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Targeted demethylation and activation of *NLR5* augment cancer immunogenicity through MHC class I

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Abstract

Impaired expression of MHC (Major histocompatibility complex) class I in cancers constitutes a major mechanism of immune evasion. It has been well documented that low level of MHC class I is associated with poor prognosis and resistance to checkpoint blockade therapies. However, there is no technology to specifically induce MHC class I to date. Here, we show an approach for robust and specific induction of MHC class I by targeting an MHC class I transactivator (CITA)/NLRC5, using a CRISPR (clustered regularly interspaced short palindromic repeats)/Cas9-based gene-specific system, designated TRED-I (Targeted reactivation and demethylation for MHC-I). The TRED-I system specifically recruits a demethylating enzyme and transcriptional activators on the *NLRC5* promoter, driving increased MHC class I antigen presentation and accelerated CD8+ T cell activation. Introduction of the TRED-I system in animal cancer model exhibited tumor suppressive effects accompanied with increased infiltration and activation of CD8+ T cells. Moreover, this approach boosted the efficacy of checkpoint blockade therapy using anti-PD1 (Programmed cell death protein) antibody. Therefore, targeting *NLRC5* by this strategy provides an attractive therapeutic approach for cancer.

Significance Statement:

MHC class I molecules is a key player in human immune system and critical for detection and elimination of cancer cells. Although it has been known that augmented MHC class I expression is beneficial for cancer therapy, there has been no drug or technology to specifically induce MHC class I. Here, we report specific and robust induction of MHC class I expression in cancer by applying a modified CRISPR/Cas9 technology for enhancement of the expression of MHC class I transactivator, also known as NLRC5. NLRC5 induction activated anti-cancer immunity, reduced tumor volume, and enhanced efficacy of checkpoint blockade therapy. Therefore, targeting NLRC5 using this technology is a promising cancer therapeutic approach.

1 Main Text

3 Introduction

4 Antigen presentation through MHC class I is critical for recognition and eradication of cancers by
5 CD8+ T cells. While cytotoxic CD8+ T cells are equipped with strong tumor killing activity through
6 cytotoxic molecules such as perforin or granzymes (1), cancer cells evolve to evade CD8+ T cell
7 mediated anti-cancer immunity by various strategies for successful survival, replication and growth
8 (2). Downregulation of MHC class I gene expression is one of the major immune evasion
9 mechanisms observed in cancer (3). Moreover, the efficacy of immune checkpoint blockade (ICB)
10 therapy, such as anti-PD-1 therapy, is only 20~30% and the impaired MHC class I expression is
11 considered as one of the major mechanisms underlying this resistance (4-10).

12 An MHC class I transactivator (CITA), *NLRC5* functions as a master transcriptional regulator of
13 MHC class I and related genes (11, 12). Genetic and epigenetic alteration of *NLRC5* is associated
14 with cancer immune evasion and reduced responses to ICB therapy. *NLRC5* interacts with multiple
15 transcription factors on the promoters of MHC class I and related genes and generates the large
16 protein/DNA complex termed the MHC class I enhanceosome complex, which transcriptionally
17 activates genes encoding MHC class I and other key molecules, including proteasome, transporter,
18 and MHC class I subunit B2M (13). *Nlrc5* deletion causes reduced expression of MHC class I and
19 related genes and impaired CD8+ T cell-mediated killing of target cells in mice (14-17). In cancer
20 patients, the expression level of *NLRC5* is positively correlated with MHC class I expression and
21 patients' prognosis (18). Additionally, the expression level of *NLRC5* in cancer patients is positively
22 correlated with responsiveness to anti-PD-1 therapy (19). The *NLRC5* promoter is frequently and
23 aberrantly methylated in various cancers (18). The DNA methylation level of the *NLRC5* promoter
24 is negatively correlated with expression levels of *NLRC5*, MHC class I genes, and patients'
25 prognosis (18). Although demethylation of the *NLRC5* promoter is an attractive therapeutic target
26 to enhance host anti-cancer immunity by upregulation of the expression of *NLRC5* and MHC class
27 I genes, existing DNA demethylating drugs such as 5-Aza have limited clinical applicability due to
28 severe side effects including bone marrow suppression (20).

29 In this study, we have developed a novel immunotherapeutic approach using targeted
30 demethylation and activation of the *NLRC5* promoter. We developed modified CRISPR/Cas9
31 system that contains a demethylating enzyme and transcriptional activators (TRED-I; Targeted
32 Reactivation and Demethylation for MHC class I). The TRED-I system robustly and specifically
33 enhanced MHC class I-dependent immune responses and the efficacy of anti-PD-1 treatment in a
34 murine model of melanoma. Our results highlight targeting *NLRC5* by the TRED-I system as an

attractive therapeutic approach, which may be effectively used in combination with other cancer immunotherapies.

Results

Targeted demethylation of the *Nlrc5* promoter in B16F10 increased *Nlrc5* expression

NLRC5 promoter methylation status is negatively correlated with the MHC class I gene expression and infiltration of CD8⁺ T cells (18). We hypothesized that demethylation of the *NLRC5* promoter in cancer provides a potential therapeutic approach that promote immune cell infiltration by increasing the expression of *NLRC5* and dependent MHC class I and related genes. Consistent with previous reports (18), the *NLRC5* promoter was hypermethylated in melanoma and breast carcinoma (Fig. S1A,B), and the *NLRC5* methylation level was negatively correlated with the expression of *NLRC5*, infiltration of immune cells including CD8⁺ T cell, and its activation marker *GZMB* expression (Fig. 1A, Fig. S1C,D,E) (21). Therefore, we selected a poorly immunogenic mouse melanoma B16F10 cell line, which carries low MHC class I expression levels for experimentally interrogating the role of methylation in cancer resistance (22). Upon bisulfite sequencing, we found that the *Nlrc5* promoter was significantly methylated in B16F10 cells (Fig. 1B).

