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1 Evaluation of climate effect on resilient modulus of granular subgrade material

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9 Keywords

10 Resilient modulus, Unsaturated soil, Freeze-thaw action, Subgrade material, Laboratory element test

11 Abstract

12 This study examines the effects of freeze-thaw actions and the concurrent seasonal fluctuations in water
13 content, named as climate effect in this study, on the resilient modulus of subgrade materials to evaluate
14 their mechanical behavior in cold regions. A series of suction-controlled resilient modulus tests on sub-
15 grade materials with variant freeze-thaw, wheel loads, and water contents conditions were conducted
16 using a newly developed test apparatus. Test results were used to construct a simple model to estimate
17 the climate effect on the resilient modulus by considering the synergistic effects between water content
18 and freeze-thaw. Besides, this study calculated the fatigue life of eight local flexible pavement projects
19 with variant subgrade layer moduli considering climate effect by combining the newly proposed model
20 and long-term in-situ measured data. Results proved that climate-related degradation of the subgrade
21 materials decreases the fatigue life of asphalt pavements in cold regions.

22 1. Introduction

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23 In snowy cold regions such as Hokkaido, a northern island in Japan, pavement structures suffer annually
24 freeze-thaw cycles as the 0°C isotherm may penetrate deep into the pavement. Freeze-thaw action de-
25 teriorates pavement structures in two ways as frost-heave and thaw-weakening. The swelling of soil
26 during freezing conditions caused by an increasing presence of ice lens finally leads to cracking in the
27 asphalt-mixture layer (hereafter referred to as the “As layer”). Thaw-weakening means a drop of base
28 and subgrade layer strength and stiffness caused by suddenly rising water content, which comes from
29 the inflow of snowmelt water or the thawing of ice lenses, and deteriorates uniformity of particle skel-
30 eton structure after freeze-thaw action (Jong et al., 1998; Simonsen and Isacsson, 1999).

31 A detailed understanding of the mechanical behavior of the base/subgrade materials during freeze-thaw
32 is essential to develop a mathematical model of the mechanical response of the base/subgrade layer in
33 cold regions and incorporate it into the theoretical design method for pavement structures. To achieve
34 such understanding, it is necessary to quantitatively capture the deformation-strength characteristics of
35 unbound granular base course and subgrade materials subjected to cyclic freeze-thaw actions under
36 various compaction conditions and water contents through laboratory element tests with high-precision
37 under sufficiently controlled experimental conditions. As the result, a significant loss of stiffness from
38 frozen to thawed and an increase in the recovery period are observed in both in-situ bearing capacity
39 test for frozen and thawed pavement structure and laboratory element test for frozen, thawed, and re-
40 covered base/subgrade material (Berg et al., 1996; Cole et al., 1981; Johnson et al., 1978; Simonsen et
41 al., 2002; Simonsen and Isacsson, 2001). However, partly due to the apparatus limitation and different
42 research topics, the synergistic effects between water content and freeze-thaw on the resilient modulus
43 (M_r), which is the key factor of base/subgrade layer as it associates with the elastic modulus (E) used in
44 the design calculation for pavement structures applying the multi-layer elastic theory or finite element
45 method, have not yet been quantitatively evaluated and modeled in these tests. In this study, the syner-
46 gistic effects between freeze-thaw actions and concurrent seasonal fluctuations in water content, named
47 as climate effect, will be examined.

48 Ishikawa et al. (2019a) examine the climate effect on the resilient deformation characteristics of base
49 course materials through a series of resilient modulus tests on base course materials under various water
50 contents. As a complementary and further research, this study examines and evaluates the climate effect

51 on M_r of subgrade material through a series of suction-controlled resilient modulus tests (hereafter re-
 52 ferred to as the “MR test”) with variant freeze-thaw, wheel loads, and water contents conditions. This
 53 study names the unsaturated condition and freeze-thaw action applied in the MR test as climate process.
 54 Besides, this study attempts to develop a simple mathematical model of the mechanical response of
 55 subgrade materials subjected to climate effect, in order to establish a method for evaluating the long-
 56 term performance of the granular subgrade layer at pavement structures in cold regions.

57 **2. Conventional Models**

58 *2.1 Universal model and Ng model*

59 Mechanistic-Empirical Pavement Design Guide (MEPDG) (AASHTO, 2008) proposed a universal
 60 model to predict the resilient modulus with stress variables as shown in Eq. (1).

$$61 \quad M_r = k_1 p_a \left(\frac{\theta}{p_a} \right)^{k_2} \left(\frac{\tau_{oct}}{p_a} + 1 \right)^{k_3} \quad (1)$$

62 where k_1, k_2, k_3 are regression constants; p_a is atmospheric pressure and set as 101 kPa in this study; θ is
 63 bulk stress (kPa); τ_{oct} is octahedral stress (kPa).

64 However, this model cannot reflect the effect of moisture content. To overcome this shortcoming, sev-
 65 eral models (Cary and Zapata, 2011; Liang et al., 2008; Ng et al., 2013) are proposed based on the
 66 universal model. Within these models, the Ng model shown in Eq. (2) adds an independent stress state
 67 variable that incorporates matric suction effects. It shows good applicability on predicting resilient mod-
 68 ulus of unsaturated unbound granular materials through the relatively higher coefficient of determina-
 69 tion (R^2) value than other models (Han and Vanapalli, 2016).

$$70 \quad M_r = k_1 p_a \left(\frac{\theta}{p_a} \right)^{k_2} \left(\frac{\tau_{oct}}{p_a} + 1 \right)^{k_3} \left(\frac{\psi}{\sigma_{net}} + 1 \right)^{k_4} \quad (2)$$

71 where k_1, k_2, k_3, k_4 are regression constants; p_a is atmospheric pressure; θ is bulk stress; τ_{oct} is octahedral
 72 stress; σ_{net} is net mean stress (kPa), defined as $[\theta/3 - u_a]$; ψ is matric suction (kPa).

73 *2.2 Enhanced Integrated Climatic Model*

74 MEPDG also suggests a model, named as EICM (Enhanced Integrated Climatic Model) (NCHRP,
 75 2004), to capture the freeze-thaw effect on M_r as shown in Eq. (3). This model adds a new factor,

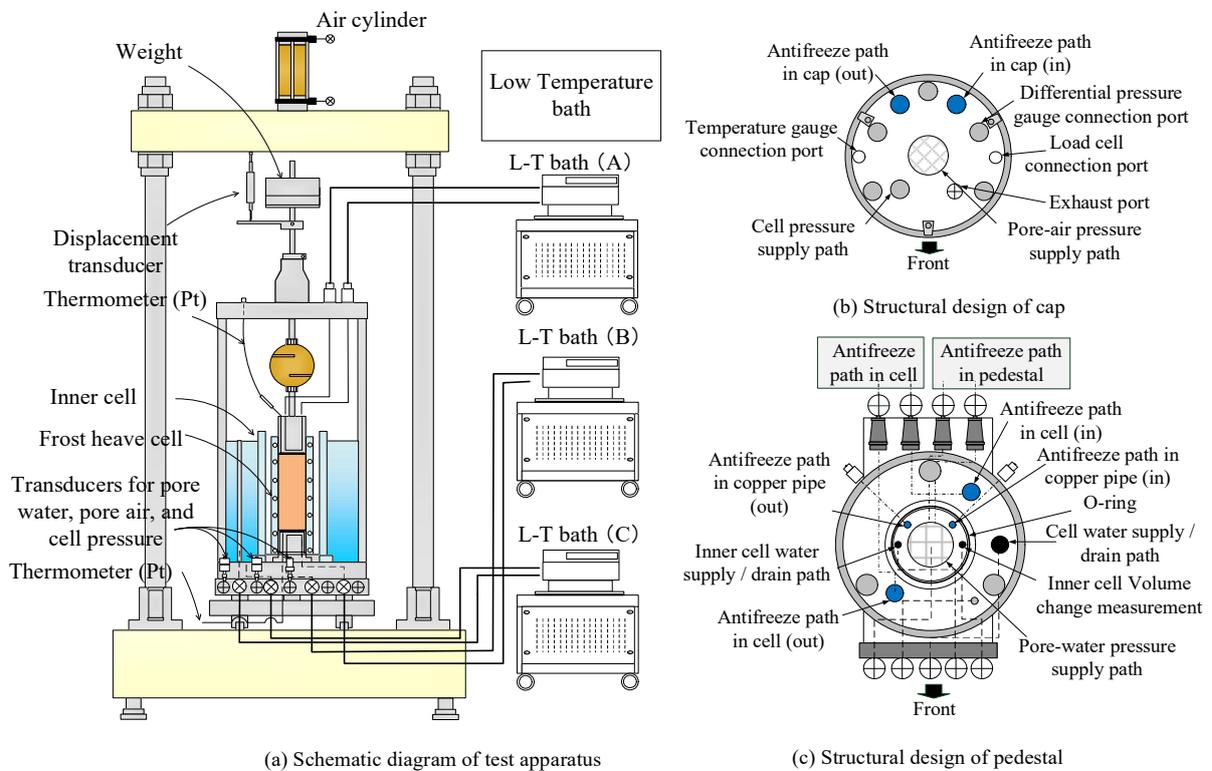
76 F_{env} , on the universal model (Eq. (1)) to represent the reduction of M_r due to freeze-thaw. F_{env} is a
 77 reduction factor defined by the ratio of M_r for freeze-thawed soil divided by M_r for unfrozen soil.

$$78 \quad M_r = F_{env} \cdot k_1 p_a \left(\frac{\theta}{p_a} \right)^{k_2} \left(\frac{\tau_{oct}}{p_a} + 1 \right)^{k_3} \quad (3)$$

79 3. Test Apparatus and Material

80 3.1 Freeze-thaw triaxial apparatus

81 Fig. 1 illustrates the schematic diagram of the apparatus used in this study, which consists of a cyclic
 82 triaxial test apparatus that can apply cyclic axial loads, and three low-temperature baths which could
 83 circulate low-temperature fluids (antifreeze) in the cap, pedestal, and inner cell to control the tempera-
 84 ture separately. The size of the specimen is 170 mm in height and 70 mm in diameter. The apparatus
 85 can apply the matric suction (ψ) by controlling pore-water pressure (u_w) from the pedestal end and pore-
 86 air pressure (u_a) from the cap end (Fig. 1 (b) and (c)). Details about the test apparatus could be found in
 87 the previous study (Lin et al., 2019a).

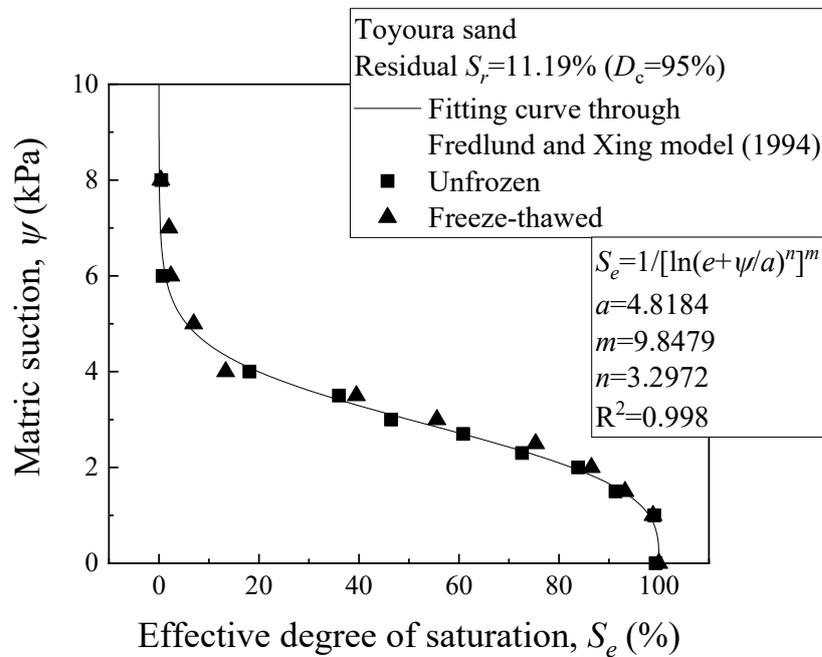


89 Fig. 1. Freeze-thaw triaxial apparatus.

90 3.2 Toyoura sand

91 Toyoura sand is a type of Japanese standard sand, employed as the test material in this study and labor-
92 atory element tests by many other researchers. As a non-frost-susceptible material, it is classified as a
93 poorly graded sand (SP) according to ASTM classification. Specimens were prepared by air pluviation
94 method and the degree of compaction is 96% and dry density (ρ_d) is 1.58 g/cm³ to satisfy the pavement
95 subgrade layer standard provided by Japanese Ministry of Land, Infrastructure, Transport and Tourism
96 (Japan Road Association, 2006).

