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**Mechanism of Temperature-Induced Asymmetric Swelling and
Shrinking Kinetics in Self-Healing Hydrogels**

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Classification

Physical Sciences, Applied Physical Sciences

26 **Keywords**

27 self-healing hydrogels, asymmetric swelling and shrinking kinetics, cooperative

28 diffusion, structure frustration

29

30 **Author Contributions**

31 K.C., C.Y., and J.P.G. conceived the idea and designed the study. C.Y. synthesized

32 samples and performed swelling and shrinking experiments. K.C., C.Y., and J.P.G.

33 analyzed and interpreted the results. All the authors participated in the discussion of the

34 data. K.C. and J.P.G. wrote the manuscript.

35

36 **Competing interests:** All other authors declare they have no competing interests.

37

38

39 **This PDF file includes:**

40 Main Text

41 Figs. 1 to 6

42

43 **Abstract**

44 Understanding the physical principle that governs the stimuli-induced swelling and
45 shrinking kinetics of hydrogels is indispensable for their applications. Here, we show
46 that the shrinking and swelling kinetics of self-healing hydrogels could be intrinsically
47 asymmetric. The structure frustration, formed by the large difference in the heat and
48 solvent diffusions, remarkably slows down the shrinking kinetics. The plateau modulus
49 of viscoelastic gels is found to be a key parameter governing the formation of structure
50 frustration, and in turn, the asymmetric swelling and shrinking kinetics. This work
51 provides fundamental understandings on the temperature-triggered transient structure
52 formation in self-healing hydrogels. Our findings will find broad use in diverse
53 applications of self-healing hydrogels, where cooperative diffusion of water and gel
54 network is involved. Our findings should also give insight into the molecular diffusion
55 in biological systems that possess macromolecular crowding environments similar to
56 self-healing hydrogels.

57

58 **Significance**

59 Self-healing hydrogels are increasingly finding use in diverse applications, such as
60 artificial biological tissues, soft machines, and biosensors. Understanding the physical
61 principle that governs the swelling and shrinking kinetics of self-healing hydrogels is
62 indispensable for their applications but quite limited. Here, we show that the shrinking
63 and swelling kinetics of self-healing hydrogels could be intrinsically asymmetric. The

64 swelling kinetics is governed by the permanently crosslinked network structure,
65 whereas the shrinking kinetics is governed by structure frustration, formed due to
66 large differences in the heat and solvent diffusions. This study provides a useful first
67 step toward elucidating the essential physics governing the swelling and shrinking of
68 self-healing hydrogels upon temperature change.

69

70 **Introduction**

71 Swelling and shrinking caused by solvent uptake and release in response to
72 environmental changes are among the most fundamental properties of polymer gels (1,
73 2). Understanding the physical principle governing the swelling and shrinking kinetics
74 of gels is indispensable for their applications as stimuli-responsive materials (3–5). It
75 further provides an important insight into molecular diffusion in biological systems that
76 have macromolecular crowding environments similar to gels (6, 7). The equilibrium
77 swelling volume of gels is governed by thermodynamics, whereas the kinetics of the
78 volume change is governed by the cooperative diffusion process (8–11). In many cases,
79 environmental stimuli induce structural changes in gels governed by thermodynamics,
80 which result in rich and complex kinetics of volume change through thermodynamic–
81 kinetic coupling (12–14). One typical example is found in thermally sensitive
82 poly(*N*-isopropylacrylamide) (PNiPAAm) hydrogels having low critical solution
83 temperature (LCST) (15, 16). The shrinking kinetics of the PNiPAAm hydrogels above

84 the LCST is much slower than the swelling kinetics below the LCST owing to the
85 volume phase transition (14).

86 In recent decades, self-healing hydrogels having dynamic bonds, such as ionic
87 bonds, hydrogen bonds, or hydrophobic bonds, have been developed (17–22). The
88 dynamic bonds are reversible, endowing these gels with many unique properties, such
89 as self-healing ability, viscoelasticity, and high toughness. In the presence of abundant
90 reversible dynamic bonds, self-healing hydrogels have a macromolecular crowding
91 environment with a much lower equilibrium water content (typically ~50 wt.%) than
92 conventional chemical gels (typically > 90 wt.%) (23–25). These gels typically have a
93 monotonous and weak temperature dependence of swellability in water and do not
94 exhibit a volume phase transition at specific temperatures (26, 27).

