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## **Knee Surgery, Sports Traumatology, Arthroscopy (KSSTA)**

### **Title: Stress on the Posteromedial Region of the Proximal Tibia Increased Over Time after Anterior Cruciate Ligament Injury**

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#### **Declarations:**

- **Conflict of interest:** The institution of an author (KI) has received funding from Olympus terumo biomaterials CORP.
- **Ethical approval:** This study protocol was approved by the institutional review board of Hokkaido university hospital (IRB number, 017-0163).
- **Authors' contribution:** SM collected the data, made the analysis and drafted the work. KI conducted this study, supervised the data analysis and completed the draft. SM, MM supported the data collection. KE advised the CT analysis. EK, OT and NI interpreted the data and revised the draft critically.

1 **Abstract**

2 **Purpose** Anterior cruciate ligament (ACL) injury induces anterior and rotatory instability  
3 of the knee. However, the effect of this instability on the stress distribution in the knee  
4 joint in living participants is not clear. The aim of this study was to compare the  
5 distribution pattern of subchondral bone density across the proximal tibia in the knees  
6 with and without ACL injury, and to investigate the correlation between the distribution  
7 patterns of the subchondral bone density and the duration of ACL-deficiency.

8 **Methods** Radiographic and computed tomography (CT) data pertaining to 20 patients  
9 with unilateral ACL injury without combined injury (ACL-deficient group) and 19  
10 nontraumatic subjects (control group) were collected retrospectively. Subchondral bone  
11 density of the proximal tibia was assessed using CT-osteosorptiometry. Both the medial  
12 and lateral compartments of the proximal tibia were divided into three subregions of equal  
13 width in the sagittal direction. The percentage of high subchondral bone density areas  
14 (HDA%) in each subregion was quantitatively analyzed.

15 **Results** HDA% of the posteromedial region was significantly higher in the ACL-deficient  
16 group (mean: 21.6%) than in the control group (14.7%) ( $p = 0.002$ ). In contrast, HDA%  
17 of the anteromedial region was significantly lower in the ACL-deficient group (9.4%)  
18 than in the control group (15.3%) ( $p = 0.048$ ). The logarithm of the time elapsed from

19 ACL injury to CT examination showed a significant correlation with HDA% in the  
20 posteromedial region ( $p = 0.032$ ).

21 **Conclusions** Subchondral bone density in the posteromedial region significantly  
22 increased after ACL injury and correlated with the duration of ACL-deficiency in semi-  
23 log manner in meniscus intact knees. The increase in stress on the posteromedial region  
24 after ACL injury, which induces a change in the subchondral bone density, justifies early  
25 ACL reconstruction after ACL injury.

26

27 **INTRODUCTION**

28 Anterior cruciate ligament (ACL) injury induces anterior and rotatory instability of the  
29 knee, which affects the performance level of athletes; moreover, this instability may cause  
30 knee buckling or giving way even in non-athletes. Anterior and rotatory instability is  
31 known to alter the positional relationship between the distal femur and the proximal tibia  
32 [4, 11, 26, 28, 34, 43]. This instability after ACL injury is believed to lead to abnormal  
33 stress distribution across the knee joint [6, 9, 12, 42]. In cadaveric studies, the contact  
34 stress on the posteromedial part of the proximal tibial articular surface in response to  
35 anterior tibial load was found to be higher in ACL-deficient knee than in the intact knee  
36 [6, 42]. In addition, the location of the common dynamic stress distribution on the  
37 proximal tibial articular surface changed after ACL transection during simulated gait [9].

38         However, it is technically challenging to measure the actual stress distribution  
39 across the knee joint in the ACL-deficient knee. Moreover, whether and how the actual in  
40 vivo stress distribution changes after ACL injury is not well characterized.

41         Computed tomography (CT)-osteosorptiometry is an analytical method for in  
42 vivo assessment of the stress distribution at joints through the subchondral bone density.  
43 It has been demonstrated by our previous studies that CT-osteosorptiometry is a useful  
44 method for evaluation of in vivo stress distribution in various joints [15, 19-21, 23, 32,

45 33].

