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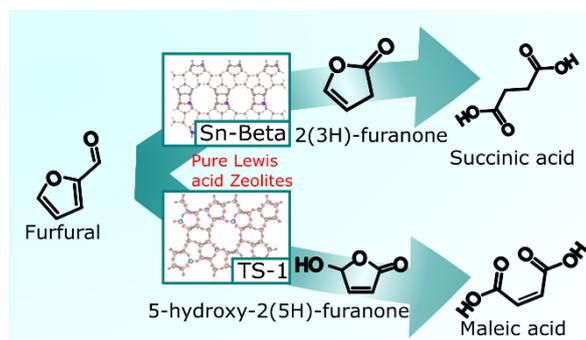


# 1 Selective Oxidation of Furfural to Succinic Acid Over 2 Lewis Acidic Sn-Beta

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## 8 ABSTRACT

9  
10 Selective production of succinic acid from furfural with H<sub>2</sub>O<sub>2</sub> over Sn-Beta, a pure Lewis acid catalyst, is  
11 reported. Under optimized reaction conditions 53 % yield of succinic acid was obtained and the catalyst  
12 was recyclable. 2(3H)-Furanone was detected as an intermediate using <sup>1</sup>H NMR, HH COSY NMR, LC-  
13 MS and GC-MS. Kinetic modeling revealed that Baeyer-Villiger oxidation of furfural to 2(3H)-furanone  
14 was accelerated in comparison to other competing reactions in the presence of purely Lewis acidic Sn-Beta  
15 catalyst. The Lewis acid density of Sn-Beta catalyst was directly correlated to formation rate of products,

1 confirming a Lewis acid catalyzed mechanism. Detailed characterization showed that Sn-Beta activates  
2 furfural by coordinating to the carbonyl group and does not activate H<sub>2</sub>O<sub>2</sub>. On the other hand, parent HBeta-  
3 38 zeolite produced activated H<sub>2</sub>O<sub>2</sub> in solution, which caused side reactions to produce maleic acid.  
4 Selectivity of Sn-Beta was also compared with TS-1, another Lewis acid zeolite, which produced maleic  
5 acid because of the ability of TS-1 to activate H<sub>2</sub>O<sub>2</sub> as hydroperoxy species. Therefore, Sn-Beta is a  
6 selective and reusable catalyst for succinic acid synthesis from biomass derived furfural.

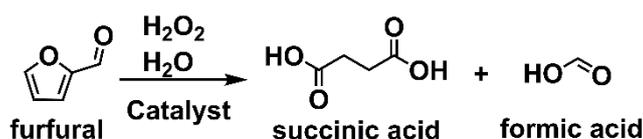
7 **Keywords:** Furfural oxidation, Sn-Beta zeolite, Succinic acid, Baeyer-villiger oxidation, Lewis acid

## 8 **Introduction**

9 Succinic acid is a four-carbon dicarboxylic acid with versatile industrial application. The global market  
10 for succinic acid is expected to grow from US\$132 to US\$183 million during a period of 2018 to 2023 at a  
11 cumulative annual growth rate of 6.8 %.<sup>1</sup> Succinic acid is used as a C<sub>4</sub> building block for synthesis of  
12 polyesters,<sup>2-4</sup> polyurethane,<sup>5</sup> cosmetics,<sup>6,7</sup> and pharmaceuticals.<sup>8-11</sup> In addition, it is also a precursor to 1,4-  
13 butanediol,<sup>12,13</sup> vinyl pyrrolidone,<sup>14</sup> and succinimide.<sup>15</sup> Currently, oil-derived succinic acid is produced by  
14 oxidation of butane through maleic anhydride<sup>16-18</sup> or maleic acid<sup>19</sup> intermediates. Other methods involve  
15 oxidation of 1,4-butanediol and carbonylation of ethylene glycol.<sup>20</sup> These processes are no longer attractive  
16 from the perspective of a sustainable and carbon neutral future. Therefore, alternative means of succinic  
17 acid synthesis from biomass is desirable.

18 The synthesis of succinic acid as a four-carbon chemical from biomass is difficult because of low  
19 abundance of tetrose sugars such as erythrose. Until now, bacterial fermentation of hexose sugars with  
20 bovine rumen bacteria is the most successful method for synthesis of bio-succinic acid.<sup>21</sup> Although bacterial  
21 fermentation provides succinic acid in good selectivity, its industrial application has not been successful  
22 due to high operation cost.<sup>22</sup>

1 Catalytic synthesis of succinic acid from biomass is possible by oxidation of furfural, produced by  
2 dehydration of pentoses (xylose and arabinose)<sup>23–26</sup> and hexoses (glucose and fructose).<sup>27–30</sup> Eliminating  
3 one carbon atom from furfural through oxidative cleavage of the formyl group to liberate formic acid can  
4 produce succinic acid (Scheme 1). Brønsted acid catalysts having an aromatic framework have been  
5 reported as catalysts for this reaction. Amberlyst 15, a catalyst with -SO<sub>3</sub>H groups on polymeric resin,  
6 produces 72 % succinic acid in the presence of aq. H<sub>2</sub>O<sub>2</sub>.<sup>31,32</sup> A  $\pi$ - $\pi$  interaction between furfural and the  
7 benzene rings of polymeric resin stabilized the furfural during the reaction. Sulphonated graphene oxide,  
8 which has a polyaromatic extended 2D  $\pi$ -electron network, showed improved result of 88 % succinic acid  
9 yield owing to better interaction with furfural.<sup>33</sup> However, catalyst deactivation due to formation of humins  
10 is a major issue in furfural chemistry and catalyst with organic framework cannot be reactivated by  
11 calcination to remove organic deposits. Therefore, alternative catalysts should be designed for this reaction.



13 **Scheme 1.** Catalytic oxidation of furfural to bio-based succinic acid.