95 Recently, we discovered that some self-healing hydrogels containing dynamic
96 bonds, for example, polyampholyte (PA) hydrogels synthesized from different cationic
97 and anionic monomer combinations and hydrogen bonding hydrogel synthesized from
98 2-ureidoethyl methacrylate and methacrylic acid (27), show strongly asymmetric
99 swelling–shrinking kinetics with temperature change: When heated they swell fast, but
100 shrink very slowly when cooled abruptly (26, 27). In accompany with the slow
101 shrinking, these gels show cooling-induced turbidity change. The transparent sample
102 immediately transitions to a cloudy state after abrupt cooling, and then slowly regains
103 its transparency when reaching the swelling equilibrium. This phenomenon is observed
104 over a wide range of temperatures whenever a cooling temperature jump larger than
105 several degrees is provided. Based on this unique phenomenon, several promising

106 applications have been proposed, including dynamic memory-forgetting devices,
107 thermal imaging, security paper, and prolonged drug delivery (26, 27). Exploring the
108 mechanism underlying this unique phenomenon will significantly merit the application
109 of this class of hydrogels.

110 Here, we focus on the mechanism behind the asymmetric swelling–shrinking
111 kinetics of self-healing hydrogels. We assume that the structure frustration formed
112 during sudden cooling, exhibited as a transient turbidity change, was responsible for the
113 slow shrinking kinetics. Because the self-healing gels studied are able to absorb more
114 water at high temperatures, abrupt cooling results in, thermodynamically, an excess
115 amount of water molecules in the gels. Owing to the sample size–dependent slow
116 diffusion process, these water molecules are temporarily entrapped in the gels.
117 Consequently, abrupt cooling results in the local aggregation of excess water molecules
118 to form a frustrated structure (26, 27). To verify this hypothesis, in this work, we tune
119 the structure frustration and investigate its role in the swelling and shrinking behavior
120 of self-healing hydrogels. We assume that increasing the elasticity of the polymer
121 network should suppress structure frustration, and thereby, the asymmetric swelling–
122 shrinking kinetics. This is because the structure frustration in self-healing gels is
123 essentially a non-equilibrium liquid–liquid microphase separation, governed by the
124 competition between the mixing free energy gain of the polymer and solvent, and the
125 elastic energy penalty by introducing microphase separation (28–30).

126 In this study, we tune the elasticity of gels by changing the permanent
127 cross-linking density. First, we study its effect on the structure frustration, and then

compare the cooperative diffusion constants of swelling and shrinking of the gels at the same temperature and its correlation with the structure frustration; after which we studied the heating history (temperature and time) effects on the cooperative diffusion constant of shrinking. Finally, we compare the activation energies of the cooperative diffusion constants of swelling and shrinking.

Structure frustration modulated by gel elasticity

We use hydrogels composed of PA as a model system. PA gels were synthesized by radical polymerization of anionic monomer, sodium *p*-styrenesulfonate (NaSS) and cationic monomer, methyl chloride quarternized *N,N*-dimethylamino ethylacrylate (DMAEA-Q), in a concentrated aqueous solution at the charge-balanced point (31–35). The gels, which have an abundance of ionic bonds, are permanently cross-linked by a chemical cross-linker or entrapped entanglement. A previous study has shown that the permanent cross-linking density, which determines the plateau modulus of viscoelastic gels, can be tuned by the chemical cross-linker concentration, C_{MBAA} , and total monomer concentration, C_m , at sample synthesis (34). Increasing C_m of PA gel brings more topological entanglements, which act as equivalent chemical cross-linking. In this study, the samples are coded as PA- C_m - C_{MBAA} . We prepared two sets of samples to change the elasticity: One is PA-2.5- C_{MBAA} , where C_m is fixed at 2.5 M and C_{MBAA} is varied from 0 to 5 mol% relative to C_m ; and the other is PA- C_m -0.1, where C_m is varied from 1.6 to 2.8 M and C_{MBAA} is fixed at 0.1 mol%. Note here the PA gel network can be formed without MBAA, due the long lifetime of entanglements. The relaxation

150 dynamics of entanglements is significantly delayed by high density and high strength of
151 ionic bonds, and thus these entanglements act as permanent crosslinking in the
152 observation time window. Water-equilibrated gels were used in the present study. The
153 equilibrated water content of these gels at 25 °C was approximately 45 wt.%, showing
154 weak dependence on C_{MBAA} and C_m (*SI Appendix*, Figs. S1 and S2, and ref(34)).
155 Disk-shaped samples with a diameter of 50 mm and a thickness in the range from 1.1 to
156 1.3 mm at room temperature were used.