To induce targeted demethylation in the *Nlrc5* promoter, we utilized a modified CRISPR/Cas9 system, in which catalytically dead Cas9 (dCas9) is recruited to the *Nlrc5* promoter in single-guide RNA (sgRNA) sequence-specific manner (23). The catalytic domains of a Tet methylcytosine dioxygenases (TET1cd) were appended to the system through fusion with dCas9 or MS2 coat protein, which binds to aptamer sites included in the sgRNA (Fig. 1C). The multiple copies of TET1cd in the system were expected to efficiently induce site-specific DNA demethylation. The modified system is referred to as the TD-I (Targeted Demethylation for MHC class I) system (Fig. 1C). Similar approaches have successfully induced targeted demethylation (24-27).

We stably transduced the TD-I system into B16F10 cells and named the stable cell line as B16F10-TD-I. We optimized *Nlrc5*-targeting sgRNA by quantifying *Nlrc5* mRNA induction by each sgRNA. Non-targeting scrambled sgRNA was transduced as control, which was referred to as sgScramble. SgRNA #4 elicited the highest level of *Nlrc5* mRNA and was referred to as sg*Nlrc5* (Fig. 1D). We confirmed successful demethylation of the *Nlrc5* promoter as methylated cytosines were completely removed in TD-I-sg*Nlrc5* cells (Fig. 1E). Quantification of mRNA levels by qRT-PCR showed that TD-I-sg*Nlrc5* expressing cells exhibited increased levels of *Nlrc5*. Increased mRNA levels were also observed in *Nlrc5*-dependent MHC class I and related genes, including *H2-K^b*, *H2-D^b*, *Psmg9*, *Tap1*, and *B2m* (Fig. 1F). However, similar increases were not observed for *Ciita* in the presence of IFN- γ stimulation (Fig. 1G). To characterize the transcriptome profile, we performed RNA-seq analysis on TD-I-sgScramble and TD-I-sg*Nlrc5* cells, and identified *Nlrc5* as one of the significantly

upregulated genes (Fig. 1G, Datasets S1). We also confirmed that surface MHC class I levels were upregulated on TD-I-sg*Nlrc5* cells with or without IFN- γ stimulation (Fig. 1H, Fig. S2A). Next, we examined whether tumor immunogenicity was increased in TD-I-sg*Nlrc5* cells. B16F10-TD-I sgScramble and sg*Nlrc5* cells were loaded with the ovalbumin (OVA) peptide and co-cultured with OVA-specific OT-I CD8⁺ T cells. TD-I-sg*Nlrc5* cells showed higher proportions of activated IFN- γ ⁺ and CD69⁺ OT-I T cells when loaded with high doses of OVA peptide (Fig. 1I; Fig. S2B; Fig. S3A). These results indicate that demethylation of the *Nlrc5* promoter can upregulate MHC class I expression with modest increases of tumor immunogenicity towards CD8⁺ T cells in the B16F10 model.

Targeted demethylation and activation of *Nlrc5* enhanced B16F10 immunogenicity.

Since demethylation of the *Nlrc5* promoter in B16F10 cells resulted in only modest increases in tumor immunogenicity, we sought to enhance *Nlrc5* transactivation by adding transcriptional activators to the TD-I system. Three transcriptional activators, VP64, P65, and HSF1, were appended to the TD-I system, which was referred to as the TRED-I system (Fig. 2A). Recruitment of these activators to target genes by the CRISPR/dCas9 system were shown to induce robust and specific gene activation (28). We stably transduced the TRED-I system into B16F10 cells and then generated a B16F10-TRED-I cell line. Non-targeting control sgRNA, sgScramble or *Nlrc5* targeting sgRNA, sg*Nlrc5* were also stably transduced into B16F10-TRED-I cells. The specific demethylation of the *Nlrc5* promoter in B16F10-TRED-I was confirmed by bisulfite PCR with reduced methylated cytosines only adjacent to the sg*Nlrc5* targeting site (Fig. 2B; Fig. S4A; Fig. S5B). qRT-PCR analysis of TRED-I-sg*Nlrc5* cells showed increased mRNA levels of *Nlrc5* and *Nlrc5*-dependent MHC class I and related genes, including *H2-K^b*, *H2-D^b*, *Psmb9*, *Tap1*, and *B2m*, but not of an unrelated *Mx1* gene, compared to TRED-I-sgScramble cells (Fig. 2C). RNA-seq analysis showed that *Nlrc5* and *Nlrc5*-dependent MHC class I and related genes comprised most of the significantly highly expressed genes in TRED-I-sg*Nlrc5* cells. However, the expression of *Nlrc5*-independent transcription factors *Stat1* and *Irf1* (29) was not upregulated in TRED-I-sg*Nlrc5* cells, confirming the specificity of the TRED-I system (Fig. 2D, Fig. S5A, Datasets S1). Surface H2-K^b, H2-D^b, and H2-K^b/D^b levels, evaluated by flow cytometry, were also significantly upregulated on TRED-I-sg*Nlrc5* cells (Fig. 2E; Fig. S2A).

It has been shown that DNA methylation may affect histone acetylation status through the recruitment of methyl-DNA binding proteins and activity of histone deacetylases (HDACs) (30-32). To ask if histone acetylation status is affected by demethylation of the *Nlrc5* promoter, we performed ChIP-qPCR for TD-I and TRED-I sgScramble and sg*Nlrc5* cells using an anti-acetyl histone H3 antibody (Fig. S5B). Histone H3 acetylation levels were significantly increased in the location adjacent to sg*Nlrc5* targeting site in the *Nlrc5* promoter (*Nlrc5* Exon 1). A similar increase was observed in the H2-D^b promoter in TD-I and TRED-I sg*Nlrc5* cells, as well as the H2-K^b

promoter in TRED-I cells compared to sgScramble controls. However, corresponding increases were not observed in locations distant from sg*Nlr5* in the *Nlr5* locus (*Nlr5* Exon 23) or the *Gapdh* promoter (Fig. S5C). These data indicate that TD-I-dependent demethylation of the *Nlr5* promoter induced histone H3 acetylation at the local promoter site, as well as at the MHC class I locus, possibly due to the upregulation of NLRC5, which co-operates with histone acetyl transferases (HATs) (12).

