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Title of the contribution: Carbon cycling and budget in a forested basin of southwestern Hokkaido, northern Japan.

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Short running title: Carbon dynamics at a northern Japanese forest

1 **Abstract:** Quantification of annual carbon sequestration is a very important to assess
2 the function and response of forest ecosystem against global climate change. Annual
3 cycling and budget of carbon in a forested basin was investigated to quantify the carbon
4 sequestration of cool-temperate deciduous forest ecosystem in Horonai stream basin,
5 Tomakomai Experimental Forest, northern Japan. Net ecosystem exchange, soil
6 respiration, biomass increment, litter fall, soil solution chemistry and stream export
7 were observed in the basin from 1999 to 2001 as a part of IGBP-TEMA project. We found
8 the $258 \text{ gC m}^{-2} \text{ y}^{-1}$ was annually sequestered as net ecosystem exchange (NEE) in the
9 forested basin from 1999-2001. Discharge of carbon to the stream was $4 \text{ gC m}^{-2} \text{ y}^{-1}$
10 (about 2 % of NEE) and consisted mainly of dissolved inorganic carbon. About 43 % of
11 net ecosystem productivity (NEP) was retained in the vegetation, while about 57 % of
12 NEP was sequestered in soil, suggesting that the allocation of sequestered carbon in
13 above canopy via photosynthesis to below-ground vegetation was important pathway for
14 the net carbon accumulation in soil. The derived organic carbon from above-ground
15 vegetation to soil was mainly accumulated in the solid phase in soil, resulting in that
16 export of the dissolve organic carbon to the stream was smaller than that of dissolved
17 inorganic carbon. Our results indicated that the above- and below-ground interaction of
18 carbon fluxes was important processes for the rate and retention time of the carbon

- 1 sequestration in cool-temperate deciduous forest ecosystem in southwestern part of
- 2 Hokkaido, northern Japan.
- 3 **Keywords:** Carbon biogeochemistry, Climate change, Eddy flux, Forest ecosystem, Net
- 4 Ecosystem Productivity

1 Introduction

2 Global climate change and increased levels of atmospheric carbon dioxide (CO₂) have
3 motivated the scientific community and the public at large to ponder questions such as
4 “How much carbon can be sequestered by a forest and where in the forest does that
5 occur?” The quantification of carbon budget and cycling is a useful research tool with
6 which to assess the role of forest vegetation and soil on carbon accumulation in the
7 ecosystem. Given the close relationship that exists between the carbon dynamics of
8 forest ecosystems and productivity within the ecosystem, carbon dynamics has become a
9 fundamental component of the research conducted by ecosystem ecologists since
10 international biological program (IBP) that was conducted late 60s to 70s (Cole & Rapp
11 1981). However, quantification of the actual carbon sequestration rate in forest
12 ecosystems is complicated by the difficulty associated with measuring the rate of CO₂
13 exchange in the atmosphere and ecosystem. Eddy-correlation techniques for assessing
14 CO₂ flux over the forest canopy provide quantitative information on net photosynthesis
15 and respiration (for both vegetation and microorganisms), or net ecosystem exchange
16 (NEE) (Baldocchi *et al.* 2001).

17 NEE, measured using eddy flux at the boundary between the canopy and the
18 atmosphere corresponds with the net flux of CO₂ (= b + c + d - a, in Fig. 1) including

1 photosynthesis and respiration, provides an indication of how much carbon was
2 sequestered in the ecosystem. However, while NEE provides useful quantitative
3 information on ecosystem functioning associated with carbon sequestration, it cannot be
4 used to derive the extent partitioning of this sequestered carbon in the terrestrial
5 ecosystem. Given that the difference in turnover time for carbon in the soil and that
6 contained in the vegetation is markedly different (Chapin *et al.* 2002; Malhi *et al.* 1999),
7 it is very important to assess the internal cycling and partitioning of carbon in the
8 vegetation and soil system separately. It is thus essential to compare the carbon budget
9 (= NEE- h, in Fig. 1) and the internal cycling (c to g, in Fig. 1) in the same basin over
10 same period. In a previous study associated with the internal partitioning of carbon in
11 ecosystems, Malhi *et al.* (1999) indicated the carbon distribution and cycling in forest
12 ecosystems was highly dependent upon climate and vegetation type. However, studies
13 that have integrated monitoring of the carbon budget and cycling in the same basin over
14 the same period of time have rarely been conducted to date. In the Asian region
15 particularly, biogeochemical assessments of eddy CO₂ flux and internal cycling and
16 budget have been particularly limited (Yamamoto *et al.* 1999), despite the occurrence
17 unique climatic and other environmental characteristics that distinguish the region
18 from the relatively well-studied forests of the northeastern US and northwestern

1 Europe. In addition, the studied forest has been recognized as sensitive ecosystem
2 against environmental changes and stresses because the forest was located on the
3 infertile volcanic young soil in transient zone from temperate to sub-boreal region.
4 Quantitative analysis of the carbon dynamics will not only provide fundamental
5 information of the biogeochemical processes of ecosystems, but also contribute towards
6 our current understanding of the impact of carbon sequestration on ecosystem
7 functioning and the effect that this might have on global climate change. The objective
8 of this study was therefore to 1) quantify the carbon budget and cycling, and, 2)
9 understand the quantitative role of the vegetation and soil on carbon sequestration in a
10 forest basin.