157 The PA-2.5- C_{MBAA} gels in the equilibrium swelling state are transparent, except
158 for the sample with $C_{MBAA} = 0$, because of the relatively large phase separation structure
159 (34). These gels were first heated at 80 °C in a water bath for 2 h to reach equilibrium
160 and then moved to a 25 °C water bath for shrinking. Fig. 1a and *SI Appendix*, Fig. S3
161 show the optical images of PA-2.5- C_{MBAA} gels after being moved to a 25 °C water bath
162 for 1 min. For a C_{MBAA} smaller than 0.5 mol%, the gels exhibited a turbid appearance,
163 indicating structural frustration upon cooling. When the C_{MBAA} is 1.0 mol%, the gel
164 becomes semi-transparent, indicating the suppression of structure frustration by the
165 increase in chemical cross-linking density. When the C_{MBAA} equals or exceeds 3.0
166 mol%, the gels maintain the transparency upon cooling, implying that the structure
167 frustration is fully suppressed.

168 Fig. 1b and *SI Appendix*, Fig. S4 show the scanning electron microscopy (SEM)
169 images of the cut cross-sections of PA-2.5- C_{MBAA} gels with four representative C_{MBAA} ,
170 0, 0.3, 1.0, and 5.0 mol%, respectively, upon cooling from 80 to 25 °C for 1 min. For
171 gels with a C_{MBAA} smaller than 1.0 mol%, the SEM images show a porous structure,

172 further confirming the presence of structure frustration. For the gel with a C_{MBAA} of 5.0
 173 mol%, the SEM image shows a smooth appearance, suggesting the absence of structure
 174 frustration. The structure change observed by SEM is well consistent with the
 175 transparency change in optical measurement. The pore size, d , can be estimated from
 176 the SEM images, which decreases from approximately 500 to 100 nm by increasing the
 177 C_{MBAA} from 0.0 to 1.0 mol%. It should be noted that the SEM results showed the trend
 178 of the structure size change rather than the accurate structure size.

179 To directly correlate the elasticity of the gels with the structure frustration, we
 180 further determine the shear modulus of the PA gels. As PA gels are highly
 181 viscoelastic, we measured the frequency dependence of the storage modulus (shear
 182 modulus) G' , and loss modulus G'' (Fig. 2a and *SI Appendix*, Figs. S5-7). The
 183 plateau modulus at low frequency, G'_{pla} , is considered as the shear modulus from the
 184 permanently cross-linked polymer network owing to chemical cross-linking and
 185 trapped entanglements (34).

186 Dimensional considerations suggest that the pore size of water aggregates, d ,
 187 should take the form, $d \sim \frac{\gamma}{G'_{\text{pla}}}$. The interfacial energy γ favors to maximize the pore
 188 size. In the contrary, the elastic constraint favors to minimize the pore size. We
 189 plotted the product $G'_{\text{pla}} \times d$ as a function of G'_{pla} (Fig. 2b). $G'_{\text{pla}} \times d$ is near
 190 constant, confirming that the pore size was determined by the competition between
 191 the interfacial energy and elastic constraint of the gel. $G'_{\text{pla}} \times d$ was approximately 6
 192 mJ/m², which is in a reasonable range for interfacial energy.