97 Fig. 2 shows the soil-water characteristic curve (SWCC) of Toyoura sand obtained from this apparatus
98 (Lin et al., 2019b). The SWCC of this soil is S-shaped with an inflection point where the matric suction
99 increased as the volumetric water content decreased, and the shape qualitatively matches the results of
100 previous studies (Ishikawa et al., 2014). Besides, the difference in SWCCs between a freeze-thawed
101 specimen and an unfrozen specimen can hardly be recognized. This phenomenon is originated in no
102 particle breakage due to freeze-thaw action, which led to little change in the water retentivity and per-
103 meability before and after freeze-thawing (Ishikawa et al. (2016)). The fitting curve for unfrozen spec-
104 imen through Fredlund and Xing model (1994) is also displayed in the figure.



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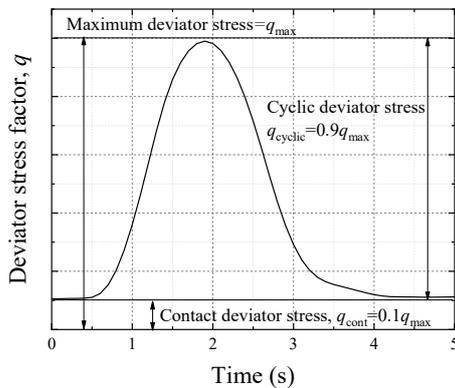
Fig. 2. Soil-water characteristic curves of Toyoura sand (after Lin et al., 2019b).

107 **4. Laboratory Testing Program**

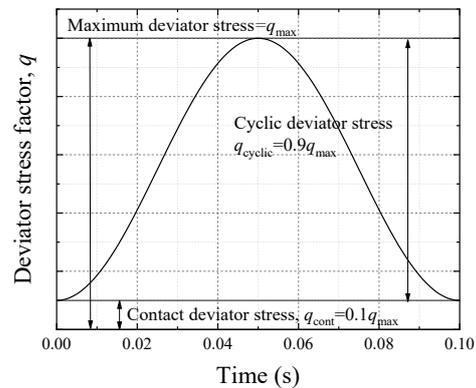
108 *4.1 MR test*

109 Resilient modulus is especially important in mechanistic pavement design procedure and considerable
110 researches had been conducted since Seed et al. (1955) proposed the concept of resilient modulus as the
111 ratio of the amplitude of cyclic deviator stress to the amplitude of the resultant recoverable axial strain.
112 In this study, MR test is performed according to AASHTO test standard T307-99 (AASHTO, 2003).
113 Due to the limitation of the apparatus, this study applied a loading pulse with a frequency of 0.2 Hz
114 (Fig.3 (a)), which is different from the AASHTO standard haversine-shaped loading pulse with a fre-
115 quency of 10 Hz (Fig.3 (b)). According to the measured loading data, it is recognized that haversine-
116 shaped load was almost reproduced though the frequency is much lower than the recommended value
117 in AASHTO standard. Examination of this limited loading frequency would be discussed in the latter
118 part.

(a) Loading wave in this study



(b) Loading wave in AASHTO study



119

120

Fig. 3. Loading wave in (a) this study, (b) AASHTO standard.

121 AASHTO standard has 11 loading steps from MR-0 to MR-10. According to the AASHTO standard,
122 MR-0 aims to make sure the cap completely reaches the top end of the specimen. For this reason, this
123 study prolonged the loading number from 1000 cycles to 2000 cycles to ensure a stable residual strain
124 after MR-0. Besides, the vertical stress in stages MR-4, 5, 9, and 10 are significantly larger than the
125 stress measured at the actual situation in Japan (Kishikawa et al., 2017). The overstress in MR-4, 5
126 increased the relative density, and the results of MR- 6, 7, 8 cannot be evaluated accurately (Aoki et al.,
127 2018). To sustain the relative density, this study skipped MR-4, 5, 9, and 10 and inserted MR-1.5, 2.5,

128 6.5, and 7.5 to keep the total test step as 11. Table 1 lists details of applied stress, like confining pressure
129 (σ_c), maximum deviator stress (q_{max}), constant deviator stress to keep positive contact between the cap and
130 the specimen (q_{cont}), cyclic deviator stress (q_{cyclic}), and the loading number (N_c). Fig. 3 illustrates the
131 definitions of q_{max} , q_{cont} , and q_{cyclic} in one loading cycle. In the MR tests, water can freely drain in or out
132 during the loading process due to the test standard T307-99 (AASHTO, 2003). Within past studies,
133 some undrained resilient modulus tests were conducted under saturated conditions (Guo et al., 2013;
134 Lin et al., 2019). The buildup of pore-water pressure was overestimated as the in-situ base/subgrade
135 layer rarely to be saturated. Some other undrained resilient modulus tests for unsaturated specimens did
136 not use a suction-controlled method to prepare specimen (Chen et al., 2018; Fall et al., 1997; Houry
137 and Zaman, 2004; Yang et al., 2005) and the precise suction value during the test could not be measured.
138 Based on the axis-translation technique, a suction-controlled undrained resilient modulus test method
139 is proposed for saturated and unsaturated specimen (Cary and Zapata, 2016; Yang et al., 2008). How-
140 ever, an undrained condition is still not fully reproduced in these laboratory element tests as the water
141 path is closed during loading while the pore-air pressure path is open to control it as a constant to keep
142 a constant effective confining pressure, named as Constant Water content (CW) test (Fredlund and
143 Rahardjo, 1993). A Consolidated Undrained (CU) resilient modulus test for unsaturated specimens with
144 controlled suction still lacks investigation. Therefore, this study only focuses on the drained condition.
145 It is noted that the pore water in the subgrades shall be excited under traffic loading and it may take a
146 long time to dissipate. Hence, subgrade layers under traffic loading are likely under undrained condi-
147 tions. The buildup of pore-water pressure during cyclic loading in undrained conditions seriously dete-
148 riorates the mechanical properties and engineering performance of subgrade material. A suction-con-
149 trolled consolidated undrained MR test method is under investigation to improve the understanding of
150 how pore-water pressure develops under cyclic loading.

151 Table 1. Loading conditions of MR tests.

Name	σ_c (kPa)	q_{max} (kPa)	q_{cont} (kPa)	q_{cyclic} (kPa)	N_c
MR-0	41.4	27.6	2.76	24.84	2000
MR-1	41.4	13.8	1.38	12.42	100

MR-1.5	41.4	20.7	2.07	18.63	100
MR-2	41.4	27.6	2.76	24.84	100
MR-2.5	41.4	34.5	3.45	31.05	100
MR-3	41.4	41.4	4.14	37.26	100
MR-6	27.6	13.8	1.38	12.42	100
MR-6.5	27.6	20.7	2.07	18.63	100
MR-7	27.6	27.6	2.76	24.84	100
MR-7.5	27.6	34.5	3.45	31.05	100
MR-8	27.6	41.4	4.14	37.26	100

152 4.2 Test sequence

153 This study designed five types of MR test named as Unfrozen test (hereafter referred to as the “U test”),
154 Unfrozen-Wheel loads test (hereafter referred to as the “UW test”), Freeze-Thaw test (hereafter referred
155 to as the “FT test”), Freeze-Thaw-Wheel loads test (hereafter referred to as the “FTW test”), and Freeze-
156 Wheel loads-Thaw test (hereafter referred to as the “FWT test”). Each test was conducted under satu-
157 rated and unsaturated conditions. U tests measure M_r of subgrade material at unfrozen status which
158 simulates normal season. UW tests condition aims to simulate subgrade material suffered wheel loads
159 at the normal season. FT tests, by applying one-dimensional freeze-thaw action on specimen before MR
160 test, aim to detect the resilient modulus just after freeze-thaw action. FTW tests, by applying an addi-
161 tional wheel loads process after freeze-thaw action, could simulate a subgrade material that suffered
162 wheel loads at thawing season. FWT tests, by applying additional wheel loads process between freeze-
163 thaw action, could simulate a subgrade material suffered wheel loads at the freezing season. All tests
164 start with a fully saturated specimen, by confirming the pore pressure coefficient is 0.96 or more. In this
165 study, a fully saturated specimen for test under saturated condition is prepared as follows: (1) Supplying
166 CO₂ to replace the air in the specimen. (2) Supplying de-aired water through the specimen. As this study
167 uses the filter method and axis translation technique to prepare an unsaturated specimen from a saturated
168 condition, CO₂ could not be supplied in the specimen. The saturation process for test under unsaturated
169 conditions is prepared as follows: (1) Supplying de-aired water through the specimen. (2) Applying back

170 pressure of 200 kPa step by step. The u_w , u_a , and σ_c are 200, 200, and 220 kPa at the end. Table 2 sum-
171 marizes the sequence of each test. For example, the most complicated test, FWT test in unsaturated
172 condition, is performed as follows: (1) Applying consolidation process. Within this process,
173 predetermined consolidation stress of 41.4 kPa, which is the same as the highest confining pressure in
174 the MR test (AASHTO, 2003), is applied to the specimen. The u_w , u_a , and σ_c are set as 200, 200, and
175 241.4 kPa according to the 200 kPa back pressure. (2) Applying suction process to obtain an unsaturated
176 specimen. This process is carried out by decreasing u_w while keeping u_a and σ_c constant. (3) Applying
177 freezing process by lowering specimen temperature. (4) Applying wheel loads process with predeter-
178 mined cyclic deviator stress. (5) Applying thawing process by raising specimen temperature. (6) Per-
179 forming MR test. More details like test technique for unsaturated specimen preparation, stress state
180 during wheel loads process, and temperature conditions applied during the freeze-thaw process are ex-
181 plained in the next section. It is noted that suction process is applied prior to freezing process in FT,
182 FTW, and FWT tests under unsaturated conditions as shown in Table 2. This sequence means matric
183 suction is under control during the whole freeze-thaw action, which involves a high level of experi-
184 mental skill. Another simpler test sequence applies freeze-thaw action on a saturated specimen and
185 controls matric suction after freeze-thaw action, which is far from the actual situation as the subgrade
186 layer hardly to be saturated in winter. Lin et al. (2019b) compared performance of specimens prepared
187 by these two test sequences and found that more water drains out and axial displacement is larger during
188 the freeze-thaw process when freeze-thaw action is applied on a saturated specimen. Consequently, this
189 study applied suction process prior to freezing process as it better reproduces the actual situation that
190 subgrade material meets during the freezing season.

191 Table 2. Test sequence for U, UW, FT, FTW, and FWT test.

Test name	Test sequence													
	Consolidation process		Suction process		Freezing process		Wheel loads process		Thawing process		Wheel loads process		MR test	
U	→	○	→	○/×	→	×	→	×	→	×	→	×	→	○
UW	→	○	→	○/×	→	×	→	×	→	×	→	○	→	○

FT	→	○	→	○/×	→	○	→	×	→	○	→	×	→	○
FTW	→	○	→	○/×	→	○	→	×	→	○	→	○	→	○
FWT	→	○	→	○/×	→	○	→	○	→	○	→	×	→	○

Note: ○ means Applied this progress; × means Skipped this progress.