11

12 **Methods**

13 ***Study site***

14 This study was conducted in the Horonai stream basin in the Tomakomai Experimental
15 Forest (Hokkaido University), located in southwestern Hokkaido, northern Japan (42°
16 40' N, 141° 36'E). The Horonai stream is a first-order stream with a basin area of 9.4
17 km². The mean annual precipitation is approximately 1,200 mm and the mean annual
18 temperature is 7.1 °C. Vegetation in the basin consists of cool-temperate forest, mainly

1 dominated by secondary deciduous forests that colonized the area after a typhoon in
2 1954. Approximately 50 tree species are co-existed, including *Quercus mongolica* var.
3 *crispula*, *Acer mono*, *Acer palmatum* ssp. *matsumurae*, and *Magnolia hyporeuca* (Hiura
4 2001). The predominant soil type is volcanic regosols (Andic Udipsamments, Soil Survey
5 Staff 1994), with the parent material of the soil consisting of clastic pumice and sand
6 that was deposited by eruptions of Mt. Tarumae in 1667 and 1739 (Sakuma 1987).
7 Other detailed characteristics of the vegetation, soil and streams of the area have been
8 described by Shibata *et al.* (1998, 2001), Takahashi *et al.* (1999) and Hiura (2001).

9

10 *Net Ecosystem Exchange (NEE)*

11 CO₂ fluxes between atmosphere and canopy (NEE) was measured by applying the eddy
12 correlation method above the canopy layer from a 21-meter high observation tower from
13 1999 to 2001 (Tanaka *et al.* 2001). The mean height of the vegetation around the tower
14 is approximately 13 m. Atmospheric CO₂ concentration was measured using a NDIR
15 (Non dispersive infrared)-CO₂ sensor (LI-COR 6262, Li-Cor Co. Ltd.) by the closed-path
16 system. An ultrasonic anemometer (DAT-600, Kaijo Co. Ltd.) and CO₂/H₂O fluctuation
17 meter (AH-300, Kaijo Co. Ltd.) were used for the measurement of these fluxes.

18

1 ***Biomass and litterfall***

2 We used long-term inventory data collected for the Tomakomai Research Station of
3 Hokkaido University to calculate the stand volume of various forest stands in the study
4 area. The investigated plot was 1 ha in area, and the stand volume and mortality of the
5 above-ground vegetation were measured at every one year interval. Both above- and
6 below-ground biomass of the stand was estimated by combining the measured stand
7 volume and applying an allometric-growth equation for each species derived from
8 harvesting research previously conducted in the study basin (Takahashi *et al.* 1999). A
9 more detailed description of the vegetation and the methods used to estimate biomass
10 on a landscape scale was described by Hiura (2001, 2005).

11 Litter traps (1 m²) were used to collect litter-fall from vegetation with 25 replicates in
12 a representative secondary stand in the study area. These samples were collected on a
13 monthly interval, dried and weighed from 1999 to 2001 (Hiura 2005, this issue).

14

15 ***Soil respiration***

16 Closed-chamber system and NDIR sensor (LI-6200, Licor Co Ltd.) was used to measure
17 soil respiration (Yanagihara *et al.* 2000). Twelve circular chambers (71.6 cm²) were
18 installed in stands of forest considered representative of the study area. Soil respiration

1 and surface soil temperature (0-10 cm) were measured using the sensor of 10 cm long at
2 monthly intervals during periods of no snowfall from 1999 to 2000. The relationship
3 between soil respiration and soil temperature derived empirically and used to
4 extrapolate annual soil respiration using the continuous soil surface temperature data;
5 one of the long-term meteorological parameters collected at the Tomakomai
6 Experimental Forest.

7

8 ***Carbon export from soil to stream***

9 We installed tension-free lysimeters under the forest floor and in mineral soil (1.5 m
10 deep) to collect the soil gravity water. Four lysimeters were thus installed below the
11 forest floor and two lysimeters in the mineral soil at the bank near the middle part of
12 the stream. Stream water was collected from the upper and lower river reaches at
13 two-week intervals and analyzed for dissolved organic (DOC) and inorganic carbon
14 (DIC) concentrations using a TOC analyzer (TOC 5000A, Shimadzu Co. Ltd.).
15 Particulate organic carbon (POC) (particles > 0.7 μ m) was also measured by filtering
16 the stream water collected from the lower stream reaches (Shibata *et al.* 2001). Total
17 carbon content of the particulate material was analyzed using a CN analyzer (PE 2400
18 II, Perkin elmer Co. Ltd.).