193 Let us recall the driving force for shrinking and structure frustration. By moving
194 a PA gel from a hot water bath to a cold one, the amount of water in the gel is greater
195 than the water content corresponding to the equilibrium degree at the cold bath. The
196 temperature of the gel decreases rapidly owing to fast heat conduction, whereas the
197 excess water cannot be expelled from the gel instantly owing to the sample size–
198 dependent slow diffusion of water molecules. The thermal diffusion coefficient is
199 approximately three orders higher than that of water diffusion (26, 27). Consequently,
200 these excess water molecules are locally expelled from the polymer network to form
201 aggregates. The formation of water aggregates leads to local deformation of the
202 network chains and therefore, could induce the formation of a micro-skin layer at the
203 boundary of the water aggregates (Fig. 1c). The micro-skin layer builds a barrier to
204 water diffusion out of the gel, resulting in a slow shrinking kinetics. When the elastic
205 modulus of the polymer network is sufficiently high, the structure frustration is
206 suppressed because of the high energy cost in the deformation of the polymer
207 network. This well explains our experimental observation that structure frustration
208 disappears at a large shear modulus, which, in turn, should reduce the asymmetry
209 between swelling and shrinking. Here, we emphasize that such structure frustration
210 has a kinetic origin, and it forms upon cooling at any temperature. This differs from
211 the equilibrium phase separation that occurs only at a critical temperature in typical
212 thermally responsive hydrogels (37, 38).

213

214 **Swelling and shrinking kinetics at the same temperature**

215 Next, we study the swelling and shrinking kinetics of PA gels with different
216 structure frustrations. The size change of the PA gels during swelling and shrinking is
217 exceedingly small, within 10% of the original diameter (*SI Appendix*, Fig. S8). In our
218 previous study, swelling was performed at high temperatures, whereas shrinking was
219 performed at low temperatures. To avoid the effect brought by the temperature
220 difference, in this study, we studied the swelling and shrinking kinetics at the same
221 temperature. For this purpose, we prepared three water baths with temperatures of 7,
222 25, and 80 °C, respectively. A piece of PA gel was placed in the 7 °C water bath, and
223 another in the 80 °C water bath (Fig. 3a). The two samples were respectively kept in
224 the water baths for 24 and 2 h to reach swelling equilibrium first. Then they were
225 moved to a 25 °C water bath, and this time is taken to be zero. For the gel being moved
226 from 7 to 25 °C, it swells and keeps the transparency during swelling. While for the gel
227 being moved from 80 to 25 °C, the gel turns to turbid instantly. The turbid gel shrinks
228 with time and changes to transparent gradually.

229 The kinetics of swelling and shrinking at 25 °C were monitored by measuring the
230 gel diameter, with a digital camera equipped in a photo stage (*SI Appendix*, Fig. S9).
231 The change in diameter of the disk-shaped gel was extracted from the optical images
232 using *ImageJ* software. Figs. 3b and 3c show the time evolutions of gel diameters
233 during swelling and shrinking with the PA-2.5-0.1 gel as a typical example, and the
234 others are shown in *SI Appendix*, Figs. S10 and S11. As shown in Figs. 3b, 3c, and *SI*
235 *Appendix*, Figs. S10 and S11, the time profiles can be well described by the swelling–

shrinking kinetics equation for disc-shaped gels (Eq. 1 in ref (14) or Eq. 44 in ref (11)) derived from the Tanaka and Fillmore theory (10):

$$\left| \frac{d_t - d_\infty}{d_0 - d_\infty} \right| \cong \frac{8}{\pi^2} \exp\left(-\frac{t}{\tau}\right) \quad (1)$$

where d_0 , d_t , and d_∞ denote the gel diameters at time zero, time t , and equilibrium state, respectively. τ denotes the characteristic time of swelling or shrinking. Although Tanaka–Fillmore theory was formulated for simple chemically crosslinked hydrogels, the result indicates that it is also applicable for self-healing gels with dynamic bonds. Our previous study confirmed that τ is proportional to the square of the sample thickness, following cooperative diffusion (27). We estimated the cooperative diffusion coefficients, D , from the relation $\tau = \frac{h_\infty^2}{\pi^2 D}$, where h_∞ is the gel thickness at equilibrium state. The cooperative diffusion coefficient of swelling, D_{sw} , increases slightly from 8.8×10^{-11} to 1.7×10^{-10} m²/s, by increasing C_{MBAA} from 0 to 5.0 mol% (Fig. 4a). In contrast, the cooperative diffusion coefficient of shrinking, D_{sh} increases dramatically from 2.2×10^{-12} to 7.8×10^{-11} m²/s with increasing C_{MBAA} . Here, we define the ratio D_{sw}/D_{sh} , as a parameter of the asymmetry between shrinking and swelling. D_{sw}/D_{sh} initially declines rapidly and then slows down with the increase in C_{MBAA} (Fig. 4b). D_{sw}/D_{sh} decreases from 39.8 to 4.9 by increasing the C_{MBAA} from 0 to 1 mol%, whereas it decreases from 4.9 to 2.2 by increasing the C_{MBAA} from 1.0 to 5.0 mol%. D_{sw}/D_{sh} approaches 1 at high chemical cross-linker concentrations, suggesting that the asymmetry between swelling and shrinking kinetics is suppressed by the suppression of structure frustration in gels with high elasticity.