192

193 *4.3 Climate and wheel loading conditions*

194 4.3.1 Suction process

195 As mentioned in the Introduction part, to examine and evaluate the climate effect on M_r of subgrade
196 material, a series of suction-controlled MR tests with two kinds of water content, saturated and unsatu-
197 rated, under variant freeze-thaw history and wheel loads condition are performed. The unsaturated spec-
198 imen used in this study has a 40% degree of saturation, equals to 16% volumetric water content, as the
199 long-term field measurement data (Ishikawa et al., 2012) of the volumetric water content of a subgrade
200 layer in Hokkaido is about 16%. According to SWCC of Toyoura sand (Fig. 2), the matric suction (ψ)
201 is set as 3.75 kPa by axis translation technique (Fredlund and Morgenstern, 1977) to obtain this unsatu-
202 rated specimen. Suction process starts from the fully saturated and isotropic consolidated condition by
203 decreasing pore-water pressure in steps while keeping both confining pressure and pore-air pressure
204 constant. A decrease of pore-water pressure means an increase of matric suction, which causes the
205 drainage of pore-water from the specimen. Upon attaining an equilibrium condition, the drainage is
206 stopped. The above-described procedure is then repeated for a higher value of matric suction, ψ , until
207 3.75 kPa to finally obtain an unsaturated specimen. It is noted that, stress condition in Table 1 is used
208 in the saturated condition, based on a total stress approach in which the triaxial system measures the
209 mechanical response of the material subjected to different combinations of cyclic deviator stress (q_{cyclic})
210 and confining pressures (σ_c). From the unsaturated soil mechanics perspective, the air phase becomes
211 important in the measurement and control of matric suction and the total stress is replaced by the net
212 normal stress (Cary and Zapata, 2011), which is the difference between the total stress and the pore-air
213 pressure ($\sigma - u_a$). By using the axis translation technique, the confining pressure becomes the net confin-
214 ing pressure ($\sigma_c - u_a$) and the axial stress, σ_a , becomes the net axial stress ($\sigma_a - u_a$). This approach does not
215 affect the way the deviator stress is defined since the air pressure will affect σ_a and σ_c in the same

216 proportion. Consequently, the stress value in Table 1 is the same for an unsaturated test when the con-
217 fining pressure becomes the net confining pressure.

218 4.3.2 Freezing and thawing process

219 Temperatures of cap and pedestal during freeze-thaw process are shown in Fig. 4. One-dimensional
220 freeze-thaw action is achieved in the following steps. The initial temperature of cap and pedestal were
221 set and kept to 0 °C and 16.8 °C respectively and a frost heave cell (see Fig. 1) is mounted to restrict
222 the radial deformation during freeze-thaw process. The thermal shock is applied at the top end of the
223 specimen prior to freezing to avoid supercooling. Then, temperatures of cap and pedestal are lowered
224 to -18.9 °C and -2.1 °C respectively with a constant cooling rate of 1.67 °C/hr. Similar to the previous
225 research (Aoki et al., 2018), a constant cooling rate of 1.67 °C/hr is used in this study to avoid the
226 supercooled state, caused by too large rates, or excessive test time, caused by too small rates. Next,
227 temperatures of cap and pedestal are kept for 5 hours to ensure the uniformity of unfrozen water. The
228 thawed status is achieved by raising temperatures of cap and pedestal to 5 °C and 16.8 °C with a heating
229 rate of 1.67 °C/hr. Open-system freeze-thaw process is used in this test by opening the pedestal water
230 plumbing path during the freeze-thaw process, which means the specimen could drain in or out water
231 freely. Referring to JGS 0172-2009 (Japanese Geotechnical Society, 2009), applied axial stress during
232 the freeze-thaw process is set as 10 kPa. More details of freeze-thaw action and specimen performance
233 like drainage volume and axial displacement could be found in previous research (Lin et al., 2019b).

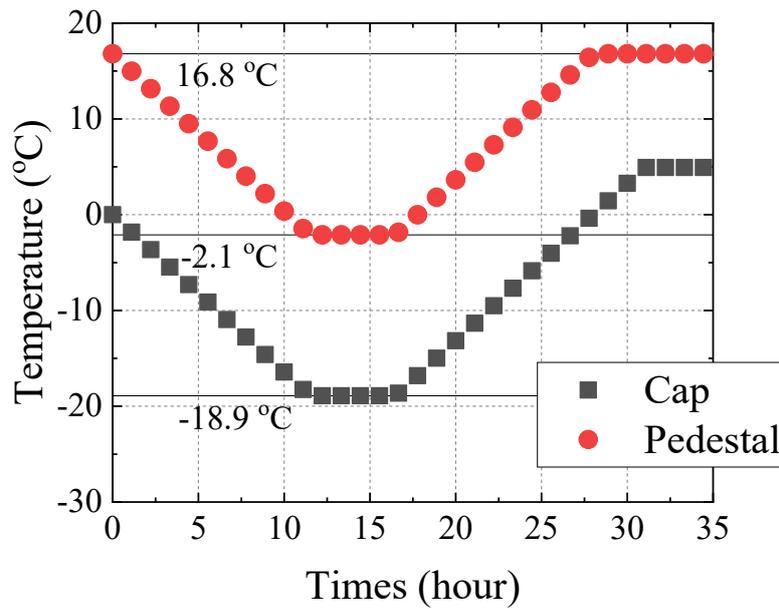
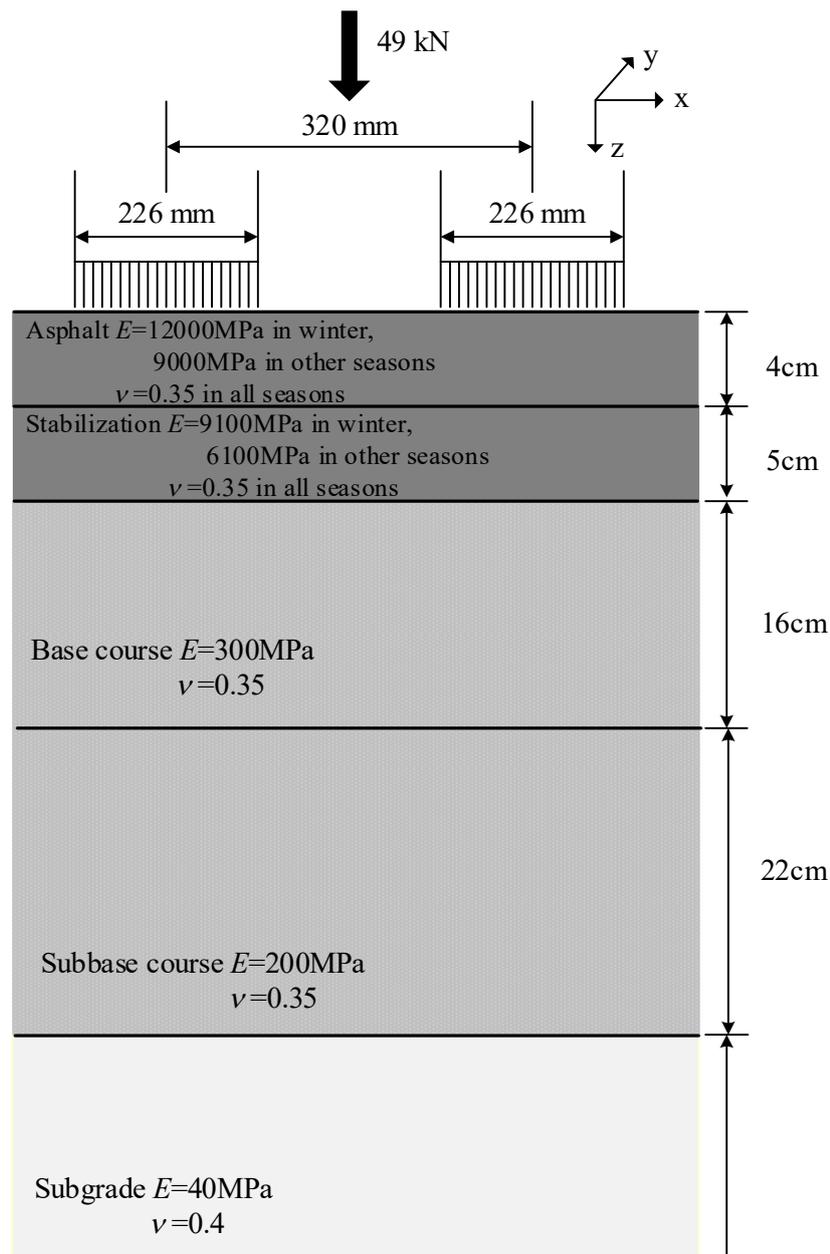


Fig. 4. Temperature of cap and pedestal during freeze-thaw process.

4.3.3 Wheel loads process

The wheel loads in this study could be classified into three types: loads on the unfrozen specimen (UW test), loads on the frozen specimen (FWT test), and loads on the thawed specimen (FTW test). Loading cycles of wheel loads in UW, FTW, and FWT tests are 1000 times. Loading frequency and pulse shape are the same as those in MR test loading (Fig. 3 (a)). Constant deviator stress (q_{cont}) and cyclic deviator stress (q_{cyclic}) during wheel loads process should be determined through an appropriate method to simulate actual stress state of the subgrade layer during normal or freezing seasons. General Analysis of Multi-layered Elastic Systems (GAMES) (Maina and Matsui, 2004) is used to determine the q_{cont} and q_{cyclic} on the subgrade layer caused by a 49-kN wheel load on a typical pavement structure in the Japanese design guide. Fig. 5 illustrates the pavement structure and parameters like Young's modulus, E , and Poisson's ratio, ν , used in this study. These values come from the Japanese design guide recommended value (Japan Road Association, 2006). It is noted that these moduli are roughly estimated values, which may have a large difference with in-situ measured data. Besides, the design guide sets moduli of base, subbase, and subgrade layers as constant, which implies a necessity of modification. A modification with variant E caused by climate effect will be realized and introduced in the latter part. According to GAMES calculation results, the q_{cont} and q_{cyclic} of wheel loads process in UW and FTW,

252 which simulate wheel loads in normal and thawing season, are set as 9.6 and 26.2 kPa. The q_{cont} and
 253 q_{cyclic} of wheel loads process in FWT, which simulate wheel loads in freezing season, are set as 9.6 and
 254 24.5 kPa. In FWT test, removing frost heave cell before applying wheel loads process is necessary as it
 255 restricts the specimen in a radial direction. Then, a copper pipe, which could circulate antifreeze, is
 256 installed around the specimen to keep the frozen status during the whole wheel loads process. When the
 257 wheel loads process is finished, the copper pipe is removed, and the thawing period is started.



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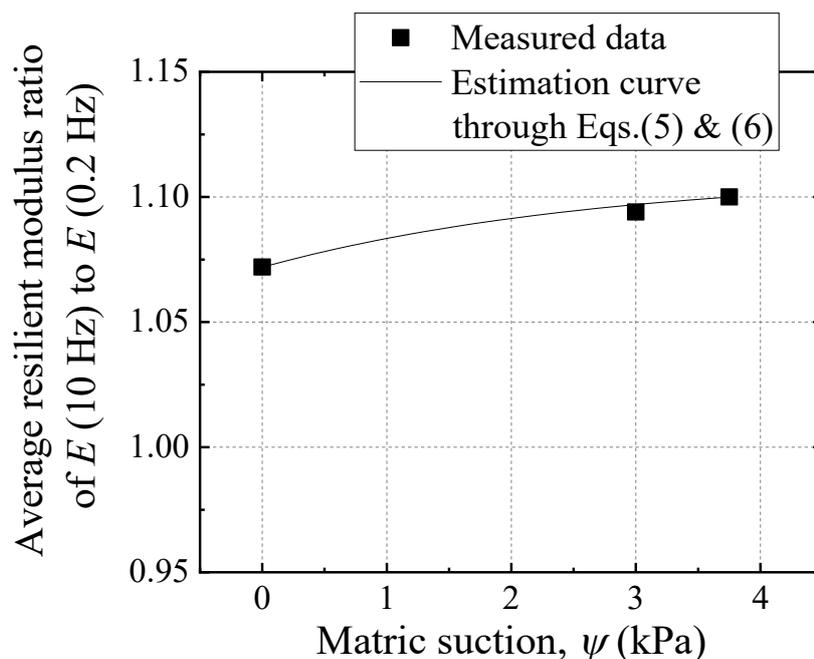
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Fig. 5. Pavement structure and E , ν used in GAMES.