1 Stream height was measured continuously using a pressure transducer and data
2 logger at the weir station located at the lower stream reaches. Stream discharge was
3 calculated using an empirical relationship between stream height and observed
4 discharge (Shibata *et al.* 2001). Carbon flux in the stream was calculated by multiplying
5 the carbon concentrations for DOC, DIC and POC, with discharge. Given that this basin
6 was located in very flat region, and on course, volcanic, gravel deposit suggesting that
7 the groundwater inflow from the neighboring basin might affect the hydrologic budget,
8 differences of the flux between upper and lower stream reaches were used to quantify
9 net export of DOC and DIC from soil to stream (Shibata *et al.* 2001). We assumed that
10 the influx of POC from the upper stream reaches was negligible because most of the
11 POC would have been derived from the riparian canopy and the riverbank. Throughfall
12 was collected using a circular funnel (30 cm in diameter) at the riverbank and analyzed
13 for DOC and DIC concentrations. More detailed methods for calculating the
14 contributions of the soil and stream on carbon dynamics were reported by Shibata *et al.*
15 (2001).

16

17 ***Budget calculation***

18 All carbon flux measurements were conducted from 1999 to 2001. Mean fluxes for the

1 three years were used in the budget analysis. We used the steady state budget for
2 vegetation and soil as illustrated in Eq. 1 and 2, respectively, to analyze the carbon
3 dynamics of the ecosystem. The letters in parenthesis refer to Fig. 1.

4 $NEE - SR = LF + AB + AC$ Eq. 1

5 NEE: Net ecosystem exchange (= b + c + d - a)

6 SR: Soil respiration (= d + c)

7 LF: Litterfall and mortality of above vegetation (= e)

8 AB: Above-ground biomass increment

9 AC: Allocation from above to below vegetation (= f)

10 $AC - BB + LF = SR + DC + SS$ Eq. 2

11 BB: Below-ground biomass increment

12 DC: Discharge to stream (= h)

13 SS: Carbon storage in organic and mineral soil

14 Measured carbon fluxes were NEE, SR, LF, AB, BB and DC, while the estimated carbon
15 fluxes based on these equations were AC and SS. Left side of Eq.1 (=NEE - SR)
16 correspond with gross ecosystem exchange (GEE).

17

18 **Results**

1 *Carbon fluxes in the basin*

2 Figure 2 shows the seasonal fluctuation in monthly NEE over the canopy from 1999 to
3 2001. Negative values for NEE indicate net CO₂ transport from atmosphere to
4 ecosystem. Atmospheric CO₂ was sequestered mainly from June to October each year.
5 Maximum estimates of carbon uptake ranged from -80 to -100 gC m⁻² month⁻¹ from June
6 to July (Fig. 2). Annual mean NEE for three years was -258 (± 36 SD) gC m⁻² y⁻¹.

7 Soil respiration was observed to fluctuate with in response to changes in soil
8 temperature (Fig. 3). The Q₁₀ value was 2.7 and the annual flux of soil respiration over
9 three years was 592 (± 55 SD) gC m⁻² y⁻¹. The annual flux of soil respiration was
10 approximately two times larger than the NEE in this studied basin. Given this
11 relationship between respiration and NEE, gross ecosystem exchange (GEE; the net
12 flux of photosynthesis and respiration for the above-ground vegetation) corresponded
13 with 850 gC m⁻² y⁻¹.

14 Litterfall occurred mainly in late summer and fall (October and November) of each
15 year. Annual mean litterfall for the three years was 118 gC m⁻² y⁻¹ in the secondary
16 forest stands. The increment of above- and below-ground biomass and tree mortality
17 measured in the secondary forest stand was 92, 16 and 79 gC m⁻² y⁻¹, respectively. The
18 annual carbon sequestered by the vegetation was 108 gC m⁻² y⁻¹, and approximately

1 42 % of the NEE. The sum of the litterfall and mortality for above-ground vegetation
2 was 197 gC m⁻² y⁻¹, accounting for the organic carbon input from the above-ground
3 vegetation to soil surface.

4 Stream export of DOC, DIC and POC was considered an output of carbon from the
5 terrestrial ecosystem. Annual mean export of dissolved and particulate carbon from soil
6 to stream for three years was 4.1 (± 1.8 SD) gC m⁻² y⁻¹ (Fig. 4), and DIC, DOC and POC
7 accounted for 68, 13 and 19 % of the total carbon export to the stream. The total export
8 of carbon to the stream corresponded to only 2 % of the NEE flux in this basin. DOC
9 concentration was higher in the surface soil water, and tended to decrease with depth of
10 ground (Fig. 5). DIC was a major carbon forms in stream water collected from both the
11 upper and lower stream.