258 Because the size of structure frustration is inversely related to the elasticity of the
 259 gels, we plot the G'_{pla} dependence of shrinking and swelling cooperative diffusion
 260 coefficients at 25 °C, as shown in Fig. 4c. For the swelling process, D_{sw} has a weak
 261 increase with G'_{pla} . For the shrinking process, D_{sh} dramatically decreases with G'_{pla} ,
 262 and all the results of the PA- $C_{\text{m}}-0.1$ (*SI Appendix*, Figs. S12–14) and PA-2.5- C_{MBAA}
 263 sets collapse on the same curve in Fig. 4c, which further confirmed that the elasticity of
 264 the gels governs the structure frustration, and thereby the shrinking kinetics.

265 Based on the Tanaka–Fillmore theory (10, 11), the cooperative diffusion
 266 coefficient of a gel is related to its bulk osmotic modulus, K_{os} , and shear modulus, μ
 267 (equals to G'_{pla} of PA gel here), and the friction, f , between the network and
 268 solvent, by Eq. (2):

$$269 \quad D = \frac{K_{\text{os}} + 4\mu/3}{f} \quad (2)$$

270 For the swelling process in which no frustration structure is formed, it is
 271 reasonable to assume that K_{os} and f do not change significantly for the gels with
 272 different G'_{pla} , as the PA gels with different C_{MBAA} and C_{m} have similar polymer
 273 volume fractions at the same temperature in the equilibrium state in water (*SI*
 274 *Appendix*, Fig. S2b) (34). Meanwhile, the bulk modulus, K_{os} , is typically one order of
 275 magnitude larger than the shear modulus G'_{pla} in gels (39), which results in a weak
 276 dependence of D_{sw} on G'_{pla} . The experimental observation of the weak dependence
 277 of D_{sw} on G'_{pla} suggests that the swelling kinetics of self-healing hydrogels is
 278 basically governed by the permanent cross-linked structure, and the dynamic bonds

279 play a weak role. For the shrinking process in which a frustrated structure is formed,
280 Eq. (2) cannot be applied directly owing to the heterogeneous structure in the gel.

281

282 **Is the cooperative diffusion coefficient of shrinking an intrinsic parameter?**

283 To elucidate whether the cooperative diffusion coefficient, in the presence of
284 structure frustration, is an intrinsic parameter or a heating history-dependent
285 parameter, we varied the heating temperature of the hot bath. Five hot baths with
286 temperatures ranging from 60 to 80 °C were used. PA-2.5-0.1 gels were heated in hot
287 baths for 2 h to reach swelling equilibrium and then moved to the same cold bath with
288 a temperature of 25 °C for shrinking (*SI Appendix*, Fig. S15). All the gels show
289 transparent to turbid changes after being moved to the 25 °C cold bath, suggesting the
290 formation of structure frustration upon cooling. As shown in Fig. 5a, although the
291 heating temperatures are different, the cooperative diffusion coefficients extracted
292 from the shrinking process at 25 °C are almost the same. This result indicates that
293 although delayed shrinking is induced by structure frustration that is heating history-
294 dependent, the cooperative diffusion constant of shrinking, D_{sh} , is independent of the
295 heating history.

296 To understand such a seemingly contradictory phenomenon, we performed SEM
297 measurement of gels with different heating temperatures. *SI Appendix*, Fig. S16 shows
298 the SEM images of the cut cross section of gels upon cooling from different hot baths
299 to the same 25 °C cold bath for 1 min. With increasing heating temperature, the pore
300 sizes from the SEM images remain unchanged, whereas the number density increases.