Next, we investigated whether tumor immunogenicity was increased in TRED-I-sg*Nlr5* cells by co-culturing with OT-I CD8⁺ T cells after loading them with OVA peptide. Compared to OT-I CD8⁺ T cells co-cultured with TRED-I-sgScramble cells, OT-I T cells with TRED-I-sg*Nlr5* cells exhibited increased activation accompanied with higher frequency in IFN- γ ⁺ and CD69⁺ cells even at low doses (0.125 μ g ml⁻¹) of OVA peptide (Fig. 2F; Fig. S2B; Fig. S3B). Cell death assays showed higher specific killing of TRED-I-sg*Nlr5* cells by OT-I CD8⁺ T cells than TRED-I-sgScramble cells in an OVA peptide dose-dependent manner (Fig. 2G; Fig. S2C; Fig. S3C). To confirm that antigen presentation of endogenous antigens was also increased in TRED-I-sg*Nlr5* cells, we first immunized female C57BL/6 mice with whole tumor lysates of TRED-I cells, expecting expansion of endogenous antigen-specific CD8 T cells against male tissue-specific antigens and neoantigens from male-derived B16F10 melanoma. Subsequently, CD8⁺ T cells were harvested and co-cultured with TRED-I sgScramble and sg*Nlr5* cells (Fig. S6A). CD8⁺ T cells co-cultured with TRED-I-sg*Nlr5* cells showed an increased proportion of IFN- γ ⁺ and CD69⁺ CD8⁺ T cells compared with the sgScramble control (Fig. S6B,C), suggesting the increased immunogenicity against both exogenous peptide and endogenous antigens in the TRED-I-sg*Nlr5* cells. Altogether, these results demonstrate that targeted demethylation and activation by the TRED-I-sg*Nlr5* system induced robust transcriptional activation of *Nlr5* and downstream MHC class I, resulting in enhanced tumor immunogenicity to CD8⁺ T cells.

CD8⁺ T cell-mediated anti-cancer immunity is enhanced by targeted demethylation and activation of *Nlr5*

Since TRED-I-sg*Nlr5* cells showed enhanced immunogenicity, we asked whether the TRED-I system augment anti-cancer immunity *in vivo*. We subcutaneously implanted B16F10-TRED-I-sgScramble and sg*Nlr5* cells into C57BL/6 mice. Tumor growth of TRED-I-sg*Nlr5* cells was significantly delayed compared with sgScramble control (Fig. 3A,B). To identify whether the transcription of *Nlr5*, MHC class I and related gene is upregulated in TRED-I-sg*Nlr5* tumors *in vivo*, RNA-seq analysis was performed using total RNA extracted from tumors. Differentially expressed gene analysis identified that genes involved in the MHC class I antigen presentation pathway, including *Nlr5*, *H2-D1*, *Psm9*, *Tap1*, *B2m*, as well as cytotoxic CD8⁺ T cell activation markers, including *Gzmb*, were significantly upregulated in TRED-I-sg*Nlr5* tumors (Fig. 3C; Fig. S4B; Datasets S1), while a proliferation marker *Mki67* was significantly downregulated (Fig. 3C;

Datasets S1). The gene ontology enrichment analysis showed that pathways related to antigen presentation and adaptive immune responses were among the top upregulated pathways in TRED-I-sg*Nlrc5* tumors (Fig. 3D, Datasets S2).

Next, to characterize tumor infiltrating cells, flow cytometry was performed (Fig. S2D,E). We observed increased CD45⁺ hematopoietic cells in TRED-I-sg*Nlrc5* tumors (Fig. 3E; Fig. S7A), accompanied with increased CD8⁺, but not CD4⁺ T cells (Fig. 3E; Fig. S7B). Tumor-infiltrating CD8⁺ T cells exhibited higher frequencies of activation marker IFN- γ ⁺ (33) and effector/memory marker CD44⁺ (34, 35) populations in TRED-I-sg*Nlrc5* tumors, indicating increased numbers of activated CD8⁺ T cells (Fig. 3E; Fig. S7C,D). The increased infiltration and activation of CD8⁺ T cells in TRED-I-sg*Nlrc5* tumors is likely associated with elevated surface H2-K^b/D^b (Fig. 3F), leading to effective tumor cell killing, evidenced by significant decreases in live tumor cells (Fig. 3E; Fig. S7E).

To determine whether the adaptive immune system and CD8⁺ T cells are required to inhibit tumor growth in sg*Nlrc5* tumors, we subcutaneously implanted B16F10-TRED-I sgScramble and sg*Nlrc5* tumors into NUDE mice, which lack mature T cells, or C57BL/6 mice depleted of CD8⁺ T cells. Tumor growth was similar between TRED-I sgScramble and sg*Nlrc5* tumors in both NUDE mice and the CD8⁺ T cells-depleted mice, indicating critical roles of CD8⁺ T cell-dependent adaptive immunity in inhibiting sg*Nlrc5* tumor growth (Fig. 3G, H, I, J, Fig. S7F). Altogether, these results indicate that targeted demethylation and activation of *Nlrc5* led to poor tumor growth *in vivo*, accompanied with augmented MHC class I expression and increased CD8⁺ T cell infiltration and activation.

CD8⁺ T cells were preferentially recruited into the tumor center upon demethylation and activation of *Nlrc5*

Since localization of tumor-infiltrating lymphocytes (TILs) in the tumor center correlates with better cancer patients' prognoses and responses to immune checkpoint blockade therapy (36, 37), we histopathologically examined the localization of TILs. TRED-I-sg*Nlrc5* tumors displayed abundant immune cell infiltration upon H&E staining (Fig. 4A), with increased infiltration of CD3⁺ and CD8⁺ T cells in both the centers and margins of tumors following immunohistochemistry (Fig. 4A,B; Fig. S8A,B; Fig. S9A,B). Upon quantification of the percentages and the ratio of CD3⁺ or CD8⁺ stained areas in the center and margin of the same tumor, we found that CD8⁺ T cell infiltration was more concentrated in the center of TRED-I-sg*Nlrc5* tumors compared with sgScramble tumors,

1 suggesting that demethylation and activation of the *Nlrc5* promoter may not only impact the
2 recruitment of TILs but drive the infiltration of CD8⁺ T cells into the center of tumors (Fig. 4B,C).