14 Here, we report a different approach to produce succinic acid through Baeyer-Villiger oxidation (BVO)  
15 of furfural in the presence of Lewis acid catalysts. Water tolerant zeolites having tetravalent cations like  
16 Sn<sup>4+</sup> and Ti<sup>4+</sup> in the framework instead of aluminum are known to exhibit pure Lewis acidity.<sup>34</sup> The catalytic  
17 property of such zeolites is well studied and they are known to be active for BVO<sup>35,36</sup> and Meerwein–  
18 Ponndorf–Verley reduction.<sup>37,38</sup> Among these catalysts, titanium silicalite-1 zeolite (TS-1), has been  
19 reported for furfural oxidation to maleic acid in 53 % yield.<sup>39–42</sup> In contrast, we show that Sn containing  
20 beta zeolite catalyzes furfural oxidation to succinic acid. In our study, we identify the key intermediate for  
21 succinic acid formation and investigate the reasons for high selectivity of Sn-Beta towards succinic acid  
22 and propose a reaction mechanism.

## 1 **Experimental**

### 2 **Catalyst preparation**

3 Sn/Beta catalyst was prepared according to a procedure mentioned elsewhere.<sup>43</sup> In a typical synthesis  
4 procedure, 4 g of calcined zeolite (Zeolyst CP814C\*, SiO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> = 38, hereafter HBeta-38) was added to  
5 100 mL of 13 N HNO<sub>3</sub> and the solution was refluxed at 100 °C for 20 h. Then the mixture was cooled to  
6 room temperature, followed by filtration, and washed with 2 L of deionized water and then dried at 100 °C  
7 for 18 h. The resultant dealuminated zeolite was named as DeAl-Beta and 1.5 g of this material was mixed  
8 with 0.0562 g of Sn(II) acetate in a mortar. The mixture was ground for 10 min along with scratching with  
9 a teflon spatula at regular intervals and then heated under N<sub>2</sub> flow at 500 °C for 3 h, with a ramp rate of 10  
10 °C / min, followed by additional 3 h under air flow. The resulting catalyst was named as 2Sn-Beta with 2  
11 wt. % Sn loading. Catalysts with other Sn loadings were prepared by changing the amount of Sn(II) acetate  
12 used. TS-1 was purchased from ACS Material and used without modification. SnO<sub>2</sub> powder was bought  
13 from FUJIFILM Wako Pure Chemical Corporation and used as it is.

### 14 **Catalyst Characterization**

15 X-ray diffraction (XRD) was measured with Rigaku MiniFlex using CuK $\alpha$  X-ray ( $\lambda = 1.54 \text{ \AA}$ ) operating  
16 at 40 kV and 20 mA. UV-visible diffuse reflectance spectroscopy (UV-vis) measurement was obtained  
17 using Jasco V-650 spectrophotometer, line width of light source was kept at 1 nm and  
18 polytetrafluoroethylene (PTFE) was used as reference. UV-Vis of liquids samples of 3,3',5,5'-  
19 tetramethylbenzidine (TMB) oxidation reaction was carried out in the same instrument, using water as  
20 reference. N<sub>2</sub> adsorption isotherms were measured at -196 °C using a Belsorp mini analyzer. Surface area  
21 was calculated by using the BET theory in the relative pressure range 0.05 to 0.35 in the N<sub>2</sub> adsorption  
22 isotherm.<sup>44</sup> Pyridine IR was done in a Shimadzu IR spirit instrument and the detailed procedure for analysis  
23 and quantification of Lewis acid sites is described in supporting information. Diffuse reflectance infrared

1 Fourier transform spectroscopy (DRIFTS) of furfural adsorption was performed with Perkin Elmer  
2 Spectrum 100 instrument. The detailed experimental procedure is described in supporting information.

### 3 **Catalytic oxidation of Furfural and product analysis**

4 In a typical oxidation reaction, 1 mmol of furfural (distilled before use) was dissolved in 5 mL water in  
5 a two neck round bottom flask and 50 mg of catalyst was added. The flask was placed in a preheated oil  
6 bath and 44 mmol of H<sub>2</sub>O<sub>2</sub> was added and the reaction time was set to zero. After the completion of reaction,  
7 the catalyst was separated by centrifugation and washed with acetonitrile to extract the compounds with  
8 poor water solubility. An additional wash was performed with water and all the collected liquids were  
9 mixed together and diluted to a fixed volume. This solution was analyzed using a Shimadzu HPLC system  
10 equipped with a Biorad Aminex HPX-87H column and an RID detector. Quantification was using  
11 commercial standards for calibration except for 2(3H)-furanone because it is not commercially available.  
12 Instead, its concentration was determined using the calibration factor of 2(5H)-furanone. Furfural  
13 conversion ( $X_F$ ) and product yields ( $Y_P$ ) were calculated using the following equations.

$$14 \quad X_F = \frac{N_F \text{ initial} - N_F \text{ remaining}}{N_F \text{ initial}} \times 100 \%$$

$$15 \quad Y_P = \frac{N_P \text{ obtained}}{N_F \text{ initial}} \times 100 \%$$