260 **5. Test Results and Discussions**

261 *5.1 Effects of loading frequency*

262 Examining the influence of loading frequency is necessary to check the reliability of test results, and
263 the usefulness and generality of the proposed testing method, as the loading frequency used in AASHTO
264 standard is 10 Hz. Toyoura sand specimens with three water content (40%, 70%, and 100% degree of
265 saturation, matric suction for such degree of saturation is 3.75kPa, 3 kPa, and 0 kPa respectively) are
266 prepared to perform MR test with two different apparatus, freeze-thaw triaxial apparatus and medium-
267 size triaxial apparatus. Medium-size triaxial apparatus could apply loading frequencies of 10 Hz. Details
268 of medium-size triaxial apparatus could be checked in previous research (Ishikawa et al., 2014). Fig. 6
269 plots the average resilient modulus ratio between M_r of MR-1 to MR-8 with high frequency and low
270 frequency under different matric suction conditions. The fitting curve shown in Fig. 6 is obtained
271 through Eqs. (5) and (6) and will be explained later. First of all, all ratios are higher than 1, which means
272 that higher loading frequency always leads to a higher modulus regardless of the degree of saturation.
273 Fig. 6 also illustrates that matric suction influences the average resilient modulus ratio between different
274 loading frequencies. To be precise, the average resilient modulus ratio increases with ψ , which also
275 implies that the frequency effect is more significant for a specimen with higher matric suction.



276

277

Fig. 6. Average resilient modulus ratio under different matric suction conditions.

278 Eq. (4) was proposed to quantitatively describe the frequency effect on the resilient modulus (Kim et al.,
 279 1997).

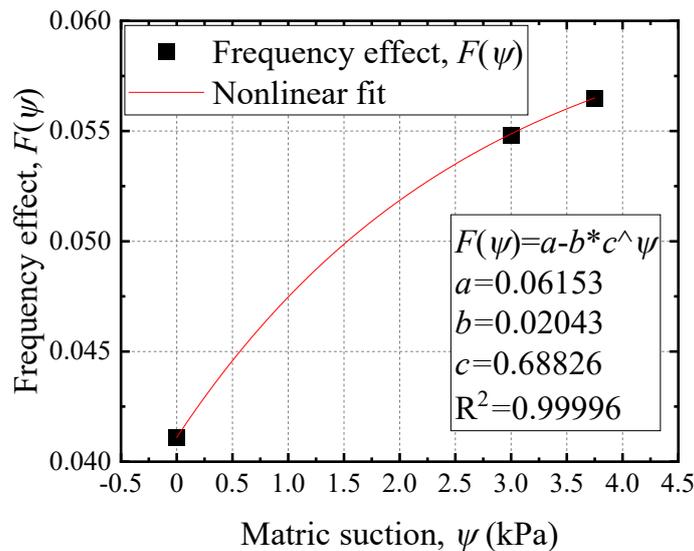
$$280 \frac{E(f)}{E(0.5 \text{ Hz})} = 1 + F(\psi) * \text{Log}(f) \quad (4)$$

281 where f is loading frequency; $E(0.5 \text{ Hz})$ is resilient modulus obtained at $f=0.5 \text{ Hz}$; $E(f)$ is predicted
 282 resilient modulus at any frequency, f ; $F(\psi)$ is referred as the frequency effect, which is affected by
 283 matric suction, ψ .

284 Based on Eq. (4), the average resilient modulus ratio between 10 Hz and 0.2 Hz could be expressed as
 285 follows:

$$286 \frac{E(10 \text{ Hz})}{E(0.2 \text{ Hz})} = \frac{E(10 \text{ Hz})}{E(0.5 \text{ Hz})} \bigg/ \frac{E(0.2 \text{ Hz})}{E(0.5 \text{ Hz})} = \frac{1+F(\psi)*\text{Log}(10)}{1+F(\psi)*\text{Log}(0.2)} = \frac{1+F(\psi)}{1-0.6989F(\psi)} \quad (5)$$

287 Based on Eq. (5) and measured average resilient modulus ratio, the value of $F(\psi)$ under three matric
 288 suction values could be determined as shown in Fig. 7.



289

290 Fig. 7. Frequency effect under different matric suction conditions.

291 A nonlinear equation ($F(\psi)=a-b*c^\psi$) is chosen as the fitting model because it would not meet such
 292 question that $F(\psi)$ increases to an unrealistic level when the ψ is extremely large. Besides, parameters
 293 in this nonlinear equation have a clearer physical meaning. When ψ goes to an infinite value or zero,
 294 $F(\psi)$ equals to a or $a-b$. As a result, a means upper limit of $F(\psi)$ and $a-b$ means $F(\psi)$ when the specimen

295 is fully saturated. Substituting fitted frequency effect, $F(\psi)$, into Eq. (5), predicted average resilient
296 modulus ratio with high accuracy could be obtained (see the black line in Fig. 6).

297 Consequently, M_r obtained through freeze-thaw triaxial apparatus with limited loading frequency could
298 be converted to general AASHTO standard M_r through Eqs. (5) and (6). Since limited unsaturated con-
299 dition and soil type are used to investigate the effect of loading frequency, this conversion should only
300 be used here to overcome frequency effect. Besides, different loading frequencies may lead to some
301 unclear changes in pore water pressure, pore air pressure, and so on. More study on the frequency effect
302 is necessary to improve understanding of resilient modulus.

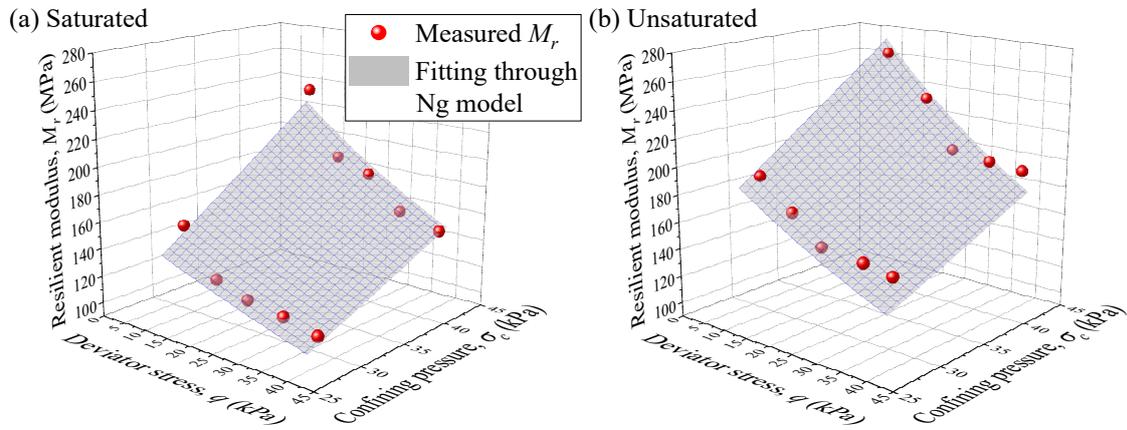
$$303 \quad F(\psi) = 0.06153 - 0.02043 * 0.68826^\psi \quad (6)$$

304 *5.2 Effects of matric suction on resilient modulus*

305 Aiming to quantitatively analyze the effect of stress state, freeze-thaw action, matric suction on the M_r ,
306 this study performs regression analysis with Ng model to obtain the value of k_1, k_2, k_3, k_4 from a series
307 of MR tests and investigate how freeze-thaw action and fluctuating water content affect these values.
308 Figs. 8 to 12 illustrate M_r of U, UW, FT, FTW, and FWT test respectively. The red dot is measured M_r
309 and the fitting surface is obtained through Ng model (Eq. (2)). It is noted that these results are converted
310 data through Eqs. (5) and (6) and seen as general AASHTO standard resilient modulus. Table 3 lists
311 regression analysis results through Ng model. Regression analysis here is performed regardless of the
312 degree of saturation. In other words, saturated and unsaturated U tests are treated as one group to per-
313 form regression analysis. UW, FT, FTW, and FWT tests are regression analyzed in the same method.
314 High R^2 values validate the applicability of Ng model.

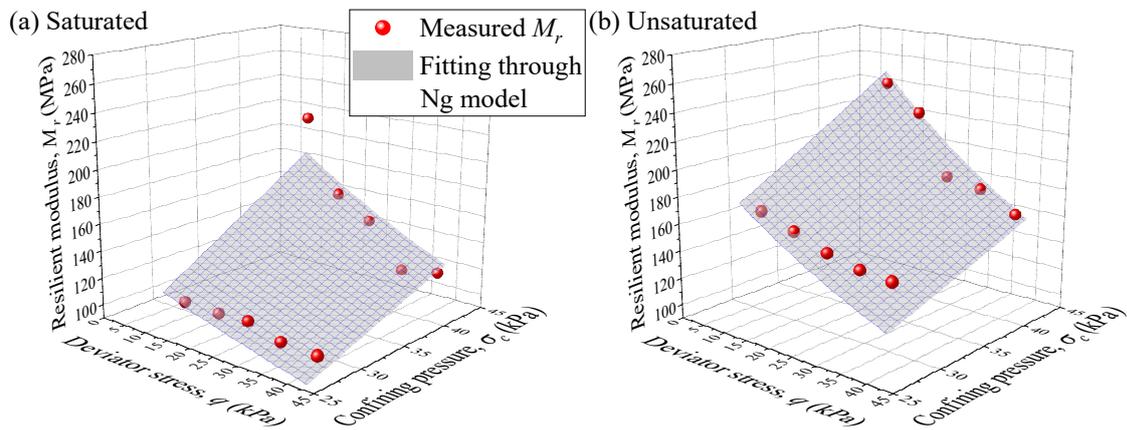
315 It is well known that M_r highly relates to stress variables. To be specific, M_r increases with lower devi-
316 ator stress (q) or higher confining pressure (σ_c) and matric suction (ψ). This is because a higher σ_c leads
317 to increasing of frictional force, which helps resist soil deformation. This trend could be found during
318 all test results as shown in Fig. 6 to 10. As shown in Figs. 8 to 12, all unsaturated tests have a higher M_r
319 than saturated tests regardless of freeze-thaw and wheel loads history. Additionally, M_r obtained from
320 unsaturated specimen through all tests has a more slanting surface, in other words, q and σ_c have a more
321 significant influence on the M_r when the specimen is unsaturated. Consequently, suddenly rising water

322 content, induced by the inflow of snowmelt water and the thawing of ice lenses at thawing season or
323 heavy rainfall at summertime, greatly degrades the subgrade layer stiffness.