3 4 **Targeted demethylation and activation of *Nlrc5* sensitized B16F10 cells to anti-PD-1** 5 **treatment**

6 We previously found that higher expression of *NLRC5* in melanoma is correlated with better
7 responses to anti-PD-1 checkpoint blockade therapy (19). Since the TRED-I system robustly
8 induced *Nlrc5* expression, we hypothesized that TRED-I-sg*Nlrc5* tumors are more sensitive to anti-
9 PD-1 treatment. To test this, we subcutaneously implanted twice the amount (6×10^5) of B16F10-
10 TRED-I sgScramble and sg*Nlrc5* tumors into the mice compared with previous experiments (Fig.
11 3A,B) and treated with anti-PD-1 or isotype control antibodies. Increased transplanted tumor cell
12 numbers led to faster tumor growth, avoiding complete elimination by enhanced anti-cancer
13 immunity, ensuring characterization of TILs. Although anti-PD-1 treatment significantly reduced the
14 tumor volume of both TRED-I-sgScramble and sg*Nlrc5* tumors, we observed the lowest tumor
15 volume in TRED-I-sg*Nlrc5* tumors with anti-PD-1 treatment (Fig. 5A,B). We harvested TRED-I
16 sgScramble tumors on day 15 and sg*Nlrc5* tumors on day 18 when their tumor volumes were similar
17 to those of isotype control-treated tumors (Fig. 5B). While the weights of TRED-I-sgScramble and
18 sg*Nlrc5* tumors were not significantly different with isotype control treatment at these time points,
19 weights of TRED-I-sg*Nlrc5* tumors were significantly reduced compared with sgScramble tumors
20 with anti-PD-1 treatment (Fig. 5C). These results suggest that B16F10-TRED-I sg*Nlrc5* tumors
21 respond more efficiently to anti-PD-1 treatment.

22 Similarly, flow cytometry was performed to characterize tumor-infiltrating cells (Fig. S2D,E). TRED-
23 I-sg*Nlrc5* tumors treated by anti-PD-1 showed the highest levels of infiltrating CD45⁺ hematopoietic
24 cells, together with CD8⁺, but not CD4⁺ T cells (Fig. 5D; Fig. S10A,B). IFN- γ ⁺ and CD44⁺ CD8⁺
25 T cells were robustly augmented in TRED-I-sg*Nlrc5* tumors treated by anti-PD-1, while only no or
26 mild increases of IFN- γ ⁺ and CD44⁺ CD4⁺ T cells were observed (Fig. 5D; Fig. S10C,D). Increased
27 CD8⁺ T cell infiltration and activation in TRED-I-sg*Nlrc5* tumors treated by anti-PD-1 is likely to be
28 associated with elevated surface H2-K^b/D^b, but not surface PD-L1 levels (Fig. 5E). Taken together,
29 our results indicate that targeted demethylation and activation of *Nlrc5* enhanced the response to
30 anti-PD-1 treatment by increasing the immunogenicity to CD8⁺ T cells through upregulation of MHC
31 class I.

32 33 **Targeted demethylation and activation of *Nlrc5* may induce anti-cancer immunity against** 34 **distant tumors.**

35 It has been long observed that local therapies, such as radiation, may induce therapeutic effects
36 on distant tumors through altered immune responses, known as the abscopal effect (38, 39). We
37 wondered whether robust anti-cancer immunity induced by the TRED-I system could induce similar

effects against distant tumors. To address this question, we established a bilateral tumor model, where TRED-I sgScramble and sg*Nlrc5* cells were implanted in the opposite flanks and the mice were treated with isotype or anti-PD-1 antibodies (Fig. S11A). Sizes and weights of TRED-I sg*Nlrc5* tumors tended to be smaller than TRED-I sgScramble tumors in both isotype- and anti-PD-1-treated groups (Fig. S11B,C,D), suggesting that the TRED-I system induced anti-tumor activity. To highlight the effect of the TRED-I system on the tumor in the opposite flank, we compared the tumor volume of the unilateral model (Fig. 5B) and the bilateral model (Fig. S11C) on day 15 side by side (Fig. S11E,F). The volumes of sg*Nlrc5* tumors in the unilateral model were significantly smaller than sgScramble tumors in both isotype-treated and anti-PD-1-treated groups. However, there was no significant difference in the volume between sg*Nlrc5* tumors and sgScramble tumors in the bilateral model. This is largely due to the smaller volumes of sgScramble tumors in the bilateral model than the unilateral model in both isotype-treated (unilateral / bilateral model = 0.65x fold) and anti-PD-1-treated groups (unilateral / bilateral model = 0.59x fold) (Fig. S11F), while the volumes of sg*Nlrc5* tumors in the bilateral model tended to be similar to unilateral model in both isotype-treated (unilateral / bilateral model = 1.11x fold) and anti-PD-1-treated group (unilateral / bilateral model = 0.76x fold) (Fig. S11F). These data indicate that the TRED-1 system may impact not only the local tumor but also distant tumors on the opposite flanks, possibly through elicitation of robust anti-tumor immune responses.

Targeted demethylation and activation of *NLRC5* increased MHC class I expression levels in human breast cancer cells.