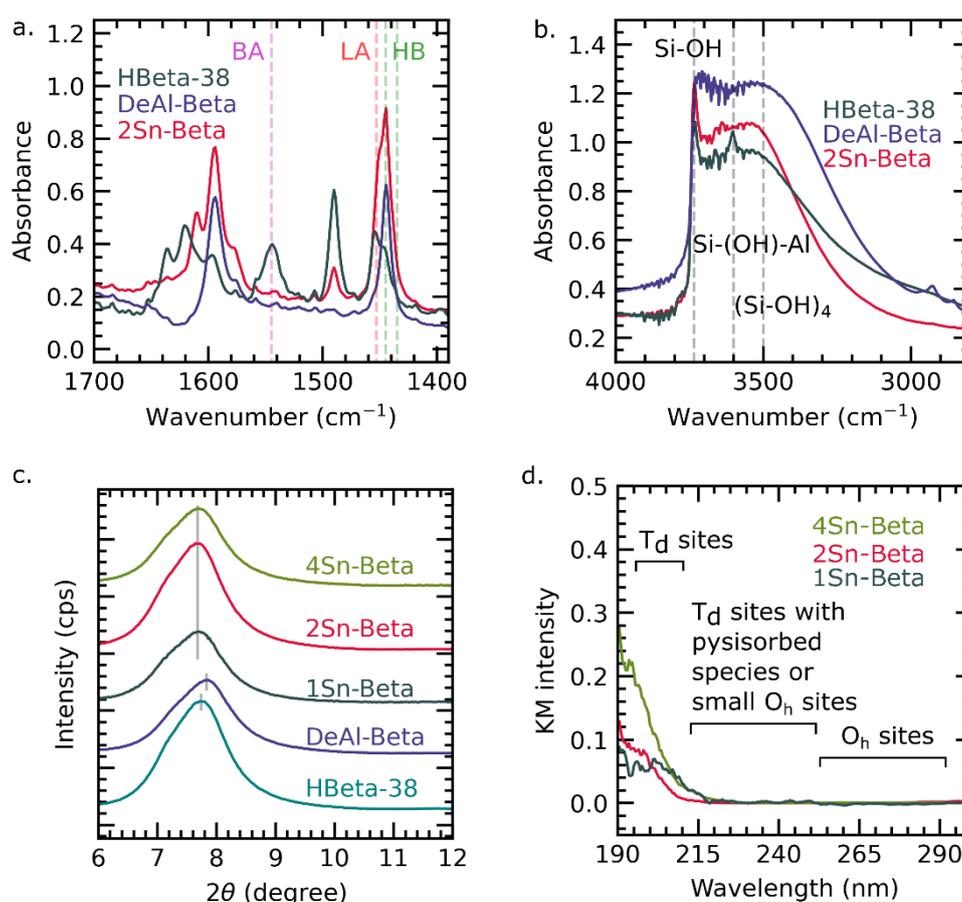
16 Where  $N_F$  is the number of moles of furfural and  $N_P$  is the number of moles of product.

## 17 **Results and discussion**

### 18 **Catalyst characterization**

19 Sn-Beta catalysts were prepared by dealumination of HBeta-38 to remove Brønsted acid sites followed  
20 by inclusion of Sn atoms in the empty T-sites in order to have pure Lewis acid sites. The dealumination and  
21 Sn inclusion did not change the surface area and pore volume of the zeolite and the microporous structure  
22 was intact (Figure S1 and Table S1). Nature of acid sites in the prepared catalysts was analyzed by pyridine

1 adsorption IR experiment to study the change in Brønsted and Lewis acid density (Figure 1a). After pyridine  
 2 adsorption, the peak at  $1455\text{ cm}^{-1}$  was assigned to ring vibration of coordinatively bound surface pyridine  
 3 species to Lewis acid sites and the peak at  $1540\text{ cm}^{-1}$  was assigned to that of surface pyridinium ions  
 4 interacting with Brønsted acid sites.<sup>45</sup> Lewis acid density of 2Sn-Beta (containing 2 wt. % Sn loading) was  
 5 higher than the parent HBeta-38 zeolite, whereas the Brønsted acid density was negligible. The Brønsted  
 6 acid sites were lost during dealumination of HBeta-38 because the charge imbalance between tetravalent  
 7 Si and trivalent Al was no longer present. Sn itself being tetravalent leads to an electrically neutral  
 8 framework, hence there is no proton as counter balancing ion to generate Brønsted acidity in the 2Sn-Beta  
 9 catalyst. DeAl-Beta showed no acidity and the peaks at  $1445$  and  $1435\text{ cm}^{-1}$  were assigned to hydrogen  
 10 bonding interaction with pyridine, probably because of high number of silanol groups. Hence, it can be  
 11 concluded that Lewis acidity in the 2Sn-Beta catalyst was due to Sn incorporation.



12

1

2 **Figure 1.** a) Pyridine adsorption IR spectrum for HBeta-38, DeAl-Beta and 2Sn-Beta. BA, LA and HB  
3 indicate peaks due to Brønsted acidity, Lewis acidity and hydrogen bonded pyridine, respectively. b)  
4 Normalized IR spectrum of silanol region showing dealumination and Sn incorporation into zeolite Beta.  
5 c) XRD of various catalysts. d) UV-Visible spectrum of Sn-Beta with different Sn loading.

6

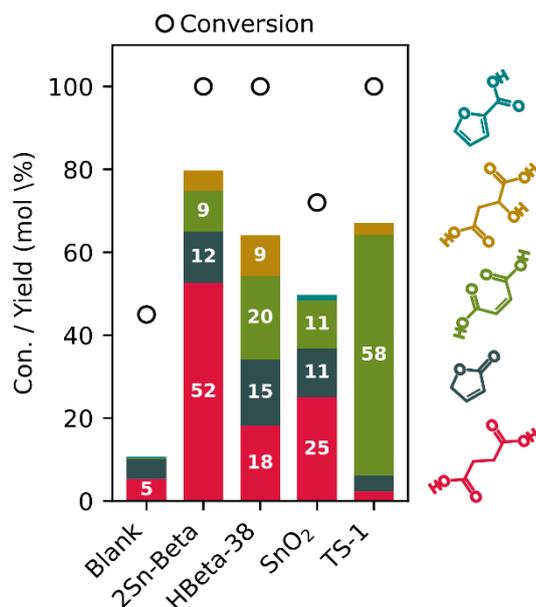
7 The dealumination and Sn incorporation process was also monitored by FTIR analysis of the silanol  
8 region (Figure 1b). Spectrum for HBeta-38 consisted of two sharp peaks at  $3710\text{ cm}^{-1}$  and  $3600\text{ cm}^{-1}$  that  
9 were assigned to -OH stretching of individual surface terminated silanol groups ( $\text{Si-OH}$ )<sup>46</sup> and -OH  
10 stretching of bridged -OH groups between neighboring Si and Al, respectively. A broad peak with relatively  
11 less intensity ranging from  $3200$  to  $3600\text{ cm}^{-1}$  was assigned to hydrogen bonded silanol nests.<sup>46</sup> After  
12 dealumination the -OH stretching of bridged -OH groups at  $3600\text{ cm}^{-1}$  disappeared as Si-O-Al bridges were  
13 no longer present. Simultaneously, the relative intensity of the broad peak increased due to creation of new  
14 hydrogen bonded silanol nests. These silanol nests serve as anchoring site for the incoming Sn cations.  
15 After incorporation of Sn, the relative intensity of the broad peak decreased in comparison to terminated -  
16 OH stretching peak at  $3710\text{ cm}^{-1}$  because some of the nests were now occupied with Sn atoms.