46 It was hypothesized that the stress distribution pattern of the proximal tibial  
47 articular surface would change over time after ACL injury. The purpose of this study was  
48 (1) to compare the distribution pattern of subchondral bone density across the proximal  
49 tibia with and without ACL injury, and (2) to clarify the influence of the duration of ACL  
50 deficiency on the distribution of subchondral bone density. Using CT-osteabsorptiometry,  
51 the change in stress distribution within each compartment of the proximal tibia after ACL  
52 injury and the influence of the time elapsed after ACL injury on the stress distribution  
53 across the knee joint could be elucidated by this study.

54

## 55 **MATERIAL AND METHODS**

56 This study protocol was approved by the institutional review board of Hokkaido  
57 University Hospital (IRB number, 017-0163). Analysis was conducted by retrospectively  
58 evaluating the preoperative CT scans of the knees of patients who underwent ACL  
59 reconstruction between 2016 and 2019 at our institution. Inclusion criteria were patients  
60 who had unilateral ACL injury and had undergone CT and MRI examination prior to ACL  
61 reconstruction. The exclusion criteria were: (1) meniscal injury which was found in  
62 preoperative MRI images ( $\geq$  grade II, according to Lotysch and Mink's MRI evaluation

63 system [29]) or that was diagnosed by arthroscopic examination during the ACL  
64 reconstruction surgery; (2) cartilage injury ( $\geq$ International Cartilage Repair Society  
65 [ICRS] grade II [8, 18]); (3) osteoarthritis ( $\geq$  Kellgren-Laurence [KL] grade II [24]); (4)  
66 concomitant chondral injury or ligament injury detected by preoperative MRI or  
67 arthroscopic evaluation; (5) age  $>35$  years (Figure 1). In total, 70 patients were diagnosed  
68 with ACL injury during the study's data collection period at our institution. Of those, 50  
69 patients were excluded, and the remaining 20 patients were included in the study as those  
70 having ACL-deficient knees (ACL-deficient group) (Figure 1). In addition, for  
71 comparison of ipsilateral knee trauma, we collected the data of patients undergoing  
72 simultaneous radiographic and CT examinations of the bilateral knees between 2015 and  
73 2019; these patients were considered control subjects. Inclusion criteria for the control  
74 group were: (1) osteoarthritis (KL grade  $\leq 1$ ) in the contralateral knee, (2) age  $<35$  years  
75 at the time of CT, and (3) no trauma history. Nineteen uninjured contralateral knees were  
76 used as controls. There were no significant differences in age, sex, HKA angle, PTS angle,  
77 and Tegner activity scale score between the control and ACL-deficient groups (Table 1).

78

### 79 **Clinical and radiological evaluation**

80 In the ACL-deficient group, the side-to-side differences of the anterior laxity were

81 measured with a KT-2000 arthrometer (MED metric, San Diego, CA, USA) at 30° of knee  
82 flexion under an anterior drawer force of 133 N. For radiological evaluation, bilateral  
83 standing anteroposterior (AP), lateral views of the knee, and full-length AP radiographs  
84 of the whole lower limb in full extension were assessed. Tibiofemoral osteoarthritis was  
85 evaluated according to the KL grading system, Hip-knee-ankle (HKA) angle, and  
86 posterior tibial slope (PTS) angle. The PTS was defined as the angle between the line  
87 perpendicular to the mid-diaphysis of the tibia and the posterior inclination of the medial  
88 tibial plateau. Patient activity before ACL injury was evaluated using the Tegner activity  
89 scale [40].