Next, we asked whether a strategy based on the targeted demethylation and activation of *Nlrc5* can be applied to human cancer. We used the human breast cancer cell line MCF7 for these studies as it has low *NLRC5* expression and high methylation levels according to the NCI60 database (40) (Fig. S4C). We identified a highly methylated region in the *NLRC5* promoter in MCF7 cells upon bisulfite sequencing (Fig. 6A). To target the methylated region, we stably transduced the TRED-I system into the MCF7 cell line and optimized targeting sgRNA on the human *NLRC5* promoter by quantifying *NLRC5* expression. All 6 sgRNA candidates induces *NLRC5* expression at modest level (Fig. 6B). To enhance the *NLRC5* activation efficiency, we transduced combinations of multiple sgRNAs into MCF7-TRED-I. Among the combinations tested, a combination of sgRNA #1 + #2 + #3 showed the highest *NLRC5* induction (Fig. 6C), which is referred to as MCF7-TRED-I sg*NLRC5*s. Non-targeting scrambled sgRNA was used as control, referred to as MCF7-TRED-I sgScramble. The methylation levels of the *NLRC5* promoter were decreased in sg*NLRC5*s cells (Fig. 6D; Fig. S4D). The mRNA expression levels of *NLRC5* and dependent MHC class I and related gene, including *HLA-A*, *B*, *C*, *PSMB9*, *TAP1*, and *B2M* but not of *CIITA* were induced by sg*NLRC5*s (Fig. 6E). The surface MHC class I levels determined by antibodies against HLA-A2, HLA-B or HLA-A, B, C were increased in TRED-I-sg*NLRC5*s cells (Fig. 6F). These results suggest

1 that the TRED-I system can induce targeted demethylation and activation of human *NLRC5* gene,
2 resulting in the increased expression of MHC class I in human breast cancer cells.

3 4 5 **Discussion**

6
7 In this study, we showed that targeted demethylation and activation of the *Nlrc5* promoter robustly
8 upregulate MHC class I, accompanied by enhanced CD8+ T cell-mediated anti-cancer immunity.
9 Demethylation of *Nlrc5* was highly specific, as shown by bisulfite sequencing and bisulfite PCR
10 (Fig. 1E; Fig. 2B; Fig. 6D, Fig. S5B). Coupling *Nlrc5*-specific demethylation with transactivation led
11 to the robust induction of MHC class I-dependent immune responses, highlighted by increased
12 *NLRC5* expression at the mRNA level (Fig. 2C,D), upregulated MHC class I at the transcript and
13 protein levels (Fig. 2C,D,E), enhanced CD8+ T cell responses *ex vivo* and *in vivo* (Fig. 2F,G; Fig.
14 3C,D,E; Fig. 4; Fig. S4B) and increased anti-tumor effects *in vivo* (Fig. 3A,B; Fig. 5A,B; Fig.
15 S11B,C,D).

16 Enhancing MHC class I-dependent antigen presentation has been widely appreciated as a
17 promising cancer therapeutic approach because downregulation of MHC class I is one of the most
18 common causes for cancer immune evasion (41). Although DNA demethylating agents have been
19 known to enhance cancer immunogenicity (42), these agents are not specific to the MHC class I
20 antigen presentation pathway, providing severe side effects that limit their clinical applications (20).
21 Furthermore, drugs including HDAC (histone deacetylases) inhibitors (43), STING agonists (44),
22 and type I interferon (45) were shown to possess anti-cancer activity partially due to their ability to
23 induce MHC class I in cancer. However, these approaches are also accompanied with low
24 specificity and substantial side effects (46-48). To our knowledge, our strategy is the first to display
25 the specific induction of the expression of MHC class I and related genes in cancer, which makes
26 the *Nlrc5*-specific TRED-I system an attractive approach for developing novel cancer
27 immunotherapies.

28 While the subcutaneous B16F10 model is known to elicit only poor responses to anti-PD-1
29 treatment (49-54), B16F10-TRED-I sg*Nlrc5* tumors showed enhanced responses to anti-PD-1
30 treatment (Fig. 5, Fig. S11), consistent with observations that loss of MHC class I expression
31 correlates with resistance to anti-PD-1 therapy (4, 6-10). In addition to anti-PD-1 therapy, our results
32 suggest that *NLRC5* can be targeted to augment the efficacy of various immunotherapies. For
33 example, CAR T cell therapy against solid tumors may fail due to the immune-suppressing tumor
34 microenvironment (55) and difficulty in trafficking and infiltrating into tumors (56). We found that
35 CD8+ T cells were more abundant, activated, and concentrated in the center of TRED-I-sg*Nlrc5*
36 tumors (Fig. 3E; Fig. 4). These histopathological data for CD8+ T cells suggest that targeting *Nlrc5*
37 could augment CAR T cell therapeutic efficacy. Furthermore, dendritic cells (DCs) and peptide-

1 based anti-cancer vaccination can induce cancer antigen-specific T cell responses (57, 58), but the
2 downregulation of MHC class I levels in cancer cells may inhibit its effectiveness. Targeting *NLRC5*
3 together with DCs and peptide-based vaccine could augment its efficacy by restoring MHC class I
4 antigen presentation in cancer.

5 The introduction of *Nlrc5*-dependent TRED-I system exerted efficient anti-tumor immunity by CD8+
6 T cells. This is perhaps mediated by both direct activation of CD8+ T cells and indirect recruitment
7 due to increased availability of cancer-specific antigens to antigen-presenting cells because of
8 cytotoxicity. However, there were unexpected additional effects that may have also contributed to
9 tumor suppression. First, the TRED-I and TD-I system induced favorable histone H3 acetylation
10 status in the promoter of *Nlrc5* and MHC class I (Fig. S5C). Since it has been demonstrated that
11 methyl-DNA binding proteins, such as MeCP2, selectively bind methylated CpG dinucleotides and
12 recruit HDACs (30), the TRED-I and TD-I system may increase the MHC class I gene expression
13 by suppressing the locus-specific HDAC activity in addition to DNA demethylation of the *Nlrc5*
14 promoter. Second, CD8+ T cells were efficiently recruited into the center of the tumor (Fig. 4C).
15 Although the molecular mechanism behind this is not clear, it may provide additional therapeutic
16 effects since it has been shown that increased numbers of CD8+ T cells in the tumor center serve
17 as a strong biomarker for preferable prognosis in solid cancer patients (59). Third, it is likely that
18 the TRED-I system may exert therapeutic effects on distant tumors (Fig. S11), possibly through the
19 elicitation of robust anti-cancer immune responses. This may suggest possible clinical applications
20 of the TRED-I system on metastatic tumors. It would be of interest to understand if these effects
21 sum up during treatment.