17 The dealumination and Sn incorporation also caused a change in the lattice structure of zeolite, which  
18 was observed by powder XRD of the catalysts. The diffraction peak of zeolite crystal at  $2\theta = 7.8^\circ$  shifted  
19 to higher  $2\theta$  value after dealumination (Figure 1c), which was attributed to lattice shrinkage due to removal  
20 of Al atoms from the HBeta-38 unit cell.<sup>47</sup> The peak position shifted back to lower  $2\theta$  after incorporation  
21 of Sn. Moreover, diffraction peaks for  $\text{SnO}_2$  were not observed in XRD even when Sn loading was increased  
22 to 4 wt. % (Figure S2).  $\text{SnO}_2$  can form due to oligomerization of Sn species that are unable to form a  
23 tetrahedrally coordinated Sn site in the empty silanol nests. The absence of diffraction for  $\text{SnO}_2$  could either  
24 mean that there are no  $\text{SnO}_2$  particles or that the  $\text{SnO}_2$  particles are small and lack long range order.

1 Further insight into SnO<sub>2</sub> formation was obtained by observing the shift in UV-visible absorption maxima  
2 with respect of Sn loading in Sn-Beta catalyst. The shift in absorption maxima can be correlated with Sn  
3 coordination number and particle size domain.<sup>48-50</sup> 1Sn Beta, 2Sn-Beta and 4Sn-Beta catalysts, having 1,2  
4 and 4 wt. % Sn content, only showed absorption below 215 nm assigned to isolated tetrahedral Sn atoms  
5 (T<sub>d</sub>) of Sn-Beta zeolite (Figure 1d).<sup>51</sup> Therefore, all the catalysts had Sn exclusively present as Lewis acidic  
6 tetrahedral Sn sites.

### 7 **Furfural oxidation over different catalysts**

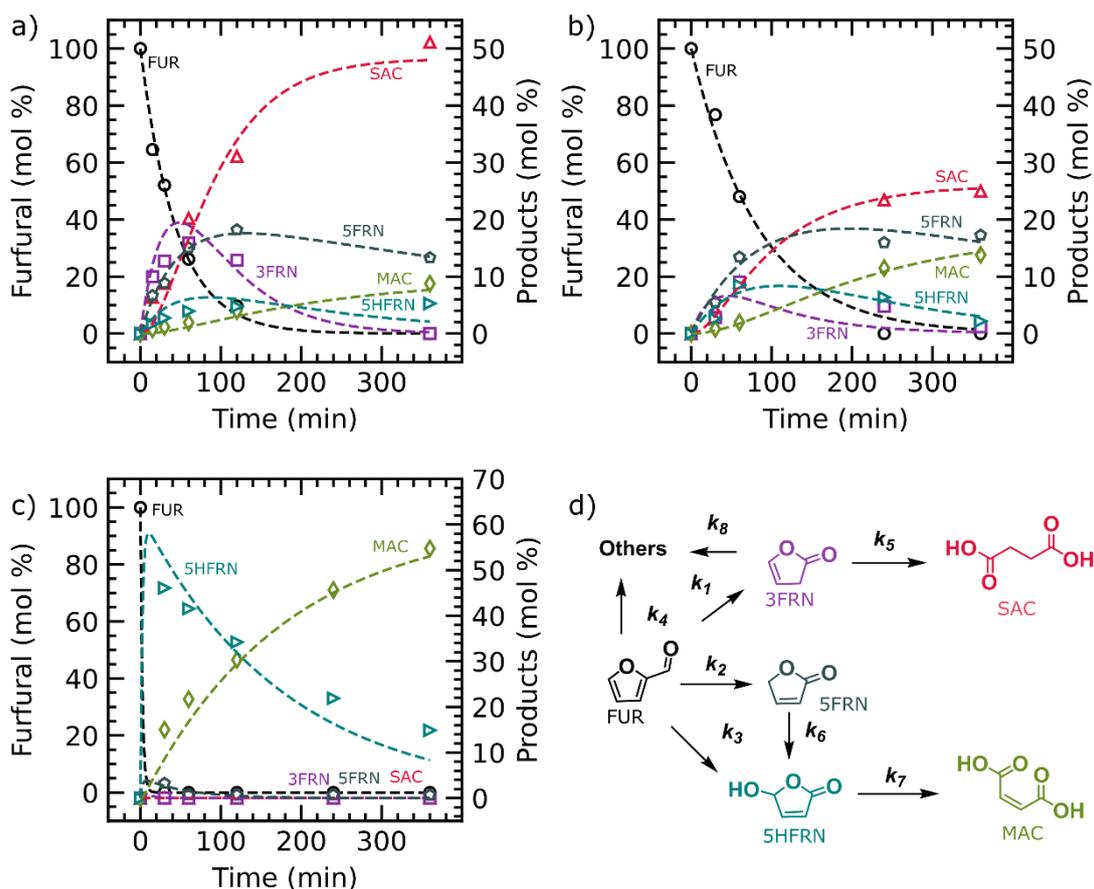
8 Furfural oxidation in water and H<sub>2</sub>O<sub>2</sub> in the absence of any catalyst showed 5 % succinic acid yield along  
9 with slight amount of 2(5H)-furanone (Figure 2). In the presence of 2Sn-Beta, under optimized condition  
10 the yield of succinic acid was 53 % (reaction optimization data is shown in Figure S3). Maleic acid, malic  
11 acid and 2(5H)-furanone were the major by-products. HBeta-38 catalyst was not selective and produced  
12 comparable amounts of succinic acid, 2(5H)-furanone and maleic acid. Activity of pure SnO<sub>2</sub> was not much  
13 different from HBeta-38, which shows that pure Lewis acidic nature of Sn is important for succinic acid  
14 selectivity. In contrast, another Lewis acid zeolite TS-1 produced maleic acid selectively with low amount  
15 of succinic acid. This behavior of TS-1 is consistent with previous reports describing selective synthesis of  
16 maleic acid.<sup>39-42</sup> From these results it is evident that while Brønsted acid zeolite was not selective towards  
17 any product, Lewis acid catalysts (Sn-Beta and TS-1) were able to selectively produce succinic acid or  
18 maleic acid. Formic acid was formed as the secondary product in all cases due to oxidative cleavage of the  
19 formyl group, irrespective of the catalyst used. The recyclability of 2Sn-Beta was investigated, and the  
20 catalyst activity did not drop for four catalytic cycles (Figure S4). However, after four cycles the color of  
21 the catalyst changed from white to pale yellow, suggesting deposition of organic matter on the catalyst.  
22 Calcining the catalyst at 550 °C for 3 h removed the organic deposit and recovered white color of the  
23 catalyst. The recalcined catalyst showed no loss of activity. This shows that the catalyst was not prone to  
24 deactivation and deposited organic matter can be removed by calcination without any loss of activity.