#### 90 **Computed tomography–osteabsorptiometry**

91 A high-resolution helical CT scanner (Aquilion One/ViSION Edition; Toshiba Medical  
92 Systems, Japan) was used to acquire axial images of the knee in full extension. Slice  
93 thickness and interval were set at 0.5 mm. The acquired CT data were transferred to a  
94 personal computer. The sagittal and coronal slices at 1.0-mm intervals and 3-D bone  
95 models were generated from axial CT data using a commercial software (Ziocube®;  
96 Ziosoft, Inc., Tokyo, Japan). The sagittal and coronal axes were determined with reference  
97 to the epicondylar axis of the distal femoral condyle in the axial slice. By referring to  
98 sagittal and coronal CT images and a 3-D CT image of the articular surface of the

99 proximal tibia, an outline of the medial and lateral compartment of the proximal tibial  
100 articular surface was manually selected to include the entire subchondral bone layer of  
101 the articular surface in all slices [20]. Subsequently, the subchondral bone density of each  
102 generated sagittal slice was analyzed using an original non-commercial software  
103 (OsteoDens 4.0) developed at our institution [15, 19, 20, 23, 32, 33]. The maximum  
104 increment point in Hounsfield units from the joint surface was set as the starting point of  
105 the region of interest, and the maximum point in Hounsfield units was selected  
106 automatically in the 2.5-mm region of interest from the starting point [20]. We determined  
107 the radiodensity of the identified subchondral bone region at each coordinate point at 1.0-  
108 mm intervals. Subsequently, a two-dimensional image that mapped the distribution of  
109 subchondral bone density was obtained by stacking the sagittal slices (Figure 2A, 2B).  
110 The differences between the maximum and minimum values (in Hounsfield units [HU])  
111 on the mapping images were categorized into nine grades; subsequently, a surface  
112 mapping image was generated using these grades to produce a color scale in which red  
113 and violet indicated the greatest and lowest bone densities, respectively. The selected  
114 areas of the medial and lateral plateaus included the cortical bone at the periphery of the  
115 articular surface because it was impossible to exclude the cortical bone using the software.  
116 However, these features were manually removed from the target area of analysis in the

117 subsequent quantitative analysis [20].

118           Quantitative analysis of the obtained mapping data focused on the location of the  
119 high-density area (HDA) of the articular surface. The HDA was defined as the region  
120 containing the coordinate points representing the top 30% area of HU values in each  
121 medial or lateral compartment. The medial compartment of the proximal tibia was divided  
122 into three subregions of equal width in the sagittal direction, denoted anteromedial (AM),  
123 centromedial (CM), and posteromedial (PM) from anterior to posterior. The lateral  
124 compartment was similarly divided into anterolateral (AL), centrolateral (CL), and  
125 posterolateral (PL) from anterior to posterior (Figure 2C). The percentage of each  
126 subregion represented by the HDA (HDA%) was calculated (see Supplemental File). The  
127 measurement results in this study are presented to one decimal place of precision.  
128 Quantitative analysis was performed in a blinded manner by two observers (## and ##).  
129 The same set of images was measured by each examiner after 4 weeks. The averages of  
130 these measurements were used in our analysis.

131           The reproducibility of data was evaluated using OsteoDens 4.0 software. Intra-  
132 and interobserver reliability were assessed using three randomly selected knees from the  
133 control group and ACL-deficient group. HDA% was measured independently by two  
134 observers (KI and SM) in these six knees; a total of 36 subregions were measured twice

135 in a blinded manner at 4-week intervals. The intraclass correlation coefficients for  
136 intraobserver reliability were 0.88 (KI) and 0.91 (SM), respectively, and the intraclass  
137 correlation coefficient for interobserver reproducibility was 0.87.

### 138 **Statistical analysis**

139 Statistical analyses were performed using JMP Pro 14.0 (SAS Institute Inc., Cary, NC,  
140 USA).  $P < 0.05$  was considered statistically significant. Comparisons between control  
141 and ACL-deficient groups were performed using the Student's  $t$  test or the chi-square test.  
142 Pearson's correlation coefficient was used to examine the relationship between HDA% in  
143 each subregion and other variables, including anterior laxity, period from ACL injury to  
144 CT, HKA angle, and PTS angle, because they are believed to influence the distribution of  
145 subchondral bone density [22, 36, 41] or the biomechanics of the knee joint [3, 35]. Post  
146 hoc power analysis revealed that for an alpha value of 0.05, a power of 0.96 on a sample  
147 size of 20 knees was achieved for the difference in HDA% in the PM region.