22 We consider the direct delivery of the TRED-I system into cancer cells as an ideal approach.
23 However, the complexity of the TRED-I system may hinder its delivery. Recently developed delivery
24 approaches that exploit lipid nanoparticles or virus-like particles have been shown to provide highly
25 efficient delivery of complexes of mRNA and proteins *in vivo* (60, 61). Such technologies may
26 enable delivery of the TRED-I system efficiently *in vivo*. Alternatively, injection of liquid nitrogen
27 snap frozen cancer cells *in vivo* were shown to increase host anti-cancer immune response (62,
28 63). As a potential therapeutic approach, TRED-I-introduced cancer cells can be generated *ex vivo*,
29 followed by snap freezing and injection into the host, with the expectation that the enhanced MHC
30 class I expression levels stimulated by the TRED-I system may induce stronger anti-cancer
31 responses through diverse mechanisms, including DC cross dressing (64, 65).

32 In conclusion, we show that targeted demethylation and activation of *Nlrc5* by the TRED-I system
33 is an attractive approach to increase MHC class I, highlighting *Nlrc5* as a cancer immunotherapy
34 target. Further research may develop novel therapeutics with specific induction of the MHC class

1 I-dependent antigen presentation pathway, which may be combined with other immunotherapies
2 to boost therapeutic efficacy.

3 4 **Materials and Methods**

5
6 B16F10 and MCF7 cells were obtained from RIKEN Bio BRC, Japan. Lenti-X 293T cells were
7 obtained from Takara Bio. TD-I and TRED-I system were integrated into B16F10 and MCF7 cells
8 by lentiviral vector transduction. Assessment of DNA methylation level, quantitative reverse
9 transcription-PCR, flow cytometry, *ex vivo* CD8+ T cell and tumor cell co-culture, and *in vivo*
10 tumor model were performed as described previously (24, 65, 66). For detailed Material and
11 Methods, see SI Appendix, Materials and Methods.

12 13 **Data, Materials, and Software Availability**

14
15 DNA methylation and RNA-Seq gene expression data from TCGA SKCM and BRCA were
16 accessed through UNSC XENA at <https://xenabrowser.net/datapages/> (67-69). RNA-Seq
17 generated in this study is deposited to GEO at <https://www.ncbi.nlm.nih.gov/geo/> and can be
18 accessed through GSE233194. Codes used for RNA-Seq data process and part of figures were
19 deposited to GitHub at https://github.com/firemanzzzz/Demethylation_Nlrc5.

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22
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Figure legends

Figure 1. Demethylation of the *Nlrc5* promoter by the TD-I system induced MHC class I expression in B16F10. (A) Correlation analysis was performed between *NLRC5* expression levels or mean *NLRC5* methylation levels and estimated immune cell infiltration scores or *GZMB* expression levels in melanoma ($n = 471$) and breast invasive carcinoma tissues ($n = 873$). r (Pearson correlation coefficient) value was calculated plotted as heatmap. (B) DNA methylation status of the *Nlrc5* promoter in B16F10 cells was determined by bisulfite PCR analysis. CpG dinucleotides are shown as white or black circles to represent unmethylated or methylated CpG dinucleotides. Each row represents an individual plasmid clone of total 5 clones. (C) Schematic illustrations of (Upper) main components in the lentiviral vectors of the TD-I system, and (Lower) assembled components of the TD-I system at the *Nlrc5* promoter. (D) (Upper) Scramble or *Nlrc5* promoter targeting sgRNA was stably transduced in B16F10-TD-I cells and the mRNA expression level of *Nlrc5* was quantified by qRT-PCR. Relative gene expression levels were determined by $2^{-(\Delta\Delta CT)}$ method using *Gapdh* as a reference gene. (Lower) A schematic diagram of sgRNA targeting sites at *Nlrc5* promoter, with colored triangular signs indicating each sgRNA target site corresponding to the upper plot. (E) DNA methylation status of the *Nlrc5* promoter in B16F10-TD-I sgScramble and sg*Nlrc5* cells was determined by bisulfite PCR analysis. Ten clones were analyzed per group. Triangle sign indicates sg*Nlrc5* targeting site. (F) The mRNA expression levels of *Nlrc5*, *H2-K^b*, *H2-D^b*, *Psmb9*, *Tap1*, *B2m*, and *Ciita* in B16F10-TD-I sgScramble and sg*Nlrc5* cells were quantified by qRT-PCR with or without IFN- γ stimulation. Relative gene expression levels were determined by $2^{-(\Delta\Delta CT)}$ method using *Gapdh* as a reference gene. (G) The mRNA gene expression levels by RNA-Seq in $\log_2(\text{Normalized Count}+1)$ values of B16F10-TD-I sgScramble

1 were compared with sg*Nlrc5* cells. Marked gene is *Nlrc5* (in red). The average from $n = 2$ is shown.
2 (H) Surface H2-K^b/D^b expression levels in B16F10-TD-I sgScramble and sg*Nlrc5* cells were
3 quantified by flow cytometry with or without IFN- γ stimulation. (Left) Representative histogram.
4 (Right) Geometric MFI. (I) B16F10-TD-I sgScramble and sg*Nlrc5* cells were pulsed with 0, 1, or 2
5 $\mu\text{g ml}^{-1}$ of OVA peptide overnight and co-cultured with OT-I T cells for 24 h. Intracellular IFN- γ and
6 surface CD69 expression levels in OT-I T cells were quantified by flow cytometry. Values in bar
7 plots are mean $\pm$ SD. For (D, F, H, I), $n = 3$, for (F) $n = 2$ in each group; ' n ' stands for number of
8 biological replicates. Statistical significance was determined by unpaired two-sided student's *t*-test
9 (D) or Fisher's LSD test (F, H, I).