1

2 **Figure 2.** Catalytic activity of different catalysts for furfural oxidation reaction. Reaction conditions:  
 3 furfural 1 mmol (96 mg), catalyst 50 mg, 15 % H<sub>2</sub>O<sub>2</sub> solution 10 mL, 50 °C, 6 h.

4 The time course of reaction in the presence of 2Sn-Beta showed that the formation of succinic acid was  
 5 preceded by formation of 2(3H)-furanone (Figure 3a). This compound has been suggested as an  
 6 intermediate in earlier studies.<sup>31,32</sup> We were able to positively identify 2(3H)-furanone by NMR (Figure  
 7 S5-6) and mass spectrometry (Figure S7-8) of product solution and quantified it using LC (see Figure S9  
 8 for typical HPLC profile of furfural oxidation reaction). In contrast to 2Sn-Beta, the formation of 2(3H)-  
 9 furanone over HBeta-38 was not prominent. In the presence of TS-1, 5-hydroxy-2(3H)-furanone was  
 10 formed as the major intermediate for maleic acid. Some byproducts were formed in the presence of all three  
 11 catalysts. The carbon balance for 2Sn-Beta and TS-1 catalyst was stable after 60 mins of reaction, which  
 12 indicates that most of the unidentified byproducts were formed by side reaction of furfural during the initial  
 13 phase of the reaction. (Figure S10)



1

2 **Figure 3.** Time course of furfural oxidation in the presence of (a) 2Sn-Beta, (b) HBeta-38 and (c) TS-1.

3 The symbols represent experimental data and the lines show fitting of experimental data with reaction

4 model shown in (d). Reaction conditions: furfural 1 mmol (96 mg), catalyst 50 mg, 15 % H<sub>2</sub>O<sub>2</sub> solution 10

5 mL, 50 °C

6 We performed kinetic analysis of the reaction by using reaction scheme shown in Figure 3d and

7 modelling the experimental data using equations 1-6.

$$8 \quad \frac{d[FUR]}{dt} = -(k_1 + k_2 + k_3 + k_4) \times [FUR] \quad 1$$

$$9 \quad \frac{d[3FRN]}{dt} = k_1[FUR] - (k_5 + k_8) \times [3FRN] \quad 2$$

$$10 \quad \frac{d[5FRN]}{dt} = k_2 \times [FUR] - k_6 \times [5FRN] \quad 3$$

$$11 \quad \frac{d[5HFRN]}{dt} = k_3 \times [FUR] + k_6 \times [5FRN] - k_7 \times [5HFRN] \quad 4$$

$$12 \quad \frac{d[SAC]}{dt} = k_5 \times [3FRN] \quad 5$$

1 
$$\frac{d[MAC]}{dt} = k_7 \times [5HFRN]$$

6

2 where  $k_1$ - $k_7$  represent rate constants for reactions shown in Figure 3d, FUR stands for furfural, 3FRN for  
3 2(3H)-furanone, 5FRN for 2(5H)-furanone, 5HFRN for 5-hydroxy-2(5H)-furanone, SAC for succinic acid  
4 and MAC for maleic acid. Products like furoic acid and malic acid were not modeled in the reaction as their  
5 concentration was too low during reaction.

6 Rate constants were estimated by fitting the model to experimental data by assuming pseudo-first order-  
7 reactions (Table 1). The formation rates of 2(3H)-furanone ( $k_1 = 0.64 \text{ h}^{-1}$ ) and 2(5H)-furanone ( $k_2 = 0.28 \text{ h}^{-1}$ )  
8 were higher in the presence of 2Sn-Beta catalyst in comparison to other reaction pathways. Whereas, in  
9 the case of Brønsted acid containing HBeta-38, both 2(3H)-furanone and 2(5H)-furanone had similar  
10 formation rates. Moreover, the rates of formation for 5-hydroxy-2(3H)-furanone ( $k_3$ ) and furfural  
11 degradation ( $k_4$ ) were also comparable to the hydrofuranone formation rates, which was the reason for  
12 nonselective reaction. The kinetic analysis of reaction in the presence of TS-1 catalyst showed that  
13 formation of 5-hydroxy-2(5H)-furanone was highly favored. This is why TS-1 selectively produced maleic  
14 acid. Therefore, it is evident that higher rate of formation of 2(3H)-furanone over Lewis acidic 2Sn-Beta  
15 was the primary reason for selective succinic acid formation. Moreover, the subsequent conversion of  
16 2(3H)-furanone to succinic acid was faster than its formation from furfural ( $k_5 > k_1$ ). The formation of  
17 2(3H)-furanone is possible via BVO of furfural as shown in Scheme 2. BVO of furfural would produce a  
18 formate ester which would undergo hydrolysis in the presence of water to yield a furan alcohol. The  
19 isomerization of furan alcohol would produce 2(3H)-furanone and 2(5H)-furanone.