12

13 ***Carbon budget in the basin***

14 Figure 6 shows the carbon cycling and budget of the basin in the study. Based on the
15 NEE and export to the stream, the annual net carbon sequestration rate in this basin
16 (=NEP; Net Ecosystem Productivity) was 254 gC m⁻² y⁻¹. The carbon allocation from the
17 above- to below-ground vegetation calculated using Eq. 1 was 549 gC m⁻² y⁻¹,
18 corresponded to 65 % of GEE. The carbon budget in the soil (Eq. 2) indicated that 146

1 gC m⁻² y⁻¹ was sequestered in the soil in this basin. The annual carbon sequestration in
2 vegetation and soil accounted for 43 and 57 % of NEP, respectively. The total input of
3 carbon from the above- and below- ground vegetation to the soil was 730 gC m⁻² y⁻¹,
4 including the litterfall, mortality of above-ground vegetation, root detritus and root
5 respiration.

6

7 **Discussion**

8 In forest basin of this study, net carbon sequestered in the ecosystem is partitioned
9 between the vegetation and soil almost equally on an annual basis. The total litterfall
10 and above-ground tree mortality (197 gC m⁻² y⁻¹) accounted for 27 % of the total carbon
11 input from the vegetation to soil (730 gC m⁻² y⁻¹). Consequently, the transport carbon
12 through the roots into the soil was an important pathway for carbon input to the soil.
13 Since CO₂ input via root respiration to soil would ordinarily be balanced by emission
14 from the soil surface to the atmosphere in a annual steady-state (no net change in the
15 storage of CO₂ in soil on annual basis), the organic carbon input via root detritus and
16 exudates could be an important form of carbon for the net release of carbon from
17 below-vegetation to soil. The net increment of root biomass (16 gC m⁻² y⁻¹; estimated
18 using the allometric-growth equation obtained from harvesting measurements)

1 suggested that the increment in very fine root biomass might have been underestimated
2 in this budget. Detailed measurement and estimation methods will be required to
3 clarify the extent of fine and very fine root production with respect to the carbon
4 sequestration (Shutou and Nakane 2004; Satomura et al. 2003). Reich & Bolstad (2001)
5 reported that the net primary production of below-ground vegetation accounted for
6 14-80 % of the total net primary production in various temperate forest ecosystems.

7 Raich & Schlesinger (1992) estimated annual soil respiration rates for the various
8 global biome. The soil respiration rate in our study area fell within the range (647 ± 51
9 $\text{gC m}^{-2} \text{y}^{-1}$) they gave for temperate deciduous forests. In the soil system, dissolved
10 organic carbon decreased with depth of the ground, suggesting that the adsorption
11 and/or decomposition of the DOC were the dominant mechanisms of DOC retention in
12 ground (Shibata *et al.* 2001). In general, volcanic pumice is considered to have a
13 relatively high ability to adsorb solutes to the solid phase of soil. We estimated the total
14 carbon pool in the organic and mineral soil using previously reported data (Sakuma
15 1987; Eguchi *et al.* 1997). The total carbon pool in soil from the O horizon to mineral soil
16 of 100 cm depth was approximately 5500 gC m^{-2} , corresponding to values approximately
17 38 times larger than annual net carbon sequestration in soil. Assuming most of the
18 organic carbon accumulates within the 0-100 cm soil, then the mean residence time of

1 sequestered carbon in soil is estimated at approximately forty years in this basin. DOC
2 concentration in soil water from the mineral soil (1.5 m deep) was still significantly
3 higher than that of stream water (Fig. 5), suggesting that the depletion of DOC in soil
4 water occurred deeper in mineral soil. Consequently, the mean residence time of the
5 carbon in soil that estimated above could be still underestimation in this study. The
6 analysis of the quantitative dynamics in the deeper mineral soil would be a key process
7 to understand the buffering function of the soil system on the temporal fluctuations of
8 the carbon input from atmosphere-vegetation system.

9 Annual mean NEE ($-258 \text{ gC m}^{-2} \text{ y}^{-1}$) in this basin is comparable with that reported for
10 a growing of season similar length (about 150 days) in the worldwide CO₂ flux network
11 (FLUXNET, Baldocchi *et al.* 2001). However, for the eddy measurements, it should be
12 noted that several uncertainties regarding the applicability of the techniques still
13 remain including, (i) difficulties in measuring eddies during periods of high atmospheric
14 stability and the irregularity of the canopy surface, and, (ii) the drainage flow of CO₂
15 across the stream valley (Baldocchi *et al.* 2001) These uncertainties might affect the
16 estimation of the unmeasured flux; particularly the allocation of carbon from the
17 vegetation to the soil. In addition, we used the compartment model for the carbon
18 budget, which assumes a steady state on an annual basis. It should be noted that actual

1 carbon transport sometimes fluctuates and is transient. For example, the
2 aforementioned buffering function of the soil system against temporal fluctuations in
3 carbon input would be attributed to the transient system.