301 The constant pore size is consistent with our dimensional analysis, where the pore size
302 depends on the competition between the interfacial energy and elastic constraints, but
303 not the heating temperature. The same pore size gives the same micro-skin layer
304 around the water aggregate. Because the diffusion is dominated by this micro-skin
305 layer, the gels under different heating temperatures have the same shrinking
306 cooperative diffusion coefficient.

307 We further explore the role of swelling extent on the shrinking kinetics by
308 performing experiments with varying heating times of the gel in the hot bath. The gels
309 equilibrated at 25 °C, were heated in a 60 °C hot bath for a specific time, after which
310 they were moved back to the 25 °C water bath for shrinking. The heating time was
311 varied from 20 to 120 min, covering the range from swelling non-equilibrium to
312 swelling equilibrium (*SI Appendix*, Fig. S17). As swelling is a water diffusion–
313 controlled process, water absorption occurs from the surface layers of the gel and
314 develops gradually into the inner region, finally reaching swelling equilibrium at a
315 prolonged time. We found that within the experimental accuracy, the cooperative
316 diffusion coefficients for shrinking at 25 °C are almost the same for different swelling
317 times (Fig. 5b and *SI Appendix*, Fig. S18).

318 These results give a conclusion that, once the shrinking temperature is fixed,
319 neither the heating temperature nor the swelling extent influences the shrinking
320 cooperative diffusion coefficient, D_{sh} . Therefore, D_{sh} can be considered as an
321 intrinsic parameter for self-healing hydrogels with an abundance of physical bonds.

322

323 **Activation energies of swelling and shrinking**

324 Because the shrinking cooperative diffusion coefficient is an intrinsic material
325 parameter, we can discuss its temperature dependence. We performed swelling and
326 shrinking experiments at different temperatures, using PA-2.5-0.1 gels as an example.
327 In the swelling experiments, four destination temperatures were used, ranging from 25
328 to 80 °C (*SI Appendix*, Fig. S19). For swelling at 25 °C, the gel was equilibrated at 7
329 °C first, and then it was moved to the 25 °C water bath for swelling. For swelling at
330 the other three temperatures, the gels were equilibrated at 25 °C first considering the
331 experimental convenience, and then they were moved to the destination temperature
332 for swelling. The cooperative diffusion coefficient for swelling, D_{sw} , increases
333 slightly from 8.35×10^{-11} to 4.1×10^{-10} m²/s, in the studied temperature range (Fig. 6a).
334 The increase in D_{sw} with increasing temperature can be explained by the decreased
335 water viscosity and increased network relaxation kinetics with increasing temperature,
336 which accelerates the swelling kinetics.

337 In the shrinking experiment, the gels were equilibrated at 80 °C, after which they
338 were moved to water baths with destination temperatures ranging from 25 to 60 °C
339 for shrinking (*SI Appendix*, Fig. S20). All gels exhibit transparent to turbid changes
340 upon cooling owing to the formation of structure frustration. The cooperative
341 diffusion coefficient for shrinking, D_{sh} , increases from 4.5×10^{-12} to 3.6×10^{-11} m²/s in
342 the studied temperature range (Fig. 6a). D_{sh} increases significantly faster with
343 temperature than that of D_{sw} , because of the different mechanisms controlling the
344 swelling and shrinking kinetics. At the same temperature, D_{sh} is significantly smaller

345 than D_{sw} , suggesting the presence of asymmetric swelling–shrinking kinetics in the
346 studied temperature range.