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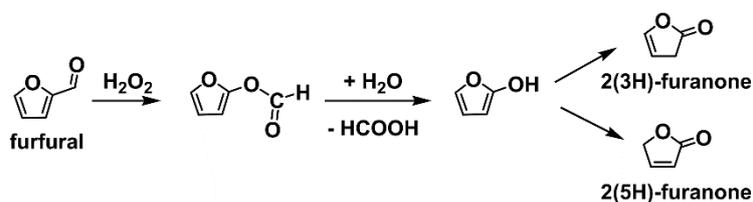
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1 **Table 1.** Estimated rate constants from the kinetic fitting. The rate constants are in the unit of  $\text{h}^{-1}$ .

Catalyst	$k_1$	$k_2$	$k_3$	$k_4$	$k_5$	$k_6$	$k_7$	$k_8$
2Sn-Beta	0.64	0.28	0.13	0.28	1.12	0.09	0.30	0
HBeta-38	0.24	0.18	0.13	0.17	1.63	0.09	0.41	0.48
TS-1	-	-	12.5	6.67	-	-	0.33	-

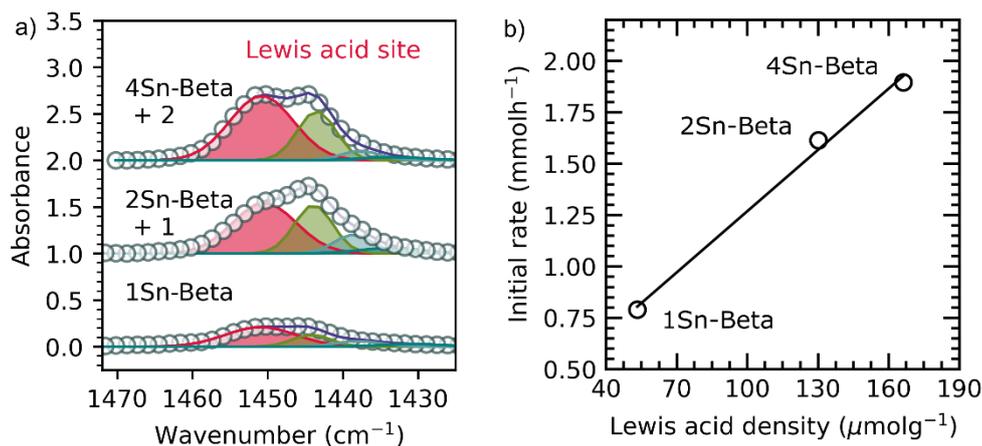
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4 **Scheme 2.** Formation pathway of hydrofuranones from furfural.

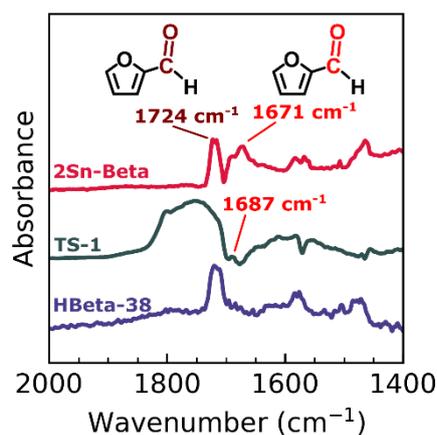
5 The BVO reaction of aldehydes is catalyzed by Lewis acid sites.<sup>52,53</sup> Therefore, we investigated the  
6 influence of Lewis acid density of Sn-Beta catalysts for furfural oxidation. The Lewis acid density was  
7 calculated by quantitative analysis after pyridine adsorption IR spectra of catalysts with different Sn loading.  
8 The peak intensity of adsorbed pyridine at  $1455\text{ cm}^{-1}$  increased steadily with increase in Sn loading (Figure  
9 4a). The initial catalytic activity (expressed as sum of moles of succinic acid and 2(3H)-furanone per hour)  
10 increased with the Lewis acid density (Figure 4b). This indicates that activation of furfural over Lewis  
11 acidic Sn sites followed by BVO was important for higher selectivity.



**Figure 4.** (a) Deconvoluted pyridine adsorption IR spectrum of Sn-Beta catalysts in the Lewis acid region, the crimson color peak at  $1455\text{ cm}^{-1}$  represents ring vibration of pyridine interacting with Lewis acid sites, other peaks correspond to ring vibration of hydrogen bonded pyridine to silanol groups, line with blue markers represents experimental data. (b) Plot of Lewis acid site (LAS) density vs initial activity. Reaction conditions: furfural 5 mmol (480 mg), catalyst 50 mg, 15 %  $\text{H}_2\text{O}_2$  solution 10 mL,  $50\text{ }^\circ\text{C}$ , 1 h.