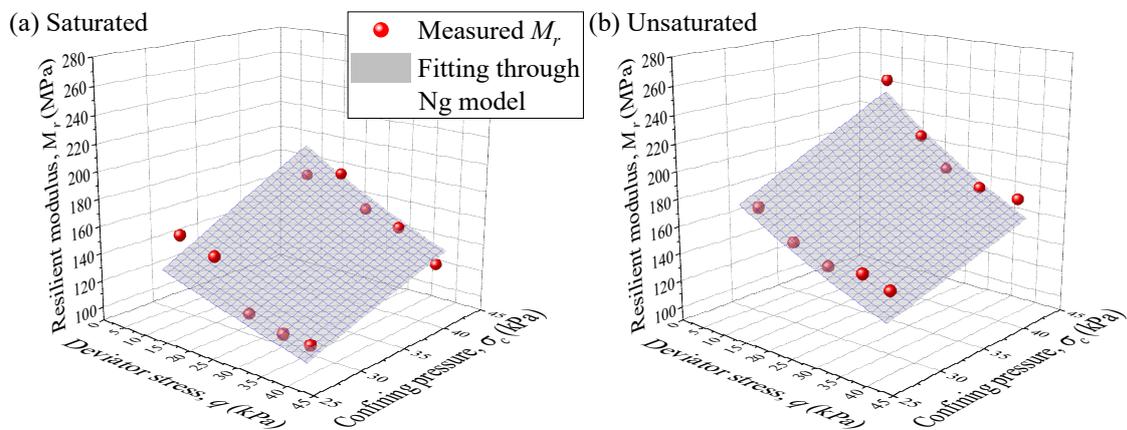
324

325 Fig. 8. Resilient modulus of U test under saturated and unsaturated condition.



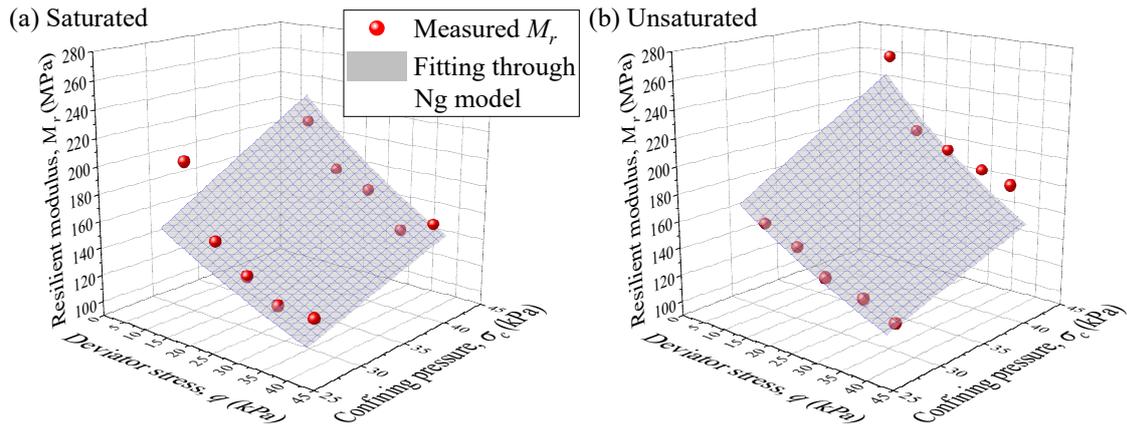
326

327 Fig. 9. Resilient modulus of UW test under saturated and unsaturated condition.



328

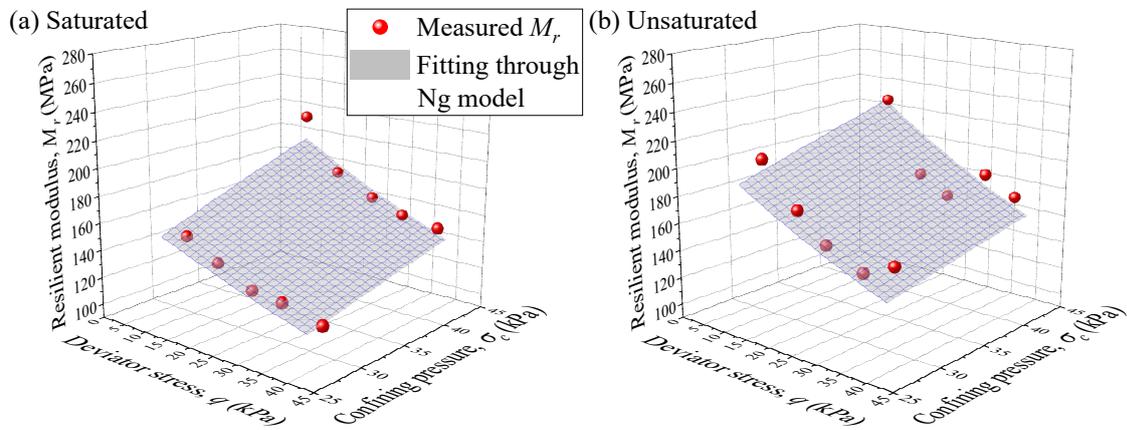
329 Fig. 10. Resilient modulus of FT test under saturated and unsaturated condition.



330

331

Fig. 11. Resilient modulus of FTW test under saturated and unsaturated condition.



332

333

Fig. 12. Resilient modulus of FWT test under saturated and unsaturated condition.

334 Table 3. Regression analysis results through Ng model.

Test name	k_1	k_2	k_3	k_4	R^2
U	2.103	1.065	-4.843	2.740	0.949
UW	1.750	1.088	-4.916	3.639	0.905
FT	1.873	0.844	-4.111	2.474	0.910
FTW	2.328	0.823	-4.967	0.845	0.803
FWT	2.011	0.538	-3.382	1.766	0.805

335 5.3 Effects of freeze-thaw action

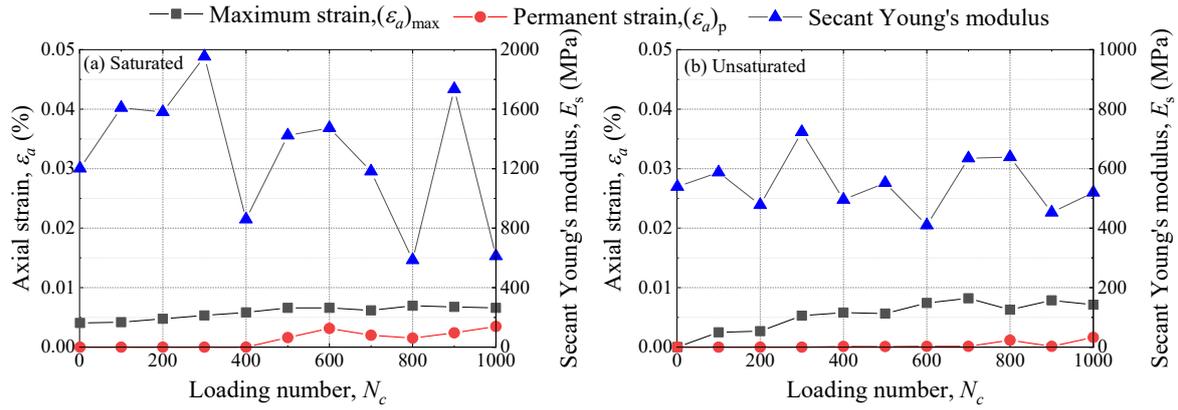
336 Freeze-thaw action decreases the M_r when other test conditions are the same as revealed by the com-

337 parison between U and FT tests or UW and FTW tests no matter under saturated or unsaturated

338 conditions. Another phenomenon worth pointing out is all M_r surfaces in the freeze-thawed test are
339 lower and flatter compared with those surfaces in the unfrozen test. This observation through geometry
340 view could be described as when the σ_c increases the same amount in both unfrozen tests (U or UW)
341 and freeze-thawed tests (FT or FTW), the M_r of the unfrozen specimen would increase more signifi-
342 cantly. Besides, when q increases the same amount in both unfrozen tests (U or UW) and freeze-thawed
343 tests (FT or FTW), the M_r of the unfrozen specimen would decrease more significantly. In other words,
344 freeze-thaw also lowers the sensitivity of M_r to stress variables like q or σ_c . These findings indicate that
345 only attribute thaw weakening to suddenly rising water content is not sufficient for modeling the M_r .
346 Even without rising water content, freeze-thaw action could also degrade the stiffness of subgrade ma-
347 terial. Thaw weakening is more like a synergistic effect between freeze-thaw and fluctuating water
348 content. Past research (Lin et al., 2019b) observed that specimen height would increase, and the water
349 would drain out of the specimen when water is transforming to ice in the freezing process due to the 9%
350 volumetric expansion. Accordingly, with the melting of ice in thawing process, specimen height would
351 decrease, and water would drain into the specimen. With the expansion and settled down of specimen,
352 the soil particle skeleton structure is rearranged. It is reasonable to assume that the freeze-thaw process
353 deteriorates the uniformity of particle skeleton structure and finally leads to worse mechanical proper-
354 ties. In fact, changes in soil structure of clay due to freeze-thaw has been investigated by some research-
355 ers. By X-ray μ CT scanning, Starkloff et al. (2017) determined a reduction in macroporosity ($>140 \mu\text{m}$
356 pore diameter), pore thickness, and their specific surface area for a silty clay loam and especially for a
357 loamy sand after several freeze-thaw cycles. Wang et al. (2018) observed close correlations between
358 the physical property changes and the CTI, variation in CT image intensity, for clay before and after
359 freeze-thaw cycles. Leuther and Schlüter (2021) found that frost action induced a consolidation of re-
360 packed soil clods, resulting in a systematic reduction in pore sizes and macropore connectivity by X-
361 ray μ CT. It is noted that a more detailed and explicit study on soil structure of sand before and after
362 freeze-thaw or track of water migration during freeze-thaw process is necessary to support this assump-
363 tion.

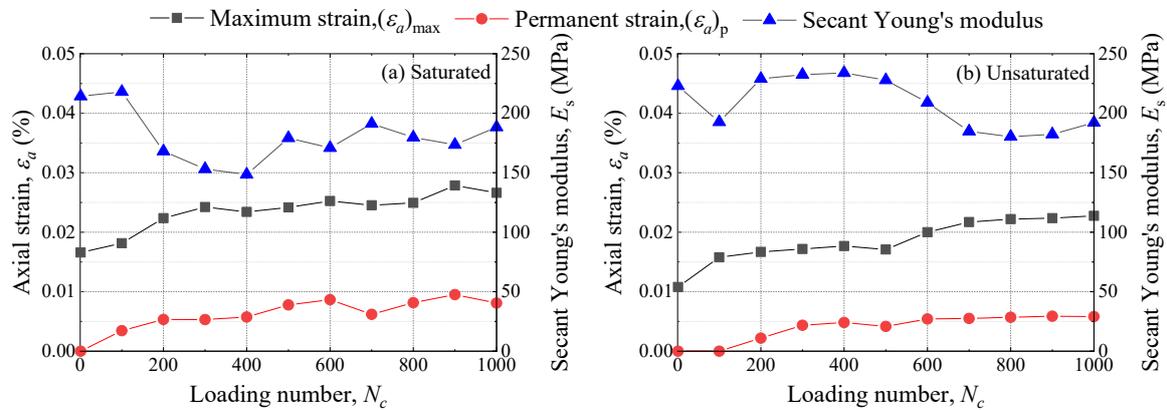
364 *5.4 Effects of wheel loads*

365 For unfrozen specimen, the wheel loads reduce M_r , as indicated in Figs. 8 and 9 (U test and UW test),
366 partly due to the disturbance of soil particle skeleton structure uniformity caused by wheel loads.
367 Whereas, the wheel loads after the freeze-thaw process elevate M_r a little, as illustrated in Figs. 10 and
368 11 (FT test and FTW test). In this case, the role of wheel loads for freeze-thawed soil is some kind of
369 consolidation to help the specimen regain the uniformity of particle skeleton structure. On the other
370 hand, wheel loads applied on a frozen specimen do not affect M_r greatly, as shown through the compar-
371 ison between results from FT test and FWT test (see Figs. 10 and 12). A reasonable explanation for this
372 phenomenon is that a frozen specimen has a much higher stiffness than a thawed specimen. Figs. 13
373 and 14 demonstrate the relationship between the loading number, N_c , and axial strain, ε_a , and Secant
374 Young's modulus, E_s , during wheel loads process in FWT test and FTW test separately. It is noted that
375 the Secant Young's modulus (E_s) in this study is defined as the ratio of cyclic deviator stress to the
376 maximum axial strain, $(\varepsilon_a)_{\max}$, during one loading cycle, which consists of recoverable axial strain, $(\varepsilon_a)_r$,
377 and permanent axial strain, $(\varepsilon_a)_p$. The smallest axial strain during one loading cycle is defined as $(\varepsilon_a)_p$.
378 It is obvious that a frozen specimen has a larger modulus than an unfrozen specimen when the degree
379 of saturation is the same. To be specific, the stiffness of frozen saturated or unsaturated specimens is 6
380 or 3 times that of the thawed specimen. Within all frozen specimens, though saturated one has a much
381 larger stiffness than unsaturated one as more ice is formed in the saturated specimen, axial strains in
382 these two specimens are both at a very low level. Despite frost-susceptibility of the soil, freezing action
383 significantly amplifies the stiffness of the specimen since ice has a much larger stiffness as compared
384 with soils. Consequently, the axial strain of frozen specimen, no matter maximum axial strain, $(\varepsilon_a)_{\max}$,
385 or permanent axial strain, $(\varepsilon_a)_p$, will be a very small value compared with those of thawed specimen. An
386 extremely low ε_a for saturated frozen specimen causes the variation in measured stiffness as the axial
387 displacement transducer fluctuates when measuring such low value. Besides, by comparing Fig. 14 (a)
388 and (b), the unsaturated specimen shows a larger E_s and smaller ε_a than those of the saturated specimen,
389 which implies that matric suction strengthens the mechanical properties of a thawed specimen.