4 Hiura (2005, this issue) indicated that the secondary forest that is the dominant
5 vegetation type in this basin showed more higher net biomass increment than the
6 mature forest also found in this basin, albeit to a lesser extent. The higher
7 sequestration rate of the vegetation and soil in this basin may mean that the forest in
8 the study area was relatively young and at an early stage of succession. Since most of
9 the forest stands in this basin became established after a large disturbance caused by a
10 typhoon in 1954, the growth rate of the vegetation seems to be still increasing. The
11 soil is also a very young regosol that developed after the recent eruption of a volcano
12 within the last several centuries. These age characteristics of vegetation and soil would
13 affect the NEP in the basin. Furthermore, since the study area is located near urban
14 and industrial areas (Shibata *et al.* 1998), the forest ecosystem currently receives
15 slightly elevated amounts of atmospheric nitrogen (4-5 kgN ha⁻¹ y⁻¹ of wet deposition,
16 Shibata *et al.* 1998). The effect of nitrogen deposition as a nutrient input on carbon
17 sequestration would need to be examined more closely to determine if the input of
18 nitrogen nutrients from the atmosphere would enhance the uptake of carbon in the

1 forest (Lloyd 1999; Nadelhoffer *et al.* 1999)

2 Our results suggest that the fundamental characteristics of the parent materials of
3 soil and the chronological attributes of the vegetation and soil - including natural
4 disturbances in the past - was an important factor affecting the current NEP and the
5 partitioning of sequestered carbon in the ecosystem. An integrated regional cross-site
6 analysis of carbon biogeochemistry, including eddy measurements and budgets under
7 the various environmental conditions would improve our understanding of the role of
8 forest ecosystem functioning on global climate change.

9

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18

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1 **Legends of figures**

2 **Figure 1.** Outline of the carbon budget and cycling in vegetation-soil-stream ecosystem.

3 **Figure 2.** Seasonal fluctuation in monthly net ecosystem exchange (NEE) over the forest
4 canopy from 1999 to 2001. Negative values represent net inflow of carbon from
5 atmosphere to canopy.

6 **Figure 3.** Relationship between soil respiration and soil surface temperature (0-10 cm).
7 Data were obtained at different months during non-snowy period. Bars represent
8 standard deviations.

9 **Figure 4.** Annual carbon export from the terrestrial ecosystem to a stream in the
10 Horonai stream basin. DOC, DIC and POC are dissolved organic carbon, dissolved
11 inorganic carbon and particulate organic carbon, respectively. Data are mean values
12 obtained after three years. Each bar represents standard deviation.

13 **Figure 5.** Mean concentration of DOC and DIC in throughfall (TF), surface soil water
14 (SSW), deep soil water (DSW), upper stream (US) and lower stream (LS). Bars
15 represent standard deviations.

16 **Figure 6.** Annual carbon budget and cycling ($\text{gC m}^{-2} \text{y}^{-1}$) in the Horonai stream basin.
17 Delta values (Δ) indicate net accumulation of carbon in above- and below-ground
18 vegetation and soil, respectively. Allocation of carbon from above- to below-ground

- 1 **vegetation and carbon accumulation of soil are estimated values based on the**
- 2 **budget (See details in the text and Eq. 1 & 2).**