148

## 149 **RESULTS**

150

### 151 **HDA% in each subregion**

152 HDA% of the posteromedial region in the ACL-deficient group was 7% higher than in

153 the control group ( $p = 0.002$ ). In contrast, HDA% of the anteromedial region in the ACL-  
154 deficient was 6% lower than in the control group ( $p = 0.048$ ). There were no significant  
155 differences in HDA% of centromedial region and subregions of the lateral compartment  
156 between the ACL-deficient and control groups (Table 2).

157

### 158 **Correlation between HDA% and other variables**

159 HDA% of the posteromedial region showed no correlation with the time elapsed from  
160 ACL injury to CT examination (n.s.,  $r = 0.288$ ), but showed a significant correlation with  
161 the logarithm of the time elapsed from ACL injury to CT examination ( $p = 0.032$ ,  $r =$   
162  $0.480$ ) (Figure 3). HDA% of the anteromedial region showed no correlation with the time  
163 elapsed either on the arithmetic plot or the semi-log plots. HDA% of the posteromedial  
164 region showed no correlation with other variables, including anterior laxity, HKA angle,  
165 and PTS angle.

166

### 167 **DISCUSSION**

168 The main findings of the present study were that HDA% of the posteromedial region of  
169 the proximal tibia in the ACL-deficient group with intact meniscus was significantly  
170 higher than that in the control group Furthermore, HDA% of the posteromedial region

171 showed a significant correlation with the duration of ACL-deficiency on semi-log curves.

172           Previous studies involving CT-osteodensitometry have indicated that the  
173 distribution pattern of subchondral bone density reflects the distribution of the stress  
174 acting on the joint surface under actual loading conditions [19-21, 23, 31-33]. Funakoshi  
175 et al. found high-stress distribution patterns on the anterolateral part of the capitellum and  
176 the anterolateral part of the ulna in symptomatic patients with ulnar collateral ligament  
177 insufficiency [15]. Therefore, CT-osteodensitometry can help assess the *in vivo* stress  
178 distribution across the ACL-deficient knee joint. In the present study, HDA% in the  
179 posteromedial region of the proximal tibia was found to be higher in the ACL-deficient  
180 knees than in the normal knees. This result suggests that ACL injury increased the stress  
181 on the posteromedial region of the proximal tibia, since the changes in subchondral bone  
182 density are believed to result from changes in stress distribution. Experimental studies  
183 using animal models, ACL transection induced progression of osteoarthritis over time [1,  
184 7, 13]. These results suggested that chronic ACL-deficiency leads to accumulation of  
185 stress, which causes the initiation and progression of osteoarthritis (OA) [5]. These  
186 speculations were supported by our findings in the present study.

187           There was a positive correlation between the logarithm of the time elapsed since  
188 ACL-deficiency and HDA% of the posteromedial region in the meniscus intact knees.

189 These results suggested that stress on the posteromedial region increased rapidly after  
190 ACL injury and was accumulated gradually over time, while the meniscus was intact.  
191 These findings suggested that patients with ACL injury should undergo ACL  
192 reconstruction as soon as possible from the perspective of aggressive prevention of  
193 osteoarthritis, even though the meniscus was not injured.