In order to investigate the role of Sn-Beta in promoting BVO reaction we observed the interaction of catalyst with furfural and  $\text{H}_2\text{O}_2$ . Diffused reflectance infrared Fourier transform spectroscopy (DRIFTS) experiment was performed to observe the activation of furfural over 2Sn-Beta. Prior to DRIFTS analysis, the catalyst surface was saturated with furfural and then excess furfural was desorbed by heating under vacuum. DRIFTS analysis of adsorbed furfural on 2Sn-Beta catalyst showed two peaks at  $1724\text{ cm}^{-1}$  and  $1671\text{ cm}^{-1}$  in the carbonyl region (Figure 5). The first peak was assigned to carbonyl stretching frequency of uncoordinated furfural and second one to furfural coordinated with a strong Lewis acid site. A shoulder peak to the  $1671\text{ cm}^{-1}$  appeared at  $1690\text{ cm}^{-1}$ , which can be assigned to furfural coordinated to a possible weak Lewis acidic site on the catalyst. From this observation it can be inferred that Sn sites of Sn-Beta strongly interacted with the carbonyl group of furfural. Similar interaction was not observed for the parent zeolite HBeta-38 in which Brønsted acid sites are dominant species compared to Lewis acid sites. On the other hand, TS-1 showed a weaker adsorption of furfural carbonyl group in comparison to 2Sn-Beta. The

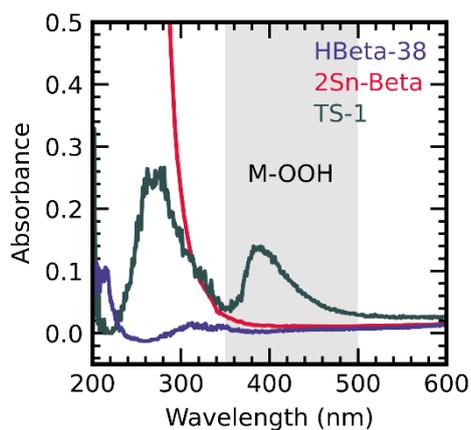
1 redshift in carbonyl peak position was less severe at 1687  $\text{cm}^{-1}$  for TS-1. Therefore, we can conclude that  
2 2Sn-Beta strongly adsorbed the carbonyl group of furfural and adsorption on TS-1 was weaker. When  
3 coordinated to the Lewis acid sites, electrophilicity of the carbonyl carbon atom increases and from the  
4 above observations 2Sn-Beta was most effective in this regard.



5

6 **Figure 5.** DRIFTS spectra of furfural adsorbed on various catalysts.

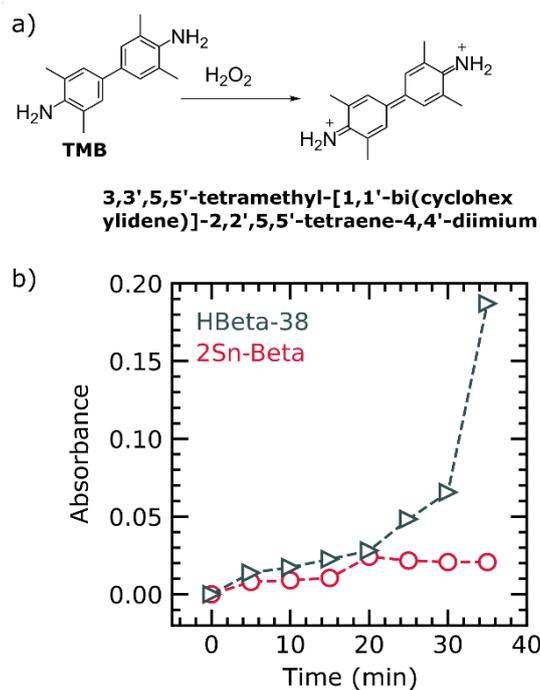
7 The interaction of catalysts with  $\text{H}_2\text{O}_2$  is also a major factor that influences selectivity. Activation of  
8  $\text{H}_2\text{O}_2$  may produce species that are even stronger nucleophiles in comparison to  $\text{H}_2\text{O}_2$ . We treated the  
9 catalysts with aqueous  $\text{H}_2\text{O}_2$  solution and observed the diffuse reflectance UV-visible spectra.  $\text{H}_2\text{O}_2$  is  
10 activated on the surface of the catalyst to form metal-hydroperoxy (M-OOH) species, which gives rise to a  
11 yellow color, and it appears as a peak around 390 nm in UV-vis (Figure 6). Only TS-1 showed activity for  
12 formation of Ti-OOH species and both 2Sn-Beta and HBeta-38 zeolite were inactive. Formation of Ti-OOH  
13 species with TS-1 in the presence of  $\text{H}_2\text{O}_2$  is consistent with literature.<sup>54,55</sup> At this point it is worth  
14 mentioning that metal hydroperoxy species are known to catalyze the epoxidation reaction; hence the  
15 formation of 5-hydroxy-2(5H)-furanone over TS-1 could be a direct result of epoxidation of the double  
16 bond between C4-C5 carbon atoms of furfural in the presence of Ti-OOH species (Scheme S1).



1

2 **Figure 6.** UV-vis spectroscopy of catalysts showing formation of M-OOH species in the presence of H<sub>2</sub>O<sub>2</sub>  
 3 on TS-1.

4 In addition to surface activation, H<sub>2</sub>O<sub>2</sub> can also be activated in solution. The activation of H<sub>2</sub>O<sub>2</sub> with  
 5 HBeta-38 was tested by two-electron oxidation of 3,3',5,5'-tetramethylbenzidine (TMB), shown in Figure  
 6 7a.<sup>56-58</sup> The oxidation product is a blue color compound, which can be monitored with UV-visible spectrum.  
 7 The activity of 2Sn-Beta for oxidation of TMB was quite low and almost no reaction occurred after 30 min  
 8 (Figure 7b), which shows 2Sn-Beta has limited ability to activate H<sub>2</sub>O<sub>2</sub> in solution. On the other hand, in  
 9 the presence of HBeta-38, rapid oxidation of TMB proceeded, which implies that H<sub>2</sub>O<sub>2</sub> was activated by  
 10 HBeta-38, probably via protonation of H<sub>2</sub>O<sub>2</sub>. The UV-Visible spectra of reaction mixtures at 35 minutes is  
 11 shown in the supporting information (Figure S11). This leads to the conclusion that pure Lewis acid nature  
 12 of Sn-Beta catalyst is important for formation of succinic acid.