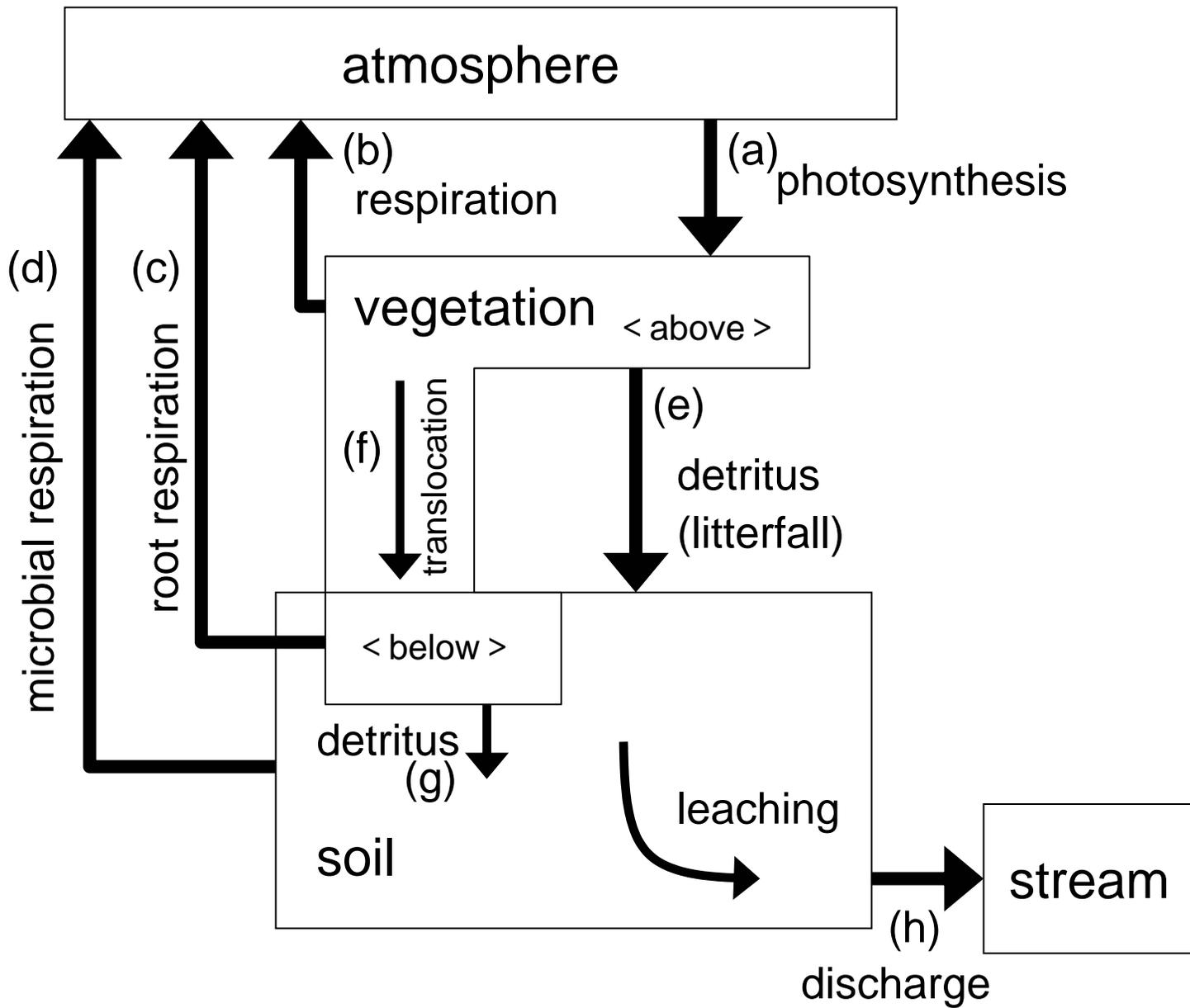


Fig. 1
 Shibata

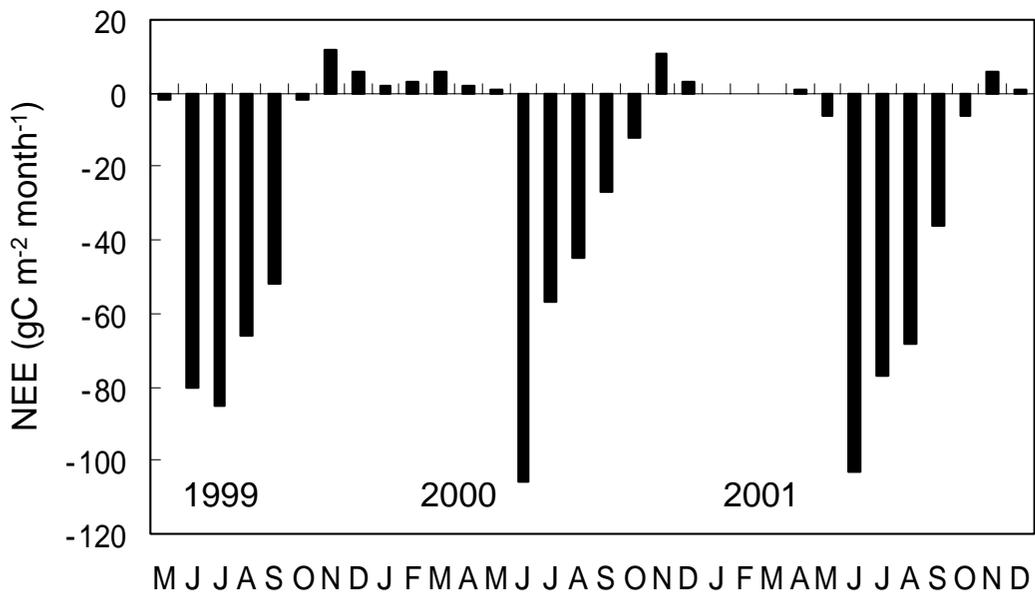


Figure 2
Shibata