194           There are several potential mechanisms of the changes in stress distribution after  
195 ACL injury including anterior translation of the tibia relative to the femoral condyle [11,  
196 26, 28, 42] and anterolateral rotatory instability [11, 26, 34, 42]. Furthermore, three-  
197 dimensional gait analyses revealed reduced internal rotational moment in ACL-deficient  
198 knees during the terminal stance phase. This gait pattern was described as a “pivot-shift  
199 avoidance gait” [14, 38]. Theoretically, anterior translation is believed to increase the  
200 stress on the posteromedial region of the tibial plateau and decrease the stress on the  
201 anteromedial region because of the concave shape of the medial tibial plateau. In addition,  
202 internal rotatory instability may decrease the stress on the posteromedial region of the  
203 tibial plateau and increase the stress on the anteromedial region. “Pivot shift avoidance  
204 gait” may affect reciprocally compared to rotatory instability. This study suggested that  
205 ACL injury increased the stress on the posteromedial region without any correlation with  
206 the extent of anterior instability and PTS; in addition, ACL injury led to decreased stress

207 on the anteromedial region. Taking into account both the assumed mechanisms affecting  
208 the stress distribution and the obtained results in the anteromedial and posteromedial  
209 region, anterior translation is believed to be the main mechanism of the altered stress  
210 distribution. Furthermore, internal rotation may not counteract the effect of anterior  
211 translation on the stress distribution, or “pivot shift avoidance gait” may play an important  
212 role in the stress distribution compared to internal rotation after ACL injury.

213         A quasi-static and dynamic biomechanical cadaveric study revealed increase of  
214 dynamic contact stress on the posterior lateral tibial plateau [9], indicating that the  
215 posterior tibial plateau hit against lateral femoral condyle when tibia rotated internally. In  
216 the present study, we found no evidence of increased stress on the posterior lateral tibial  
217 plateau. CT-OAM method indicated the resultant stress through the distribution pattern  
218 of subchondral bone density across the joint, reflecting all movements including not only  
219 walking but the compensatory movement against internal rotatory instability. Thus, these  
220 differences in the speculation of the stress distribution between the dynamic  
221 biomechanical cadaveric study and this CT-OAM study demonstrated a compensatory  
222 movement against rotatory instability, which could not be simulated by the knee simulator  
223 [9].

224         Leg alignment has been reported to strongly influence the distribution of

225 subchondral bone density between the medial and lateral compartments of the proximal  
226 tibia [2, 17, 41], indicating that leg alignment counteracted a change in the distribution of  
227 subchondral bone density in the sagittal direction due to knee instability. Our previous  
228 study demonstrated that the relative value of subchondral bone density in eight sub-  
229 regions in the coronal direction among subjects increased significantly, up to 6%, and  
230 shifted laterally after high tibial osteotomy (HTO) [20]. Therefore, in the present study,  
231 we used the relative value of bone density within compartments in the sagittal direction  
232 to detect a change in subchondral bone density distribution in the sagittal direction after  
233 ACL injury. Consequently, there was a 5% decrease in the relative value of bone density,  
234 compared with the control group, in the anteromedial region and an increase of 6% in the  
235 posteromedial region after ACL injury, demonstrating a rearward shift of subchondral  
236 bone density distribution. Taking into account the drastic change in knee biomechanics  
237 before and after HTO, 5%–6% differences in the relative value of bone density in the  
238 sagittal direction between ACL-deficient and control groups was thought to be a  
239 substantial change. Although clinical evaluation was not performed in this study, the  
240 rearward shift of stress distribution, which induced the rearward shift of subchondral bone  
241 density distribution, has been reported to be a cause of the high incidence of medial  
242 meniscus injury in chronically ACL-deficient knees [16, 39].

243 In addition to leg alignment, patient activity level and PTS angle could have  
244 affected bone density. ACL injuries tend to affect individuals with a high activity level,  
245 which could have an impact on bone density [30, 37], and PTS angle was associated with  
246 knee instability in ACL-deficient knees [10]. However, there were no significant  
247 differences in the activity level and PTS angle between the control and ACL-deficient  
248 groups in our study. Therefore, those factors may not have contributed to the difference  
249 between the ACL-deficient and control groups.