390

391 Fig. 13. Axial strain and Secant Young's modulus during wheel loads process in FWT test.



392

393 Fig. 14. Axial strain and Secant Young's modulus during wheel loads process in FTW test.

394 **6. Proposal of a modified climate model**

395 *6.1 Applicability of EICM*

396 As above mentioned, both freeze-thaw and rising water content have a negative influence on the resili-
 397 ent modulus. In general, these two factors both contribute to the thaw weakening illustrated by compar-
 398 ing M_r of unsaturated unfrozen (Fig. 8 (b)) and saturated freeze-thawed test (Fig. 10 (a)). This syner-
 399 gistic effects of water content and freeze-thaw on M_r has a more complicated mechanism. By comparing
 400 Fig. 8 and 10, decreasing amount of M_r is more significant in a saturated condition, which implies that
 401 a decrease in M_r caused by freeze-thaw is strongly related to the water content before freezing. More
 402 water contents exist in a specimen when the freeze-thaw action is applied, the M_r decreases more sig-
 403 nificantly after a freeze-thaw action. It is reasonable to assume that the amount of ice formed during the

404 freezing period has a positive relationship with the change of particle skeleton structure and the de-
 405 creasing amount of M_r .

406 Ng model uses the regression constants k_2 , k_3 , and k_4 to reflect the influence of bulk stress, θ , octahe-
 407 dral shear stress, τ_{oct} , and matric suction, ψ , on M_r separately. Since M_r increases with larger θ and ψ ,
 408 k_2 and k_4 are positive values. Besides, as M_r decreases with larger τ_{oct} , k_3 is a negative value. As a
 409 result, a larger absolute value of k_2 , k_3 , and k_4 means a higher effect of θ , τ_{oct} , and ψ on M_r . As shown
 410 in Table 3, k_2 , k_3 , and k_4 of the FT test have smaller absolute values comparing with them in the U
 411 test, which is consistent with observations that freeze-thaw weakens the influence of θ , τ_{oct} , and ψ .

412 Regression analysis for FT and FTW tests through EICM is performed to check its applicability and
 413 validity. To extend this model into unsaturated conditions, F_{env} in Eq. (3) is added on the Ng model
 414 (Eq. (2)) in a similar way as shown in Eq. (7). As mentioned before, EICM estimates the reduction
 415 of M_r caused by freeze-thaw action with an adjustment factor, F_{env} . To examine whether this param-
 416 eter could fully capture the climate effect, regression analysis is conducted with one variable, F_{env} ,
 417 and four fixed parameters, k_1 , k_2 , k_3 , and k_4 . k_1 to k_4 are determined by regression analysis results of
 418 U and UW tests obtained by Ng model (Eq. (2)).

$$419 \quad M_r = F_{env} \cdot k_1 p_a \left(\frac{\theta}{p_a} \right)^{k_2} \left(\frac{\tau_{oct}}{p_a} + 1 \right)^{k_3} \left(\frac{\psi}{\sigma_{net}} + 1 \right)^{k_4} \quad (7)$$

420 Table 4 lists the regression analysis results of FT and FTW tests through EICM model (Eq. (7)). A
 421 decreasing R^2 value compared with R^2 shown in Table 3 implies the conventional model cannot fully
 422 capture the climate effect. Structure in Eq. (7) indicates that k_2 , k_3 , and k_4 are not influenced by F_{env} ,
 423 as EICM assumes the climate effect only raises or lowers the M_r surface but does not change the
 424 surface shape. However, as shown in Figs. 8 to 12, it is so obvious that this assumption is not con-
 425 sistent with test results in this study, that is climate effect not only raise or lower the M_r surface but
 426 also change the surface shape.

427 Table 4. Applicability of EICM and modified Ng models.

Test name	k_1	k_2	k_3	k_4	F_{env}	F_{clim}	R^2
-----------	-------	-------	-------	-------	-----------	------------	-------

U test	2.103	1.065	-4.843	2.740	—	—	0.949
FT test (EICM)	2.103	1.065	-4.843	2.740	0.897	—	0.872
FT test (Modified)	2.103	1.065	-4.843	2.740	—	0.885	0.901
UW test	1.750	1.088	-4.916	3.640	—	—	0.905
FTW test (EICM)	1.750	1.088	-4.916	3.640	0.680	—	0.506
FTW test (Modified)	1.750	1.088	-4.916	3.640	—	0.668	0.619

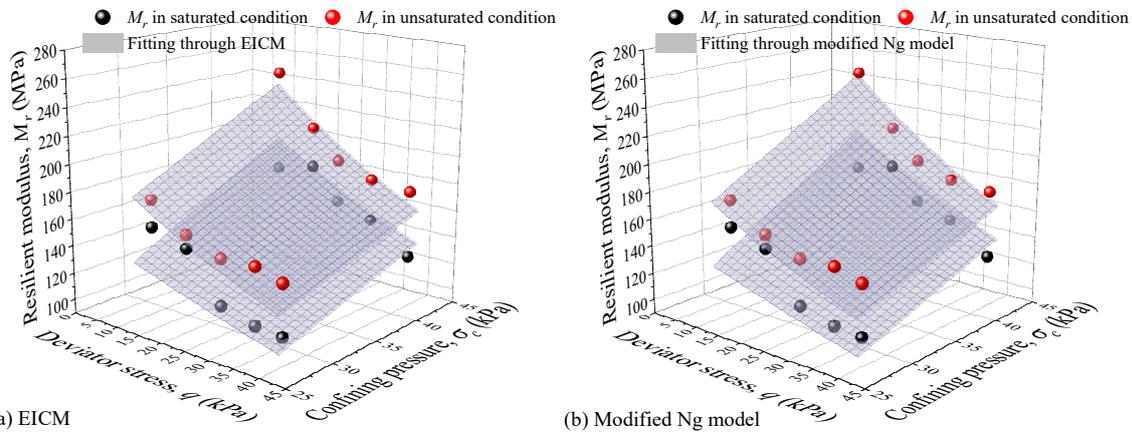
428 6.2 Modified Ng model

429 To overcome this drawback, this study assumes the climate effect could be expressed by an adjusting
430 factor that decreases k_1 , k_2 , k_3 , and k_4 with the same ratio. This assumption is quite reasonable as k_1 ,
431 k_2 , k_3 , and k_4 all have a smaller absolute value by a similar degree when the specimen is subjected to
432 freeze-thaw action, indicated by regression analysis results of U test and FT test in Table 3. Based
433 on this assumption, Ng model (Eq. (2)) is modified by adding a new parameter, F_{clim} , into all regression
434 parameters (k_1 , k_2 , k_3 , and k_4), as shown in Eq. (8). Same as before, it is noted that only F_{clim} is variable
435 and k_1 to k_4 are fixed as the value obtained through regression analysis results of U or UW test.

$$436 M_r = F_{clim} \cdot k_1 p_a \left(\frac{\theta}{p_a} \right)^{F_{clim} \cdot k_2} \left(\frac{\tau_{oct}}{p_a} + 1 \right)^{F_{clim} \cdot k_3} \left(\frac{\psi}{\sigma_{net}} + 1 \right)^{F_{clim} \cdot k_4} \quad (8)$$

437 Regression analysis results of FT and FTW tests shown in Table 4 proved that modified Ng model
438 has a better performance and accuracy compared with EICM. Fig. 15 illustrates the fitting surface for
439 FT test results through EICM and modified Ng model. It is obvious that fitting surface through mod-
440 ified Ng model better matches test results compared with fitting surface through EICM. Consequently,
441 the assumption is validated that freeze-thaw action lowers the influence of stress variables like bulk
442 stress, octahedral shear stress, and matric suction and decreases k_1 , k_2 , k_3 , and k_4 with the same ratio. It
443 is noted that R^2 value of tests with wheel loads process, UW and FTW tests, are all lower than R^2 of
444 tests without wheel loads process, U and FT tests. As discussed before, wheel loads process presents a
445 complicated effect on the mechanical properties of specimen when it is applied on a frozen, thawed, or
446 unfrozen specimen. Such a complicated effect decreases the accuracy of all models. A further and com-
447 prehensive study on wheel loads, especially how does it affect pavement fatigue life, is necessary.

448 Furthermore, principal stress axis rotation induced by wheel loads also needs to be considered as it
 449 greatly reduces pavement fatigue life (Ishikawa et al., 2019b; Lin et al., 2019c).



450 (a) EICM

(b) Modified Ng model

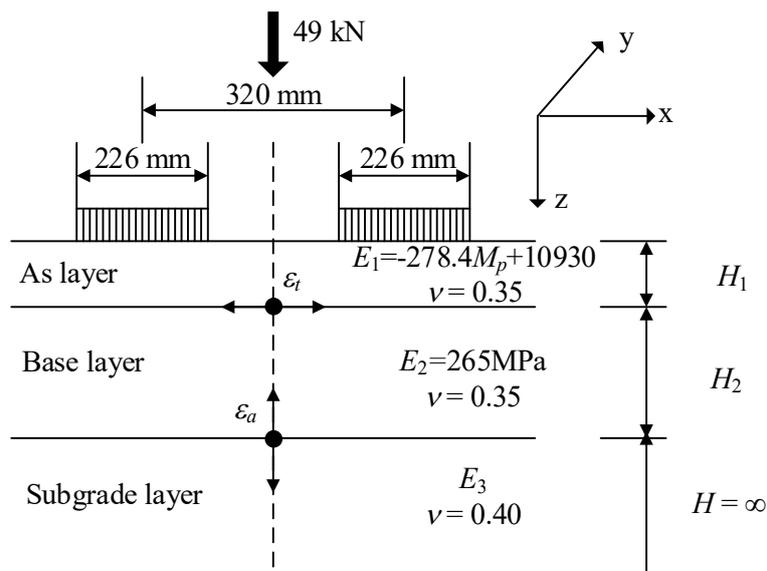
451 Fig. 15. Fitting surfaces of FT test results through (a) EICM (b) modified Ng model.

452 7. Application to Japanese Pavement Design Guide

453 7.1 Fatigue life analysis of asphalt pavement

454 To evaluate the climate effect on the degradation of subgrade layer, this chapter discusses the fatigue
 455 life of the asphalt pavement in cold regions. Japanese design guide provides fatigue failure criteria to
 456 calculate allowable number of equivalent 49-kN wheel loads against rutting (N_{fs}) and fatigue cracking
 457 (N_{fa}), which are calculated by a theoretical design method (Asphalt Institute, 1982) using a simplified
 458 three-layers model which consists of As layer, base layer, and subgrade layer as shown in Fig. 16. In
 459 general, the Japanese pavement design guide assumes the elastic moduli of base layer (E_2) and subgrade
 460 layers (E_3) are constant throughout a whole year. However, Ishikawa et al. (2019a) discussed the influ-
 461 ences of the seasonality in E_2 on the fatigue life of the asphalt pavement in cold regions by considering
 462 freeze-thaw action and seasonal fluctuation in water content through combining in-situ measured stiff-
 463 ness and laboratory tested stiffness data. In this study, to capture the seasonality of the E_3 and its effect
 464 on pavement fatigue life, E_2 is kept as a constant and E_3 varies considering freeze-thaw action and the
 465 associated seasonal fluctuation in water content. Moreover, since E_3 does not have a significant effect
 466 on the N_{fa} calculation model employed in the Japanese pavement design guide, only N_{fs} is calculated in
 467 this study using Eq. (9) (Japan Road Association, 2006).

468 It is noted that the three-layers model shown in Fig. 16 is simplified from the five-layers model shown
 469 in Fig. 5 by combining asphalt and stabilization into As layer and base and subbase into base layer.
 470 Such simplification is recommended since this study focuses on the effect of the seasonality of the E_3
 471 on pavement fatigue life, N_{fs} . Clear distinguishing between asphalt and stabilization or base and subbase
 472 does not greatly affect the calculated N_{fs} with changing E_3 . Besides, three-layers model helps achieve
 473 consistency when performing case study since only asphalt, base, and subgrade layer are essential in
 474 actual flexible pavement structure while stabilization and subbase layer are not always set (Fig. 17).
 475
$$N_{fs} = \beta_{s1} \cdot \{1.365 \times 10^{-9} \cdot \varepsilon_a^{-4.477} \cdot \beta_{s2}\}$$
 (9)
 476 where $\beta_{s1}=2134$ and $\beta_{s2}=0.819$ are the compensation coefficients for Asphalt Institute model based on
 477 the actual situation of Japanese pavement; ε_a is the compressive strain on the top surface of the subgrade
 478 layer under a design wheel load of 49 kN, which is greatly affected by E_3 .

