7.0 | 1.02 | 0.81 | 1.30 | 0.84 | |
| 13-15 | 258 | 7.5 | 1.09 | 0.85 | 1.40 | 0.48 | |
| ≤16 | 240 | 6.0 | 0.88 | 0.69 | 1.13 | 0.32 | |
| Household Income, million yen | | | | | | | |
| <3.0 | 240 | 7.2 | | | ref | | |
| 3.0-4.9 | 431 | 6.6 | 0.91 | 0.78 | 1.06 | 0.25 | |
| 5.0-7.9 | 257 | 6.9 | 0.96 | 0.81 | 1.14 | 0.63 | |

≥8.0

72 6.7 0.93 0.72 1.19 0.55

ART: Assisted Reproductive Technology; CI: confidence interval; ref: reference; RR:

relative risk; SGA: small for gestational age

RRs were calculated using generalized linear models.

Figures

Figure 1 top

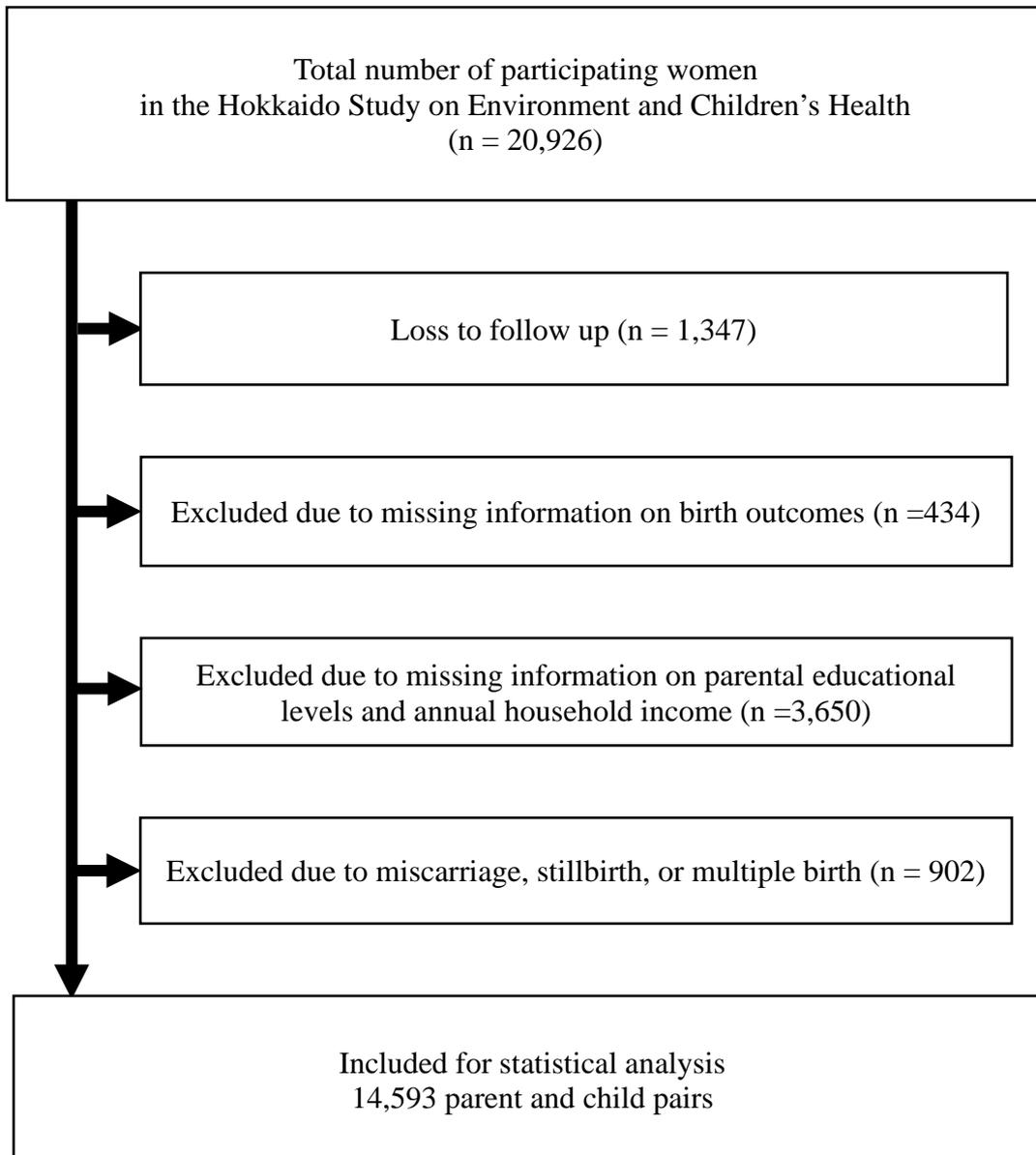


Figure 2 top

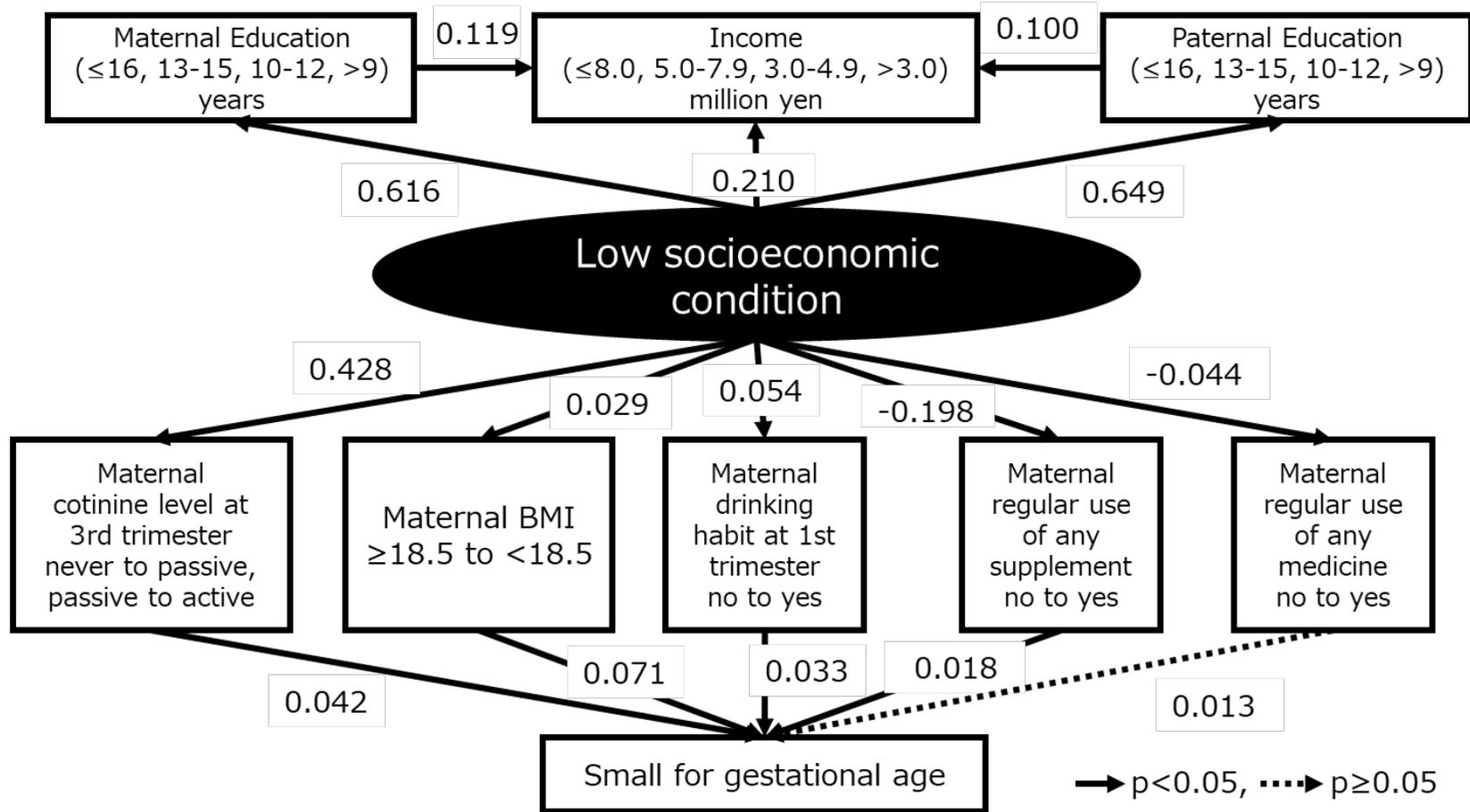


Figure 3 top

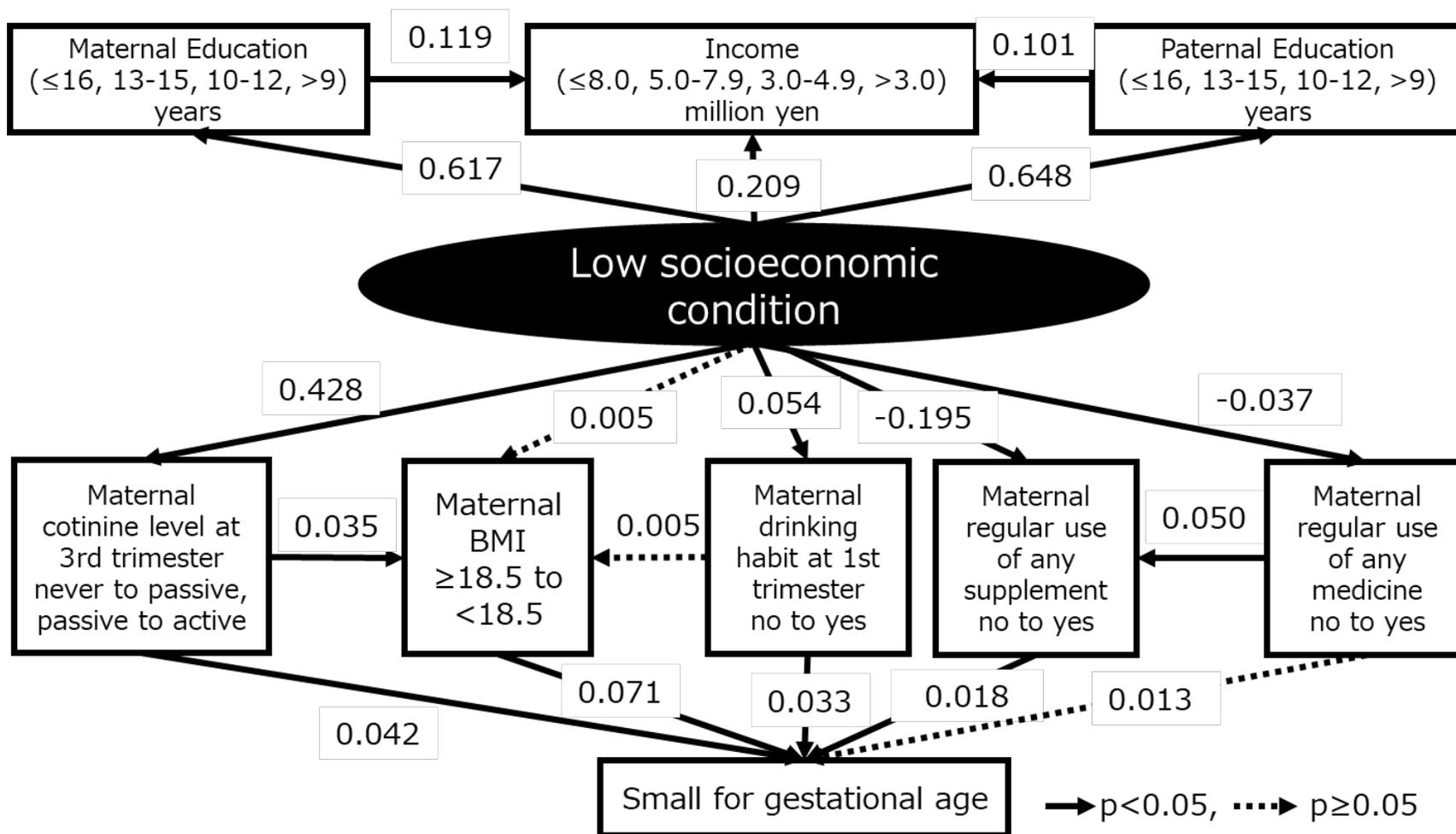


Figure Captions

Figure 1. Flow chart of the participants included in the statistical analysis selected from the Hokkaido Study on Environment and Children's Health

Figure 2. Model 1: Structure Equation Modeling between parental socioeconomic characteristics and small for gestational age, independent covariation factors (n = 12,131)

The numbers on the arrows show the standardized regression weights, the β value. Solid line arrows indicate $p < 0.05$, whereas dotted line arrows indicate $p \geq 0.05$

Figure 3. Model 2: Structure Equation Modeling between parental socioeconomic characteristics and small for gestational age, dependent covariation factors (n = 12,131)

The numbers on the arrows show the standardized regression weights, the β value. Solid line arrows indicate $p < 0.05$, whereas dotted line arrows indicate $p \geq 0.05$