250 Regarding the influence of the initial injury itself on the bone density, ACL injury  
251 is usually provoked by internal rotation and anterior translation, resulting mainly in bone  
252 bruising at the posterior wall of the lateral tibial plateau [25, 43]. The posterior wall of  
253 the lateral tibial plateau is outside the region of interest in the CT-OAM method. The  
254 metaphyseal part of the proximal tibia is too deep to assess by CT-OAM. Furthermore,  
255 HDA% of the posterolateral region was not significantly changed in the ACL-deficient  
256 group compared to that in the control group. Thus, initial injury may not influence the  
257 distribution of subchondral bone density assessed by the CT-OAM method.

258 In addition, the effect of any instability immediately after ACL injury on  
259 subchondral bone density might be minimal; however, in a previous study, a 10%  
260 decrease in the absolute BMD of the proximal tibia was seen 100 days after ACL injury

261 with reduced mobility and low activity [27]. Our results of a significant change in the  
262 relative value of subchondral bone density in the posteromedial region 90 days after ACL  
263 injury may be supported by this change in absolute BMD after ACL injury.

264           Some limitations of our study should be considered when interpreting the  
265 findings. First, the stress was not measured directly but through the distribution of  
266 subchondral bone density based on the CT-OAM findings [31]. Furthermore, the absolute  
267 value of BMD was not evaluated; instead, the relative value in each subregion of each  
268 compartment was evaluated. It should be noted that the stress distribution evaluated by  
269 the CT-OAM method may not reflect the actual stress. Second, this study lacked a  
270 standardized rehabilitation protocol and level of rest. Despite these limitations, the  
271 strength of this study was that we matched the two groups with respect to factors that may  
272 influence the distribution pattern of subchondral bone density (including age, BMI, and  
273 geometry of knee). Thus, a basic clarification that in vivo stress distribution changes over  
274 time after ACL surgery is demonstrated by our results.

275

## 276 **CONCLUSION**

277 It has been demonstrated that HDA of the posteromedial region of the proximal tibia in  
278 ACL-deficient knees was significantly higher than that in ACL-intact knees. Moreover, it

279 was found that HDA of the posteromedial region was correlated with the duration of ACL  
280 deficiency on semi-log plots. The increase in stress on the posteromedial region over time  
281 after ACL injury, which induces a change in the subchondral bone density, provides  
282 orthopedic surgeons with a justification for early ACL reconstruction after ACL injury.