10
11 **Figure 2. Targeted demethylation and activation of *Nlrc5* increased immunogenicity to CD8+**
12 **T cells in B16F10.** (A) A schematic illustration of main components in the lentiviral vectors of the
13 TRED-I system (Upper), and assembled components of the TRED-I system at *Nlrc5* promoter
14 (Lower). (B) DNA methylation status of *Nlrc5* promoter in B16F10-TRED-I sgScramble and sg*Nlrc5*
15 cells were determined by direct sanger sequencing of bisulfite PCR product. (Upper) Methylation
16 levels of CpG dinucleotides. (Lower) A schematic diagram of sgRNA targeting sites (green
17 triangles) and locations of CpG dinucleotides (white circles) at *Nlrc5* promoter, corresponding to
18 upper plot. (C) B16F10-TRED-I cells were stably transduced with lentiviral vectors expressing
19 sgScramble and sg*Nlrc5*. The mRNA expression levels of *Nlrc5*, *H2-K^b*, *H2-D^b*, *Psmb9*, *B2m*, and
20 *Mx1* in B16F10-TRED-I sgScramble and sg*Nlrc5* cells were quantified by qRT-PCR. Relative gene
21 expression levels were determined by $2^{-(\Delta\Delta\text{CT})}$ method using *Gapdh* as a reference gene. (D)
22 The mRNA gene expression levels in $\log_2(\text{Normalized Count}+1)$ values of all detected genes in
23 RNA-seq analysis of B16F10-TRED-I sgScramble cells were compared with sg*Nlrc5* cells. Marked
24 genes are *Nlrc5* and dependent MHC class I and related genes (in red), and *Nlrc5* transcription
25 activator *Stat1*, *Irf1*, and unrelated control *Mx1* (in blue). The average from $n = 2$ is shown. (E)
26 Surface MHC class I expression levels in B16F10-TRED-I sgScramble and sg*Nlrc5* cells were
27 quantified by flow cytometry using antibodies against H2-K^b, H2-D^b or H2-K^b/D^b. (F) B16F10-TRED-
28 I sgScramble and sg*Nlrc5* cells were pulsed with 0, 0.0625, 0.125, 0.25, 0.5, or 1 $\mu\text{g ml}^{-1}$ of OVA
29 peptide overnight and co-cultured with OT-I T cells for 24 h. Intracellular IFN- γ and surface CD69
30 expression levels on OT-I T cells were quantified by flow cytometry. (G) CFSE-labeled B16F10-
31 TRED-I sgScramble and sg*Nlrc5* cells were pulsed with 0 or 0.5 $\mu\text{g ml}^{-1}$ of OVA peptide overnight
32 and co-cultured with OT-I T cells at OT-I:B16F10 ratio of 0:1, 1.25:1, 2.5:1, 5:1, and 10:1 for 48 h.
33 Dead B16F10-TRED-I cells were quantified as CFSE+ Zombie dye+ by flow cytometry. Values
34 shown are mean $\pm$ SD. For (B, C, E, F, G), $n = 3$; for (D) $n = 2$ in each group; ' n ' stands for number

of biological replicates. Statistical significance was determined by Fisher's LSD test in (B, C, F, G), unpaired two-sided student's *t*-test in (E). n.s., not significant; * *p* < 0.05; ** *p* < 0.01; *** *p* < 0.001.

Figure 3. Targeted demethylation and activation of *Nlrc5* enhanced CD8⁺ T cell mediated anti-cancer immunity *in vivo*.

(A) Three hundred thousand of B16F10-TRED-I sgScramble and sg*Nlrc5* cells were subcutaneously implanted into left flank of C57BL/6 mice on day 0. An image of tumors on day 18 was obtained, with one mouse in the sg*Nlrc5* group that did not show tumor growth. (B) Volumes of B16F10-TRED-I sgScramble and sg*Nlrc5* tumors were estimated daily by digital caliper since day 6 using visible tumor area and the following formula: volume (mm³) = length * width * width / 2. (C) RNA-Seq and differentially expressed gene analysis between B16F10-TRED-I sgScramble and sg*Nlrc5* tumors were performed. Red signs indicate significantly upregulated genes and blue signs indicate significantly downregulated genes in TRED-I-sg*Nlrc5* tumors. FDR, false discovery rate. (D) Gene ontology pathway enrichment analysis between B16F10-TRED-I sgScramble and sg*Nlrc5* tumors *in vivo* using RNA-seq data. Green circles represent the top significantly upregulated pathways in sg*Nlrc5* tumors. Size of circle indicates the number of genes included in the gene set. (E) Quantification of tumor infiltrating CD45⁺, and CD4⁺ or CD8⁺ T cells, IFN-γ⁺ or CD44⁺ T cells, and viable tumor cells from B16F10-TRED-I sgScramble and sg*Nlrc5* tumors by flow cytometry. (F) Quantification of surface H2-K^b/D^b levels on viable tumor cells from B16F10-TRED-I sgScramble and sg*Nlrc5* tumors by flow cytometry. (Left) Representative histogram. (Right) Geometric MFI of live B16F10 cells. (G) Five hundred thousand of B16F10-TRED-I sgScramble and sg*Nlrc5* cells were subcutaneously implanted into left flank of NUDE mice on day 0. An image of tumors on day 15 was obtained. (H) Volumes of B16F10-TRED-I sgScramble and sg*Nlrc5* tumors from NUDE mice were estimated as above. (I) Anti-CD8⁺ antibody was injected in C57BL/6 mice on day -1 and day 3 to induce CD8⁺ T cell depletion. On day 0, 5×10⁵ of B16F10-TRED-I sgScramble and sg*Nlrc5* cells were subcutaneously implanted into left flank of C57BL/6 mice. An image of tumors on day 13 was obtained. (J) Volumes of B16F10-TRED-I sgScramble and sg*Nlrc5* tumors from CD8⁺ T cell depleted mice were estimated as above. Values shown are mean ±SEM in (B, H, J), and mean ±SD in (E, F). For (B, E, F), *n* = 7 (TRED-I-sgScramble tumors), *n* = 6 (TRED-I-sg*Nlrc5* tumors); For (C, D) *n* = 4 in each group; For (H), *n* = 7; For (J), *n* = 6. '*n*' stands for number of biological replicates. Statistical significance was determined by two-way ANOVA test in (B, H, J), and unpaired two-sided student's *t*-test in (E, F). n.s., not significant; * *p* < 0.05; *** *p* < 0.001.