347 The temperature dependence of both the swelling and shrinking cooperative
348 diffusion coefficients obeys the Arrhenius relation (40):

349
$$D = A \exp(-\Delta E/k_B T) \quad (3)$$

350 where A is a constant, ΔE denotes activation energy, k_B is the Boltzmann
351 constant, and T denotes the temperature in Kelvin. D_{sw} and D_{sh} are plotted versus
352 $1/T$ in Fig. 6b, where the slopes produce the activation energies (24 and 47 kJ mol⁻¹
353 for swelling and shrinking, respectively). The activation energy for swelling is higher
354 than that of pure water (18 kJ mol⁻¹) (9), suggesting that the swelling is a synergistic
355 movement of the gel network and solvent, rather than the diffusion of solvent only.
356 The swelling activation energy of PA gels containing abundant ionic bonds is similar,
357 however slightly higher than that of common chemical poly(methyl methacrylate)
358 (PMMA) gels (22 kJ mol⁻¹) (41), demonstrating that physical bonds have a minor
359 effect on swelling. On the contrary, the shrinking activation energy of PA gels is
360 approximately twice that of swelling, clearly indicating the structure frustration, which
361 induces a high barrier to water diffusion.

362

363 **Conclusion**

364 Our work provides a useful first step toward elucidating the essential physics
365 governing the swelling and shrinking of self-healing hydrogels upon temperature
366 change. It was found that the shrinking and swelling kinetics show strong asymmetry,

367 even at the same temperature, which is related to the structure frustration upon abrupt
368 cooling. The swelling kinetics is near independent of the plateau modulus of
369 viscoelastic self-healing gels. The shrinking kinetics is mainly governed by the
370 structure frustration beyond the polymer network scale. The huge rate difference in
371 water diffusion and heat diffusion upon cooling leads to the formation of water
372 aggregates, which is considered to enhance the network chain density around them
373 and suppresses the rate of water release from the gel. Consequently, the gel exhibits a
374 slow shrinking kinetics.

375 It is also interesting to point out that the shrinking kinetics, characterized by the
376 shrinking cooperative diffusion coefficient, depends only on the shrinking
377 temperature, independent of the heating temperature and heating duration. This
378 suggests that, not only the swelling diffusion coefficient but also the shrinking
379 diffusion coefficient are intrinsic material parameters for self-healing gels. The
380 elasticity of the gel network is found to be a key parameter governing the formation of
381 structure frustration, and therefore, the asymmetric swelling and shrinking kinetics.
382 This is because, the structure frustration is a result of competition between the local
383 desolvation, that drives the structure frustration, and the elastic deformation of the
384 polymer network, which suppresses the structure frustration. This finding is general
385 and should be applicable to gels with an inverse thermal property, that is, swelling at
386 low temperatures and shrinking at high temperatures.

387 Self-healing hydrogels are increasingly finding use in diverse applications, such as
388 artificial biological tissues, contact lenses, and biosensors, where the diffusion of small

389 molecules or polymer networks or their cooperative diffusion, is inevitably involved.
390 We believe that this work provides fundamental understandings on the
391 temperature-triggered transient structure formation of self-healing hydrogels and
392 expect that our findings will find broad use in diverse applications of these hydrogels
393 where cooperative diffusion of water and gel network is involved. Diffusion is also a
394 ubiquitous process in nature and has important biological consequences. For example,
395 diffusion provides all the reactants required for chemical and physical reactions in
396 bio-tissues and transports the products and metabolic waste (6, 7). The findings in
397 self-healing hydrogels should provide insight into molecular diffusion in biological
398 systems that are essentially physical hydrogels consisting of water and macromolecular
399 components such as fibrous collagen and proteoglycans that are cross-linked by
400 reversible bonds.

401 **Methods**

402 **Gel preparation.** PA hydrogels were prepared in the following way. A mixed
403 aqueous solution containing NaSS, DMAEA-Q, MBAA, and α -keto was injected into
404 a reaction cell consisting of two glass plates separated by a silicone rubber spacer and
405 irradiated with ultraviolet light (wavelength 365 nm, light intensity $\sim 4\text{mW cm}^{-2}$) for
406 11 h under an argon atmosphere. The concentration of MBAA used in this work is
407 from 0.1 to 5 mol% relative to the total monomer concentration. During
408 polymerization, the samples show homogeneous appearance, and no whitening
409 happens (*SI Appendix*, Fig. S21). After radical polymerization, the as-prepared gels
410 were immersed in a large amount of water to remove counter ions and residual

chemicals, during which strong ion interaction forms and the gels shrink (31). The total monomer concentration of NaSS and DMAEA-Q, C_m , changed from 1.6 to 2.8 M, and the ratio between NaSS and DMAEA-Q remained at 0.514:0.486. The concentration of MBAA, C_{MBAA} , changed from 0 to 5 mol%, and the concentration of α -keto remained at 0.1 mol%, relative to C_m . The thickness of the spacer used was 1.5 mm. The water-equilibrated gels had balanced charges and were strong and tough. The thickness of water-equilibrated gels at 25 °C was in the ranging of 1.1~1.3 mm depending on the sample composition.