283

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286

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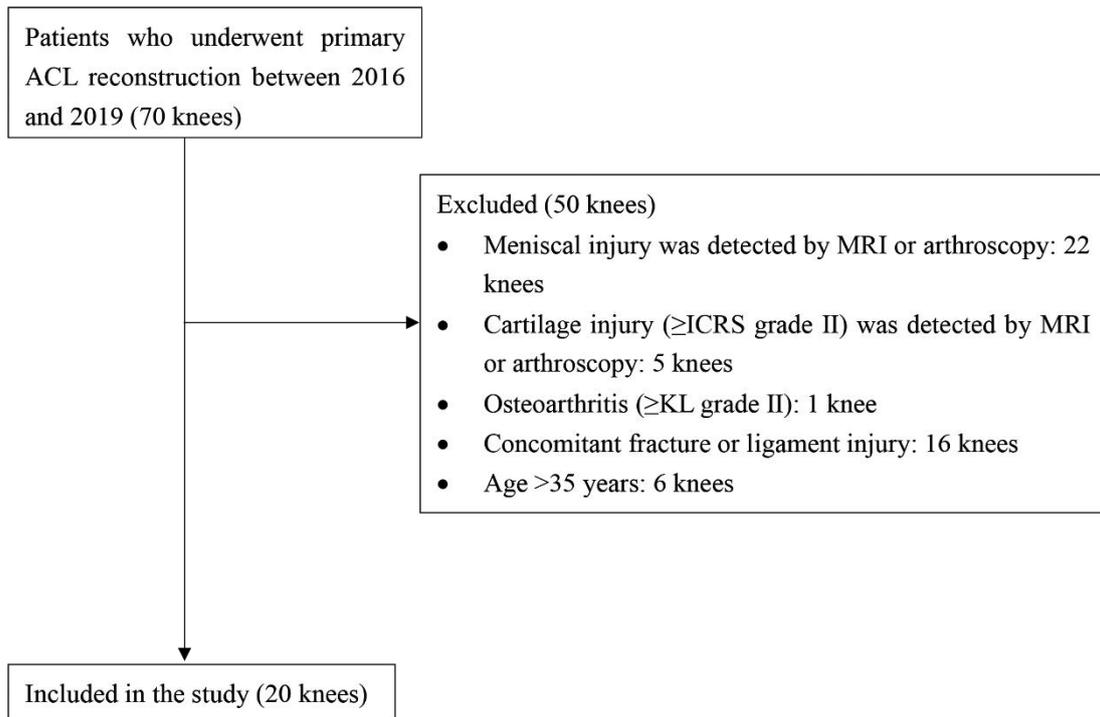
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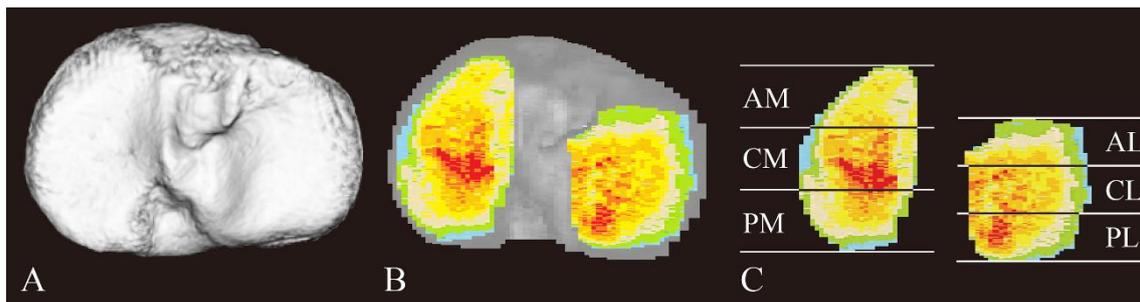
403 **FIGURE LEGENDS**



404

405 **Figure 1.** Flowchart of study enrollment. ICRS, International Cartilage Regeneration &

406 Joint Preservation Society-Cartilage Repair Assessment system; KL, Kellgren-Laurence.



407

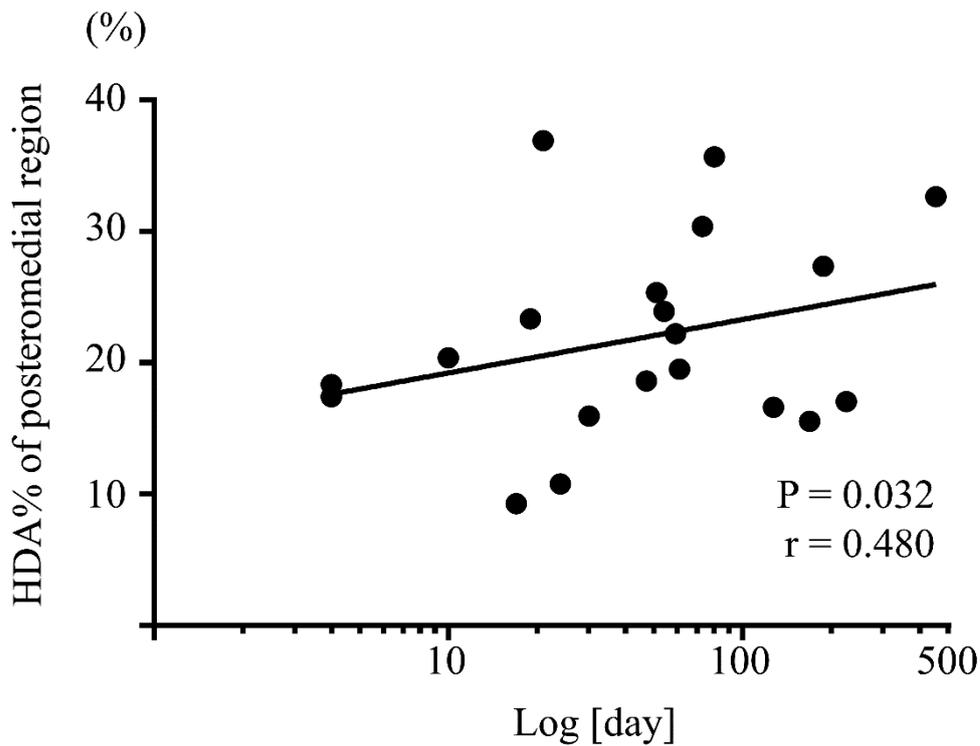
408 **Figure 2.** Identification of the subchondral bone regions of the proximal tibia using a