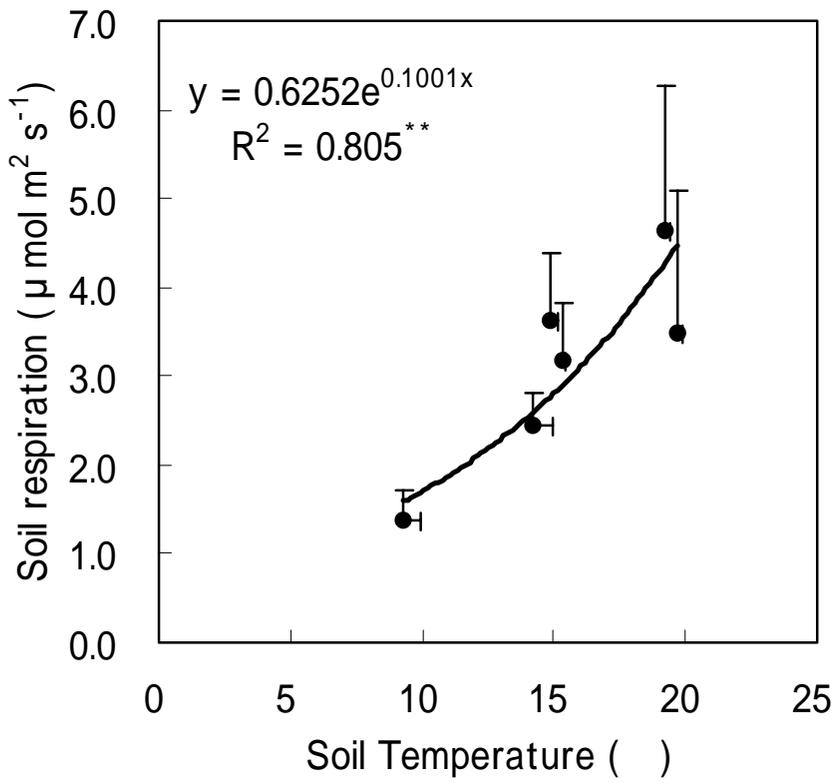


Figure 3

Shibata

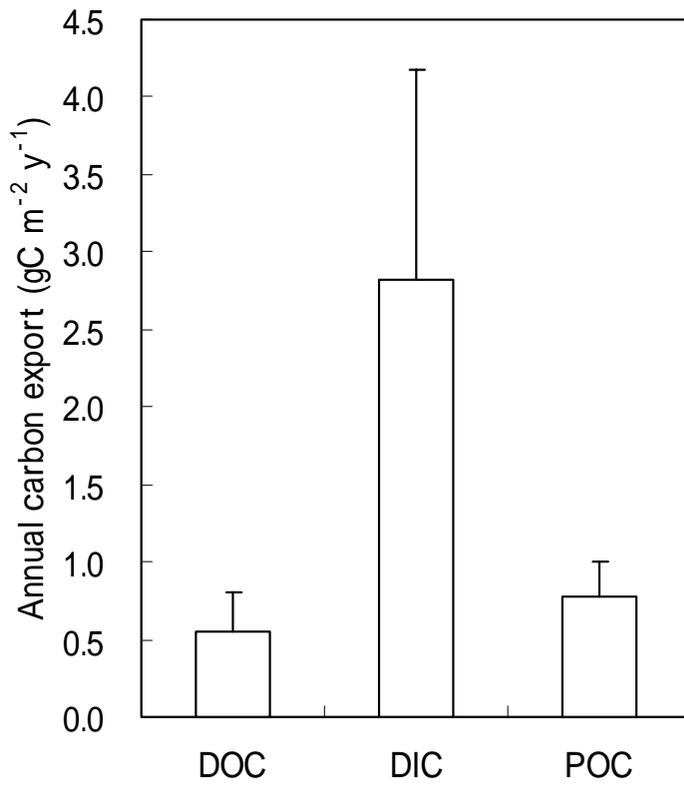


Figure 4
Shibata