All details associated with SEM, water content measurement, and rheology measurement are available in *SI Appendix*.

Data Availability. All data are included in the main text and *SI Appendix*.

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525 **Fig. 1. Structure frustration kinetically induced by abrupt cooling of self-healing**
526 **gels and the effect of gel elasticity.** (a) Optical images showing the gels 1 min after
527 abrupt cooling from 80 to 25 °C. The gels used here were PA-2.5- C_{MBAA} series.
528 Except for PA-2.5-0.0, the gels were transparent at their equilibrium state in the
529 temperature range studied. Background lattice: 5 mm. (b) Corresponding scanning
530 electron microscopy (SEM) images of gels with several selected C_{MBAA} . Scale bar: 1
531 μ m. (c) Schematic illustration to show structure frustration upon abrupt cooling in
532 self-healing gels. The hydration of the polymer network decreases at low
533 temperatures, and the extra water molecules are expelled to form aggregates
534 (frustrated structure). The structure frustration can be suppressed and even prohibited
535 by increasing the elastic constraint of the polymer network.
536

537 **Fig. 2. Competition between the interfacial energy and elastic constraint**
538 **determines the pore size.** (a) An example of linear dynamic behavior of a PA-2.5-0.1
539 gel at 25 °C to obtain the plateau modulus, G'_{pla} . The master curves of the storage
540 modulus G' , loss modulus G'' , and loss factor $\tan \delta$, were constructed from the
541 frequency sweep data at different temperatures from 8 to 88 °C, following the
542 principle of time–temperature superposition (36). (b) The product $G'_{\text{pla}} \times d$ versus
543 G'_{pla} . The pore size, d , was obtained from the SEM images in Fig. 1b.
544

Fig. 3. Swelling and shrinking of PA gels at the same temperature. (a) Schematic illustration showing the experimental procedure to achieve swelling and shrinking at the same temperature. Two PA gels were first equilibrated at 7 °C water bath for 24 h and 80 °C water bath for 2 h to reach swelling equilibrium, respectively, both of which show a transparent appearance. Then they were moved to a 25 °C water bath. For the gel moved from 7 to 25 °C, it keeps transparency and swells. While for the gel moved from 80 to 25 °C, it changes to turbid instantly and shrinks with time. (b, c) Relative change of diameter of PA gels during swelling (b) and shrinking (c), as a function of time after being moved to the 25 °C water bath. The grey lines are the fitting results with Eq. (1). (d) The calculated swelling and shrinking cooperative diffusion coefficients at 25 °C. The PA-2.5-0.1 gel was used.

556 **Fig. 4. Asymmetry between swelling and shrinking kinetics after temperature**
557 **jumping.** (a, b) Cooperative diffusion coefficients for swelling and shrinking (a), and
558 their ratios (b) at 25 °C for gels with different C_{MBAA} . (c) Cooperative diffusion
559 coefficients for swelling and shrinking versus plateau modulus G'_{pla} for gels with
560 different C_{MBAA} and C_{m} at 25 °C.

561 **Fig. 5. Effect of heating temperature and heating time on the shrinking**
562 **cooperative diffusion coefficients.** (a) PA gels were heated in water baths with
563 different temperatures for 2 h to reach equilibrium and then moved to a 25 °C water
564 bath for shrinking. (b) PA gels were heated in 60 °C water baths for different heating
565 time and then moved to a 25 °C water bath for shrinking. The PA-2.5-0.1 gel was
566 used.

567 **Fig. 6. Activation energies of swelling and shrinking.** (a) Cooperative diffusion
568 coefficients for swelling and shrinking at different temperatures. (b) Plots of swelling
569 and shrinking cooperative diffusion coefficients versus temperature. The slopes
570 produce the energies for swelling and shrinking. The PA-2.5-0.1 gel was used.