Figure 4. Targeted demethylation and activation of *Nlrc5* preferentially promoted the infiltration of CD8⁺ T cells into center of tumors.

(A) Representative H&E staining and immunohistochemistry images of tumor center and margin of B16F10-TRED-I sgScramble and sg*Nlrc5* tumors implanted in C57BL/6 mice. Upper panels indicate H&E, IHC for CD3, and CD8,

and lower panels indicate digital visualization of CD3 and CD8 positivity using artificial red color. (B) Comparison of total area of CD3 or CD8 positivities in B16F10-TRED-I sgScramble and sg*Nlrc5* tumors discriminating tumor center and margin. Digital analysis of the proportion of CD3 or CD8 positivities against whole tumor area (%). (C) The ratio of CD3+ and CD8+ stained area between tumor center and margin within each tumor was calculated and compared between TRED-I-sgScramble and sg*Nlrc5* tumors. (B, C) The numbers show are *p*-values or fold induction of antibody-stained area in TRED-I-sg*Nlrc5* tumors compared with sgScramble tumors. Bar plots in (B, C) are mean $\pm$ SD. For (A, B, C), *n* = 6 (sgScramble tumors), *n* = 5 (sg*Nlrc5* tumors); 'n' stands for number of biological replicates. Statistical significance was determined by Fisher's LSD test.

Figure 5. Targeted demethylation and activation of *Nlrc5* sensitized B16F10-TRED-I tumors to anti-PD-1 treatment. (A) Six hundred thousand of B16F10-TRED-I sgScramble and sg*Nlrc5* cells were subcutaneously implanted into left flank of C57BL/6 mice on day 0. Isotype control or anti-PD-1 antibodies were intraperitoneally injected every 2 days from day 3 at 250 μ g/mouse for 5 times in total. Image of B16F10-TRED-I sgScramble and sg*Nlrc5* tumors harvested on day 15 and day 18 respectively were obtained. (B) Tumor volumes of B16F10-TRED-I sgScramble and sg*Nlrc5* tumors treated by isotype control or anti-PD-1 antibodies were estimated every 2 days since day 3 by digital caliper using visible tumor area and the following formula: volume (mm^3) = length * width * width / 2. (C) Tumor weight was measured and compared between day 15 for sgScramble tumors and day 18 for sg*Nlrc5* tumors treated by isotype control or anti-PD-1. (D) Quantification of tumor infiltrating CD45+, and CD4+ or CD8+ T cells, and activated/effector/memory IFN- γ + or CD44+ T cells from B16F10-TRED-I sgScramble and sg*Nlrc5* tumors by flow cytometry. (E) Quantification of H2-K^b/D^b and PD-L1 levels on viable tumor cells from B16F10-TRED-I sgScramble and sg*Nlrc5* tumors treated by isotype control or anti-PD-1 by flow cytometry. (Left) Mean gMFI of live B16F10 cells. (Right) Representative histogram. Values shown in (A) are mean $\pm$ SEM, and in (C, D, E) are mean $\pm$ SD. *n* = 8 in each group; 'n' stands for number of biological replicates. Statistical significance was determined by two-way ANOVA test in (B), unpaired two-sided student's *t*-test in (C), and Fisher's LSD test in (D, E). n.s., not significant; * *p* < 0.05; ** *p* < 0.01; *** *p* < 0.001.

Figure 6. Targeted demethylation and activation of *NLRC5* increase the expression of MHC class I in MCF7. (A) DNA methylation status of *NLRC5* promoter in MCF7 cells were determined by bisulfite PCR analysis. CpG dinucleotides are shown as white or black circles to represent unmethylated or methylated CpG dinucleotides. Each row represents an individual plasmid clone of total 10 clones. (B) (Upper) Scramble and *NLRC5* promoter targeting sgRNAs were stably transduced in MCF7-TRED-I cells and the mRNA expression level of *NLRC5* was quantified by qRT-PCR. (Lower) A schematic of sgRNA targeting sites at *NLRC5* promoter, with colored

1 triangular signs indicating each sgRNA target site corresponding to the upper plot. (C) Lentiviral
2 vectors expressing scramble sgRNA or multiple combinations of *NLRC5* promoter targeting
3 sgRNAs were stably transduced in MCF7-TRED-I cells and the mRNA expression level of *NLRC5*
4 was quantified by qRT-PCR. (D) (Upper) DNA methylation status of *NLRC5* promoter in MCF7-
5 TRED-I sgScramble and sg*NLRC5*s cells were determined by direct sanger sequencing of bisulfite
6 PCR product. (Lower) A schematic diagram of sgRNA targeting sites (green triangles) and locations
7 of CpG dinucleotides (white circles) at the *NLRC5* promoter, corresponding to upper plot. (E) The
8 mRNA expression levels of *NLRC5*, *HLA-A*, *HLA-B*, *HLA-C*, *PSMB9*, *TAP1*, *B2M* and *CIITA* in
9 MCF7-TRED-I sgScramble and sg*NLRC5*s cells were quantified by qRT-PCR. (F) Surface MHC
10 class I expression levels in MCF7-TRED-I sgScramble and sg*NLRC5*s cells were quantified by flow
11 cytometry using antibodies against HLA-A2, HLA-B or HLA-A,B,C. Relative gene expression levels
12 in qRT-PCR analysis were determined by $2^{(-\Delta\Delta CT)}$ method using *GAPDH* as a reference gene.
13 Values in bar plots shown are mean $\pm$ SD. $n = 3$ in (B, C, D, E, F); ' n ' stands for number of biological
14 replicates. Statistical significance was determined by unpaired two-sided student's t -test in (C, F),
15 Fisher's LSD test in (D, E). n.s., not significant; * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.