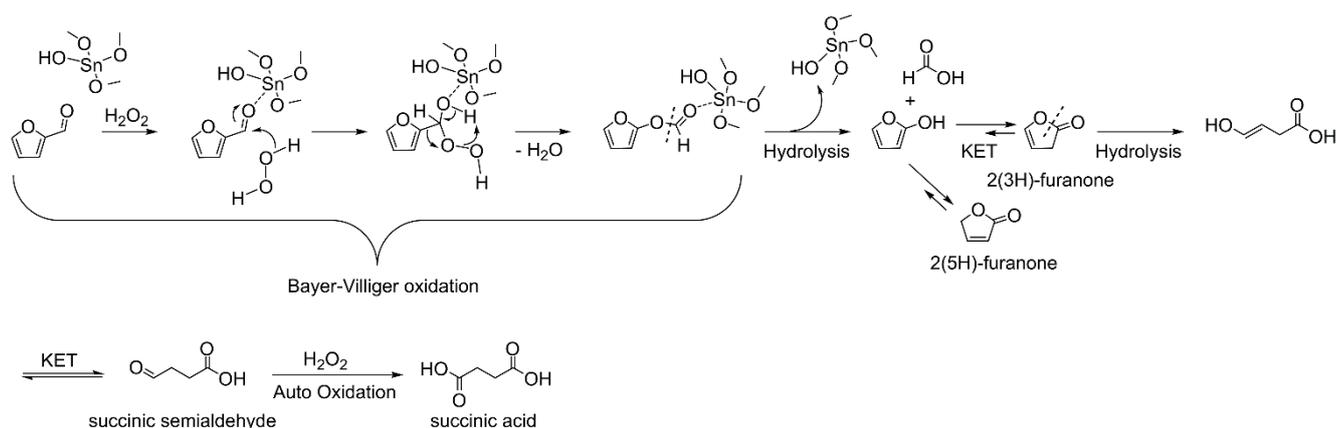


1  
 2 **Figure 7.** Evolution of TMB oxidation product with time monitored using UV-Vis spectroscopy. (a) TMB  
 3 oxidation reaction scheme. (b) Time-course of band centered around 660 nm in UV-Vis spectra of TMB  
 4 oxidation reaction in the presence of HBeta-38 and 2Sn-Beta.

5 From the above observations, it can be summarized that 2Sn-Beta does not interact with  $H_2O_2$  either to  
 6 form M-OOH or to activate  $H_2O_2$  in solution. In contrast, TS-1 makes surface hydroperoxy species and  
 7 HBeta-38 activates  $H_2O_2$  via protonation.

8 Considering the strong adsorption of furfural on Lewis acid sites of 2Sn-Beta catalyst and the lack of  
 9 interaction between  $H_2O_2$  and catalyst, we propose the following reaction mechanism for succinic acid  
 10 formation (Scheme 3). Furfural is first adsorbed on the tetrahedrally coordinated Sn site of Sn-Beta, which  
 11 polarizes the C=O bond to make the carbon more electron deficient. A nucleophilic attack of  $H_2O_2$  on the  
 12 electron deficient carbonyl carbon forms formate ester via Baeyer-Villiger oxidation, followed by  
 13 hydrolysis to form a furan alcohol. Keto-enol tautomerization of the furan alcohol produces 2(3H)-furanone  
 14 and 2(5H)-furanone. While 2(5H)-furanone is stable, 2(3H)-furanone undergoes hydrolysis with oxidative  
 15 ring opening to form a succinic semialdehyde. This compound is not stable in aqueous solution and  
 16 undergoes oxidation to form succinic acid.

1



2

3 **Scheme 3.** Proposed reaction pathway for furfural oxidation to succinic acid over Sn-Beta Lewis acid  
4 catalyst.

5 Lastly, it is crucial to understand the role of formic acid, formed in situ, as a Brønsted acid catalyst during  
6 the reaction. Formic acid alone, when added externally (1 mmol), at the start of the reaction resulted in  
7 higher furfural conversion and succinic acid yield in comparison to a non-catalytic reaction (Figure S12).  
8 Therefore, formic acid alone does work as a Brønsted acid catalyst to promote the oxidation reaction.  
9 However, furfural conversion and succinic acid selectivity in the presence of formic acid was lower than  
10 2Sn-Beta catalyst. The Brønsted acidity of formic acid might also influence the latter steps of the reaction.  
11 To investigate this, we prepared a mixture of 2(3H)-furanone and 2(5H)-furanone and used it as the reactant.  
12 Under all conditions 2(3H)-furanone was completely consumed. However, maximum yield of succinic acid  
13 was only obtained in the presence 2Sn-Beta and use of formic acid reduced the succinic acid yield (Table  
14 S2). Therefore, it can be argued that while formation of formic acid does influence the reaction, Lewis  
15 acidic Sn-Beta catalyst was responsible for higher succinic acid selectivity.

## 16 Conclusion

17 In conclusion, we have shown that furfural oxidation with pure Lewis acidic Sn-Beta forms succinic acid  
18 with a yield of 53 %. 2(3H)-Furanone was identified as the intermediate for succinic acid formation and  
19 kinetic analysis showed that its formation by Baeyer-Villiger oxidation was promoted over Sn-Beta catalyst.

1 The rate of reaction was directly correlated with the density of Lewis acid sites, which activated the  
2 aldehyde group of furfural as observed in DRIFTS analysis. In addition, the inability of Sn-Beta to activate  
3 H<sub>2</sub>O<sub>2</sub> suppressed the side reactions to achieve a high succinic acid selectivity. In contract, furfural oxidation  
4 reaction over HBeta-38 and TS-1 was not selective because they activated H<sub>2</sub>O<sub>2</sub> either in solution form or  
5 as metal hydroperoxy species. The Sn-Beta catalyst was reusable for several cycles and organic deposits  
6 were easily removed by recalcination without any loss in catalytic activity. Consequently, we show that Sn-  
7 Beta is a unique and reusable Lewis acid catalyst for furfural oxidation to succinic acid.

## 8 **Supporting Information**

9 The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/xxx>

10 Additional catalyst characterization, reaction optimization, catalyst recyclability, detailed identification of  
11 key intermediates, procedure for TMB oxidation reaction, reactions with formic acid as catalyst.

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## 23 **Notes**

24 The authors declare no competing financial interest.

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