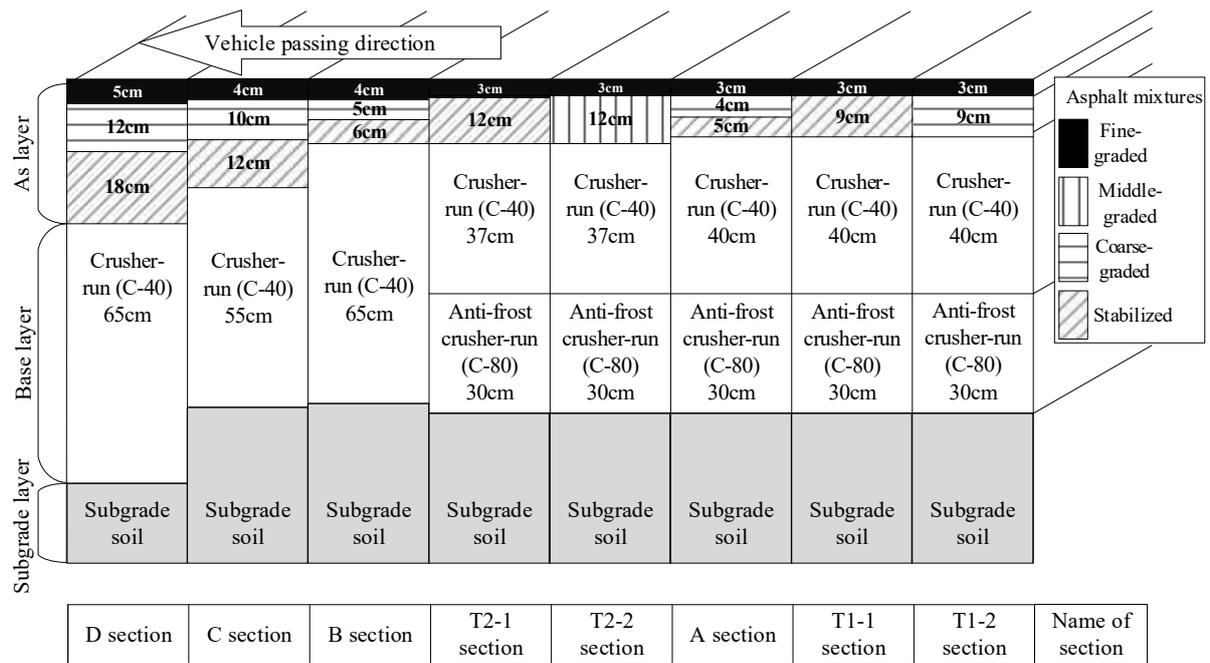


M_p : Monthly mean temperature of As layer.

479

480

Fig. 16. Cross section used in GAMES.



481

482

Fig. 17. Pavement structure models.

483

This study performed fatigue-life analysis against eight test pavement structures located at Hokkaido, Japan. Civil Engineering Research Institute for Cold Region (CERI) designed and constructed eight test pavements (Maruyama et al., 2006). Fig. 17 illustrates the structures and length of each test pavement.

486

All eight pavement structures consist of asphalt mixture, base layer, and subgrade layer with multiple materials and thicknesses. Four types of asphalt mixtures are used in test pavement. Fine-graded asphalt mixture has a 0 - 13 mm gradation distribution. Middle-graded asphalt mixture has the same range of gradation distribution but more coarse aggregate. Coarse-graded and stabilized asphalt mixture have a 0 - 20 mm and 0 - 30 mm gradation distribution separately. Two types of base layer material are used as C-40, crusher-run with maximum 40 mm gradation distribution, and C-80, anti-frost crusher-run with maximum 80 mm gradation distribution. The asphalt mixtures, crusher-run materials, and subgrade soil in one section are simplified into the As layer, base layer, and subgrade layer separately in GAMES analysis (Fig. 16). Consequently, all eight sections could be simplified into three layers models with different layer thicknesses to estimate ϵ_a through GAMES analysis. Then, monthly fatigue life against rutting, $N_{fs,i}$, is calculated with monthly representative layer moduli. The total fatigue life against rutting, $N_{fs,d}$, is calculated through Eq. (10).

497

498
$$N_{fs,d} = 12 / \sum_{i=1}^{12} \frac{1}{N_{fs,i}} \quad (10)$$

499 Stiffness of asphalt mixture (E_1) is determined through the following equations (Japan Road Association,
500 2006; Maruyama et al., 2008).

501
$$E_1 = -278.4M_p + 10930 \quad (11)$$

502
$$M_p = M_a \left[1 + \frac{2.54}{h+10.16} \right] - \frac{25.4}{9(h+10.16)} + \frac{10}{3} \quad (12)$$

503 where M_p is the monthly mean temperature of asphalt mixture at depth of h (°C); M_a is monthly mean air
504 temperature (°C); h is the depth equals to one-third of the height of asphalt mixture (cm).

505 Constant stiffness of base layer (E_2) through the year is set as 265MPa referring to previous research
506 (Maruyama et al., 2008).

507 When considering the climate effect, freeze-thaw action and seasonal fluctuation in water content, on
508 the stiffness of subgrade layer, the monthly representative elastic moduli were divided into three types
509 of seasonal E_3 values (E_3 for freezing season, thawing season, and regular season except for freezing
510 and thawing seasons) for the simplicity of the fatigue life analysis. The E_3 value for freezing season is
511 set as 200 MPa, according to the back analysis of FWD test results (Ishikawa et al., 2019a). Though
512 Young's modulus of freezing unsaturated specimen is detected around 600 MPa as shown in Fig. 11,
513 this test result was obtained under fully frozen status, which may be different from the in-situ condition
514 that only top of subgrade layer is frozen during winter season. Consequently, a 200 MPa modulus from
515 in-situ FWD test is treated as a more suitable value for the E_3 to represent whole subgrade layer during
516 freezing season. In addition, this study assumes that when the average frost-penetration depth for the
517 month gets into the subgrade layer regardless of deep or shallow, the E_3 increases due to freezing. Here,
518 the average frost-penetration depth (z) was calculated by substituting the freezing index calculated from
519 the daily mean air temperatures measured by AMeDAS (Automated Meteorological Data Acquisition
520 System) into the modified Berggren formula (Aldrich Jr, 1956) shown below:

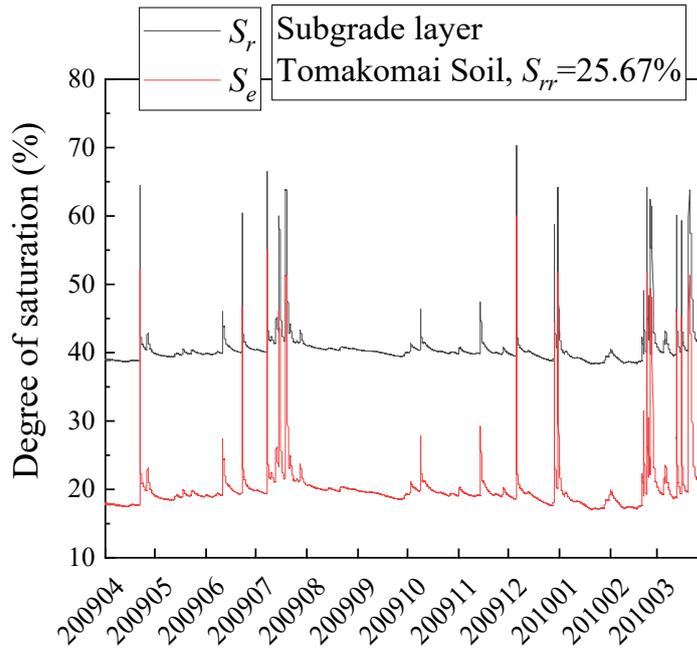
521
$$z = \alpha \sqrt{\frac{172800F}{(L/\lambda)_{eff}}} \quad (12)$$

522 where α is a correction coefficient; F is a freezing index which is the average air temperature during
523 freezing season multiplied by its duration in days; $(L/\lambda)_{eff}$ is an effective ratio of L to λ ; L is the latent
524 heat of soil; λ is a thermal conductivity of the soil.

525 The E_3 value for the regular season is estimated by substituting the value of k_1 , k_2 , k_3 , and k_4 into Ng
526 model as shown in Eq. (13). The principal stress ratio is equal to 4 under 5 kPa confining pressure to
527 determine bulk stress and octahedral shear stress. It is noted that this stress condition was selected so
528 that M_r at normal season matches layer stiffness determined in previous research (Maruyama et al.,
529 2008).

$$530 \quad M_r = 2.103 \cdot p_a \left(\frac{\theta}{p_a} \right)^{1.065} \left(\frac{\tau_{oct}}{p_a} + 1 \right)^{-4.843} \left(\frac{\psi}{\sigma_{net}} + 1 \right)^{2.74} \quad (13)$$

531 The matric suction in subgrade layer is also necessary to estimate E_3 . Ishikawa et al. (2012) performed
532 long-term field measurements of frost-penetration depth, daily precipitation, and degree of saturation
533 in each layer of the pavement. Fig. 18 illustrates the long-term field measured degree of saturation, S_r ,
534 of subgrade layer through a year. The subgrade material is a sandy soil, named Tomakomai soil, com-
535 posed of 8% clay, 13% silt, 51% sand, 28% gravel. This study uses Toyoura sand to represent the
536 subgrade layer since the mechanical and hydraulic properties of Tomakomai soil are under investigation.
537 It is assumed that effective degree of saturation, S_e , would be same in Toyoura sand subgrade and
538 Tomakomai soil subgrade under same climate condition. S_e of subgrade layer illustrated in Fig. 18 was
539 calculated by using a residual degree of saturation (S_{rr}) of 25.67%, which was determined through the
540 SWCC estimated with grain-size distribution of Tomakomai soil (Fredlund et al., 2002). Consequently,
541 monthly average S_e is selected to determine matric suction of subgrade layer in each month through
542 SWCC of Toyoura sand (Fig. 2), and monthly representative M_r is calculated by Eq. (13).



543

544

Fig. 18. Long-term field measured S_r of subgrade layer.

545 The E_3 value for thawing season is estimated by modified Ng model with considering climate effect,
 546 F_{clim} . By substituting F_{clim} and the value of k_1 , k_2 , k_3 , and k_4 into Eq. (8), the M_r of the thawed season
 547 could be estimated as shown in Eq. (14) and (15). It is noted that matric suction used here corresponds
 548 to the highest water content during thawing season. In other words, E_3 value for thawing season stands
 549 for the worst situation, and the recovering period from thawing season to regular season is not consid-
 550 ered in this paper. A furthermore comprehensive model is under developing to capture the recovering
 551 period.

$$552 \quad M_r = F_{clim} \cdot 2.103 \cdot p_a \left(\frac{\theta}{p_a}\right)^{F_{clim} \cdot 1.065} \left(\frac{\tau_{oct}}{p_a} + 1\right)^{F_{clim} \cdot 4.843} \left(\frac{\psi}{\sigma_{net}} + 1\right)^{F_{clim} \cdot 2.74} \quad (14)$$

$$553 \quad F_{clim} = 0.885 \quad (15)$$

554 Table 5. Monthly representative elastic moduli of subgrade layer, E_3 .

		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Original design guide		76	76	76	76	76	76	76	76	76	76	76	76
Water content fluctuation	10 Hz	77	75	61	76	76	71	69	76	76	76	76	75
	0.2 Hz	71	69	55	70	70	65	63	70	70	70	70	69
Climate effect	10 Hz	77	200	50	76	76	71	69	76	76	76	76	75