409 customized software. (A, B) The subchondral bone density of the selected region was

410 automatically measured at each coordinate point in each 1.0-mm sagittal slice. (C) Both

411 the medial and lateral compartments of the tibial articular surface were divided into three

412 subregions each from anterior to posterior for quantitative analysis of the distribution of  
 413 high subchondral bone density area  
 414 AM, anteromedial; CM, centromedial; PM, posteromedial; AL, anterolateral; CL,  
 415 centrolateral; PL, posterolateral



416  
 417 **Figure 3.** Semi-log plots of the time elapsed from ACL injury vs. HDA% in the  
 418 posteromedial region of the proximal tibia

419 ACL, anterior cruciate ligament; HDA%, percentage of high subchondral bone density  
 420 area

421

422 **Table 1. Characteristics of the study population<sup>a</sup>**

	Control group (n = 19)	ACLD group (n = 20)	<i>p</i> value
Age, years	23.4 (21.3–26.5)	21.5 (18.5–23.6)	n.s.
Male:Female, n	10:9	10:10	n.s.
BMI, kg/m <sup>2</sup>	24.1 (21.8–26.5)	24.3 (22.3–26.2)	n.s.
Anterior laxity, mm	n/a	3.2 (1.8–4.5)	n/a
Period from ACL injury to CT examination, days	n/a	91.4 (35.8–146.9)	n/a
HKA angle, degrees	−0.5 (−2.6–1.6)	−0.3 (−1.8–1.3)	n.s.
PTS angle, degrees	10.4 (8.6–12.2)	10.7 (8.9–12.5)	n.s.
Tegner activity scale score	6.9 (6.1–7.7)	6.4 (5.5–7.2)	n.s.

423 <sup>a</sup>Data presented as frequency or mean (95% confidence interval).

424 ACL, anterior cruciate ligament; ACLD, anterior cruciate ligament deficient; Anterior  
425 laxity: side-to-side anterior knee laxity at 30° flexion, BMI, body mass index; CT,  
426 computed tomography; HKA, hip-knee-ankle; n/a, not applicable; n.s., not significant;  
427 PTS, posterior tibial slope.

428

429 **Table 2. Quantitative analysis of HDA% in each subregion<sup>a</sup>**

		Control group	ACLD group	<i>p</i> value
Medial compartment (%)	AM	15.3 (9.6–21.0)	9.4 (6.8–12.0)	0.048
	CM	52.0 (47.8–56.3)	52.4 (49.3–55.5)	n.s.
	PM	14.7 (12.5–17.0)	21.6 (18.0–25.3)	0.002
Lateral compartment (%)	AL	3.1 (1.2–5.0)	2.7 (1.3–4.1)	n.s.
	CL	38.2 (34.6–41.9)	38.9 (36.4–41.4)	n.s.

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PL 43.1 (38.4–47.8) 44.7 (40.2–49.1) n.s.

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430 <sup>a</sup>Data presented as mean (95% confidence interval)

431 ACLD, anterior cruciate ligament deficient; AL, anterolateral; AM, anteromedial; CL,  
432 centrolateral; CM, centromedial; HDA%, percentage of high subchondral bone density  
433 area; n.s., not significant; PL, posterolateral; PM, posteromedial.

434