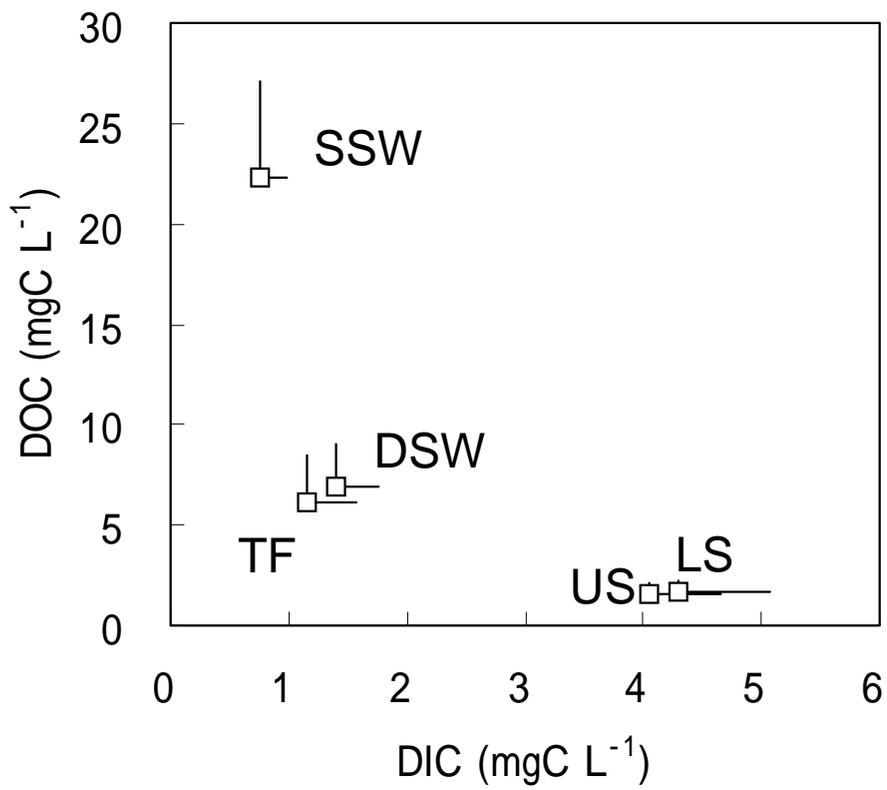


Figure 5
Shibata

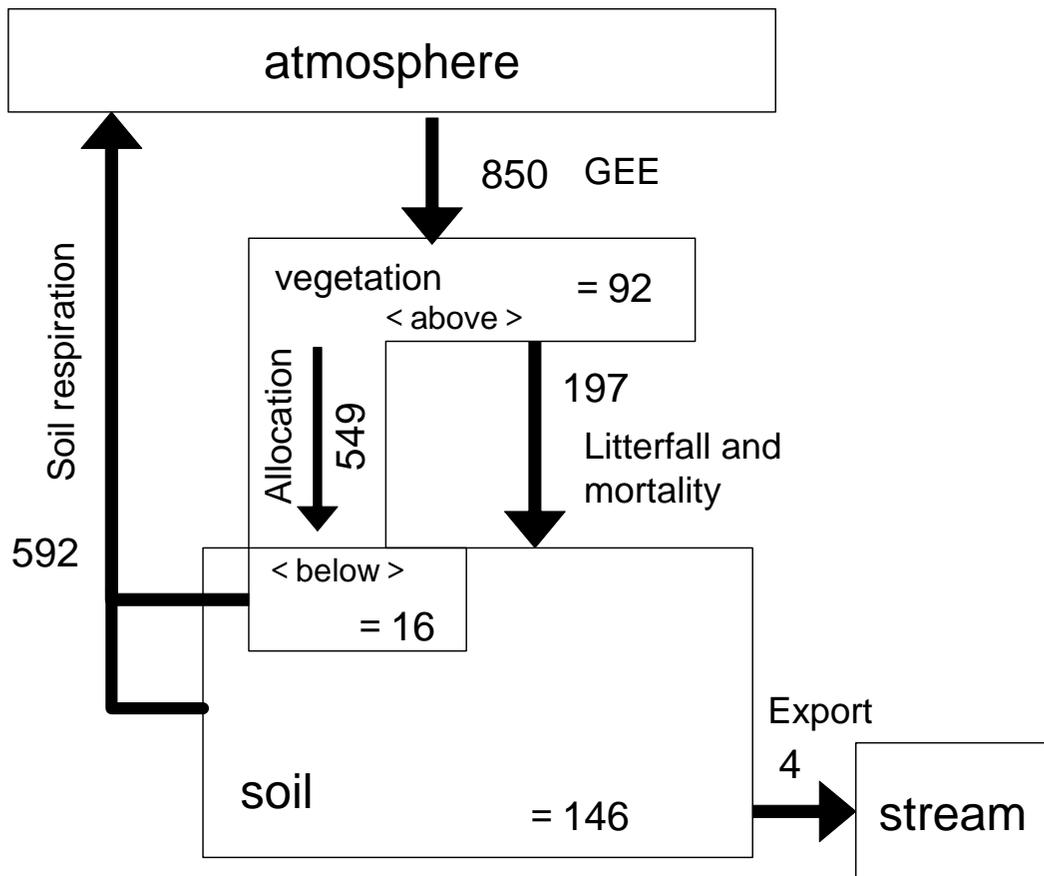


Figure 6
Shibata