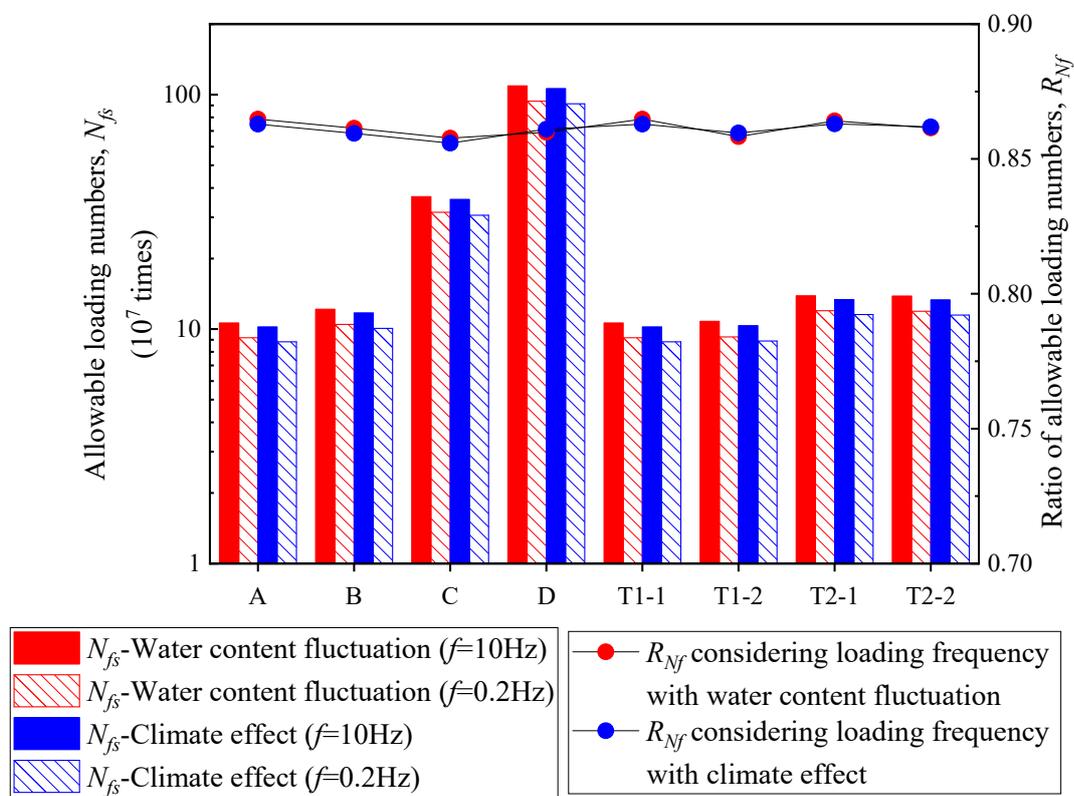
555 Consequently, Table 5 lists the estimated monthly representative E_3 through a year with consideration
556 of climate effect under different loading frequencies. E_3 ($f=0.2$ Hz) are estimated with original MR test
557 data, while E_3 ($f=10$ Hz) are estimated with converted MR test data through Eqs. (5) and (6). As the
558 climate effect is a synergistic effect between water content fluctuation and freeze-thaw action, it is
559 essential to check climate effect step by step. For this reason, monthly representative E_3 only consider-
560 ing water content fluctuation under different loading frequencies are also calculated through Eq. (13)
561 and shown in Table 5. Besides, referring to previous research (Maruyama et al., 2008), a constant E_3
562 through the year is set as 76MPa and applied in the original design guide condition. Poisson's ratio of
563 As layer, base layer, and subgrade layer are set as 0.35, 0.35, and 0.4 separately, which come from the
564 design guide recommend value.

565 From Table 5, it is recognized that compared with the original design guide condition, E_3 drops at early
566 spring (Mar) and summertime (Jun and Jul) when the water content fluctuation is considered since
567 snowmelt water and the thawing of ice lenses at thawing season and heavy rainfall at summertime
568 increases the water content in subgrade layer and finally decreases the stiffness. When freeze-thaw
569 action is also considered, E_3 drops furthermore at Mar due to the thaw weakening and increases to 200
570 MPa at Feb due to the freezing action. Besides, moduli decrease with loading frequency.

571 *7.2 Loading frequency effect on fatigue life of the pavement*

572 To discuss the influence of loading frequency on fatigue life against rutting, E_3 ($f=0.2$ Hz) and E_3 ($f=10$
573 Hz) listed in Table 5 are used to calculate N_{fs} in all eight test pavement structures. Fig. 19 displays the
574 N_{fs} with variant E_3 considering water content fluctuation (named as " N_{fs} -Water content fluctuation")
575 and climate effect (named as " N_{fs} -Climate effect") under different loading frequencies. It is obvious that
576 N_{fs} ($f=10$ Hz) are always larger than N_{fs} ($f=0.2$ Hz) no matter only consider water content fluctuation or
577 synergistic climate effect on E_3 . To clearly discuss the loading frequency effect on the fatigue life, the
578 ratio of N_{fs} (R_{Nf}) for different pavement structures are also plotted in Fig. 18. The R_{Nf} considering loading
579 frequency with water content fluctuation or climate effect is determined through dividing the N_{fs}
580 ($f=0.2$ Hz) by the N_{fs} ($f=10$ Hz) when considering water content fluctuation or synergistic climate effect

581 on E_3 . R_{Nf} in all pavement structures are around 0.861, which implies that fatigue life decreases about
 582 13.9% when loading frequency lowers from 10Hz to 0.2Hz. Conclusively, converting E_3 ($f=0.2\text{Hz}$) to
 583 E_3 ($f=10\text{Hz}$) is necessary as loading frequency effect could not be ignored.



584

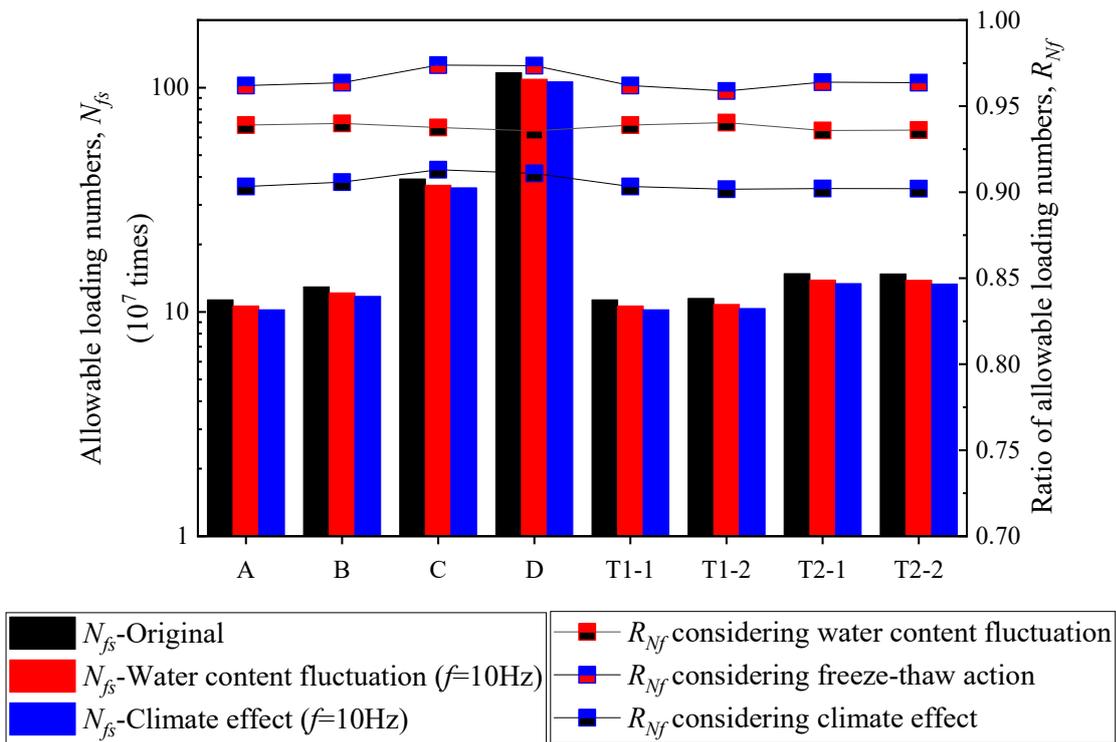
585

Fig. 19. Loading frequency effect on fatigue life against rutting.

586 7.3 Climate effect on fatigue life of the pavement

587 To discuss the climate effect, Fig. 20 displays the N_{fs} -Water content fluctuation and N_{fs} -Climate effect
 588 under 10Hz loading frequency. N_{fs} with constant E_3 of 76 MPa (named as “ N_{fs} -Original”) is also shown
 589 here. It is obvious that N_{fs} -Water content fluctuation is smaller than N_{fs} -Original but larger than N_{fs} -
 590 Climate effect, implies that reduced E_3 caused by sudden increasing water content decreases N_{fs} and
 591 freeze-thaw action decreases N_{fs} further as the reduced E_3 during thawing season has a stronger influ-
 592 ence on the N_{fs} than the increasing E_3 during the freezing season. To clearly discuss the influence of
 593 water content fluctuation, freeze-thaw action, and climate effect on the fatigue life, R_{Nf} for different
 594 structures are also plotted in Fig. 20. The R_{Nf} considering water content fluctuation or climate effect are
 595 determined through dividing the N_{fs} -Water content fluctuation or N_{fs} -Climate effect by the N_{fs} -Original,

596 while the R_{NF} considering freeze-thaw action is determined through dividing the N_{fs} -Climate effect by
 597 the N_{fs} -Water content fluctuation. All ratios are lower than 1, indicates that influence of water content
 598 fluctuation, freeze-thaw action, and climate effect on E_3 all decrease the fatigue life. R_{NF} caused by water
 599 content, freeze-thaw action, and climate effect are around 0.938, 0.965, and 0.905 separately. In other
 600 words, the N_{fs} decreases 6.2% when changing E_3 caused by water content fluctuation is considered and
 601 it would further decrease 3.5% when effect of freeze-thaw action on E_3 is also considered. A synergistic
 602 climate effect on E_3 decreases N_{fs} about 9.5%.
 603 These results suggest that for improving the applicability and validity of the current Japanese design
 604 standard, the introduction of the theoretical design method for pavement structures, which can take
 605 account of the effects of the freeze-thaw actions and the concurrent seasonal fluctuation in water content
 606 on the subgrade layer stiffness, is effective in the asphalt pavements for cold regions.



607

608 Fig. 20. Climate effect on fatigue life against rutting.

609 **8. Conclusions**

610 The following findings can be mainly obtained:

- 611 • Through performing a series of suction-controlled resilient modulus tests for a subgrade mate-
612 rial with variant freeze-thaw, wheel loads, and water contents conditions, the effects of freeze-
613 thaw actions and the concurrent seasonal fluctuations in water content (named as climate effect
614 in this study) are examined and evaluated. As a consequence, climate effect degrades the resil-
615 ient deformation characteristics of subgrade materials.
- 616 • Climate effect not only reduces the resilient modulus, but also weakens the influences of stress
617 variables like bulk stress, octahedral shear stress, and matric suction on resilient modulus. Be-
618 sides, the decreasing amount of resilient modulus caused by climate effect is more significant
619 in a saturated condition, which implies that a synergistic effect of water content and freeze-
620 thaw on the resilient modulus. The degradation in resilient modulus caused by freeze-thaw re-
621 lates to the water content before the specimen is frozen. It is reasonable to assume that the
622 amount of ice formed during the frozen period has a positive relationship with the change of
623 particle skeleton structure uniformity and degradation of the mechanical properties of subgrade
624 materials.
- 625 • A new parameter representing the climate effect, F_{clim} , is added into Ng model to quantitatively
626 evaluate resilient modulus for the subgrade material under complex freeze-thaw, fluctuating
627 water content, and variant stress states. Better performance compared with EICM proved the
628 applicability and reliability of newly proposed modified Ng model.
- 629 • To modify current Japanese design guide by replacing constant subgrade layer moduli with a
630 variant relating to water content fluctuation and freeze-thaw history, newly proposed modified
631 Ng model, long-term measured in-situ subgrade layer water content, and laboratory obtained
632 SWCC are used. Calculated fatigue life against rutting proves that both water content fluctua-
633 tion and freeze-thaw action degrade stiffness of subgrade layer and finally decrease the fatigue
634 life of asphalt pavements in cold regions. Accordingly, when developing a design method with
635 high prediction accuracy for the asphalt pavements in cold regions, it is important to consider
636 the change in the stiffness of subgrade layer caused by the climate conditions.

637 These findings indicate that a detailed understanding of the mechanical behavior of the subgrade during
638 freeze-thaw is essential to develop a mathematical model for the mechanical response of the subgrade
639 layer in cold regions and incorporate it into the theoretical design method for pavement structures. Fur-
640 ther and more comprehensive studies including more unbound granular materials with various water
641 contents are recommended to examine the validity, limitation of application, and so forth as these find-
642 ings are obtained through limited experimental conditions.

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